Attachment 2

RESTORATION AND ENHANCEMENT GRANT PROGRAM PROJECT-SPECIFIC TERMS AND CONDITIONS



Project-Specific Terms and Conditions Table of Contents

ARTICLE	1: PROJECT-SPECIFIC DESIGNATIONS	4
1.1	Recipient	4
1.2	Project and Purpose	4
1.3	Program Designations	4
ARTICLE	2: SPECIAL TERMS AND CONDITIONS	4
2.1	Additional Defined Terms	4
2.2	Supporting Cost Detail	5
ARTICLE	3: ADMINISTRATIVE INFORMATION	5
3.1	Application	5
3.2	FRA Awarding Official	5
3.3	Federal Award Date	5
3.4	Program Name and Assistance Listings Number	5
3.5	Recipient's Unique Entity Identifier	5
3.6	Federal Award Identification Number	5
ARTICLE	4: STATEMENT OF WORK	6
4.1	General Project Description	6
4.2	Project Location	6
4.3	Project Scope	6
4.4	Implement Required Environmental Commitments	9
ARTICLE	5: AWARD DATES AND ESTIMATED PROJECT SCHEDULE	9
5.1	Award Dates	9
5.2	Estimated Project Schedule	9
ARTICLE	6: AWARD AND PROJECT FINANCIAL INFORMATION	10
6.1	Award Amount	10
6.2	Federal Obligation Information	10
6.3	Federal Authorization and Funding Source.	10
6.4	Funding Availability	10
6.5	Approved Project Budget	10
6.6	Pre-Award Costs	12



6.7	Phased Funding Agreement	. 12
ARTICLE :	7: PERFORMANCE MEASUREMENT INFORMATION	.13
ARTICLE 8	8: ENVIRONMENTAL COMPLIANCE	. 13
ARTICLE S	9: CLIMATE CHANGE AND ENVIRONMENTAL JUSTICE IMPACTS	. 15
9.1	Consideration of Climate Change and Environmental Justice Impacts	. 15
9.2	Supporting Narrative	.16
ARTICLE :	10: RACIAL EQUITY AND BARRIERS TO OPPORTUNITY	. 16
10.1	Efforts to Improve Racial Equity and Reduce Barriers to Opportunity	. 16
10.2	Supporting Narrative	. 17
ARTICLE :	11: LABOR AND WORK	. 17
11.1	Efforts to Support Good-Paying Jobs and Strong Labor Standards	. 17
11.2	Supporting Narrative	.19



ARTICLE 1: PROJECT-SPECIFIC DESIGNATIONS

1.1 Recipient

This Agreement (Agreement) is between the Federal Railroad Administration (FRA) and the [insert full SAM name of Recipient] (the Recipient).

1.2 Project and Purpose

The purpose of this award is to fund a Restoration and Enhancement (R&E) grant for the [insert project name] (the Project), as described in Article 4 of this Attachment 2, to help achieve the goals identified in the Notice of Funding Opportunity for [include title and citation for NOFO] that solicited applications for Federal financial assistance. FRA and the Recipient will accomplish that purpose by timely completing the Project and ensuring that this award does not substitute for non-Federal investment in the Project, except as proposed in the Application.

1.3 Program Designations

- (a) Research and Development. This award is not for research and development.
- (b) Project Size. This award is for a non-Major Project as that term is defined in FRA Guidance on Development and Implementation of Railroad Capital Projects, January 11, 2023 (Railroad Capital Projects Guidance).
- (c) Phased Funding. This award is not a phased funding agreement as further discussed in Section 6.7 of this Attachment 2.
- (d) Grant or Cooperative Agreement. This award is made as a [Grant Agreement/Cooperative Agreement].
- (e) Security Risk. This award is for a Project that has a [low/elevated] security risk.

ARTICLE 2: SPECIAL TERMS AND CONDITIONS

2.1 Additional Defined Terms

This section defines additional terms used in this Agreement. Additional definitions provided in the Notice of Funding Opportunity identified in Article 1.2 of this Attachment 2 are incorporated by reference into this Agreement.

"Agreement Non-Federal Funds" means the portion of the Operating Costs not funded with Agreement Federal Funds. For the purposes of this Agreement, Agreement Non-Federal funds may be from Federal sources other than the R&E grant. Agreement Non-Federal Funds includes Revenue.

"Maximum contribution percentage of total cost" means the sum of the maximum percent of Net Operating Costs FRA may contribute for all Years of Service.



"Maximum percent of total Project cost" means the maximum funding limitations for each Year of Service.

"Total Project cost" means the sum of the Operating Costs for all Years of Service.

2.2 Supporting Cost Detail

In addition to the information identified in Article 13.9(a) of Attachment 1 of this Agreement, the Recipient will classify all costs by Year of Service in the detailed breakout of costs incurred when requesting reimbursement.

ARTICLE 3: ADMINISTRATIVE INFORMATION

3.1 Application

Application Title: [insert full title of submitted application]

Application Date: [insert the date in box 3 of the SF-424]

3.2 FRA Awarding Official

FRA Office of Railroad Development Federal Railroad Administration 1200 New Jersey Ave, SE Washington, DC 20590 FRA-Grants@dot.gov

3.3 Federal Award Date

The "Federal Award Date" is the effective date of this Agreement, as defined under Section 24.4 of Attachment 1 of this Agreement.

3.4 Program Name and Assistance Listings Number

For the Restoration and Enhancement grant program, the Assistance Listings Number is 20.324 and the Assistance Listings Title is "Restoration & Enhancement Grant Program".

3.5 Recipient's Unique Entity Identifier

The Recipient's Unique Entity Identifier, as defined at 2 C.F.R. § 25.415, is listed in Section 1B on the Agreement cover sheet.

3.6 Federal Award Identification Number

The Federal Award Identification Number is listed in Section 2 on the Agreement cover sheet as the "Agreement Number."



ARTICLE 4: STATEMENT OF WORK

4.1 General Project Description

<u>Instructions</u>: Provide a concise description of the Project funded under this Agreement. Section 4.1 should state the objective of the Project and the benefits that that the Project is intended to achieve. Project objectives and benefits inform—and should be consistent with—the Performance Measures in Article 7 of this Attachment 2, Performance Measurement Information.

4.2 Project Location

<u>Instructions</u>: Provide information related to the geographic scope of the Project and identify important, related intercity corridors or service. The Project location should be specific and detailed, including GPS location(s) and mileposts, where possible. Planning projects should identify the study area and provide a map identifying, at a minimum, the major markets intended to be served.

4.3 Project Scope

<u>Instructions</u>: In this Section, divide the Project scope into discrete and delineable tasks. If the Project funded under this Agreement is part of a larger effort, describe that larger effort, but link tasks specifically to the FRA-funded portion of the Project. Clearly define the work to be performed in each task and be sure tasks are linked to deliverables and incorporated into the Project Schedule. Use the instructions below to develop this Section.

The Recipient will notify FRA in writing of any requested changes in Project Scope and will not proceed with the changed scope unless approved by FRA in writing. If approved, changes to Project Scope may require additional environmental review or an amendment to this Agreement.

Task 1: Project Administration and Management

Subtask 1.1: Project Administration

<u>Instructions</u>: Identify all Project partners and other entities responsible for implementing the Project. Identify all actions the Recipient will perform to ensure the effective management and oversight of the Project.

The Recipient will perform all tasks required for the Project through a coordinated process, which will involve affected railroad owners, operators, and funding partners, including:

- [list parties other than the Recipient and identify role]
- FRA

The Recipient will facilitate the coordination of all activities necessary for implementation of the Project. The Recipient will:

 If not held prior to award, include: participate in a Project kickoff meeting with FRA following award;



- complete necessary steps to hire a qualified consultant/contractor to perform required Project work, as necessary;
- hold regularly scheduled Project meetings with FRA;
- inspect and approve work as it is completed; and
- participate in other coordination, as needed.

Subtask 1.2: Project Management Plan

The Recipient will prepare a Project Management Plan (PMP), that describes how the Project will be implemented and monitored to ensure effective, efficient, and safe delivery of the Project on time and within budget. The PMP will describe, in detail, the activities and steps necessary to complete the tasks outlined in this Statement of Work.

The PMP will include a Project Schedule and Project Budget for the work to be performed under this Agreement. The Project Schedule will be consistent with the Estimated Project Schedule in Section 5.2 of this Attachment 2, but provide a greater level of detail. Similarly, the Project Budget should be consistent with the Approved Project Budget in Section 6.5 of this Attachment 2, but provide a greater level of detail.

The Recipient will submit the PMP to FRA for review and approval. The Recipient will implement the Project as described in the approved PMP. The Recipient will not begin work on subsequent tasks until FRA has provided written approval of the PMP, unless FRA has provided pre-award authority for such work under Section 6.6 of this Attachment 2. FRA will not reimburse the Recipient for costs incurred in contravention of this requirement.

FRA may require the Recipient to update the PMP. The Recipient will submit any such updates to FRA for review and approval, and FRA will determine if updates to the PMP require an amendment to this Agreement. The Project Budget and Project Schedule may be revised consistent with Article 5 of Attachment 1 of this Agreement without amending this Agreement.

<u>Instructions</u>: For all projects, include the following:

Subtask 1.3: Project Closeout

The Recipient will submit a Final Performance Report as required by Section 7.2 of Attachment 1 of this Agreement, which should describe the cumulative activities of the Project, including a complete description of the Recipient's achievements with respect to the Project objectives and milestones.

Task 1 Deliverables:

Deliverable ID	Subtask	Deliverable Name
1.1	1.2	Project Management Plan

|--|

Task 2: [Task Title]

<u>Instructions</u>: Provide a description of the task and the deliverables that will be completed under the task.

Task 2 Deliverables:

<u>Instructions</u>: Identify required deliverables to be completed under this task.

Deliverable ID [Subtask]		Deliverable Name
2.1	[insert relevant subtask]	[insert deliverable name]
[2.2]	[insert relevant subtask]	[insert deliverable name]

Task 3: [Task Title]

<u>Instructions</u>: Provide a description of the task and the deliverables that will be completed under the task.

Task 3 Deliverables:

<u>Instructions</u>: Identify required deliverables to be completed under this task.

Deliverable ID [Subtask]		Deliverable Name
3.1	[insert relevant subtask]	[insert deliverable name]
[3.2]	[insert relevant subtask]	[insert deliverable name]

Additiona	l Task:
------------------	---------

None.



4.4 Implement Required Environmental Commitments

The Recipient will implement the Project consistent with the documents and environmental commitments identified below.

<u>Instructions</u>: To be completed in coordination with an FRA environmental protection specialist. If there are environmental commitments in the National Environmental Policy Act (NEPA) decision document, Section 106 Programmatic Agreement (PA), or Memorandum of Agreement (MOA), or in other documents or correspondence associated with the environmental review for the Project, include and complete Table 4-A.

Table 4-A: Environmental Commitments

Document Type	Commitment Reference	Document Date
[Categorical Exclusion, Finding of No Significant Impact, Record of Decision]	[insert reference to section(s) of decision where commitment(s) are identified]	[insert date of decision]
[insert title of MOA, PA, or other document or correspondence that contains environmental commitment(s)]	[insert reference to section(s) of document where commitment(s) are identified]	[insert date of MOA/correspondence]

<u>Instructions</u>: If there are no environmental commitments for the Project, include the following: None.

ARTICLE 5: AWARD DATES AND ESTIMATED PROJECT SCHEDULE

5.1 Award Dates

Budget Period End Date: [This is the same as the date in Section 5 on the Agreement cover sheet. For R&E grants, this date cannot be later than the last day of the last Year of Service. The R&E grant may not exceed six Years of Service.]

Period of Performance End Date: [This is the same as the end date in Section 4 of the Agreement cover sheet. For R&E grants, this date cannot be later than the last day of the last Year of Service. The R&E grant may not exceed six Years of Service.]

5.2 Estimated Project Schedule

Milestones associated with this Agreement are identified in Table 5-A: Estimated Project Schedule. The Recipient will complete these milestones to FRA's satisfaction by the Schedule Date, subject to Article 5 of Attachment 1 of this Agreement. The Recipient will notify FRA in writing when it believes it has achieved the milestone.



<u>Note</u>: The Estimated Project Schedule should identify significant milestones in Project advancement, such as completion of tasks. It should not include incremental steps toward meeting those milestones, which should instead be included in the detailed schedule provided as part of the PMP. Section 5.3 of Attachment 1 of this Agreement provides that the Recipient will request an amendment to update the Estimated Project Schedule if the Recipient's estimate for a milestone change to a date that is more than six months after the date listed.

<u>Instructions</u>: Illustrative milestones are identified in the below table. Provide additional or alternative milestones as appropriate for the Project.

Table 5-A: Estimated Project Schedule

Milestone	Schedule Date
[Start of first Year of Service]	[Insert Date]
[Start of Service Operations] if different from start of first Year of Service	[Insert Date]
[End of final Year of Service]	[Insert Date]

ARTICLE 6: AWARD AND PROJECT FINANCIAL INFORMATION

6.1 Award Amount

Agreement Federal Funds: \$[Insert the total amount of Federal funds obligated under this Agreement.]

6.2 Federal Obligation Information

Federal Obligation Type: Single

6.3 Federal Authorization and Funding Source.

Authorizing Statute: 49 U.S.C. § 22908

Appropriation: [insert appropriation including fiscal year]

6.4 Funding Availability

Program funding that is obligated under this Agreement remains available until [insert the date that is the last day of the sixth Year of Service].

6.5 Approved Project Budget

The estimated total Project cost under this Agreement is \$[insert amount of total Project cost estimate].

Consistent with the maximum funding limitations in 49 U.S.C. § 22908(e), FRA will contribute a maximum of 90 percent of the Net Operating Costs for the first Year of Service, 80 percent of the Net Operating Costs for the second Year of Service, 70 percent of the Net Operating Costs for the third Year

of Service, 60 percent of the Net Operating Costs for the fourth Year of Service, 50 percent of the Net Operating Costs for the fifth Year of Service, 30 percent of the Net Operating Costs for the sixth Year of Service. The total FRA contribution amount will not exceed the Agreement Federal Funds in Section 6.1 of this Attachment 2. FRA will fund the Project at the lesser amount of the Agreement Federal Funds or the sum of the maximum percent of Net Operating Costs FRA may contribute for all Years of Service.

The Recipient is responsible for funding the Agreement Non-Federal Funds, which are estimated to be \$[insert amount]. The Agreement Non-Federal Funds are comprised of [insert sources and values of Agreement Non-Federal Funds, including the estimated amount of Revenue].

The Recipient will complete the Project to FRA's satisfaction within the Approved Project Budget, subject to Article 5 of Attachment 1 of this Agreement.

<u>Instructions</u>: Complete Tables 6-A and 6-B to identify the Approved Project Budget by Year of Service and by Source. Remove any rows or columns that are not applicable or note in the table that those rows/columns are not applicable. Tables may need to be modified or expanded for some projects. In Table 6-B, identify all non-R&E grant sources of funding, including Revenue, as "Agreement Non-Federal Funds." For the purposes of this Agreement, Agreement Non-Federal funds may be from Federal sources other than the R&E grant.

Table 6-A - Approved Project Budget by Year of Service

	Year of					
	Service 1	Service 2	Service 3	Service 4	Service 5	Service 6
Operating Costs						
	4					
[Task 1 subtotal]	\$	\$	\$	\$	\$	\$
[Task 2 subtotal]	\$	\$	\$	\$	\$	\$
Operating Costs						
(all Tasks)	\$	\$	\$	\$	\$	\$
					Total D	roject cost: \$
					Total Pi	Ject cost. 5
Revenue	(\$)	(\$)	(\$)	(\$)	(\$)	(\$)
Net Operating						
Costs	\$	\$	\$	\$	\$	\$
Agreement						
Federal Funds:						
Percent of Net	90%	80%	70%	60%	50%	30%
Operating Costs						
Agreement	\$ [90% net	\$ [80% net	\$ [70% net	\$ [60% net	\$ [50% net	\$ [30% net
Federal Funds:	operating	operating	operating	operating	operating	operating
Amounts	cost]	cost]	cost]	cost]	cost]	cost]

Table 6-B: Approved Project Budget by Source

Funding Source per Year of Service	Year of Service 1	Year of Service 2	Year of Service 3	Year of Service 4	Year of Service 5	Year of Service 6	Total
Agreement Federal Funds	\$	\$	\$	\$	\$	\$	\$
Agreement Non-Federal Funds	\$	\$	\$	\$	\$	\$	\$
Revenue	\$	\$	\$	\$	\$	\$	\$
[Source 2]	\$	\$	\$	\$	\$	\$	\$
Operating Costs	\$	\$	\$	\$	\$	\$	Total Project Cost: \$

6.6 Pre-Award Costs

Instructions: If the Recipient has not incurred advance or pre-award costs, include the following:

None. Consistent with 2 C.F.R. part 200, costs incurred before the date of this Agreement are not allowable costs under this award. FRA will neither reimburse those costs under this award nor consider them as a non-Federal cost-sharing contribution to this award.

<u>Instructions</u>: If the Recipient has incurred advance or pre-award costs for the Project consistent with 2 C.F.R. part 200, include and complete the following:

On [insert date], FRA provided pre-award authority for cost incurrence for the following costs for the Project in response to Recipient's request:

Task #	Task Name	Agreement Federal Funds	Agreement Non-Federal Funds	Total Cost
1		\$	\$	\$
	Total	\$	\$	\$

The above pre-award costs were necessary for efficient and timely performance of the scope of work and were incurred directly pursuant to the negotiation and in anticipation of this Agreement.

6.7 Phased Funding Agreement

Not applicable.



ARTICLE 7: PERFORMANCE MEASUREMENT INFORMATION

Table 7-A: Performance Measurement Table identifies the performance measures that this Project is expected to achieve. These performance measures will enable FRA to assess the Recipient's progress in achieving grant program goals and objectives. The Recipient will report on these performance measures in accordance with the frequency and duration specified in Table 7-A.

Upon Project completion, the Recipient will submit reports comparing the actual Project performance of the new and or improved asset(s) against the pre-Project (baseline) performance and expected post-Project performance as described in Table 7-A. The Recipient will submit the performance measures report to the Project Manager in accordance with Table 7-A.

Table 7-A: Performance Measurement Table

Goal	Objective	Performance Measure	Description of Measure	Measurement	Reporting
Goal 1				Pre-Project (Baseline) Performance as of:	Frequency:
				Expected Post-Project Performance:	Duration:
Goal 2				Pre-Project (Baseline) Performance as of:	Frequency:
				Expected Post-Project Performance:	Duration:

The Recipient will prepare a Project Outcomes Report pursuant to Section 8.3 of Attachment 1 of this Agreement.

ARTICLE 8: ENVIRONMENTAL COMPLIANCE

<u>Instructions</u>: To be completed by an FRA environmental protection specialist to document compliance with NEPA prior to obligation.

If there is <u>no ground disturbance</u> and the award is for (1) the project development stages of a project (e.g., planning, preliminary engineering, and/or NEPA); (2) research; or (3) a "full lifecycle" grant, provided the award requires notice to proceed to project implementation (e.g., final design or construction) after the completion of project development, and FRA has determined a categorical exclusion applies, include the language below to identify the applicable categorical exclusion(s). Do not



use this language for project implementation stages or for ground disturbing activity, including geotechnical studies.

In accordance with the National Environmental Policy Act (NEPA; 42 U.S.C. § 4321 et seq.), other environmental statutes, related regulatory requirements, and FRA's NEPA-implementing regulations (23 C.F.R. part 771), FRA has determined that the actions funded under this Agreement as described in this Attachment 2, Section 4.5, Tasks [XX], are categorically excluded from detailed environmental review pursuant to 23 C.F.R. § 771.116 (c) [(1), (3), or (8)]. In accordance with Section 106 of the National Historic Preservation Act (54 U.S.C. § 306108; 36 C.F.R. part 800), FRA has also determined that the actions funded under this Agreement have no potential to cause effects to historic properties. The actions do not require the use of property protected by Section 4(f) of the Department of Transportation Act (49 U.S.C. § 303; 23 C.F.R. part 774).

Categorical exclusions (CEs) are actions identified in an agency's NEPA-implementing procedures that do not normally have a significant impact on the environment and therefore do not require either an environmental assessment (EA) or environmental impact statement (EIS). See 40 C.F.R. § 1508.1(d). In analyzing the applicability of a CE, FRA also considered whether unusual circumstances are present that would warrant a more detailed environmental review through the preparation of an EA or EIS. In accordance with 23 C.F.R. § 771.116 (a) and (b), FRA has further concluded that no unusual circumstances exist with respect to development of the activities funded under this grant that might trigger the need for a more detailed environmental review.

Should conditions or the scope of the action change, the Recipient must notify FRA and receive written response and notice to proceed before proceeding. FRA will evaluate whether this determination remains applicable or if additional environmental review is necessary.

<u>Instructions</u>: If the award is for (1) project development that involves ground-disturbing activity; or (2) for project implementation (e.g., final design, construction, or acquisition), including project implementation as part of a full lifecycle grant without a notice to proceed to project implementation, FRA must complete the environmental review for the ground-disturbing activity or the final design and construction project prior to obligation, and document environmental review completion in the grant agreement using the following language:

FRA signed a [type of environmental document] for this Project on [date] [and a [type of Section 106 agreement document] on [date]]. The Recipient [is/is not] responsible for complying with environmental commitments, such as mitigation measures and/or design features, described in the [type of environmental document], as identified in Section 4.4 of this Attachment 2.

Should conditions or the scope of the action change, the Recipient must notify FRA and receive written response and notice to proceed before proceeding. FRA will evaluate whether this determination remains applicable or if additional environmental review is necessary.



ARTICLE 9: CLIMATE CHANGE AND ENVIRONMENTAL JUSTICE IMPACTS

9.1 Consideration of Climate Change and Environmental Justice Impacts

Instructions: Insert an "X" in the left column in all applicable rows of the table below. For reach row marked, follow the relevant instructions to complete the supporting narrative in Section 9.2 of this Attachment 2. FRA expects the Recipient to mark at least one row in Section 9.1, 10.1, or 11.1 of this Attachment 2. FRA will work with the Recipient prior to obligation to understand and document the how the Recipient has or will consider climate change and environmental justice impacts in developing and implementing the Project.

This Section identifies how the Project addresses climate change and environmental justice priorities. The Recipient certifies that rows marked with "X" in the following table are accurate:

The Project directly supports a Local/Regional/State Climate Action Plan that results in lower greenhouse gas emissions. (Identify the plan in the supporting narrative below.)
The Project directly supports a Local/Regional/State Equitable Development Plan that results in lower greenhouse gas emissions. (Identify the plan in the supporting narrative below.)
The Project directly supports a Local/Regional/State Energy Baseline Study that results in lower greenhouse gas emissions. (Identify the plan in the supporting narrative below.)
The Recipient or a Project partner used environmental justice tools, such as the EJSCREEN, to minimize adverse impacts of the Project on environmental justice communities. (Identify the tool(s) in the supporting narrative below.)
The Project supports a modal shift in freight or passenger movement to reduce emissions or reduce induced travel demand. (Describe that shift in the supporting narrative below.)
The Project utilizes demand management strategies to reduce congestion, induced travel demand, and greenhouse gas emissions. (Describe those strategies in the supporting narrative below.)
The Project incorporates electrification infrastructure, zero-emission vehicle infrastructure, or both. (Describe the incorporated infrastructure in the supporting narrative below.)
The Project supports the installation of electric vehicle charging stations. (Describe that support in the supporting narrative below.)
The Project promotes energy efficiency. (Describe how in the supporting narrative below.)
The Project serves the renewable energy supply chain. (Describe how in the supporting narrative below.)
The Project serves the renewable energy supply chain. (Describe how in the supporting



The Project improves disaster preparedness and resiliency. (Describe how in the supporting narrative below.)
The Project avoids adverse environmental impacts to air or water quality, wetlands, and endangered species, such as through reduction in Clean Air Act criteria pollutants and greenhouse gases, improved stormwater management, or improved habitat connectivity. (Describe how in the supporting narrative below.)
The Project repairs existing dilapidated or idle infrastructure that is currently causing environmental harm. (Describe that infrastructure in the supporting narrative below.)
The Project supports or incorporates the construction of energy- and location-efficient buildings. (Describe how in the supporting narrative below.)
The Project includes recycling of materials, use of materials known to reduce or reverse carbon emissions, or both. (Describe the materials in the supporting narrative below.)
The Project includes other actions or attributes that address climate change and environmental justice. (Describe those actions in the supporting narrative below.)
The Project does not include actions or attributes that address climate change and environmental justice but, before beginning construction of the Project, the Recipient will take relevant actions described below to address climate change and environmental justice impacts of the Project. (Identify the relevant actions in the supporting narrative below.)

9.2 Supporting Narrative

<u>Instructions</u>: Provide a brief supporting narrative as indicated in the table above.

ARTICLE 10: RACIAL EQUITY AND BARRIERS TO OPPORTUNITY

10.1 Efforts to Improve Racial Equity and Reduce Barriers to Opportunity

<u>Instructions</u>: Insert an "X" in the left column in all applicable rows of the table below. For reach row marked, follow the relevant instructions to complete the supporting narrative in Section 10.2 of this Attachment 2. FRA expects the Recipient to mark at least one row in Section 9.1, 10.1, or 11.1 of this Attachment 2. FRA will work with the Recipient prior to obligation to help the Recipient understand and document the how the Recipient has or will consider efforts to improve racial equity and reduce barriers to opportunity in developing and implementing the Project.

This Section identifies how the Project addresses efforts to improve racial equity and reduce barriers to opportunity. The Recipient certifies that rows marked with "X" in the following table are accurate:



A racial equity impact analysis has been completed for the Project. (Identify a report on that analysis or, if no report was produced, describe the analysis and its results in the supporting narrative below.)
The Recipient or a Project partner has adopted an equity and inclusion program/plan or has otherwise instituted equity-focused policies related to project procurement, material sourcing, construction, inspection, hiring, or other activities designed to ensure racial equity in the overall delivery and implementation of the Project. (Identify the relevant programs, plans, or policies in the supporting narrative below.)
The Project includes physical-barrier-mitigating land bridges, caps, lids, linear parks, and multimodal mobility investments that either redress past barriers to opportunity or that proactively create new connections and opportunities for underserved communities that are underserved by transportation. (Identify the relevant investments in the supporting narrative below.)
The Project includes new or improved walking, biking, and rolling access for individuals with disabilities, especially access that reverses the disproportional impacts of crashes on people of color and mitigates neighborhood bifurcation. (Identify the new or improved access in the supporting narrative below.)
The Project includes new or improved freight access to underserved communities to increase access to goods and job opportunities for those underserved communities. (Identify the new or improved access in the supporting narrative below.)
The Recipient has taken other actions related to the Project to improve racial equity and reduce barriers to opportunity. (Describe those actions in the supporting narrative below.)
The Recipient has not yet taken actions related to the Project to improve racial equity and reduce barriers to opportunity but, before beginning construction of the Project, the Recipient will take relevant actions described below to improve racial equity and reduce barriers to opportunity. (Identify the relevant actions in the supporting narrative below.)

10.2 Supporting Narrative

<u>Instructions</u>: Provide a brief supporting narrative as indicated in the table above.

ARTICLE 11: LABOR AND WORK

11.1 Efforts to Support Good-Paying Jobs and Strong Labor Standards

<u>Instructions</u>: Insert an "X" in the left column in all applicable rows of the table below. For each row marked, follow the relevant instructions to complete the supporting narrative in Section 11.2 of this Attachment 2. FRA expects the Recipient to mark at least one row in Section 9.1, 10.1, or 11.1 of this Attachment 2. FRA will work with the Recipient prior to obligation to help the Recipient understand and



document the how the Recipient has or will consider efforts to support good-paying jobs and strong labor standards in developing and implementing the Project.

This Section identifies the Recipient's efforts to support good-paying jobs and strong labor standards related to the Project. The Recipient certifies that rows marked with "X" in the following table are accurate:

The Recipient or a Project partner has adopted the use of project labor agreements in the overall delivery and implementation of the Project. (Identify the relevant agreements and describe the scope of activities they cover in the supporting narrative below.)
The Recipient or a Project partner has adopted the use of local and economic hiring preferences in the overall delivery and implementation of the Project, subject to all applicable State and local laws, policies, and procedures. (Describe the relevant provisions in the supporting narrative below.)
The Recipient or a Project partner has adopted the use of registered apprenticeships in the overall delivery and implementation of the Project. (Describe the use of registered apprenticeships in the supporting narrative below.)
The Recipient or a Project partner will provide training and placement programs for underrepresented workers in the overall delivery and implementation of the Project. (Describe the training programs in the supporting narrative below.)
The Recipient or a Project partner will support free and fair choice to join a union in the overall delivery and implementation of the Project by investing in workforce development services offered by labor-management training partnerships or setting expectations for contractors to develop labor-management training programs. (Describe the workforce development services offered by labor-management training partnerships in the supporting narrative below.)
The Recipient or a Project partner will provide supportive services and cash assistance to address systemic barriers to employment to be able to participate and thrive in training and employment, including childcare, emergency cash assistance for items such as tools, work clothing, application fees and other costs of apprenticeship or required pre-employment training, transportation and travel to training and work sites, and services aimed at helping to retain underrepresented groups like mentoring, support groups, and peer networking. (Describe the supportive services and/or cash assistance provided to trainees and employees in the supporting narrative below.)
The Recipient or a Project partner has documented agreements or ordinances in place to hire from certain workforce programs that serve underrepresented groups. (Identify the relevant agreements and describe the scope of activities they cover in the supporting narrative below.)

The Recipient or a Project partner participates in a State/Regional/Local comprehensive plan to promote equal opportunity, including removing barriers to hiring and preventing harassment on work sites, and that plan demonstrates action to create an inclusive environment with a commitment to equal opportunity, including:

a. affirmative efforts to remove barriers to equal employment opportunity above and beyond complying with Federal law;

b. proactive partnerships with the U.S. Department of Labor's Office of Federal Contract Compliance Programs to promote compliance with EO 11246 Equal Employment Opportunity requirements;

c. no discriminatory use of criminal background screens and affirmative steps to recruit and include those with former justice involvement, in accordance with the Fair Chance Act and equal opportunity requirements;

d. efforts to prevent harassment based on race, color, religion, sex, sexual orientation, gender identity, and national origin;

- e. training on anti-harassment and third-party reporting procedures covering employees and contractors; and
- f. maintaining robust anti-retaliation measures covering employees and contractors.

(Describe the equal opportunity plan in the supporting narrative below.)

The Recipient has taken other actions related to the Project to create good-paying jobs with the free and fair choice to join a union and incorporate strong labor standards. (Describe those actions in the supporting narrative below.)

The Recipient has not yet taken actions related to the Project to create good-paying jobs with the free and fair choice to join a union and incorporate strong labor standards but, before beginning construction of the Project, will take the relevant actions described below. (Identify the relevant actions in the supporting narrative below.)

11.2 Supporting Narrative

Instructions: Provide a brief supporting narrative as indicated in the table above.

###