## RAIL

MOVING AMERICA FORWARD

# Best Practices – Project Narrative

Sergio Coronado, Transportation Industry Analyst Program and Policy Development Division



#### **Upcoming Office Hours**

- Every following Thursday at 2pm!
- <u>August 18 Project and applicant eligibility,</u> project type, and lifecycle
- o August 25 Statement of work
- <u>September 1 National Environmental Policy Act</u> (NEPA) and environmental considerations
- September 8 NOFO "nuts and bolts" (e.g. Grants.gov, SAM registration, and other required elements)



Railroad Crossing Elimination Grant Program NOFO Office Hours Thursday, August 11, 2022 2:00 PM Eastern <u>Register Now</u>

The Federal Railroad Administration (FRA) recently hosted a webinar about the new <u>Railroad Crossing</u> <u>Elimination (RCE) Grant Program</u> established under the <u>Bipartisan Infrastructure Law</u>, and its <u>FY 2022 Notice of</u> <u>Funding Opportunity (NOFO)</u>. In response to high levels of interest in the RCE Grant Program NOFO, FRA is holding a series of "office hours" to expand upon key sections of the NOFO and offer potential applicants the opportunity to hear from FRA staff on how to adopt best practices for their grant applications.

The office hours series kicks off on Thursday, August 11, 2022, at 2:00 pm EST with an overview of best practices for creating a project narrative. FRA staff will cover the basics on structuring each section of the application - like the project summary, funding synopsis, and selection and evaluation criteria - into a cohesive narrative. FRA presenters will identify helpful tools and resources to strengthen the applicant narrative and attendees will have the opportunity to ask questions about the topics covered. <u>Register now!</u>

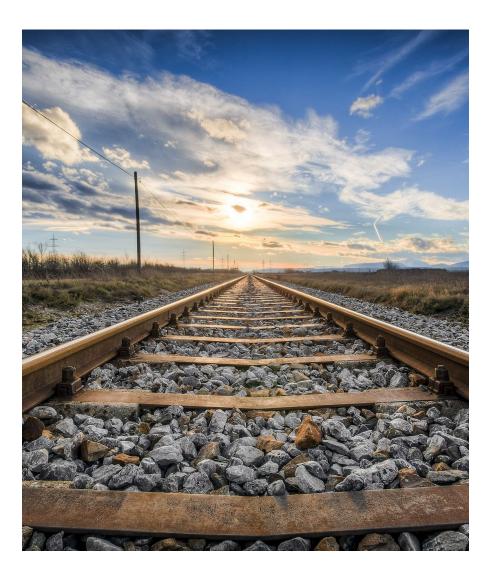
The remainder of FRA's RCE Grant Program NOFO office hours series will be held on Thursdays at 2:00 pm EST.

You are also invited to participate in any or all of the following sessions. Please note that a separate registration is required for each one:



## Agenda

- 1. Overview of the competitive grant process
- 2. Components of the narrative
  - a) Cover Page to Environmental Readiness
- 3. Tools and resources
- 4. Questions





### FRA Competitive/Discretionary Grant Review Process



- Is this project eligible?
- Is the applicant eligible?



#### **EVALUATION**

- What are these project benefits? Does this improve safety, economic vitality, access to emergency services?
- Is the applicant capable of carrying out this project?

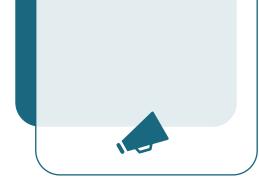


#### SELECTION

 Projects are selected based off their ability to meet selection and evaluation criteria.

#### ANNOUNCEMENT

 FRA press release announces selections 4 to 5 months following due date.





#### **Project Narrative Outline**

- Cover Page Ι.
- 11. **Project Summary**
- III. Project Funding
- IV. **Applicant Eligibility**
- V. **Detailed Project Description**
- VI. **Project Location**
- Grade Crossing Information VII.
- VIII. Evaluation and Selection Criteria
- IX. Safety Benefit
- **Project Implementation & Management** Х.
- **Environmental Readiness** XI.



J.S. Department of Transportation Federal Railroad Administration

- Structure your project narrative in accordance with the outline specified in the NOFO
- Include all elements identified in  $\mathbf{N}$ the outline
- Follow the instructions for each element
- Adhere to 25-page limit

#### **Cover Page**

Use a table or create a list:

- ☑ Project Title
- ☑ Funding Requested
- ☑ Proposed Match
  - ☑ Sources
  - ✓ In Kind?
- ✓ Other Federal Funding only if it applies
- ☑ Total Project Cost
- ☑ Did you submit for a previous grant tell us which program
- ☑ City/State Location

- ☑ Congressional District
- Planning Documents State Rail Plan, State Action
   Plan
- ☑ Rural or Tribal Location
- Funding Set-Aside (Planning, Safety Information, Rural Tribal Set-Aside
- ✓ Project located in Rural or Tribal Area with 20 or fewer residents
- ☑ US DOT Crossing Numbers
- Project located on real property owned by someone other than the applicant



#### **Project Summary**

- Briefly describe the project in **4 to 6 sentences** 
  - ✓ Tell us what your project is, where it is, how much money you are requesting and why
- ☑ Don't start off by confusing reviewers Keep it simple
- **Don't frontload all your information**



**Examples – <u>FY21 CRISI Press Release</u>** 



#### **Project Funding**

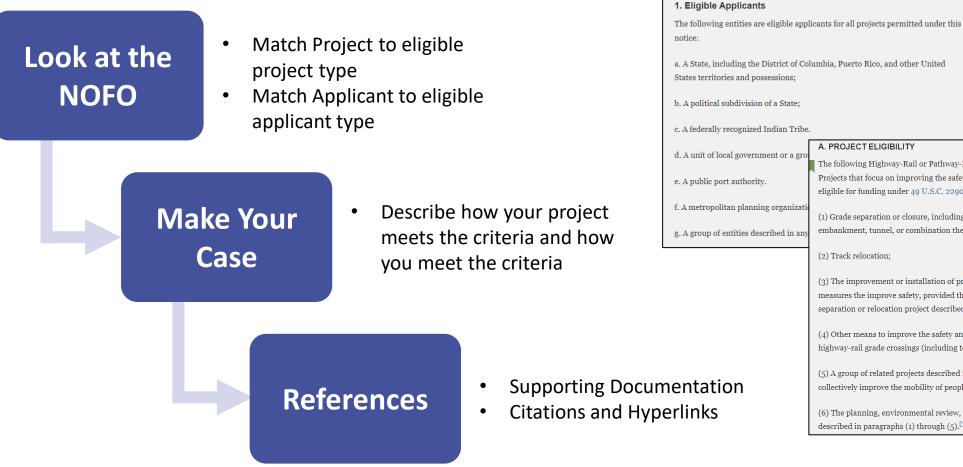
- **☑** TABLES are always welcome
  - ☑ Only include eligible costs
- ✓ Specify each source of non-Federal match
  - ☑ Supporting documentation
  - ✓ Provide details about in-kind match
  - ☑ Indicate public- vs. private-sector match
- ✓ Identify if the proposed match will not be available until a certain date or if funds must be spent by a deadline
  - ✓ State Competitive Funding or other competitive federal sources

Funding Source	Amount	Percentage
RCE Grant Request	\$XX	80%
Local Funding	\$XX	10%
State Funding	\$XX	5%
Railroad Co. Funding - Private	\$XX	5%
Total Match	\$XXX	100%

Task/Component	Cost	Percentage
Preliminary Engineering	\$XX	50%
NEPA	\$XX	50%
Total Cost	\$XXX	100%



#### **Applicant and Project Eligibility**



d. A unit of local government or a gro	A. PROJECT ELIGIBILITY
	The following Highway-Rail or Pathway-Rail Grade Crossing Improvement
e. A public port authority.	Projects that focus on improving the safety and mobility of people and goods are
	eligible for funding under 49 U.S.C. 22909(d), and this NOFO:
f. A metropolitan planning organizati	
	(1) Grade separation or closure, including through the use of a bridge,
g. A group of entities described in any	embankment, tunnel, or combination thereof;

#### (2) Track relocation;

(3) The improvement or installation of protective devices, signals, signs, or other measures the improve safety, provided that such activities are related to a separation or relocation project described in paragraph (1) or (2);

(4) Other means to improve the safety and mobility of people and goods at highway-rail grade crossings (including technological solutions); [6]

(5) A group of related projects described in paragraphs (1) through (4) that would collectively improve the mobility of people and goods; or

(6) The planning, environmental review, and design of an eligible project described in paragraphs (1) through (5).<sup>[7]</sup>



#### **Detailed Project Description**

- ✓ Thoroughly discuss the transportation challenges and benefits
- ✓ Include data to support project benefits
- Describe how project components are related and will be sequenced
- ✓ Include photographs or diagrams
- ☑ Identify all host railroads, operators, and beneficiaries



Don't let this be the only time you talk about benefits

#### v. Project Description



Simple description of challenges and proposed solution. Relevant history **OF** project.

 These XX grade crossings are dangerous, and we want to create an overpass

Picture of Project



Location of Project

Area

Summary of Qualitative and Quantitative <u>benefits</u> of this project.

- XXX fatalities will be prevented by this project
- How and Why

Summary of who benefits.

- Town residents
- Emergency Services
- Freight

Host Railroad – status of negotiations

- Draft negotiation document,
- Summary of ongoing conversations, demonstration of support



#### **Project Location**

- ✓ Identify cities, counties, and states where project is located
  - ☑ Congressional districts of project(s) location
- ☑ Include a map of the project
  - ☑ Multiple if necessary
- ☑ Identify railroad mileposts
- ☑ Geospatial data longitude and latitude





#### **Grade Crossing Information**

- ✓ Cite specific DOT National Grade Crossing Inventory information, including:
  - ☑ Railroad that owns infrastructure
  - ✓ Primary railroad operator
  - ☑ DOT crossing inventory number
  - ☑ Roadway at the crossing

Fee	dera	l Rail
Offi Offi	ce of	Safe
Home <u>W</u> hat's New Crossing Fo	rms/ <u>P</u> ublications	Downloads - Dat
5.02 - Generate Crossing Inventory and Ac	cident Reports	_
Please click on one of the links below or enter a the report type and click on the <b>Generate Repo</b> Report.		
Report Type:  Inventory OAccident OC	ontact Sheet	
Crossing#:		
Inventory:      Current      History		
Generate Report Generate	e Map	
Additional Links		
	8.01 - Quer	y by Location
Query by Location\Railroad Query by Crossing Accident Prediction (WBAPS) DOT Crossing Inventory Infor	Location	Alabama <ul> <li>County O City</li> </ul>
Crossing Data help Rail Crossing Locator Mobile A Maps Notice		County/City ALL AUTAUGA BALDWIN
Using this Site	Crossing	BARBOUR -
	Туре	Public Only     O All (Includes Private and Pedestrian)
Accident File as of:May 31, Crossing Inventory File as	Crossing Position Crossing Status	At-Grade Only     All (Includes Grade Separated)
	-	Open All (Includes Closed)
	Street	
	Reporting Level	All ✓ 0-9 A B C D E F G H I J K L M N O P Q R S T
	Railroad	U V W X Y Z All
	Sort	All ✓ ■ Sort by Railroad Name □ Sort by Railroad Code
	Note! You need to specify state and either county or city, street or railroad s	
		pattern. Run Query Reset



#### **Evaluation and Selection Criteria**

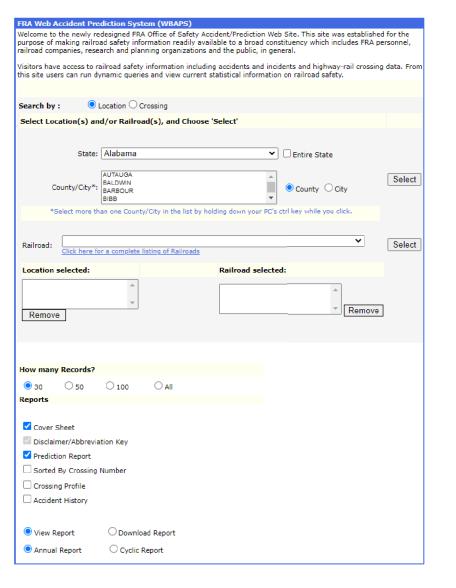
Project	Improves Safety at Highway-Rail or Pathway Rail Grade Crossing			
Benefits	Proposes to grade separate, eliminate, or close one or more Highway-Rail or Pathway- Rail Grade Crossings			
	Improves the mobility of both people and goods			
	Reduces emissions, protects the environment, and provides community benefit			
	Improves access to emergency services			
	Improves access to communities			
	Provides economic benefit			
	Uses contracting incentives to employ local labor, to the extent permissible under Federal law			
Technical	SOW tasks and subtasks outlined are appropriate			
Merits	Demonstrates strong project readiness			
	Technical Qualifications and Experience of Personnel			
	Project is in the freight investment plan			
	Project will use innovative technologies, design or construction techniques or materials will reduce GHG emissions			
	Project has support from impacted Rail Carriers			
	Project will improve the mobility modes of transportation, including entry and exit from freight facilities, pedestrians, bicycles, and public transportation			

- Quantitative and Qualitative
  - Relevant Benefits only don't digress to other projects
  - Address each component
    - Address it again!
    - ✓ Separate out each section don't bunch

#### **Safety Benefit**

- ✓ Strongly encouraged to submit safety justifications for the project that rely on standardized, objective safety metrics and data
  - Resources exist
  - Address it again!







#### **Project Implementation and Management**

- Highlight applicant's past experiences managing and overseeing similar projects, including FRA- or DOT-funded projects
  - ☑ RAISE (TIGER, BUILD) or CRISI
  - **FTA or FHWA grants**
  - ✓ State Grants
  - ☑ Management of Local Funding
- Describe expected arrangements for project contracting, contract oversight, change-order management, risk management, and conformance with Federal requirements for progress reporting
  - ☑ Experience with Grade Crossings GREAT!





Assume your reviewer has never heard of you!

#### **Environmental Readiness**

- ✓ If NEPA is complete: Provide copy of NEPA document or link
- ✓ If NEPA is not started: Provide detailed project description and description of setting/land use, with visuals
- ✓ If NEPA is underway: Provide current status, expected completion date, and type of document

Provide as much known information about project description, project location, anticipated impacts, anticipated local/state/federal permits as possible



- ✓ FRA reviewers will be determining environmental readiness based only on the information provided with the application
- ✓ Provide more environmental information than less
- ✓ Describe project planning done to date
- Document any past or present environmental studies associated to your proposed project in the grant application, including:
  - Any previously approved FRA, FTA, or FHWA project related to the grant proposal

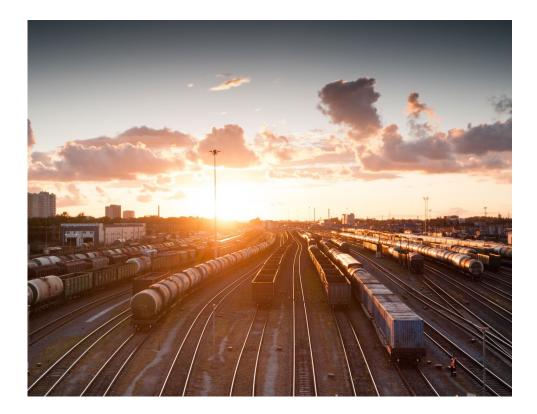


#### In summary... help your reviewer

- Keep it simple and straight forward
  - More information is good....as long as it's relevant
    - Supporting Documentation submission vs. hyperlink
  - Repetition is key don't sell yourself short
  - $\circ$   $\,$  No detective work  $\,$
  - o benefits, costs, scope, project stage
  - Qualitative and Quantitative

### No Assumptions

- $\circ$  Location
- Technical experience
- o Project





#### Narrative Tools

- I. Cover Page
  - o NOFO
- II. Project Summary
  - o FY21 CRISI Press Release
- **III. Project Funding** 
  - $\circ$  NOFO
  - <u>CFR § 200.306 on</u> <u>In-Kind contributions</u> <u>and matching</u>
  - <u>FRA SOW –</u> <u>Budget Template</u>
- IV. Applicant and Project Eligibility
  - $\circ$  NOFO
- V. Detailed Project Description
  - $\circ$  NOFO

#### VI. Project Location

- o Justice40 Census Tracts
- o <u>Congressional Districts</u>
- o <u>Rural Eligibility</u>
- o FRA Safety GIS Map
- **VII.** Grade Crossing Information
  - o Grade Crossing Inventory
- **VIII. Evaluation and Selection Criteria** 
  - $\circ$  NOFO
- IX. Safety Benefit
  - o FRA Office of Safety Analysis
  - FRA Web Accident Prediction System
  - <u>Highway Rail Grade Crossing</u> Incident Dashboard

- X. Project Implementation and Management
  - o FRA Reporting
  - FRA Statement of Work <u>Templates</u>
- **XI.** Environmental Readiness
  - o FRA CE Worksheet
  - o FRA CE Companion Guide
  - o FRA NEPA Procedures
  - FRA YouTube Recording on NEPA and Competitive Grants



# QUESTIONS?



# Contact Us

Federal Railroad Administration 1200 New Jersey Avenue, SE Washington, DC 20590



U.S. Department of Transportation Federal Railroad Administration

#### FRA Railroad Policy and Development Team

**Douglas Gascon - Chief** Douglas.Gascon@dot.gov

**Deborah Kobrin** Deborah.Kobrin@dot.gov

Sergio Coronado Sergio.Coronado@dot.gov 617-571-1213

**Elena Gonzalez** Elena.Gonzalez@dot.gov

Mariam Ouhamou mariam.ouhamou@dot.gov

> Natalie Williford natalie.williford@dot.gov