

Best Practices – Applicant and Project Eligibility

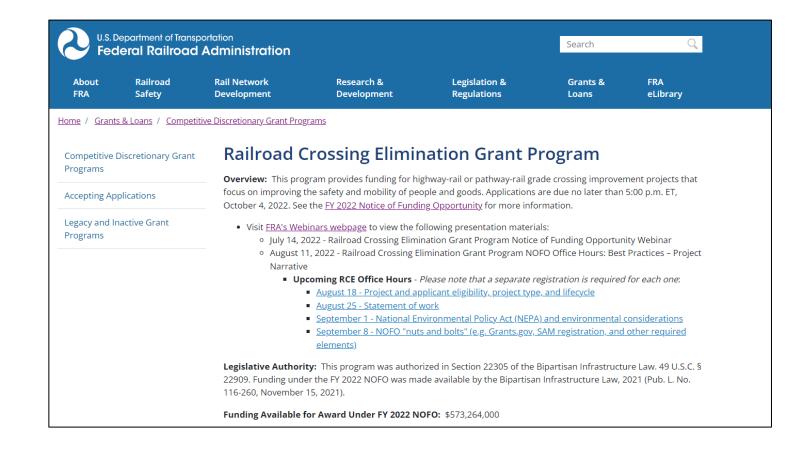
Doug Gascon, Division Chief Program and Policy Development Division



Upcoming Office Hours

Every following Thursday at 2pm!

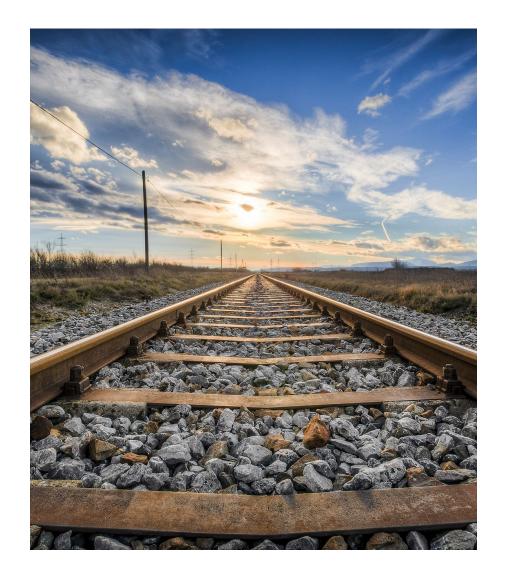
- August 25 Statement of work
- September 1 National
 Environmental Policy Act (NEPA)
 and environmental
 considerations
- September 8 NOFO "nuts and bolts"
 (e.g. Grants.gov, SAM registration, and other required elements)





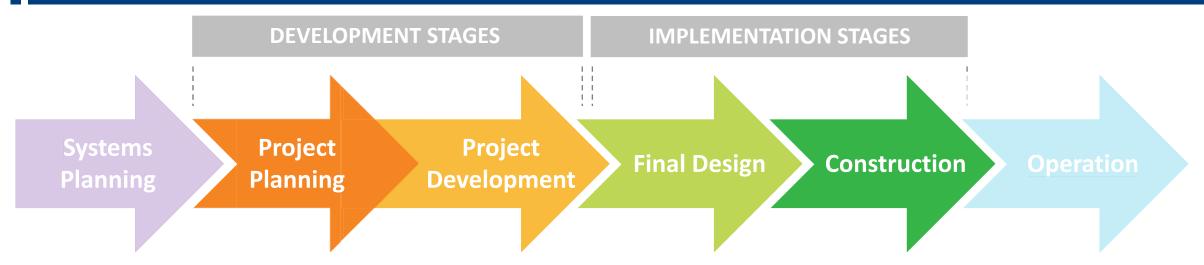
Agenda

- 1. Overview of the Project Lifecycle Stages
- 2. Applicant Eligibility
- 3. Project Eligibility
- 4. Questions





Project Lifecycle Stages



- Multi-state Regional Planning
- Corridor Planning
- Project Identification
- Purpose & Need
- Project Planning
- Conceptual Engineering
- Consideration of alternatives
- Stakeholder Engagement

- NEPA
- PE
- Cost Estimate
- Budget and Schedule
- Project Management Plan & Financial Plan
- Procurement Strategy
- Stakeholder Agreements

- Final Engineering
- Final Budget and Schedule
- Procurement Process
- Project Agreements
- Refined Project
 Management Plan &

 Financial Plan
- Right-of-Way Acquisition
- Early work and Relocations

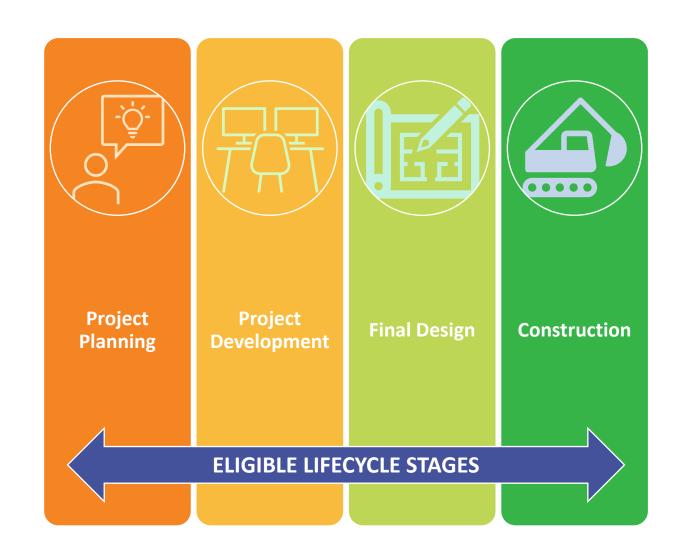
- Capital Construction
- Equipment Acquisition
- Utility Construction
- Financing Costs
- Construction Oversight
- Testing and Commissioning

- Project use
- Project Benefits Assessments
- Project Lookback Analysis



Lifecycle Stages and a NOFO

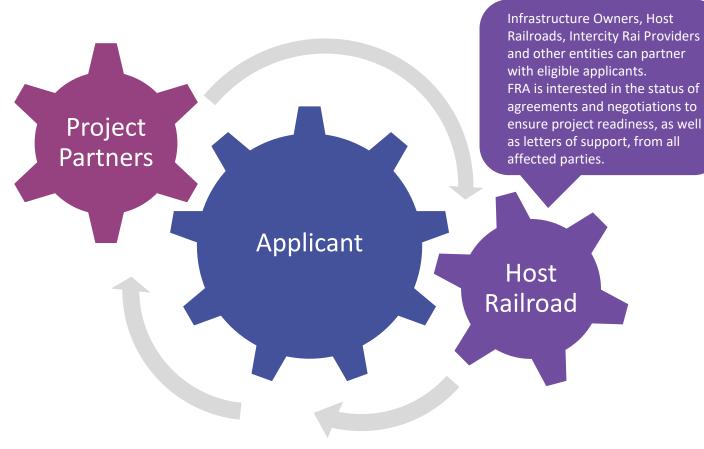
- Applicants need to determine:
 - What lifecycle stage their project is currently in.
 - What lifecycle stage(s) their project needs funding for.
- Applicants need to consider:
 - How to make an appropriate justification in their narratives regarding current project stage and requested funding stage.
 - What supporting documentation is required to demonstrate appropriate lifecycle stage?





Eligible Applicants

- A. A State, including the District of Columbia, Puerto Rico, and other United States territories and possessions
- B. A political subdivision of a State
- C. Federally recognized Indian Tribe.
- D. A unit of local government or a group of local governments.
- E. A public port authority.
- F. A metropolitan planning organization.
- G. A group of entities described in (A) through (F).





Other funding opportunities are available with different eligibility criteria

How do I prove my eligibility?

- Provide a justification in your narrative with appropriate documentation, such as:
 - Enabling legislation and organizational structure;
 - For political subdivisions and units of local government, explain how applicant exercises independent governmental authority (e.g., power of eminent domain, taxation, etc); and
 - Legal explanation for applicant eligibility.
 - Any other relevant information (e.g., tax status)





Project Eligibility

- Grade separation or closure, including through the use of a bridge, embankment, tunnel, or combination thereof;
- Track relocation;
- 3. The improvement or installation of protective devices, signals, signs, or other measures the improve safety, provided that such activities are related to a separation or relocation project described in paragraph (1) or (2)
- Other means to improve the safety and mobility of people and goods at highway-rail grade crossings (including technological solutions);
- A group of related projects described in paragraphs
 (1) through (4) that would collectively improve the mobility of people and goods
- The planning, environmental review, and design of an eligible project described in paragraphs (1) through (5).



Covers improvements to existing highway-rail crossings



Remember your Lifecycle Stage!



Project Eligibility - Continued



Highway-Rail Grade Crossing Safety Information and Education Programs



Rail ROW Acquisition is an eligible project category



Improvements cannot be made to existing grade separations



Project and Applicant Eligibility Reminders

- Things to consider:
 - How does the applicant and project meet eligibility criteria.
 - How to make a justification in the narrative.
 - Are all components of the project eligible for funding?
 - How to include all aspects of the project in their justification
 - Supporting Documentation?
 - Citations and Hyperlinks
 - Partnering with an eligible applicant
- Ineligible Projects and Applicants will not be considered for funding and their applications will not be reviewed.

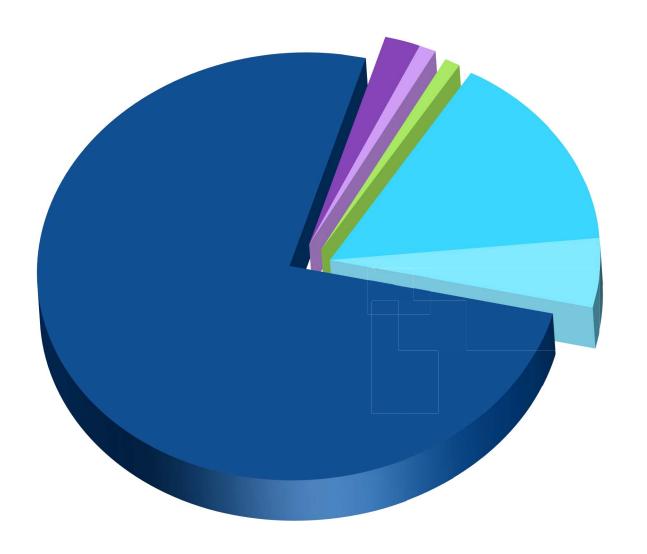


Applicants are expected to provide supporting justification and documentation that addresses project and applicant eligibility requirements. FRA will make final determinations on eligibility upon review of entire application package.





Additional Considerations



Planning

- Not less than 3% of total funding (\$18,000,000) is for planning projects.
 - Not less than 25% of <u>these</u> funds (\$4,500,000) is for planning projects in rural areas or on Tribal lands.

Crossing Safety Information and Education Program

 At least \$1,500,000 will be made available for highway-rail grade crossing safety information and education programs

Rural and Tribal Set Aside

- Not less than 20% of total funding (\$114,652,800) is reserved for projects located in rural areas or on Tribal lands.
 - Not less than 5% of <u>these</u> funds (\$5,732,640) are reserved for projects in counties with 20 or fewer residents per square mile.

Grant Conditions

No grant awarded shall be smaller than \$1M, except for planning grants.

State Limitation

• No more than 20% of total funding (\$114,652,800) in any fiscal year may be selected for projects in a single State.



Additional Considerations

Project Considerations

- \$1,000,000 application minimum for nonplanning applications
- 20% Non-Federal Match Requirement
- \$114,652,800 maximum per State

Applicant Considerations

 No Joint Applicant process for RCE – but project partners welcome!

Selection Preferences

- Elimination of Grade Crossings via Grade Separation or Closure
- Corridor Wide Improvements
- DOT Objectives

Keep state limit in mind across all considerations





Contact Us

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