

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General:						
213.4(a)	213.4(a) Excepted track ²	2,500	5,000	5,000	10,000	5,200	10,300
213.4(b)	213.4(b) Excepted track ²	2,500	5,000	5,000	10,000	5,100	10,300
213.4(c)	213.4(c) Excepted track ²	2,500	5,000	5,000	10,000	5,200	10,300
213.4(d)	213.4(d) Excepted track ²	2,500	5,000	5,000	10,000	5,200	10,300
	213.4(e):						
213.4(e)(1)	(1) Excepted track	5,000	7,500	10,000	15,000	10,300	15,500
213.4(e)(2)	(2) Excepted track	7,000	10,000	14,000	20,000	14,500	20,600
213.4(e)(3)	(3) Excepted track	7,000	10,000	14,000	20,000	14,500	20,600
213.4(e)(4)	(4) Excepted track	5,000	7,500	10,000	15,000	10,300	15,500
213.4(f)	213.4(f) Excepted track	2,000	4,000	4,000	8,000	4,100	8,300
213.7	213.7 Designation of qualified persons to supervise certain renewals and inspect track	1,000	2,000	2,000	4,000	2,100	4,100
213.9	213.9 Classes of track: Operating speed limits	2,500	2,500	5,000	5,000	5,200	5,200
213.11	213.11 Restoration or renewal of track under traffic conditions	2,500	2,500	5,000	5,000	5,200	5,200
213.13	213.13 Measuring track not under load	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart B—Roadbed:						
213.33	213.33 Drainage	2,500	5,000	5,000	10,000	5,200	10,300
213.37	213.37 Vegetation	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Track Geometry:						
213.53	213.53 Gage	5,000	7,500	10,000	15,000	10,300	15,500
213.55	213.55 Track alinement	5,000	7,500	10,000	15,000	10,300	15,500
213.57	213.57 Curves; elevation and speed limitations	2,500	5,000	5,000	10,000	5,200	10,300
213.59	213.59 Elevation of curved track; runoff	2,500	2,500	5,000	5,000	5,200	5,200
213.63	213.63 Track surface	5,000	7,500	10,000	15,000	10,300	15,500
213.65	213.65 Combined track alinement and surface deviations	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart D—Track structure:						
213.103	213.103 Ballast; general	2,500	5,000	5,000	10,000	5,200	10,300
213.109	213.109 Crossties						
213.109(a)	(a) Material used	1,000	2,000	2,000	4,000	2,100	4,100
213.109(b)	(b) Distribution of ties	2,500	5,000	5,000	10,000	5,200	10,300
213.109(c)	(c) and (d) Sufficient number of non-defective ties	1,000	2,000	2,000	4,000	2,100	4,100
213.109(d)		1,000	2,000	2,000	4,000	2,100	4,100
213.109(e)	(e) Joint ties	2,500	5,000	5,000	10,000	5,200	10,300
213.109(f)	(f) Track constructed without crossties	2,500	5,000	5,000	10,000	5,200	10,300
213.110	213.110 Gage restraint measurement systems	5,000	7,500	10,000	15,000	10,300	15,500
213.113	213.113 Defective rails	5,000	7,500	10,000	15,000	10,300	15,500
213.115	213.115 Rail end mismatch	2,500	5,000	5,000	10,000	5,200	10,300
213.118	213.118 Continuous welded rail plan (a) through (e)	5,000	7,500	10,000	15,000	10,300	15,500
213.119	213.119 Continuous welded rail plan contents (a) through (k)	5,000	7,500	10,000	15,000	10,300	15,500
213.121(a)	213.121 (a) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.121(b)	213.121 (b) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300

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213.121(c)	213.121 (c) Rail joints	5,000	7,500	10,000	15,000	10,300	15,500
213.121(d)	213.121 (d) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.121(e)	213.121 (e) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.121(f)	213.121 (f) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.121(g)	213.121 (g) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.121(h)	213.121 (h) Rail joints	5,000	7,500	10,000	15,000	10,300	15,500
213.122	213.122 Torch cut rail	2,500	5,000	5,000	10,000	5,200	10,300
213.123	213.123 Tie plates	1,000	2,000	2,000	4,000	2,100	4,100
213.127	213.127 Rail Fastening Systems	2,500	5,000	5,000	10,000	5,200	10,300
213.133	213.133 Turnouts and track crossings, generally	1,000	1,000	2,000	2,000	2,100	2,100
213.135	213.135 Switches:						
213.135(a)	(a) through (g)	2,500	5,000	5,000	10,000	5,200	10,300
213.135(b)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(c)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(d)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(e)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(f)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(g)		2,500	5,000	5,000	10,000	5,200	10,300
213.135(h)	(h) chipped or worn points	5,000	7,500	10,000	15,000	10,300	15,500
213.137	213.137 Frogs	2,500	5,000	5,000	10,000	5,200	10,300
213.139	213.139 Spring rail frogs	2,500	5,000	5,000	10,000	5,200	10,300
213.141	213.141 Self-guarded frogs	2,500	5,000	5,000	10,000	5,200	10,300
213.143	213.143 Frog guard rails and guard faces; gage	2,500	5,000	5,000	10,000	5,200	10,300
Subpart	Subpart E—Track appliances and track-related devices:						
213.205	213.205 Derails	2,500	5,000	5,000	10,000	5,200	10,300
Subpart	Subpart F—Inspection:						
213.233	213.233 Track inspections	2,000	4,000	4,000	8,000	4,100	8,300
213.234	213.234 Automated inspection of track constructed with concrete crossties	5,000	7,500	10,000	15,000	10,300	15,500
213.235	213.235 Switches, crossings, transition devices	2,000	4,000	4,000	8,000	4,100	8,300
213.237	213.237 Inspection of rail	2,500	5,000	5,000	10,000	5,200	10,300
213.238	213.238 Qualified operator	2,500	5,000	5,000	10,000	5,200	10,300
213.239	213.239 Special inspections	2,500	5,000	5,000	10,000	5,200	10,300
213.240	213.240 Continuous rail testing						
213.240(b)	(b) Adopt necessary procedures	5,000	7,500	10,000	15,000	10,300	15,500
213.240(c)	(c) Record type of test and change in test	2,500	5,000	5,000	10,000	5,200	10,300
213.240(d)	(d) Qualifications	2,500	5,000	5,000	10,000	5,200	10,300
213.240(e)	(e) Field verification	5,000	7,500	10,000	15,000	10,300	15,500
213.240(f)	(f) Repeatable accuracy	5,000	7,500	10,000	15,000	10,300	15,500
213.240(g)	(g) Annual report	2,500	5,000	5,000	10,000	5,200	10,300
213.241	213.241 Inspection records	1,000	1,000	2,000	2,000	2,100	2,100
Subpart	Subpart G—Train operations at track classes 6 and higher:						
213.305	213.305 Designation of qualified individuals; general qualifications	1,000	2,000	2,000	4,000	2,100	4,100

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213.307	213.307 Classes of track: operating speed limits	2,500	5,000	5,000	10,000	5,200	10,300
213.309	213.309 Restoration or renewal of track under traffic conditions	2,500	5,000	5,000	10,000	5,200	10,300
213.311	213.311 Measuring track not under load	1,000	2,000	2,000	4,000	2,100	4,100
213.319	213.319 Drainage	2,500	5,000	5,000	10,000	5,200	10,300
213.321	213.321 Vegetation	1,000	2,000	2,000	4,000	2,100	4,100
213.323	213.323 Track gage	5,000	7,500	10,000	15,000	10,300	15,500
213.327	213.327 Track alinement	5,000	7,500	10,000	15,000	10,300	15,500
213.329	213.329 Curves; elevation and speed limits	2,500	5,000	5,000	10,000	5,200	10,300
213.331	213.331 Track surface	5,000	7,500	10,000	15,000	10,300	15,500
213.332	213.332 Combined track alinement and surface deviations	5,000	7,500	10,000	15,000	10,300	15,500
213.333	213.333 Automated vehicle-based inspection systems	5,000	7,500	10,000	15,000	10,300	15,500
213.335	213.335 Crossties						
213.335(a)	(a) Material used	1,000	2,000	2,000	4,000	2,100	4,100
213.335(b)	(b) Distribution of ties	2,500	5,000	5,000	10,000	5,200	10,300
213.335(c)	(c) Sufficient number of nondefective ties, non-concrete	1,000	2,000	2,000	4,000	2,100	4,100
213.335(d)	(d) Sufficient number of nondefective concrete ties	1,000	2,000	2,000	4,000	2,100	4,100
213.335(e)	(e) Joint ties	2,500	5,000	5,000	10,000	5,200	10,300
213.335(f)	(f) Track constructed without crossties	2,500	5,000	5,000	10,000	5,200	10,300
213.335(g)	(g) Non-defective ties surrounding defective ties	2,500	5,000	5,000	10,000	5,200	10,300
213.335(h)	(h) Tie plates	2,500	5,000	5,000	10,000	5,200	10,300
213.335(i)	(i) Tie plates	1,000	2,000	2,000	4,000	2,100	4,100
213.337	213.337 Defective rails	5,000	7,500	10,000	15,000	10,300	15,500
213.339	213.339 Inspection of rail in service	2,500	5,000	5,000	10,000	5,200	10,300
213.341	213.341 Inspection of new rail	2,500	5,000	5,000	10,000	5,200	10,300
213.343	213.343 Continuous welded rail (a) through (h)	5,000	7,500	10,000	15,000	10,300	15,500
213.345	213.345 Vehicle/track system qualification:						
213.345(a)	(a) through (d)	5,000	7,500	10,000	15,000	10,300	15,500
213.345(b)		5,000	7,500	10,000	15,000	10,300	15,500
213.345(c)		5,000	7,500	10,000	15,000	10,300	15,500
213.345(d)		5,000	7,500	10,000	15,000	10,300	15,500
213.345(e)	(e) through (i)	2,500	5,000	5,000	10,000	5,200	10,300
213.345(f)		2,500	5,000	5,000	10,000	5,200	10,300
213.345(g)		2,500	5,000	5,000	10,000	5,200	10,300
213.345(h)		2,500	5,000	5,000	10,000	5,200	10,300
213.345(i)		2,500	5,000	5,000	10,000	5,200	10,300
213.347	213.347 Automotive or railroad crossings at grade	5,000	7,500	10,000	15,000	10,300	15,500
213.349	213.349 Rail end mismatch	2,500	5,000	5,000	10,000	5,200	10,300
213.351(a)	213.351 (a) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.351(b)	213.351 (b) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.351(c)	213.351 (c) Rail joints	5,000	7,500	10,000	15,000	10,300	15,500
213.351(d)	213.351 (d) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.351(e)	213.351 (e) Rail joints	2,500	5,000	5,000	10,000	5,200	10,300
213.351(f)	213.351 (f) Rail joints	5,000	7,500	10,000	15,000	10,300	15,500

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213.351(g)	213.351 (g) Rail joints	5,000	7,500	10,000	15,000	10,300	15,500
213.352	213. 352 Torch cut rails	2,500	5,000	5,000	10,000	5,200	10,300
213.353	213.353 Turnouts, crossovers, transition devices	1,000	2,000	2,000	4,000	2,100	4,100
213.355	213.355 Frog guard rails and guard faces; gage	2,500	5,000	5,000	10,000	5,200	10,300
213.357	213.357 Derails	2,500	5,000	5,000	10,000	5,200	10,300
213.359	213.359 Track stiffness	5,000	7,500	10,000	15,000	10,300	15,500
213.361	213.361 Right of way	5,000	7,500	10,000	15,000	10,300	15,500
213.365	213.365 Visual inspections	2,500	5,000	5,000	10,000	5,200	10,300
213.367	213.367 Special inspections	2,500	5,000	5,000	10,000	5,200	10,300
213.369	213.369 Inspections records	2,000	4,000	4,000	8,000	4,100	8,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² In addition to assessment of penalties for each instance of noncompliance with the requirements identified by this footnote, track segments designated as excepted track that are or become ineligible for such designation by virtue of noncompliance with any of the requirements to which this footnote applies are subject to all other requirements of part 213 until such noncompliance is remedied.						
	[63 FR 34029, June 22, 1998; 63 FR 45959, Aug. 28, 1998, as amended at 70 FR 66299, Nov. 2, 2005; 73 FR 79701, Dec. 30, 2008; 74 FR 43006, Aug. 25, 2009; 77 FR 24419, Apr. 24, 2012; 78 FR 16115, Mar. 13, 2013; 79 FR 4260, Jan. 24, 2014; 81 FR 43109, July 1, 2016; 83 FR 60746, Nov. 27, 2018; 85 FR 63389, Oct. 7, 2020]						
	Part 213—Track Safety Standards-Schedule of Civil Penalties						

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	Subpart B—Bridge Worker Safety Standards						
	214.103 Fall protection:						
214.103(i)	(i) Failure to provide fall protection	5,000	10,000	10,000	20,000	10,300	20,600
214.103(ii)	(ii) Failure to use fall protection	NA	2,500	NA	5,000	NA	5,200
	214.105 Standards and practices:						
	(a) General:						
214.105(a)(1)	(1) Fall protection used for other purposes	2,500	5,000	5,000	10,000	5,200	10,300
214.105(a)(2)	(2) Failure to remove from service	2,500	5,000	5,000	10,000	5,200	10,300
214.105(a)(3)	(3) Failure to protect from deterioration	2,500	5,000	5,000	10,000	5,200	10,300
214.105(a)(4)	(4) Failure to inspect and remove	5,000	10,000	10,000	20,000	10,300	20,600
214.105(a)(5)	(5) Failure to train	5,000	10,000	10,000	20,000	10,300	20,600
214.105(a)(6)	(6) Failure to provide for prompt rescue	5,000	10,000	10,000	20,000	10,300	20,600
214.105(a)(7)	(7) Failure to prevent damage	2,500	5,000	5,000	10,000	5,200	10,300
214.105(a)(8)	(8) Failure to use proper connectors	2,500	5,000	5,000	10,000	5,200	10,300
214.105(a)(9)	(9) Failure to use proper anchorages	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Fall arrest system:						
214.105(b)(1)	(1)-(17) Failure to provide conforming equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(2)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(3)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(4)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(5)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(6)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(7)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(8)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(9)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(10)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(11)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(12)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(13)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(14)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(15)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(16)		2,500	5,000	5,000	10,000	5,200	10,300
214.105(b)(17)		2,500	5,000	5,000	10,000	5,200	10,300
	(c) Safety net systems:						
214.105(c)(1)	(1) Failure to install close to workplace	2,500	5,000	5,000	10,000	5,200	10,300
214.105(c)(2)	(2) Failure to provide fall arrest if over 30 feet	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(3)	(3) Failure to provide for unobstructed fall	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(4)	(4) Failure to test	2,500	5,000	5,000	10,000	5,200	10,300

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214.105(c)(5)	(5) Failure to use proper equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.105(c)(6)	(6) Failure to prevent contact with surface below	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(7)	(7) Failure to properly install	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(8)	(8) Failure to remove defective nets	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(9)	(9) Failure to inspect	5,000	10,000	10,000	20,000	10,300	20,600
214.105(c)(10)	(10) Failure to remove objects	1,000	2,500	2,000	5,000	2,100	5,200
214.105(c)(11)	(11)-(13) Failure to use conforming equipment	2,500	10,000	5,000	20,000	5,200	20,600
214.105(c)(12)		2,500	10,000	5,000	20,000	5,200	20,600
214.105(c)(13)		2,500	10,000	5,000	20,000	5,200	20,600
	214.107 Working over water:						
214.107(a)(i)	(a)(i) Failure to provide life vest	5,000	10,000	10,000	20,000	10,300	20,600
214.107(a)(ii)	(ii) Failure to use life vest	NA	1,500	NA	3,000	NA	3,100
214.107(c)	(c) Failure to inspect	2,500	5,000	5,000	10,000	5,200	10,300
214.107(e)(i)	(e)(i) Failure to provide ring bouys	5,000	10,000	10,000	20,000	10,300	20,600
214.107(e)(ii)	(ii) Failure to use ring bouys	NA	1,500	NA	3,000	NA	3,100
214.107(f)(i)	(f)(i) Failure to provide skiff	1,000	2,500	2,000	5,000	2,100	5,200
214.107(f)(ii)	(ii) Failure to use skiff	NA	1,500	NA	3,000	NA	3,100
214.109	214.109 Scaffolding:						
214.109(a)	(a)-(f) Failure to provide conforming equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.109(b)		2,500	5,000	5,000	10,000	5,200	10,300
214.109(c)		2,500	5,000	5,000	10,000	5,200	10,300
214.109(d)		2,500	5,000	5,000	10,000	5,200	10,300
214.109(e)		2,500	5,000	5,000	10,000	5,200	10,300
214.109(f)		2,500	5,000	5,000	10,000	5,200	10,300
214.113	214.113 Head protection:	2,500	5,000	5,000	10,000	5,200	10,300
214.113(a)(i)	(a)(i) Failure to provide	2,500	5,000	5,000	10,000	5,200	10,300
214.113(a)(ii)	(ii) Failure to use	NA	1,500	NA	3,000	NA	3,100
214.113(b)	(b) or (c) Failure to provide conforming equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.113(c)		2,500	5,000	5,000	10,000	5,200	10,300
214.115	214.115 Foot protection:						
214.115(a)(i)	(a)(i) Failure to require use of	2,500	5,000	5,000	10,000	5,200	10,300
214.115(a)(ii)	(ii) Failure to use	NA	1,500	NA	3,000	NA	3,100
214.117	214.117 Eye and face protection:						
214.117(a)(i)	(a)(i) Failure to provide	2,500	5,000	5,000	10,000	5,200	10,300
214.117(ii)	(ii) Failure to use	NA	1,500	NA	3,000	NA	3,100
214.117(b)	(b) Failure to use conforming equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.117(c)	(c) Use of defective equipment	2,500	5,000	5,000	10,000	5,200	10,300
214.117(d)	(d) Failure to provide for corrective lenses	2,500	5,000	5,000	10,000	5,200	10,300

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	Subpart C—Roadway Worker Protection Rule						
214.303	214.303 Railroad on-track safety programs, generally:						
214.303(a)	(a) Failure of a railroad to implement an On-track Safety Program	10,000	20,000	20,000	40,000	20,600	41,300
214.303(b)	(b) Failure of a railroad to include and use internal monitoring procedure	5,000	10,000	10,000	20,000	10,300	20,600
	214.305 Compliance Dates:						
214.305	Failure of a railroad to comply by the specified dates	5,000	10,000	10,000	20,000	10,300	20,600
	214.307 On-track safety programs:						
214.307(a)(i)	(a)(i) Failure to adopt On-Track Safety Program	10,000	13,000	20,000	26,000	20,600	26,800
214.307(ii)	(ii) Failure to provide On-track Safety Program to FRA upon request	1,000	5,000	2,000	10,000	2,100	10,300
214.307(b)	(b) Failure to notify FRA of adoption or change to On-Track Safety Program	1,000	5,000	2,000	10,000	2,100	10,300
214.307(c)	(c) Failure to amend or provide written response after disapproval of On-track Safety Program	10,000	20,000	20,000	40,000	20,600	41,300
	214.309 On-track safety manual:						
214.309(a)	(a) On-track Safety Manual not provided to prescribed employees	2,000	5,000	4,000	10,000	4,100	10,300
214.309(b)	(b) Failure to establish provision for lone worker to have alternative access to On-track Safety Manual	5,000	10,000	10,000	20,000	10,300	20,600
214.309(c)	(c) Failure to maintain entire set of on-track safety rules and instructions, including updates temporarily published in bulletins or notices, in one On-Track Safety Manual	2,000	5,000	4,000	10,000	4,100	10,300
	214.311 Responsibility of employers:						
214.311(b)	(b) Roadway worker required by employer to foul a track during an unresolved challenge	5,000	10,000	10,000	20,000	10,300	20,600
214.311(c)	(c) Roadway workers not provided with written procedure to resolve challenges of on-track safety procedures	5,000	10,000	10,000	20,000	10,300	20,600
	214.313 Responsibility of individual roadway workers:						
214.313(b)	(b) Roadway worker fouling a track when not necessary in the performance of duty	NA	1,000	NA	2,000	NA	2,100
214.313(c)	(c) Roadway worker fouling a track without ascertaining that provision is made for on-track safety	NA	1,500	NA	3,000	NA	3,100
214.313(d)	(d) Roadway worker failing to notify employer of determination of improper on-track safety provisions	NA	3,000	NA	6,000	NA	6,200
	214.315 Supervision and communication:						
214.315(a)(1)	(a)(1) Complete failure of employer to provide on-track safety job briefing	5,000	10,000	10,000	20,000	10,300	20,600
214.315(a)(2)	(2)-(5) Partial failure of employer to provide on-track safety job briefing	2,000	4,000	4,000	8,000	4,100	8,300

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.315(a)(3)		2,000	4,000	4,000	8,000	4,100	8,300
214.315(a)(4)		2,000	4,000	4,000	8,000	4,100	8,300
214.315(a)(5)		2,000	4,000	4,000	8,000	4,100	8,300
214.315(b)	(b) Incomplete job briefing	2,000	5,000	4,000	10,000	4,100	10,300
214.315(c)(i)	(c)(i) Failure to designate roadway worker in charge of roadway work group	2,000	5,000	4,000	10,000	4,100	10,300
214.315(c)(ii)	(ii) Designation of more than one roadway worker in charge of a roadway work group	1,000	2,000	2,000	4,000	2,100	4,100
214.315(c)(iii)	(iii) Designation of non-qualified roadway worker in charge of roadway work group	3,000	6,000	6,000	12,000	6,200	12,400
214.315(d)(i)	(d)(i) Failure to notify roadway workers of on-track safety procedures in effect	3,000	6,000	6,000	12,000	6,200	12,400
214.315(d)(ii)	(ii) Incorrect information provided to roadway workers regarding on-track safety procedures in effect	3,000	6,000	6,000	12,000	6,200	12,400
214.315(d)(iii)	(iii) Failure to notify roadway workers of change in on-track safety procedures	3,000	6,000	6,000	12,000	6,200	12,400
214.315(e)(i)	(e)(i) Failure of lone worker to communicate with designated employee for daily job briefing	NA	1,500	NA	3,000	NA	3,100
214.315(e)(ii)	(ii) Failure of employer to provide means for lone worker to receive daily job briefing	3,000	6,000	6,000	12,000	6,200	12,400
	214.317 On-track safety procedures, generally:						
214.317(a)	(a) On-track safety rules conflict with this part	5,000	10,000	10,000	20,000	10,300	20,600
214.317(b)	(b) Failure to adopt or comply with rules governing safe crossing of track	2,000	5,000	4,000	10,000	4,100	10,300
214.317(b)(3)	(3) Failure to establish on-track safety if required	2,000	5,000	4,000	10,000	4,100	10,300
214.317(c)(1)	(c)(1) Failure to adopt or comply with operating procedure if this section is utilized in lieu of establishing working limits	3,000	5,000	6,000	10,000	6,200	10,300
214.317(c)(2)	(2) Failure to grant absolute right to establish working limits if requested by RWIC or lone worker	3,000	5,000	6,000	10,000	6,200	10,300
214.317(c)(3)	(3) Except as permitted, roadway worker fouling track without on-track safety	3,000	5,000	6,000	10,000	6,200	10,300
214.317(c)(4)	(4) Roadway maintenance machine not properly equipped or utilized	3,000	5,000	6,000	10,000	6,200	10,300
214.317(d)(1)	(d)(1) Failure to inspect tunnel niche or clearing bay	3,000	5,000	6,000	10,000	6,200	10,300
214.317(d)(2)	(2) Lack of adequate sight distance	3,000	5,000	6,000	10,000	6,200	10,300
214.317(d)(3)	(3) Failure to grant absolute right to establish other place of safety or to establish working limits if requested by RWIC or lone worker	5,000	10,000	10,000	20,000	10,300	20,600
	214.318 Locomotive servicing and car shop repair track areas:						
214.318(a)	(a)-(c)	3,000	5,000	6,000	10,000	6,200	10,300

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.318(b)		3,000	5,000	6,000	10,000	6,200	10,300
214.318(c)		3,000	5,000	6,000	10,000	6,200	10,300
	214.319 Working limits, generally:						
214.319(a)(1)	(a)(1) Non-qualified RWIC of working limits	5,000	10,000	10,000	20,000	10,300	20,600
214.319(a)(2)	(a)(2) More than one RWIC of working limits on the same track segment	2,000	5,000	4,000	10,000	4,100	10,300
214.319(a)(3)(i)	(a)(3)(i) Working limits released without notifying all affected roadway workers	5,000	10,000	10,000	20,000	10,300	20,600
214.319(a)(3)(ii)	(a)(3)(ii) Working limits released before all affected roadway workers are otherwise protected	5,000	10,000	10,000	20,000	10,300	20,600
214.319(b)(1)	(b)(1) Failure to adopt redundant protections in on-track safety program	5,000	10,000	10,000	20,000	10,300	20,600
214.319(b)(2)	(b)(2) Failure to comply with redundant protections identified in on-track safety program when controlled track working limits are established	5,000	10,000	10,000	20,000	10,300	20,600
214.320	214.320 Roadway maintenance machine movements over signalized non-controlled track	5,000	7,500	10,000	15,000	10,300	15,500
	214.321 Exclusive track occupancy:						
214.321(b)	(b) Improper transmission of authority for exclusive track occupancy	2,000	5,000	4,000	10,000	4,100	10,300
214.321(b)(1)	(b)(1) Failure to repeat authority for exclusive track occupancy to issuing employee	NA	1,500	NA	3,000	NA	3,100
214.321(b)(2)	(2) Failure to retain possession of written authority for exclusive track occupancy	NA	1,000	NA	2,000	NA	2,100
214.321(b)(3)	(3) Failure to record authority for exclusive track occupancy when issued	NA	2,000	NA	4,000	NA	4,100
214.321(b)(4)(i)	(4)(i) Failure to specify unique roadway work group number, employee name, or unique identifier	3,000	5,000	6,000	10,000	6,200	10,300
214.321(b)(4)(ii)	(ii) Failure to adopt procedure requiring precise communication between RWIC or lone worker and trains or other on-track equipment	3,000	5,000	6,000	10,000	6,200	10,300
214.321(c)	(c) Limits of exclusive track occupancy not identified by proper physical features	2,000	4,000	4,000	8,000	4,100	8,300
214.321(d)(1)	(d)(1) Movement authorized into limits of exclusive track occupancy without authority of roadway worker in charge	5,000	10,000	10,000	20,000	10,300	20,600
214.321(d)(2)	(2) Movement authorized within limits of exclusive track occupancy without authority of roadway worker in charge	5,000	10,000	10,000	20,000	10,300	20,600
214.321(d)(3)	(3) Movement within limits of exclusive track occupancy exceeding restricted speed without authority of roadway worker in charge	5,000	10,000	10,000	20,000	10,300	20,600
214.321(e)(1)	(e)(1)-(4) Failure to comply with occupancy behind requirements	5,000	10,000	10,000	20,000	10,300	20,600

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.321(e)(2)		5,000	10,000	10,000	20,000	10,300	20,600
214.321(e)(3)		5,000	10,000	10,000	20,000	10,300	20,600
214.321(e)(4)		5,000	10,000	10,000	20,000	10,300	20,600
	214.322 Exclusive track occupancy, electronic display:						
214.322(a)	(a) Contents of authority electronically displayed not readily viewable	3,000	5,000	6,000	10,000	6,200	10,300
214.322(b)	(b) Failure to timely obtain written/printed authority or occupy place of safety if electronic display fails while authority is in effect	3,000	5,000	6,000	10,000	6,200	10,300
214.322(c)	(c)-(h)	2,000	4,000	4,000	8,000	4,100	8,300
214.322(d)		2,000	4,000	4,000	8,000	4,100	8,300
214.322(e)		2,000	4,000	4,000	8,000	4,100	8,300
214.322(f)		2,000	4,000	4,000	8,000	4,100	8,300
214.322(g)		2,000	4,000	4,000	8,000	4,100	8,300
214.322(h)		2,000	4,000	4,000	8,000	4,100	8,300
214.323	214.323 Foul time:	2,000	4,000	4,000	8,000	4,100	8,300
214.323(a)	(a) Foul time authority overlapping movement authority of train or equipment	5,000	10,000	10,000	20,000	10,300	20,600
214.323(b)	(b) Failure to repeat foul time authority to issuing employee	NA	1,500	NA	3,000	NA	3,100
214.323(c)	(c) Train dispatcher or control operator permitting movement of trains or other on-track equipment into working limits prior to RWIC reporting clear of track	5,000	10,000	10,000	20,000	10,300	20,600
214.323(d)	(d) RWIC permitting movement of trains or on-track equipment into or within working limits	5,000	10,000	10,000	20,000	10,300	20,600
	214.325 Train coordination:						
214.325(a)	(a) Train coordination limits established where more than one train is authorized to operate	1,500	4,000	3,000	8,000	3,100	8,300
214.325(b)(1)	(b)(1) Train coordination established with train not visible to roadway worker at the time	NA	1,500	NA	3,000	NA	3,100
214.325(b)(2)	(2) Train coordination established with moving train	NA	1,500	NA	3,000	NA	3,100
214.325(b)(3)	(3) Coordinated train moving without authority of roadway worker in charge	2,000	5,000	4,000	10,000	4,100	10,300
214.325(b)(4)	(4) Coordinated train releasing movement authority while working limits are in effect	3,000	6,000	6,000	12,000	6,200	12,400
	214.327 Inaccessible track:						
214.327(a)	(a) Improper control of entry to inaccessible track	3,000	6,000	6,000	12,000	6,200	12,400
214.327(a)(5)	(5) Remotely controlled switch not properly secured by control operator	3,000	6,000	6,000	12,000	6,200	12,400
214.327(b)	(b) Train or equipment moving within inaccessible track limits without permission of roadway worker in charge	3,000	6,000	6,000	12,000	6,200	12,400

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.327(c)	(c) Unauthorized train or equipment located within inaccessible track limits	2,000	5,000	4,000	10,000	4,100	10,300
	214.329 Train approach warning provided by watchmen/lookouts:						
214.329(a)(i)	(a)(i) Failure to give timely warning of approaching train	5,000	10,000	10,000	20,000	10,300	20,600
214.329(a)(ii)	(ii) Failure to use maximum authorized speed in formulating sight distance	3,000	5,000	6,000	10,000	6,200	10,300
214.329(a)(iii)	(iii) Use of another track as a place of safety without establishing working limits on that track	3,000	5,000	6,000	10,000	6,200	10,300
214.329(b)(1)	(b)(1) Failure of watchman/lookout to give full attention to detecting approach of train	NA	3,000	NA	6,000	NA	6,200
214.329(b)(2)	(2) Assignment of other duties to watchman/lookout	3,000	5,000	6,000	10,000	6,200	10,300
214.329(c)	(c) Failure to provide proper warning signal devices	2,000	5,000	4,000	10,000	4,100	10,300
214.329(d)	(d) Failure to maintain position to receive train approach warning signal		2,000		4,000		4,100
214.329(e)	(e) Failure to communicate proper warning signal	1,500	3,000	3,000	6,000	3,100	6,200
214.329(f)(1)	(f)(1) Assignment of non-qualified person as watchman/lookout	3,000	5,000	6,000	10,000	6,200	10,300
214.329(f)(2)	(2) Non-qualified person accepting assignment as watchman/lookout	NA	1,500	NA	3,000	NA	3,100
214.329(g)	(g) Failure to properly equip a watchman/lookout	2,000	4,000	4,000	8,000	4,100	8,300
	214.331 Definite train location:						
214.331(a)	(a) Definite train location established where prohibited	3,000	5,000	6,000	10,000	6,200	10,300
214.331(b)	(b) Failure to phase out definite train location by required date	3,000	5,000	6,000	10,000	6,200	10,300
214.331(d)(1)	(d)(1) Train location information issued by unauthorized person	2,000	5,000	4,000	10,000	4,100	10,300
214.331(d)(2)	(2) Failure to include all trains operated on train location list	3,000	5,000	6,000	10,000	6,200	10,300
214.331(d)(5)	(5) Failure to clear a by ten minutes at the last station at which time is shown	NA	2,000	NA	4,000	NA	4,100
214.331(d)(6)	(6) Train passing station before time shown in train location list	3,000	5,000	6,000	10,000	6,200	10,300
214.331(d)(7)	(7) Non-qualified person using definite train location to establish on-track safety	2,000	3,000	4,000	6,000	4,100	6,200
214.331(e)	(e) Failure to discontinue use of definite train location by required date	9,500	13,000	19,000	26,000	19,600	26,800
	214.333 Informational line-ups of trains:						
214.333(a)	(a) Informational line-ups of trains used for on-track safety where prohibited	3,000	5,000	6,000	10,000	6,200	10,300
214.333(b)	(b) Informational line-up procedures inadequate to protect roadway workers	5,000	10,000	10,000	20,000	10,300	20,600
214.333(c)	(c) Failure to discontinue informational line-ups by required date	5,000	10,000	10,000	20,000	10,300	20,600
	214.335 On-track safety procedures for roadway work groups :						
214.335(a)	(a) Failure to provide on-track safety for a member of a roadway work group	3,000	5,000	6,000	10,000	6,200	10,300

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214.335(b)	(b) Member of roadway work group fouling a track without authority of employee in charge	NA	2,000	NA	4,000	NA	4,100
	214.336 On-track safety procedures for certain roadway work groups and adjacent tracks:						
214.336(a)(1)	(a)(1) Failure to establish on-track safety for each adjacent controlled track as required under this section	5,000	10,000	10,000	20,000	10,300	20,600
214.336(a)(2)	(2) Failure to implement the more restrictive procedure required by paragraph (b) during special circumstance of concurrent movement(s) on two adjacent controlled tracks where one movement is authorized or permitted at a speed over 25 mph (or over 40 mph for a passenger movement)	1,500	3,000	3,000	6,000	3,100	6,200
214.336(b)(1)	(b)(1) Failure of roadway worker to cease work and occupy a predetermined place of safety upon receiving a warning or notification of train or other on-track equipment movement(s) on an adjacent controlled track	5,000	10,000	10,000	20,000	10,300	20,600
214.336(b)(2)	(2) Resumption of work before trailing-end of all applicable movements has passed the roadway worker	5,000	10,000	10,000	20,000	10,300	20,600
214.336(c)	(c) Failure to maintain 25-foot spacing between on-track, self-propelled equipment or coupled equipment and roadway worker(s) on the occupied track during an adjacent-controlled-track movement at 25 mph or less	2,000	4,000	4,000	8,000	4,100	8,300
214.336(d)	(d) Failure to implement on-track safety procedures on an adjacent track when deemed necessary by the roadway worker in charge of providing on-track safety for a roadway work group	2,000	4,000	4,000	8,000	4,100	8,300
214.336(e)	(e)						
214.336(f)	(f) Roadway maintenance machine component fouling an adjacent controlled track without working limits or with movements permitted within working limits	5,000	10,000	10,000	20,000	10,300	20,600
	214.337 On-track safety procedures for lone workers:						
214.337(b)	(b) Failure by employer to permit individual discretion in use of individual train detection	5,000	10,000	10,000	20,000	10,300	20,600
214.337(c)(1)	(c)(1) Individual train detection used by non-qualified employee	2,000	4,000	4,000	8,000	4,100	8,300
214.337(c)(2)	(2) Use of individual train detection while engaged in heavy or distracting work	NA	2,000	NA	4,000	NA	4,100
214.337(c)(3)	(3) Use of individual train detection in controlled point or manual interlocking	NA	2,000	NA	4,000	NA	4,100
214.337(c)(4)	(4) Use of individual train detection with insufficient visibility	NA	2,000	NA	4,000	NA	4,100

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214.337(c)(5)	(5) Use of individual train detection with interfering noise	NA	2,000	NA	4,000	NA	4,100
214.337(c)(6)	(6) Use of individual train detection while a train is passing	NA	3,000	NA	6,000	NA	6,200
214.337(d)	(d) Failure to maintain access to place of safety clear of live tracks	NA	2,000	NA	4,000	NA	4,100
214.337(e)	(e) Lone worker unable to maintain vigilant lookout	NA	2,000	NA	4,000	NA	4,100
214.337(f)(1)	(f)(1) Failure to prepare written statement of on-track safety	NA	1,500	NA	3,000	NA	3,100
214.337(f)(2)	(2) Incomplete written statement of on-track safety	NA	1,000	NA	2,000	NA	2,100
214.337(f)(3)	(3) Failure to produce written statement of on-track safety to FRA	NA	1,500	NA	3,000	NA	3,100
214.337(g)	(g) Use of individual train detection while using machine, equipment, or material that cannot be readily removed by hand	2,000	4,000	4,000	8,000	4,100	8,300
	214.339 Audible warning from trains:						
214.339(a)	(a)-(b) Failure to adopt or comply with audible warning procedures	2,000	4,000	4,000	8,000	4,100	8,300
214.339(b)		2,000	4,000	4,000	8,000	4,100	8,300
	214.341 Roadway maintenance machines:						
214.341(a)	(a) Failure of on-track safety program to include provisions for safety near roadway maintenance machines	3,000	5,000	6,000	10,000	6,200	10,300
214.341(b)	(b) Failure to provide operating instructions	2,000	4,000	4,000	8,000	4,100	8,300
214.341(b)(1)	(1) Assignment of non-qualified employee to operate machine	2,000	5,000	4,000	10,000	4,100	10,300
214.341(b)(2)	(2) Operator unfamiliar with safety instructions for machine	2,000	5,000	4,000	10,000	4,100	10,300
214.341(b)(3)	(3) Roadway worker working with unfamiliar machine	2,000	5,000	4,000	10,000	4,100	10,300
214.341(c)	(c) Roadway maintenance machine not clear of passing trains	3,000	6,000	6,000	12,000	6,200	12,400
	214.343 Training and qualification, general:						
214.343(a)(1)	(a)(1) Failure of railroad program to include training provisions	5,000	10,000	10,000	20,000	10,300	20,600
214.343(a)(2)	(2) Failure to provide initial training	3,000	6,000	6,000	12,000	6,200	12,400
214.343(b)	(b) Failure to provide annual training	2,500	5,000	5,000	10,000	5,200	10,300
214.343(c)	(c) Assignment of non-qualified railroad employees to provide on-track safety	4,000	8,000	8,000	16,000	8,300	16,500
214.343(d)(1)	(d)(1) Failure to maintain records of qualifications	2,000	4,000	4,000	8,000	4,100	8,300
214.343(d)(2)	(2) Incomplete records of qualifications	1,000	3,000	2,000	6,000	2,100	6,200
214.343(d)(3)	(3) Failure to provide records of qualifications to FRA	2,000	4,000	4,000	8,000	4,100	8,300
	214.345 Training for all roadway workers						
	214.347 Training and qualification for lone workers						
	214.349 Training and qualification of watchmen/lookouts						
	214.351 Training and qualification of flagmen						
214.353	214.353 Training and qualification of roadway workers in charge	2,000	4,000	4,000	8,000	4,100	8,300
	214.355 Training and qualification in on-track safety for operators of roadway maintenance machines						
	Subpart D—On-Track Roadway Maintenance Machines and Hi-Rail Vehicles						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	214.503 Good-faith challenges; procedures for notification and resolution:						
214.503(a)	(a) Failure of employee to notify employer that the machine or vehicle does not comply with this subpart or has a condition inhibiting safe operation	NA	4,000	NA	8,000	NA	8,300
214.503(b)	(b) Roadway worker required to operate machine or vehicle when good-faith challenge not resolved	5,000	10,000	10,000	20,000	10,300	20,600
214.503(c)	(c) Failure of employer to have or follow written procedures to resolve good-faith challenges	5,000	10,000	10,000	20,000	10,300	20,600
	214.505 Required environmental control and protection systems for new on-track roadway maintenance machines with enclosed cabs:						
214.505(a)	(a) Failure to equip new machines with required systems	5,000	10,000	10,000	20,000	10,300	20,600
214.505(b)	(b) Failure of new or existing machines to protect employees from exposure to air contaminants	5,000	10,000	10,000	20,000	10,300	20,600
214.505(c)	(c) Failure of employer to maintain required list of machines or make list available	2,000	4,000	4,000	8,000	4,100	8,300
214.505(d)	(d) Removal of “designated machine” from list before retired or sold	2,000	4,000	4,000	8,000	4,100	8,300
214.505(e)	(e) Personal respiratory protective equipment not provided when ventilation system fails	5,000	10,000	10,000	20,000	10,300	20,600
214.505(f)	(f) Personal respiratory protective equipment fails to meet required standards	5,000	10,000	10,000	20,000	10,300	20,600
214.505(g)	(g) Other new machines with enclosed cabs not equipped with operable heating and ventilation systems	5,000	10,000	10,000	20,000	10,300	20,600
214.505(h)	(h) Non-enclosed station not equipped with covering, where feasible	5,000	10,000	10,000	20,000	10,300	20,600
	214.507 Required safety equipment for new on-track roadway maintenance machines:						
214.507(a)(1)	(a)(1)-(5) Failure to equip new machine or provide protection as specified in these paragraphs	5,000	10,000	10,000	20,000	10,300	20,600
214.507(a)(2)		5,000	10,000	10,000	20,000	10,300	20,600
214.507(a)(3)		5,000	10,000	10,000	20,000	10,300	20,600
214.507(a)(4)		5,000	10,000	10,000	20,000	10,300	20,600
214.507(a)(5)		5,000	10,000	10,000	20,000	10,300	20,600
214.507(a)(6)	(a)(6)-(7) Failure to equip new machine with first-aid kit or operative and charged fire extinguisher	2,500	5,000	5,000	10,000	5,200	10,300
214.507(a)(7)		2,500	5,000	5,000	10,000	5,200	10,300
214.507(b)	(b) Position for operator to stand not properly equipped to provide safe and secure position	5,000	10,000	10,000	20,000	10,300	20,600

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.507(c)	(c) New machine not equipped with accurate speed indicator, as required.	2,500	5,000	5,000	10,000	5,200	10,300
214.507(d)	(d) As-built light weight not conspicuously displayed on new machine	2,500	5,000	5,000	10,000	5,200	10,300
214.509	214.509 Required visual illumination and reflective devices for new on-track roadway maintenance machines	2,500	5,000	5,000	10,000	5,200	10,300
214.511	214.511 Required audible warning devices for new on-track roadway maintenance machines	5,000	10,000	10,000	20,000	10,300	20,600
	214.513 Retrofitting of existing on-track roadway maintenance machines; general:						
214.513(a)	(a) Failure to provide safe and secure position and protection from moving parts 2,000 4,000 inside cab for each roadway worker transported on machine	5,000	10,000	10,000	20,000	10,300	20,600
214.513(b)	(b) Horn or other audible warning device is missing, inoperable, or has non-compliant triggering mechanism	2,500	5,000	5,000	10,000	5,200	10,300
214.513(c)	(c) Illumination device or portable light missing, inoperable, improperly secured, or incapable of illuminating track as required	2,500	5,000	5,000	10,000	5,200	10,300
	214.515 Overhead covers for existing on-track roadway maintenance machines:						
214.515(a)	(a) Failure to repair, reinstall, or maintain overhead cover as required	5,000	10,000	10,000	20,000	10,300	20,600
214.515(b)	(b) Failure to provide written response to operator's request within 60 days	2,000	4,000	4,000	8,000	4,100	8,300
	214.517 Retrofitting of existing on-track roadway maintenance machines manufactured on or after January 1, 1991:						
214.517(a)	(a) Failure to equip machine with change-of-direction alarm or rearward viewing device.	5,000	10,000	10,000	20,000	10,300	20,600
214.517(b)	(b) Failure to equip machine with operative heater	5,000	10,000	10,000	20,000	10,300	20,600
214.517(c)	(c) Failure to display light weight of machine as required	2,500	5,000	5,000	10,000	5,200	10,300
214.517(d)	(d) Failure to equip machine with reflective material, reflective device, or operable brake lights	5,000	10,000	10,000	20,000	10,300	20,600
214.517(e)	(e) Failure to install or replace safety glass as required	5,000	10,000	10,000	20,000	10,300	20,600
214.517(f)	(f) Failure to equip machine with turntable restraint device or warning light as required	5,000	10,000	10,000	20,000	10,300	20,600
214.518	214.518 Safe and secure position for riders	5,000	10,000	10,000	20,000	10,300	20,600
214.519	214.519 Floors, decks, stairs, and ladders for on-track roadway maintenance machines	5,000	10,000	10,000	20,000	10,300	20,600
214.521	214.521 Flagging equipment for on-track roadway maintenance machines and hi-rail vehicles	2,500	5,000	5,000	10,000	5,200	10,300
	214.523 Hi-rail vehicles:						

Rule	Section²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
214.523(a)	(a) Failure to inspect hi-rail gear annually	5,000	10,000	10,000	20,000	10,300	20,600
214.523(b)	(b) Failure to maintain inspection record or make record available to FRA	2,000	4,000	4,000	8,000	4,100	8,300
214.523(c)	(c) Failure to equip new hi-rail vehicle with alarm and light or beacon as required	2,500	5,000	5,000	10,000	5,200	10,300
214.523(d)(2)	(d)(2) Failure of operator to tag, date or report non-complying condition	2,000	4,000	4,000	8,000	4,100	8,300
214.523(d)(3)	(d)(3) Failure to repair or replace non-complying alarms, lights or beacons as required	2,500	5,000	5,000	10,000	5,200	10,300
214.525	214.525 Towing with on-track roadway maintenance machines or hi-rail vehicles	5,000	10,000	10,000	20,000	10,300	20,600
	214.527 On-track roadway maintenance machines; inspection for compliance and schedule for repairs:						
214.525(a)	(a) Failure of operator to check on-track roadway maintenance machine for compliance	2,000	4,000	4,000	8,000	4,100	8,300
214.525(b)	(b) Failure of operator to tag, date, or report noncomplying condition	2,000	4,000	4,000	8,000	4,100	8,300
214.525(c)(1)	(c)(1)-(4) Failure to meet requirements for operating on-track roadway maintenance machine with non-complying headlights, work lights, horn, fire extinguisher, alarm, warning light, or beacon	2,500	5,000	5,000	10,000	5,200	10,300
214.525(c)(3)		2,500	5,000	5,000	10,000	5,200	10,300
214.525(c)(4)		2,500	5,000	5,000	10,000	5,200	10,300
214.525(c)(4)		2,500	5,000	5,000	10,000	5,200	10,300
214.525(c)(5)	(c)(5) Failure to repair or replace defective or missing operator's seat within required time period	5,000	10,000	10,000	20,000	10,300	20,600
214.529	214.529 In-service failure of primary braking system	5,000	10,000	10,000	20,000	10,300	20,600
214.531	214.531 Schedule of repairs; general	2,500	5,000	5,000	10,000	5,200	10,300
	214.533 Schedule of repairs subject to availability of parts:						
214.533(a)	(a)-(c) Failure to order necessary part(s), make repair(s), or remove on-track roadway maintenance machine or hi-rail vehicle from service as required	2,500	5,000	5,000	10,000	5,200	10,300
214.533(b)		2,500	5,000	5,000	10,000	5,200	10,300
214.533(c)		2,500	5,000	5,000	10,000	5,200	10,300
214.533(d)	(d) Failure to maintain record or make record available to FRA	2,000	4,000	4,000	8,000	4,100	8,300

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	<p>¹A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A. Failure to observe any condition(s) of an exception set forth in paragraph (e) of §214.336 deprives the railroad or contractor of the benefit of the exception and makes the</p>						
	<p>²The penalty schedule uses section numbers from 49 CFR part 214. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.</p>						
	<p>[57 FR 28127, June 24, 1992, as amended at 61 FR 65981, Dec. 16, 1996; 63 FR 11620, Mar. 10, 1998; 68 FR 44412, July 28, 2003; 69 FR 8839, Feb. 26, 2004; 69 FR 30593, May 28, 2004; 73 FR 79701, Dec. 30, 2008; 77 FR 24419, Apr. 24, 2012; 76 FR 74615, Nov. 30, 2011; 79 FR 1770, Jan. 10, 2014; 81 FR 43109, July 1, 2016; 83 FR 60746, Nov. 27, 2018]</p>						
	<p>Part 214—Railroad Workplace Safety-Schedule of Civil Penalties¹</p>						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General:						
	215.9 Movement for repair:						
	(a), (c)	1	1	1	1		
215.9(b)	(b)	\$2,500	\$5,000	\$5,000	\$10,000	\$ 5,200	\$ 10,300
215.11	215.11 Designation of qualified persons	2,500	5,000	5,000	10,000	5,200	10,300
215.13	215.13 Pre-departure inspection	2,000	4,000	4,000	8,000	4,100	8,300
	Subpart B—Freight Car Components:						
	215.103 Defective wheel:						
	(a) Flange thickness of:						
215.103(a)(1)	(1) 7/8" or less but more than 13/16"	2,500	5,000	5,000	10,000	5,200	10,300
215.103(a)(2)	(2) 13/16" or less	5,000	7,500	10,000	15,000	10,300	15,500
	(b) Flange height of:						
215.103(b)(1)	(1) 11/2" or greater but less than 15/8"	2,500	5,000	5,000	10,000	5,200	10,300
215.103(b)(2)	(2) 15/8" or more	5,000	7,500	10,000	15,000	10,300	15,500
	(c) Rim thickness of:						
215.103(c)(1)	(1) 11/16" or less but more than 5/8"	2,500	5,000	5,000	10,000	5,200	10,300
215.103(c)(2)	(2) 5/8" or less	5,000	7,500	10,000	15,000	10,300	15,500
	(d) Wheel rim, flange plate hub width:						
215.103(d)(1)	(1) Crack of less than 1"	2,500	5,000	5,000	10,000	5,200	10,300
215.103(d)(2)	(2) Crack of 1" or more	5,000	7,500	10,000	15,000	10,300	15,500
215.103(d)(3)	(3) Break	5,000	7,500	10,000	15,000	10,300	15,500
	(e) Chip or gouge in flange of:						
215.103(e)(1)	(1) 11/2" or more but less than 15/8" in length; and 1/2" or more but less than 5/8" in width.	2,500	5,000	5,000	10,000	5,200	10,300
215.103(e)(2)	(2) 15/8" or more in length; or 5/8" or more in width	5,000	7,500	10,000	15,000	10,300	15,500
	(f) Slid flat or shelled spot(s):						
215.103(f)(1)(i)	(1)(i) One spot more than 21/2", but less than 3", in length	2,500	5,000	5,000	10,000	5,200	10,300
215.103(f)(1)(ii)	(ii) One spot 3" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
215.103(f)(2)(i)	(2)(i) Two adjoining spots each of which is more than 2" but less than 21/2" in length	2,500	5,000	5,000	10,000	5,200	10,300
215.103(f)(2)(ii)	(ii) Two adjoining spots both of which are at least 2" in length, if either spot is 21/2", or more in length	5,000	7,500	10,000	15,000	10,300	15,500
215.103(g)	(g) Loose on axle	6,000	8,500	12,000	17,000	12,400	17,600
	(h) Overheated; discoloration extending:						
215.103(h)(1)	(1) more than 4" but less than 41/2"	2,500	5,000	5,000	10,000	5,200	10,300
215.103(h)(2)	(2) 41/2" or more	5,000	7,500	10,000	15,000	10,300	15,500
215.103(i)	(i) Welded	5,000	7,500	10,000	15,000	10,300	15,500
	215.105 Defective axle:						
215.105(a)(1)	(a)(1) Crack of 1" or less.	2,500	5,000	5,000	10,000	5,200	10,300
215.105(a)(2)	(2) Crack of more than 1"	5,000	7,500	10,000	15,000	10,300	15,500
215.105(a)(3)	(3) Break	6,000	8,500	12,000	17,000	12,400	17,600
215.105(b)	(b) Gouge in surface that is between the wheel seats and is more than 1/8" in depth	2,500	5,000	5,000	10,000	5,200	10,300
215.105(c)	(c) End collar with crack or break	2,500	5,000	5,000	10,000	5,200	10,300
215.105(d)	(d) Journal overheated	5,000	7,500	10,000	15,000	10,300	15,500
215.105(e)	(e) Journal surface has: a ridge; a depression; a circumferential score; corrugation; a scratch; a continuous streak; pitting; rust; or etching	2,500	5,000	5,000	10,000	5,200	10,300
	215.107 Defective plain bearing box: general:						
215.107(a)(1)	(a)(1) No visible free oil	1,500	3,000	3,000	6,000	3,100	6,200
215.107(a)(2)	(2) Lubricating pad dry (no expression of oil observed when pad is compressed)	5,000	7,500	10,000	15,000	10,300	15,500

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215.107(b)	(b) Box lid is missing, broken, or open except to receive servicing	1,000	2,000	2,000	4,000	2,100	4,100
215.107(c)	(c) Contains foreign matter that can be expected to damage the bearing or have a detrimental effect on the lubrication of the journal and bearing	2,500	5,000	5,000	10,000	5,200	10,300
	215.109 Defective plain bearing box: journal lubrication system:						
215.109(a)	(a) Lubricating pad has a tear	1,000	2,000	2,000	4,000	2,100	4,100
215.109(b)	(b) Lubricating pad scorched, burned, or glazed	2,500	5,000	5,000	10,000	5,200	10,300
215.109(c)	(c) Lubricating pad contains decaying or deteriorating fabric	2,500	5,000	5,000	10,000	5,200	10,300
215.109(d)	(d) Lubricating pad has an exposed center core or metal parts contacting the journal	2,500	5,000	5,000	10,000	5,200	10,300
215.109(e)	(e) Lubricating pad is missing or not in contact with the journal	5,000	7,500	10,000	15,000	10,300	15,500
	215.111 Defective plain bearing:						
215.111(a)	(a) Missing	5,000	7,500	10,000	15,000	10,300	15,500
215.111(b)	(b) Bearing liner is loose or has piece broken out	2,500	5,000	5,000	10,000	5,200	10,300
215.111(c)	(c) Overheated	5,000	7,500	10,000	15,000	10,300	15,500
	215.113 Defective plain bearing wedge:						
215.113(a)	(a) Missing	5,000	7,500	10,000	15,000	10,300	15,500
215.113(b)	(b) Cracked	2,500	5,000	5,000	10,000	5,200	10,300
215.113(c)	(c) Broken	5,000	7,500	10,000	15,000	10,300	15,500
215.113(d)	(d) Not located in its design position	5,000	7,500	10,000	15,000	10,300	15,500
	215.115 Defective roller bearing:						
215.115(a)(1)	(a)(1) Overheated	5,000	7,500	10,000	15,000	10,300	15,500
215.115(a)(2)(i)	(2) (i) Cap screw(s) loose	2,500	5,000	5,000	10,000	5,200	10,300
215.115(a)(2)(ii)	(ii) Cap screw lock broken, missing or improperly applied	1,000	2,000	2,000	4,000	2,100	4,100
215.115(a)(3)	(3) Seal is loose or damaged, or permits leakage of lubricant	2,500	5,000	5,000	10,000	5,200	10,300
215.115(b)(1)	(b)(1) Not inspected and tested after derailment	2,500	5,000	5,000	10,000	5,200	10,300
215.115(b)(2)	(2) Not disassembled after derailment	2,500	5,000	5,000	10,000	5,200	10,300
215.115(b)(3)	(3) Not repaired or replaced after derailment	5,000	7,500	10,000	15,000	10,300	15,500
	215.117 Defective roller bearing adapter:						
215.117(a)	(a) Cracked or broken	2,500	5,000	5,000	10,000	5,200	10,300
215.117(b)	(b) Not in its design position	5,000	7,500	10,000	15,000	10,300	15,500
215.117(c)	(c) Worn on the crown	2,500	5,000	5,000	10,000	5,200	10,300
	215.119 Defective freight car truck:						
215.119(a)(1)	(a)(1) A side frame or bolster that is broken	5,000	7,500	10,000	15,000	10,300	15,500
215.119(a)(2)(i)	(2)(i) Side frame or bolster with crack of: 1/4" or more, but less than 1"	2,500	5,000	5,000	10,000	5,200	10,300
215.119(a)(2)(ii)	(ii) 1" or more	5,000	7,500	10,000	15,000	10,300	15,500
215.119(b)	(b) A snubbing device that is ineffective or missing	2,500	5,000	5,000	10,000	5,200	10,300
	(c) Side bearing(s):						
215.119(c)(1)	(1) Assembly missing or broken	5,000	7,500	10,000	15,000	10,300	15,500
215.119(c)(2)	(2) In contact except by design	5,000	7,500	10,000	15,000	10,300	15,500
	(3), (4) Total clearance at one end or at diagonally opposite sides of:						
215.119(c)(3)(i)	(i) more than 3/4" but not more than 1"	2,500	5,000	5,000	10,000	5,200	10,300
215.119(c)(3)(ii)	(ii) more than 1"	5,000	7,500	10,000	15,000	10,300	15,500
215.119(c)(4)(i)	(i) more than 3/4" but not more than 1"	2,500	5,000	5,000	10,000	5,200	10,300
215.119(c)(4)(ii)	(ii) more than 1"	5,000	7,500	10,000	15,000	10,300	15,500
	(d) Truck spring(s):						
215.119(d)(1)	(1) Do not maintain travel or load	2,500	5,000	5,000	10,000	5,200	10,300
215.119(d)(2)	(2) Compressed solid	2,500	5,000	5,000	10,000	5,200	10,300
	(3) Outer truck springs broken or missing:						
215.119(d)(3)(i)	(i) Two outer springs	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
215.119(d)(3)(ii)	(ii) Three or more outer springs	5,000	7,500	10,000	15,000	10,300	15,500
215.119(e)	(e) Truck bolster-center plate interference	5,000	7,500	10,000	15,000	10,300	15,500
215.119(f)	(f) Brake beam shelf support worn	2,500	5,000	5,000	10,000	5,200	10,300
	215.121 Defective car body:						
215.121(a)	(a) Has less than 21/2" clearance from the top of rail	2,500	5,000	5,000	10,000	5,200	10,300
215.121(b)	(b) Car center sill is:						
215.121(b)(1)	(1) Broken	6,000	8,500	12,000	17,000	12,400	17,600
215.121(b)(2)	(2) Cracked more than 6"	2,500	5,000	5,000	10,000	5,200	10,300
215.121(b)(3)	(3) Bent or buckled more than 21/2" in any 6' length	2,500	5,000	5,000	10,000	5,200	10,300
215.121(c)	(c) Coupler carrier that is broken or missing	2,500	5,000	5,000	10,000	5,200	10,300
215.121(d)	(d) Car door not equipped with operative safety hangers	5,000	7,500	10,000	15,000	10,300	15,500
215.121(e)(1)	(e)(1) Center plate not properly secured	5,000	7,500	10,000	15,000	10,300	15,500
215.121(e)(2)	(2) Portion missing	2,500	5,000	5,000	10,000	5,200	10,300
215.121(e)(3)	(3) Broken	5,000	7,500	10,000	15,000	10,300	15,500
215.121(e)(4)	(4) Two or more cracks	2,500	5,000	5,000	10,000	5,200	10,300
215.121(f)	(f) Broken sidesill, crossbearer, or body bolster	2,500	5,000	5,000	10,000	5,200	10,300
	215.123 Defective couplers:						
215.123(a)	(a) Shank bent out of alignment	1,000	2,000	2,000	4,000	2,100	4,100
215.123(b)	(b) Crack in highly stressed junction area.	2,500	5,000	5,000	10,000	5,200	10,300
215.123(c)	(c) Coupler knuckle broken or cracked	2,500	5,000	5,000	10,000	5,200	10,300
215.123(d)	(d) Coupler knuckle pin or thrower that is missing or inoperative.	2,500	5,000	5,000	10,000	5,200	10,300
215.123(e)	(e) Coupler retainer pin lock that is missing or broken	1,000	2,000	2,000	4,000	2,100	4,100
215.123(f)	(f) Coupler with following conditions: locklift inoperative; no anticreep protection; or coupler lock is missing, inoperative, bent, cracked, or broken	2,500	5,000	5,000	10,000	5,200	10,300
215.125	215.125 Defective uncoupling device	2,500	5,000	5,000	10,000	5,200	10,300
	215.127 Defective draft arrangement:						
215.127(a)	(a) Draft gear that is inoperative	2,500	5,000	5,000	10,000	5,200	10,300
215.127(b)	(b) Yoke that is broken	2,500	5,000	5,000	10,000	5,200	10,300
215.127(c)	(c) End of car cushioning unit is leaking or inoperative	2,500	5,000	5,000	10,000	5,200	10,300
215.127(d)	(d) Vertical coupler pin retainer plate missing or has missing fastener	5,000	7,500	10,000	15,000	10,300	15,500
215.127(e)	(e) Draft key or draft key retainer that is inoperative or missing	5,000	7,500	10,000	15,000	10,300	15,500
215.127(f)	(f) Follower plate that is missing or broken	2,500	5,000	5,000	10,000	5,200	10,300
215.129	215.129 Defective cushioning device	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart C—Restricted equipment:						
215.203	215.203 Restricted cars	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart D—Stencilling:						
215.301	215.301 General	1,000	2,000	2,000	4,000	2,100	4,100
215.303	215.303 Stencilling of restricted cars	1,000	2,000	2,000	4,000	2,100	4,100
215.305	215.305 Stencilling of maintenance-of-way	1,000	2,000	2,000	4,000	2,100	4,100

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	<p>¹ A penalty may be assessed against an individual only for a willful violation. Generally, when two or more violations of these regulations are discovered with respect to a single freight car that is placed or continued in service by a railroad, the appropriate penalties set forth above are aggregated up to a maximum of \$36,439 per day. However, a failure to perform, with respect to a particular freight car, the predeparture inspection required by § 215.13 of this part will be treated as a violation separate and distinct from, and in addition to, any substantive violative conditions found on the car. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.</p>						
	<p>Failure to observe any condition for movement set forth in paragraphs (a) and (c) of §215.9 will deprive the railroad of the benefit of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) concerning the substantive defect(s) present on the freight car at the time of movement.</p>						
	<p>Maintenance-of-way equipment not stenciled in accordance with §215.305 is subject to all requirements of this part. See §215.3(c)(3).</p>						
	<p>[53 FR 52925, Dec. 29, 1988, as amended at 63 FR 11620, Mar. 10, 1998; 69 FR 30593, May 28, 2004; 72 FR 51196, Sept. 6, 2007; 73 FR 79701, Dec. 30, 2008; 77 FR 24419, Apr. 24, 2012; 81 FR 43109, July 1, 2016; 82 FR 16132, Apr. 3, 2017; 83 FR 60746, Nov. 27, 2018; 84 FR 37059, July 31, 2019; 86 FR 1745, Jan. 11, 2021; 86 FR 23241, May 3, 2021; 87 FR 15839, Mar. 21, 2022; 88 FR 1114, Jan. 6, 2023; 88 FR 89551, Dec. 28, 2023; 89 FR 106282, Dec. 30, 2024]</p>						
	<p>Part 215—Railroad Freight Car Safety Standards-Schedule of Civil Penalties¹</p>						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	217.7 Operating rules:						
217.7(a)	(a)	\$ 2,500	\$ 5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
217.7(b)	(b)	2,000	5,000	4,000	10,000	4,100	10,300
217.7(c)	(c)	2,500	5,000	5,000	10,000	5,200	10,300
	217.9 Operational tests and inspections:						
217.9(a)	(a) Failure to implement a program	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
	(b) Railroad and railroad testing officer responsibilities:						
217.9(b)(1)	(1) Failure to provide instruction, examination, or field training, or failure to conduct tests in accordance with program	9,500	13,000	19,000	26,000	19,600	26,800
217.9(b)(2)	(2) Records	7,500	11,000	15,000	22,000	15,500	22,700
217.9(b)(3)	(3) Passenger railroad with locomotive recording devices failure to adopt or comply with requirements	NA	NA	NA	NA	19,600	26,800
217.9(c)	(c) Record of program; program incomplete	7,500-12,500	11,000-16,000	15,000 - 25,000	22,000 - 32,000	15,500-25,800	22,700-33,000
217.9(d)	(d) Records of individual tests and inspections	7,500	NA	15,000	NA	15,500	NA
	(e) Failure to retain copy of or conduct:						
217.9(e)(1)(i)	(1)(i) Quarterly review	9,500	13,000	19,000	26,000	19,600	26,800
217.9(e)(1)(ii)	(1)(ii) and (2) Six month review	9,500	13,000	19,000	26,000	19,600	26,800
217.9(e)(2)		9,500	13,000	19,000	26,000	19,600	26,800
217.9(e)(3)	(3) Records	7,500	11,000	15,000	22,000	15,500	22,700
217.9(f)	(f) Annual summary	7,500	11,000	15,000	22,000	15,500	22,700
217.9(h)	(h) Failure to timely or appropriately amend program after disapproval	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
	217.11 Program of instruction on operating rules:						
217.11(a)	(a)	5,000	7,500	10,000	15,000	10,300	15,500
217.11(b)	(b)	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[59 FR 43071, Aug. 22, 1994, as amended at 63 FR 11620, Mar. 10, 1998; 69 FR 30593, May 28, 2004; 73 FR 8497, Feb. 13, 2008; 73 FR 79701, Dec. 30, 2008; 77 FR 24420, Apr. 24, 2012; 81 FR 43109, July 1, 2016; 83 FR 60747, Nov. 27, 2018; 88 FR 70722, Oct. 12, 2023]						
	Part 217—Railroad Operating Rules-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Blue signal protection of workmen:						
	<i>218.22 Utility employees:</i>						
218.22(a)	(a) Employee qualifications	\$5,000	\$7,500	\$10,000	\$15,000	\$ 10,300	\$ 15,500
218.22(b)	(b) Concurrent service	5,000	7,500	10,000	15,000	10,300	15,500
	(c) Assignment conditions						
218.22(c)(1)	(1) No controlling locomotive	5,000	7,500	10,000	15,000	10,300	15,500
218.22(c)(2)	(2) Empty cab	5,000	7,500	10,000	15,000	10,300	15,500
218.22(c)(3)	(3)(4) Improper communication	5,000	7,500	10,000	15,000	10,300	15,500
218.22(c)(4)	(3)(4) Improper communication	5,000	7,500	10,000	15,000	10,300	15,500
218.22(c)(5)	(5) Performing functions not listed	2,000	4,000	4,000	8,000	4,100	8,300
218.22(d)	(d) Improper release of utility employee	2,000	4,000	4,000	8,000	4,100	8,300
218.22(f)	(f) More than three utility employees with one crew	2,000	4,000	4,000	8,000	4,100	8,300
218.23	<i>218.23 Blue signal display</i>	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.24 One-person crew:²</i>						
218.24(a)(1)	(a)(1) Equipment not coupled or insufficiently separated	2,000	4,000	4,000	8,000	4,100	8,300
218.24(a)(2)	(a)(2) Unoccupied locomotive cab not secured	5,000	7,500	10,000	15,000	10,300	15,500
218.24(b)	(b) Helper service	2,000	4,000	4,000	8,000	4,100	8,300
218.25	<i>218.25 Workmen on a main track</i>	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.27 Workmen on track other than main track:</i>						
218.27(a)	(a) Protection provided except that signal not displayed at switch	2,000	4,000	4,000	8,000	4,100	8,300
218.27(b)	(b) through (e)	5,000	7,500	10,000	15,000	10,300	15,500
218.27(c)	(b) through (e)	5,000	7,500	10,000	15,000	10,300	15,500
218.27(d)	(b) through (e)	5,000	7,500	10,000	15,000	10,300	15,500
218.27(e)	(b) through (e)	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.29 Alternate methods of protection:</i>						
218.29(a)(1)	(a)(1) protection provided except that signal not displayed at switch	2,000	4,000	4,000	8,000	4,100	8,300
218.29(a)(2)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(3)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(4)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(5)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(6)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(7)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(a)(8)	(a)(2) through (a)(8)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(b)(1)	(b)(1) Protection provided except that signal not displayed at switch	2,000	4,000	4,000	8,000	4,100	8,300
218.29(b)(2)	(b)(2) through (b)(4)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(b)(3)	(b)(2) through (b)(4)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(b)(4)	(b)(2) through (b)(4)	5,000	7,500	10,000	15,000	10,300	15,500
218.29(c)	(c) Use of derails	5,000	7,500	10,000	15,000	10,300	15,500
218.29(d)	(d) Emergency repairs	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.30 Remotely controlled switches:</i>						
218.30(a)	(a) and (b)	5,000	7,500	10,000	15,000	10,300	15,500
218.30(b)	(a) and (b)	5,000	7,500	10,000	15,000	10,300	15,500
218.30(c)	(c)	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Protection of trains and locomotives:						
	<i>218.35 Yard limits:</i>						
218.35(a)	(a) and (b)	5,000	7,500	10,000	15,000	10,300	15,500
218.35(b)	(a) and (b)	5,000	7,500	10,000	15,000	10,300	15,500
218.35(c)	(c)	1,000	2,000	2,000	4,000	2,100	4,100
	<i>218.37 Flag protection:</i>						
218.37(a)	(a)	5,000	7,500	10,000	15,000	10,300	15,500
218.37(b)	(b) and (c)	5,000	7,500	10,000	15,000	10,300	15,500
218.37(c)	(b) and (c)	5,000	7,500	10,000	15,000	10,300	15,500
218.39	<i>218.39 Hump operations</i>	5,000	7,500	10,000	15,000	10,300	15,500
218.41	<i>218.41 Noncompliance with hump operations rule</i>	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart D—Prohibition against tampering with safety devices:						
218.55	<i>218.55 Tampering</i>	NA	7,500	NA	15,000	NA	15,500
	<i>218.57 Responsibilities of individuals</i>						
218.57(i)	(i) Knowingly operating or permitting operation of disabled equipment	2,500	NA	5,000	NA	5,200	NA

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
218.57(ii)	(ii) Willfully operating or permitting operation of disabled equipment	NA	5,000	NA	10,000	NA	10,300
218.59	<i>218.59 Operation of disabled equipment</i>	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart F—Handling Equipment, Switches and Derails:						
	<i>218.95 Instruction, Training, and Examination:</i>						
218.95(a)	(a) Program	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.95(b)	(b) Records	7,500	11,000	15,000	22,000	15,500	22,700
218.95(c)	(c) Failure to timely or appropriately amend program after disapproval	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
	<i>218.97 Good Faith Challenge Procedures:</i>						
218.97(a)	(a) Employee Responsibility Failure	NA	5,000	NA	10,000	NA	10,300
218.97(b)	(b) through (d) Failure to adopt or implement procedures	7,500	NA	15,000	NA	15,500	NA
218.97(c)	(b) through (d) Failure to adopt or implement procedures	7,500	NA	15,000	NA	15,500	NA
218.97(d)	(b) through (d) Failure to adopt or implement procedures	7,500	NA	15,000	NA	15,500	NA
	<i>218.99 Shoving or Pushing Movements:</i>						
218.99(a)	(a) Failure to implement required operating rule	9,500	NA	19,000	NA	19,600	NA
218.99(b)	(b) Failure to conduct job briefing, use a qualified employee, or establish proper protection	7,500-9,500	11,000-13,000	15,000-19,000	22,000-26,000	15,500-19,600	22,700-26,800
218.99(c)	(c) Failure to observe equipment direction	9,500	13,000	19,000	26,000	19,600	26,800
218.99(d)	(d) Failure to properly establish point protection within a remote control zone	9,500	13,000	19,000	26,000	19,600	26,800
218.99(e)	(e) Failure to abide by operational exception requirements	9,500	13,000	19,000	26,000	19,600	26,800
	<i>218.101 Leaving Equipment in the Clear:</i>						
218.101(a)	(a) Failure to implement required operating rule	9,500	NA	19,000	NA	19,600	NA
218.101(b)	(b) Equipment left improperly fouling	9,500	13,000	19,000	26,000	19,600	26,800
218.101(c)	(c) Failure to implement procedures for identifying clearance points	9,500	13,000	19,000	26,000	19,600	26,800
	<i>218.103 Hand-operated switches, including crossover switches:</i>						
218.103(a)	(a) Failure to implement required operating rule	9,500	NA	19,000	NA	19,600	NA
218.103(b)	(b) through (d) Railroad and employee failures	7,500	NA	15,000	NA	15,500	NA
218.103(c)	(b) through (d) Railroad and employee failures	7,500	NA	15,000	NA	15,500	NA
218.103(d)	(b) through (d) Railroad and employee failures	7,500	NA	15,000	NA	15,500	NA
	<i>218.105 Additional operational requirements for hand-operated main track switches:</i>						
218.105(a)	(a) Failure to implement required operating rule	9,500	NA	19,000	NA	19,600	NA
218.105(b)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
218.105(c)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
218.105(d)	(d) Failure to properly release authority limits	12,500	NA	25,000	NA	25,800	NA
	<i>218.107 Additional operational requirements for hand-operated crossover switches:</i>						
218.107(a)	(a) Failure to implement required operating rule	9,500	NA	19,000	NA	19,600	NA
218.107(b)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
218.107(c)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
	<i>218.109 Hand-operated fixed derails:</i>						
218.109(a)	(a) Failure to implement required operating rule	9,500	13,000	19,000	26,000	19,600	26,800
218.109(b)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
218.109(c)	(b) and (c) Railroad and employee failures	7,500	11,000	15,000	22,000	15,500	22,700
	Subpart G—Train Crew Size Safety Requirements:						
	<i>218.123 General train crew size safety requirements:</i>						
218.123(a)(failure to adopt)	(a) through (d) Failure to adopt rules or practices	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.123(a)(failure to comply)	(a) through (d) Failure to comply with rules or practices	5,000	7,500	10,000	15,000	10,300	15,500
218.123(b)(failure to adopt)	(a) through (d) Failure to adopt rules or practices	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.123(b)(failure to comply)	(a) through (d) Failure to comply with rules or practices	5,000	7,500	10,000	15,000	10,300	15,500
218.123(c)(failure to adopt)	(a) through (d) Failure to adopt rules or practices	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.123(c)(failure to comply)	(a) through (d) Failure to comply with rules or practices	5,000	7,500	10,000	15,000	10,300	15,500
218.123(d)(failure to adopt)	(a) through (d) Failure to adopt rules or practices	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.123(d)(failure to comply)	(a) through (d) Failure to comply with rules or practices	5,000	7,500	10,000	15,000	10,300	15,500
218.125	<i>218.125 Passenger or tourist operation using one-person train crew exception improperly</i>	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.127 Specific freight train exceptions:</i>						
218.127	(a) Using mine load out, plant dumping, or similar operation crew exception improperly	5,000	7,500	10,000	15,000	10,300	15,500
	<i>218.129 Conditional exceptions for operation using one-person train crew:</i>						
	(a) Application of this section						
218.129(a)(1)(i)(A)	(a)(1)(i)(A) Complete failure to provide written notice by date required without ceasing operation	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.129(a)(2)(i)	(a)(2)(i) Complete failure to provide written notice by date required before commencing operation	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.129(a)(3)	(a)(3) through (5) Failure to comply with work train, helper service, or lite locomotive(s) exception	7,500-9,500	11,000-13,000	15,000 - 19,000	22,000-26,000	15,500-19,600	22,700-26,800
218.129(a)(4)	(a)(3) through (5) Failure to comply with work train, helper service, or lite locomotive(s) exception	7,500-9,500	11,000-13,000	15,000 - 19,000	22,000-26,000	15,500-19,600	22,700-26,800

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
218.129(a)(5)	(a)(3) through (5) Failure to comply with work train, helper service, or lite locomotive(s) exception	7,500-9,500	11,000-13,000	15,000 - 19,000	22,000-26,000	15,500-19,600	22,700-26,800
	(b) Written notice requirements:						
218.129(b)	(b)(1)-(14) Notice provided, but failure to provide required item (3 or more items missing is the equivalent of a complete failure)	2,500	5,000	5,000	10,000	5,200	10,300
	(c) Additional requirements						
218.129(c)(1) (complete fail)	(c)(1) Complete failure to adopt required operating rule(s)	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.129(c)(1) (partial fail)	(c)(1) Partial failure to adopt required operating rule(s) or comply with a required operating rule (when considering adoption of operating rules, 3 or more items missing is the equivalent of a complete failure)	2,500	5,000	5,000	10,000	5,200	10,300
218.129(c)(2) (complete fail)	(c)(2) Complete failure to adopt required operating rule(s)	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.129(c)(2) (partial fail)	(c)(2) Partial failure to adopt required operating rule(s) or comply with a required operating rule (when considering adoption of operating rules, 3 or more items missing is the equivalent of a complete failure)	2,500	5,000	5,000	10,000	5,200	10,300
218.129(c)(3) (complete fail)	(c)(3) Complete failure to adopt required operating rule(s) or using a one-person train crew when the controlling locomotive is not equipped with an alerter	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.129(c)(3) (partial fail)	(c)(3) Partial failure to adopt or comply with a required operating rule, such as when the alerter is not functioning as intended or the alerter is not tested to confirm it is functioning before departure	7,500	11,000	15,000	22,000	15,500	22,700
	<i>218.131 Special approval petition requirements for train operations staffed with a one-person train crew:</i>						
218.131(a)(1)	(a)(1) Operation requiring special approval commences before receiving FRA approval or fails to comply with conditions imposed by FRA in a decision approving the operation	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.131(a)(2)	(a)(2) Existing operation requiring special approval continues the operation, but fails to comply with requirements	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
	(a)(3) Freight railroad with FRA-approval fails to comply with requirements in § 218.129(c). Consult § 218.129 for guidance on appropriate proposed penalty amounts						
	<i>218.135 Special approval procedure:</i>						
218.135(c)	(c) Failure to submit a new or updated risk assessment before implementing a material modification or implementing a material modification before receiving FRA-approval	7,500-9,500	11,000-13,000	15,000-19,000	22,000-26,000	15,500-19,600	22,700-26,800
	<i>218.137 Annual railroad responsibilities after receipt of special approval:</i>						
218.137(a)	(a) Complete failure to submit annual report by date required	9,500-12,500	13,000-16,000	19,000 - 25,000	26,000 - 32,000	19,600-25,800	26,800-33,000
218.137(b)	(b) Annual report submitted, but missing a required item (3 or more items missing is the equivalent of a complete failure to submit the report)	2,500	5,000	5,000	10,000	5,200	10,300
218.137(c)	(c) Annual report does not include required written confirmation regarding the risk assessment, any new or updated risk assessment that is required, or any required written plan and schedule for implementing any mitigations required to address any newly identified hazards	7,500-9,500	11,000-13,000	15,000-19,000	22,000-26,000	15,500-19,600	22,700-26,800
	¹ Except as provided for in § 218.57, a penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where the circumstances warrant. See 49 CFR part 209, appendix A.						
	² Section 218.24 was added at 60 FR 11050, Mar. 1, 1995, effective May 15, 1995. At 60 FR 30469, June 9, 1995, § 218.24 was suspended, effective May 15, 1995. An alleged violation of the requirements in § 218.24 will not be cited for a civil penalty until the suspension is lifted.						
	[53 FR 52928, Dec. 29, 1988, as amended at 54 FR 5492, Feb. 3, 1989; 58 FR 43293, Aug. 16, 1993; 60 FR 11050, Mar. 1, 1995; 63 FR 11621, Mar. 10, 1998; 72 FR 51196, Sept. 6, 2007; 73 FR 8503, Feb. 13, 2008; 73 FR 79701, Dec. 30, 2008; 77 FR 24420, Apr. 24, 2012; 81 FR 43109, July 1, 2016; 83 FR 60747, Nov. 27, 2018; 89 FR 25052, Apr. 9, 2024]						
	Part 218—Railroad Operating Practices-Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
	219.3 Application:						
219.3(a)	(a) Railroad or contractor does not have required program	\$ 5,000	\$ 7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
219.3(c)	(c) Railroad or contractor improperly tests under subpart E or G of this part	2,500	5,000	5,000	10,000	5,200	10,300
	219.9 Responsibility for compliance:						
219.9(b)(1)	(b)(1) Host railroad failed to take responsibility for compliance or other railroad or contractor did not take responsive action of direction of host railroad during joint operations	5,000	7,500	10,000	15,000	10,300	15,500
	219.11 General conditions for chemical tests:						
219.11(b)(1)	(b)(1) Employee unlawfully refuses to participate in testing	2,500	5,000	5,000	10,000	5,200	10,300
219.11(b)(2)	(b)(2) Employer fails to give priority to medical treatment	3,000	8,000	6,000	16,000	6,200	16,500
219.11(b)(3)	(b)(3) Employee fails to remain available	2,500	5,000	5,000	10,000	5,200	10,300
219.11(d)	(d) Employee unlawfully required to execute a waiver of rights	2,500	5,000	5,000	10,000	5,200	10,300
219.11(e)(1)	(e)(1) Failure to direct employee to proceed to collection site as soon as possible without affecting safety	2,500	5,000	5,000	10,000	5,200	10,300
219.11(e)(3)	(e)(3) Railroad used or authorized the use of coercion to obtain specimens	5,000	7,500	10,000	15,000	10,300	15,500
219.11(g)	(g) Failure to meet supervisory training requirements or program of instruction not available or program not complete	2,500	5,000	5,000	10,000	5,200	10,300
219.11(h)	(h) Urine or blood specimens provided for Federal testing were used for non-authorized testing	2,500	5,000	5,000	10,000	5,200	10,300
	219.12 Hours-of-service laws implications:						
219.12	(a)-(d) Failure to exceed Hours of Service to conduct required testing or exceeding HOS when not authorized to conduct testing	2,500	5,000	5,000	10,000	5,200	10,300
	219.23 Railroad policies:						
219.23(a)	(a) Failure to provide written notice of FRA test	1,000	4,000	2,000	8,000	2,100	8,300
219.23(a)(1)	(a)(1) Failure to provide written notice of basis for FRA test	1,000	4,000	2,000	8,000	2,100	8,300
219.23(a)(2)	(a)(2) Use of a non-approved FRA form for mandatory post-accident toxicological testing	1,000	4,000	2,000	8,000	2,100	8,300
219.23(b)	(b) Improper use of Federal drug or alcohol testing form or use of Subpart C form for other test	1,000	4,000	2,000	8,000	2,100	8,300
219.23(c)	(c) Failure to make required educational materials available	2,500	5,000	5,000	10,000	5,200	10,300
219.23(d)	(d) Failure to provide required minimum educational content	2,500	5,000	5,000	10,000	5,200	10,300
219.23(e)	(e) Non-Federal provisions are not clearly described as independent authority	2,500	5,000	5,000	10,000	5,200	10,300
	219.25 Previous employer drug and alcohol checks:						
219.25(a)(1)	(a)(1) Failure to conduct previous employer drug and alcohol check or failure to provide response to previous employer when requested	2,500	5,000	5,000	10,000	5,200	10,300
219.25(a)(2)	(a)(2) Failure to perform and complete FRA and DOT-required background checks in a timely manner	2,500	5,000	5,000	10,000	5,200	10,300
219.25(a)(3)	(a)(3) Failure to document due diligence in completing FRA and DOT-required background checks	2,500	5,000	5,000	10,000	5,200	10,300
219.25(b)	(b) Failure to comply with § 240.119(c) (for engineers) or § 242.115(e) (for conductors) of this chapter regarding the consideration of Federal alcohol and drug violations that occurred within a period of 60 consecutive months prior to the review of the person's records	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart B—Prohibitions						
	219.101 Alcohol and drug use prohibited:						
219.101(a)	(a) Railroad with actual knowledge of use, possession or impairment from alcohol or controlled substances permits employee to go on duty or remain on duty	NA	10,000	NA	20,000	NA	20,600
	219.103 Prescribed and over-the-counter drugs:						
219.103(a)	(a) Failure to train employee properly on requirements	2,500	5,000	5,000	10,000	5,200	10,300
	219.104 Responsive action:						
219.104(a)	(a) Failure to remove employee from regulated service immediately	5,000	7,500	10,000	15,000	10,300	15,500
219.104(b)	(b) Failure to provide written notice for removal	2,500	5,000	5,000	10,000	5,200	10,300
219.104(c)	(c) Failure to provide prompt hearing within 10 calendar days	2,500	5,000	5,000	10,000	5,200	10,300
219.104(d)	(d) Employee improperly returned to regulated service	5,000	7,500	10,000	15,000	10,300	15,500
219.104(e)	(e) Failure to ensure certified locomotive engineers and conductors received required follow-up testing minimums as per § 240.119(d)(2) and § 242.115(f)(2) of this chapter	2,500	5,000	5,000	10,000	5,200	10,300
	219.105 Railroad's duty to prevent violations:						
219.105(a)	(a) Employee improperly permitted to remain in regulated service	7,500	10,000	15,000	20,000	15,500	20,600
219.105(b)	(b) Failure to exercise due diligence to assure compliance with prohibition	5,000	7,500	10,000	15,000	10,300	15,500

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219.105(d)	(d) Failure to conduct and record minimum number of Rule G observations	2,500	5,000	5,000	10,000	5,200	10,300
	219.107 Consequences of unlawful refusal:						
219.107(a)	(a) Failure to disqualify an employee for nine months following a refusal	5,000	7,500	10,000	15,000	10,300	15,500
219.107(b)	(b) Fail to provide written notice of withdrawal to employee	2,500	5,000	5,000	10,000	5,200	10,300
219.107(c)	(c) Employee unlawfully returned to service	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart C—Post-Accident Toxicological Testing						
	219.201 Events for which testing is required:						
219.201(a)	(a) Failure to test after qualifying event (each regulated employee not tested is a violation)	5,000	7,500	10,000	15,000	10,300	15,500
219.201(c)(1)(i)	(c)(1)(i) Failure to make good faith determination	5,000	7,500	10,000	15,000	10,300	15,500
219.201(c)(1)(ii)	(c)(1)(ii) Failure to provide requested decision report to FRA	2,500	5,000	5,000	10,000	5,200	10,300
219.201(c)(2)	(c)(2) Testing performed after non-qualifying event	5,000	10,000	10,000	20,000	10,300	20,600
	219.203 Responsibilities of railroads and employees:						
219.203(a)(1)(i)	(a)(1)(i) and (a)(2)(i) Failure to properly test/exclude from testing	5,000	7,500	10,000	15,000	10,300	15,500
219.203(a)(2)(i)	(a)(1)(i) and (a)(2)(i) Failure to properly test/exclude from testing	5,000	7,500	10,000	15,000	10,300	15,500
219.203(a)(1)(ii)	(a)(1)(ii) and (a)(2)(ii) Non-regulated service employee tested	5,000	7,500	10,000	15,000	10,300	15,500
219.203(a)(2)(ii)	(a)(1)(ii) and (a)(2)(ii) Non-regulated service employee tested	5,000	7,500	10,000	15,000	10,300	15,500
219.203(b)(1)	(b)(1) Delay in obtaining specimens due to failure to make every reasonable effort	2,500	5,000	5,000	10,000	5,200	10,300
219.203(c)	(c) Independent medical facility not utilized	2,500	5,000	5,000	10,000	5,200	10,300
219.203(d)	(d) Failure to report event or contact FRA when intervention required	1,000	3,000	2,000	6,000	2,100	6,200
219.203(d)(1)	(d)(1) Failure to collect specimens in a timely manner	2,500	5,000	5,000	10,000	5,200	10,300
219.203(e)(2)	(e)(2) Failure to recall employee for testing when conditions met	2,500	5,000	5,000	10,000	5,200	10,300
219.203(e)(5)	(e)(5) Failure to document why employee could not be recalled	2,500	5,000	5,000	10,000	5,200	10,300
219.203(f)(1)	(f)(1) Specimen collection not completed at an independent medical facility	2,500	5,000	5,000	10,000	5,200	10,300
	219.205 Specimen collection and handling:						
219.205(a)	(a) Failure to observe requirements with respect to specimen collection, marking and handling	2,500	5,000	5,000	10,000	5,200	10,300
219.205(b)	(b) Failure to provide properly prepared forms with specimens	2,500	5,000	5,000	10,000	5,200	10,300
219.205(d)	(d) Failure to promptly or properly forward specimens	2,500	5,000	5,000	10,000	5,200	10,300
	219.207 Fatality:						
219.207(a)	(a) Failure to collect specimens	5,000	7,500	10,000	15,000	10,300	15,500
219.207(a)(1)	(a)(1) Failure to ensure timely collection and shipment of required specimens	2,500	5,000	5,000	10,000	5,200	10,300
219.207(b)	(b) Failure to request assistance when necessary	2,500	5,000	5,000	10,000	5,200	10,300
	219.209 Reports of tests and refusals:						
219.209(a)(1)	(a)(1) Failure to provide telephonic report	1,000	2,000	2,000	4,000	2,100	4,100
219.209(b)	(b) Failure to provide written report of refusal to test	1,000	2,000	2,000	4,000	2,100	4,100
219.209(c)	(c) Failure to maintain report explaining why test not conducted within 4 hours	1,000	2,000	2,000	4,000	2,100	4,100
	219.211 Analysis and follow-up:						
219.211(c)	(c) Failure of the MRO to report MRO downgrades and/or verified non-negative results to FRA in a timely manner	2,500	5,000	5,000	10,000	5,200	10,300
219.211(g)(3)	(g)(3) Unauthorized withholding of regulated employee out of regulated service pending receipt of PAT testing results	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart D—Reasonable Suspicion Testing						
	219.301 Mandatory reasonable suspicion testing:						
219.301(a)	(a) Failure to conduct breath alcohol test when reasonable suspicion testing criteria met or conduct breath alcohol test under reasonable suspicion when criteria not met	5,000	7,500	10,000	15,000	10,300	15,500
219.301(b)	(b) Failure to conduct drug test when reasonable suspicion testing criteria met or conduct drug test under reasonable suspicion when criteria not met	5,000	7,500	10,000	15,000	10,300	15,500
	219.303 Testing when reasonable suspicion criteria not met:						
219.303(a)	(a) Failure to use a trained supervisor when conducting a reasonable suspicion determination for alcohol	2,500	5,000	5,000	10,000	5,200	10,300
219.303(b)	(b) Failure to use two supervisors, one of which must have been trained, when conducting a reasonable suspicion determination for drugs	2,500	5,000	5,000	10,000	5,200	10,300
219.303(c)	(c) Improperly holding employee out of service	2,500	5,000	5,000	10,000	5,200	10,300
219.303(d)	(d) Failure to provide adequate written documentation for the reasons for a reasonable suspicion test	2,500	5,000	5,000	10,000	5,200	10,300

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	219.305 Prompt specimen collections; time limitations:						
219.305(a)	(a) Fail to promptly conduct test	2,500	5,000	5,000	10,000	5,200	10,300
219.305(b)	(b) Failure to document why test not administered within time limits	2,500	5,000	5,000	10,000	5,200	10,300
219.305(c)	(c) Improper recall of employee	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart E—Reasonable Cause Testing						
	219.401 Authorization for reasonable cause testing:						
219.401(a)	(a) Failure to declare which authority (Federal or company) is being used for reasonable cause testing	2,500	5,000	5,000	10,000	5,200	10,300
219.401(b)	(b) Testing conducted after regulated employee is released from duty	2,500	5,000	5,000	10,000	5,200	10,300
	219.403 Requirements for reasonable cause testing:						
219.403(a)	(a) Testing when event did not meet the criteria for train accident or train incident	2,500	5,000	5,000	10,000	5,200	10,300
219.403(b)	(b) Testing when event did not meet the criteria for rule violation	2,500	5,000	5,000	10,000	5,200	10,300
	219.405 Documentation requirements:						
219.405(a)	(a) Failure to provide adequate written documentation for the reasons for a reasonable cause test	1,000	2,500	2,000	5,000	2,100	5,200
219.405(b)	(b) Failure to document specific type of rule violation and the involvement of each tested regulated employee	1,000	2,500	2,000	5,000	2,100	5,200
	219.407 Prompt Specimen Collection; Time Limitations:						
219.407(a)	(a) Failure to perform a test in a timely	2,500	5,000	5,000	10,000	5,200	10,300
219.407(b)	(b) Failure to document why test not administered within time limits	1,000	2,500	2,000	5,000	2,100	5,200
219.407(c)	(c) Improper recall of employee	2,500	5,000	5,000	10,000	5,200	10,300
	219.409 Limitations on authority:						
219.409(b)	(b) Improper withholding of regulated employee from regulated service pending test results	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart F—Pre-Employment Tests						
	219.501 Pre-employment drug testing:						
219.501(a)	(a) Failure to conduct a Federal pre-employment test before a final applicant or employee transfer performs regulated service	2,500	5,000	5,000	10,000	5,200	10,300
219.501(b)	(b) Failure to conduct a Federal pre-employment test before an employee of a contractor performs regulated service	2,500	5,000	5,000	10,000	5,200	10,300
219.501(c)	(c) Pre-employment testing of grandfathered regulated employee	1,000	2,500	2,000	5,000	2,100	5,200
	219.502 Pre-employment alcohol testing:						
219.502(a)(1)	(a)(1) Failure to conduct alcohol testing of a regulated employee after choosing to perform Federal pre-employment alcohol testing	2,500	5,000	5,000	10,000	5,200	10,300
219.502(a)(2)	(a)(2) Failure to treat all regulated employees the same for purposes of Federal pre-employment alcohol testing	2,500	5,000	5,000	10,000	5,200	10,300
	219.503 Notification; records:						
219.503	Failure to notify the applicant in writing of non-negative test results or refusal	1,000	2,500	2,000	5,000	2,100	5,200
	Subpart G—Random Alcohol and Drug Testing Programs						
	219.601 Purpose and scope of random testing programs:						
219.601(b)	(b) Failure to ensure regulated employee is subject to random testing	2,500	5,000	5,000	10,000	5,200	10,300
219.601(c)	(c) Contractor or volunteer not included in random testing while subject to performing regulated service	2,500	5,000	5,000	10,000	5,200	10,300
219.601(d)(1)	(d)(1) Regulated employee not subject to random testing at minimum rate set by agency covering more than 50% of employee's regulated functions	2,500	5,000	5,000	10,000	5,200	10,300
	219.605 Submission and approval of random testing plans:						
219.605(a)(1)	(a)(1) Failure to obtain FRA approval of random testing program	2,500	5,000	5,000	10,000	5,200	10,300
219.605(c)	(c) Failure to implement random testing plan within 30 days of notification of FRA approval	2,500	5,000	5,000	10,000	5,200	10,300
219.605(d)(1)	(d)(1) Failure to implement substantive plan amendment within 30 days of notification of FRA approval, or failure to obtain FRA approval before implementing substantive plan amendment before implementation	2,500	5,000	5,000	10,000	5,200	10,300
219.605(d)(2)	(d)(2) Failure to submit non-substantive plan amendment before implementation	2,500	5,000	5,000	10,000	5,200	10,300
	219.607 Requirements for random testing plans:						
219.607(a)	(a) Railroad implementation failed to comply with approved plan	2,500	5,000	5,000	10,000	5,200	10,300
219.607(c)	(c) Failure to contain required plan elements	2,500	5,000	5,000	10,000	5,200	10,300
	219.609 Inclusion of contractor employees and volunteers in random testing plans:						

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219.609(a)	(a) Failure to demonstrate that regulated service contractor employees and volunteers are subject to random testing	2,500	5,000	5,000	10,000	5,200	10,300
219.609(c)	(c) Failure to ensure regulated service contractor and volunteers are tested in accordance with this subpart	2,500	5,000	5,000	10,000	5,200	10,300
	219.611 Random drug and alcohol and drug testing pools:						
219.611(a)	(a) Failure of railroad to ensure that all regulated employees including contractors and volunteers are included in random testing pools	2,500	5,000	5,000	10,000	5,200	10,300
219.611(b)(2)	(b)(2) Improper criteria for pool entries which allows for employer discretion over who is to be tested	2,500	5,000	5,000	10,000	5,200	10,300
219.611(b)(3)	(b)(3) Failure to construct and maintain pool entries that will ensure regulated employees have an equal chance of being selected randomly for each draw	2,500	5,000	5,000	10,000	5,200	10,300
219.611(c)	(c) Maintaining a random testing pool with less than four pool entries	2,500	5,000	5,000	10,000	5,200	10,300
219.611(d)(1)	(d)(1) Failure to ensure that pools do not contain non-regulated employees	2,500	5,000	5,000	10,000	5,200	10,300
219.611(d)(2)	(d)(2) Regulated employee included in more than one DOT random pool	2,500	5,000	5,000	10,000	5,200	10,300
219.611(d)(3)	(d)(3) Failure to maintain pools and/or pool entries that meet FRA/DOT regulations	2,500	5,000	5,000	10,000	5,200	10,300
219.611(d)(5)	(d)(5) Failure to add or remove regulated employees to or from the proper random pool in a timely manner	2,500	5,000	5,000	10,000	5,200	10,300
219.611(e)(2)	(e)(2) Failure to remove employees who perform de minimis service from pools which include employees who perform regulated service on a regular basis	2,500	5,000	5,000	10,000	5,200	10,300
219.611(f)	(f) Failure to have an effective mechanism to update and maintain pools	2,500	5,000	5,000	10,000	5,200	10,300
	219.613 Random testing selections:						
219.613(b)(1)	(b)(1) Failure to use an FRA-acceptable selection procedure	2,500	5,000	5,000	10,000	5,200	10,300
219.613(b)(2)	(b)(2) Failure to ensure every regulated employee has an equal chance at being selected at each draw	2,500	5,000	5,000	10,000	5,200	10,300
219.613(b)(3)	(b)(3) Failure to have necessary documentation verifying the selection process for testing window	2,500	5,000	5,000	10,000	5,200	10,300
219.613(c)(1)	(c)(1) Failure to select pool entries at a rate which ensures compliance with FRA required random rates or fail to reasonably distribute selections throughout the selection year	2,500	5,000	5,000	10,000	5,200	10,300
219.613(d)	(d) Railroad failed to select at least one entry from each of its random testing pools every three months	2,500	5,000	5,000	10,000	5,200	10,300
219.613(e)	(e) Railroad discarded selection draws without an acceptable explanation	2,500	5,000	5,000	10,000	5,200	10,300
219.613(g)	(g) Failure to capture and maintain electronic or hard copy snapshot of each random testing pool at the time it makes a testing selection	2,500	5,000	5,000	10,000	5,200	10,300
	219.615 Random testing collections:						
219.615(a)	(a) Failure to comply with minimum annual random collection testing rates	2,500	5,000	5,000	10,000	5,200	10,300
219.615(b)	(b) Failure to test selections within the approved testing window	2,500	5,000	5,000	10,000	5,200	10,300
219.615(c)(1)	(c)(1) Testing a regulated employee while not on duty or testing a regulated employee not randomly selected or testing a non-regulated employee	2,500	5,000	5,000	10,000	5,200	10,300
219.615(c)(2)	(c)(2) Failure to distribute collections reasonably throughout all shifts, days of the week, weeks of the month, and months of the year	2,500	5,000	5,000	10,000	5,200	10,300
219.615(c)(3)	(c)(3) Failure to perform at least 10% of its random alcohol tests at the beginning of shifts and at least 10% of random alcohol tests at the end of shifts	2,500	5,000	5,000	10,000	5,200	10,300
219.615(e)(1)	(e)(1) Advance notification given to employees selected for testing	2,500	5,000	5,000	10,000	5,200	10,300
219.615(e)(2)	(e)(2) Fail to begin collection within two hours of notice of random selection without an acceptable reason for the delay	2,500	5,000	5,000	10,000	5,200	10,300
219.615(f)	(f) Failure to test a selection without an FRA-acceptable reason	2,500	5,000	5,000	10,000	5,200	10,300
219.615(g)(1)	(g)(1) Fail to immediately terminate random collection due to hours of service expiration	2,500	5,000	5,000	10,000	5,200	10,300
	219.617 Participation in random alcohol and drug testing:						
219.617(a)(1)	(a)(1) Failure to test regulated employee when properly selected for random test	2,500	5,000	5,000	10,000	5,200	10,300
219.617(a)(2)	(a)(2) Failure to restrict regulated employee from performing regulated service prior to completion of random testing	2,500	5,000	5,000	10,000	5,200	10,300
219.617(a)(3)	(a)(3) Improperly excused without substantiated medical emergency	2,500	5,000	5,000	10,000	5,200	10,300
	219.621 Use of Service Agents:						
219.621(g)	(g) Improper use a service agent to notify a regulated employee that they have been selected for random testing	2,500	5,000	5,000	10,000	5,200	10,300
	219.623 Records						
219.623(a)	(a) Failure of railroads to meet recordkeeping requirements	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
219.623(g)	(g) Failure of contractors and service agents to provide required random testing records when requested by the contracting railroad or FRA	2,500	5,000	5,000	10,000	5,200	10,300
	219.625 FRA Administrator's determination of random alcohol and drug rates						
219.625(d)	(d) Failure to meet the required FRA random testing rate for drugs	2,500	5,000	5,000	10,000	5,200	10,300
219.625(e)	(e) Failure to meet the required FRA random testing rate for alcohol	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart H—Drug and Alcohol Testing Procedures						
	219.701 Standards for drug and alcohol testing:						
219.701(a)	(a) Failure to comply with part 40 procedures in subpart B, D, E, F, G and K testing	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart I—Annual Report						
	219.800 Annual Reports:						
219.800(a)	(a) Failure to submit MIS report on time	2,500	5,000	5,000	10,000	5,200	10,300
219.800(c)	(c) Failure to submit accurate MIS report	2,500	5,000	5,000	10,000	5,200	10,300
219.800(d)	(d) Failure to include required data	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart J—Recordkeeping Requirements						
	219.901 Retention of alcohol and drug testing records:						
219.901(a)	(a) Failure to maintain records required to be kept by part 40 of this chapter	2,500	5,000	5,000	10,000	5,200	10,300
219.901(b)	(b) Failure to maintain records required to be kept for five years	2,500	5,000	5,000	10,000	5,200	10,300
219.901(c)	(c) Failure to maintain records required to be kept for two years	2,500	5,000	5,000	10,000	5,200	10,300
	219.903 Access to facilities and records:						
219.903(a)	(a) Failure to release records in this subpart in accordance with part 40 of this chapter	2,500	5,000	5,000	10,000	5,200	10,300
219.903(b)	(b) Failure to permit access to facilities	2,500	5,000	5,000	10,000	5,200	10,300
219.903(c)	(c) Failure to provide access to results of railroad alcohol and drug testing programs	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart K—Referral Programs						
	219.1001 Requirement for referral programs:						
219.1001(b)(1)	(b)(1) Failure to adopt or implement required self-referral program or alternate program that meets the requirements of this subpart	2,500	5,000	5,000	10,000	5,200	10,300
219.1001(b)(2)	(b)(2) Failure to adopt or implement required co-worker referral program or alternate program that meets the requirements of subpart K of this part	2,500	5,000	5,000	10,000	5,200	10,300
219.1001(d)	(d) Violation of referral program prohibitions	2,500	5,000	5,000	10,000	5,200	10,300
	219.1003 Referral program conditions:						
219.1003(a)	(a) Failure to comply with referral program conditions	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(b)	(b) Failure to maintain employment	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(c)	(c) Failure to disqualify regulated employee when referral conditions not met	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(d)	(d) Use of unqualified DAC	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(e)	(e) Allowing person evaluated as having active substance abuse disorder to perform regulated service	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(f)	(f) Breach of confidentiality	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(g)	(g) Failure to allow recommended leave of absence	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(h)(1)	(h)(1)-(3) Failure to meet return to service conditions	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(h)(2)	(h)(1)-(3) Failure to meet return to service conditions	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(h)(3)	(h)(1)-(3) Failure to meet return to service conditions	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(h)(4)	(h)(4) Failure to return to service when conditions met	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(i)	(i) Improper modification to rehabilitation plan	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(l)	(l) Failure to complete DAC evaluation within time limit	2,500	5,000	5,000	10,000	5,200	10,300
219.1003(m)	(m) Exceeding 24 month time limit on aftercare when not associated with a substantiated part 219 violation	2,500	5,000	5,000	10,000	5,200	10,300
	219.1007 Alternate programs:						
219.1007(c)	(c) Failure to obtain FRA approval of alternate program	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The FRA Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation, including ones not listed in this penalty schedule, where circumstances warrant. See 49 CFR part 209, appendix A.						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	² The penalty schedule uses section numbers from 49 CFR part 219; and if more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties. For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation.						
	[81 FR 37944, June 10, 2016, as amended at 83 FR 60747, Nov. 27, 2018; 88 FR 1114, Jan. 6, 2023]						
	Part 219—Control of Alcohol and Drug Use-Schedule of Penalties						
	Penalty Schedule¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
220.9	220.9 Requirements for trains	\$ 5,000	\$ 7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
220.11	220.11 Requirements for roadway workers	5,000	7,500	10,000	15,000	10,300	15,500
	220.21 Railroad Operating rules; radio communications						
220.21(a)	(a)	5,000	7,500	10,000	15,000	10,300	15,500
220.21(b)	(b)	2,500	5,000	5,000	10,000	5,200	10,300
220.23	220.23 Publication of radio information	2,500	5,000	5,000	10,000	5,200	10,300
220.25	220.25 Instruction of employees	5,000	7,500	10,000	15,000	10,300	15,500
220.27	220.27 Identification	1,000	2,000	2,000	4,000	2,100	4,100
220.29	220.29 Statement of letters and numbers	1,000	2,000	2,000	4,000	2,100	4,100
220.31	220.31 Initiating a transmission	1,000	2,000	2,000	4,000	2,100	4,100
220.33	220.33 Receiving a transmission	1,000	2,000	2,000	4,000	2,100	4,100
220.35	220.35 Ending a transmission	1,000	2,000	2,000	4,000	2,100	4,100
220.37	220.37 Voice test	5,000	7,500	10,000	15,000	10,300	15,500
220.39	220.39 Continuous monitoring	2,500	5,000	5,000	10,000	5,200	10,300
	220.41 [Reserved]						
220.43	220.43 Communication consistent with the rules	2,500	5,000	5,000	10,000	5,200	10,300
220.45	220.45 Complete communications	2,500	5,000	5,000	10,000	5,200	10,300
220.47	220.47 Emergencies	2,500	5,000	5,000	10,000	5,200	10,300
220.49	220.49 Switching, backing or pushing	5,000	7,500	10,000	15,000	10,300	15,500
220.51	220.51 Signal indications	5,000	7,500	10,000	15,000	10,300	15,500
220.61	220.61 Radio transmission of mandatory directives	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart C—Electronic Devices						
220.302	220.302 Operating rules	9,500	17,000	19,000	34,000	19,600	35,100
220.303	220.303 General; interfering with safety-related duties	9,500	17,000	19,000	34,000	19,600	35,100
220.305	220.305 Personal electronic device turned on while prohibited	5,500	10,000	11,000	20,000	11,400	20,600
220.305(a)	(a)-(c) Personal device in use while prohibited	9,500	17,000	19,000	34,000	19,600	35,100
220.305(b)		9,500	17,000	19,000	34,000	19,600	35,100
220.305(c)		9,500	17,000	19,000	34,000	19,600	35,100
220.307	220.307 Railroad-supplied device turned on while prohibited	5,500	10,000	11,000	20,000	11,400	20,600
220.307(a)	(a) Use not authorized by railroad in writing	9,500	17,000	19,000	34,000	19,600	35,100
220.307(b)	(b)-(d) Railroad-supplied devices in use while prohibited	9,500	17,000	19,000	34,000	19,600	35,100
220.307(c)		9,500	17,000	19,000	34,000	19,600	35,100
220.307(d)		9,500	17,000	19,000	34,000	19,600	35,100
	220.311 Railroad operating employees in deadhead status:						
220.311(a)	(a)	9,500	17,000	19,000	34,000	19,600	35,100
220.311(b) (turned on)	(b) Devices turned on while prohibited; or	5,500	10,000	11,000	20,000	11,400	20,600
220.311(b) (in use)	device in use while prohibited	9,500	17,000	19,000	34,000	19,600	35,100
	220.313 Program of instruction:						
220.313(a)	(a)-(d)	9,500	17,000	19,000	34,000	19,600	35,100

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
220.313(b)		9,500	17,000	19,000	34,000	19,600	35,100
220.313(c)		9,500	17,000	19,000	34,000	19,600	35,100
220.313(d)		9,500	17,000	19,000	34,000	19,600	35,100
	220.315 Operational tests and inspections:						
220.315(a)		9,500	17,000	19,000	34,000	19,600	35,100
220.315(b)	(a)-(b)	9,500	17,000	19,000	34,000	19,600	35,100
	¹ A penalty may be assessed against and only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² The penalty schedule uses section numbers from 49 CFR part 220. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						
	[63 FR 47195, Sept. 4, 1998, as amended at 69 FR 30593, May 28, 2004; 73 FR 79702, Dec. 30, 2008; 75 FR 59604, Sept. 27, 2010; 77 FR 24420, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60747, Nov. 27, 2018]						
	Part 220—Railroad Communications-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	221.13 Marking device display:						
221.13(a)	(a) device not present, not displayed, or not properly illuminated	\$ 5,000	\$ 7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
221.13(d)	(d) device too close to rail	1,000	2,000	2,000	4,000	2,100	4,100
221.14	221.14 Marking devices: Use of unapproved or noncomplying device	2,500	5,000	5,000	10,000	5,200	10,300
	221.15 Marking device inspection:						
221.15(a)	(a) Failure to inspect at crew change	2,500	5,000	5,000	10,000	5,200	10,300
221.15(b)	(b), (c) improper inspection	2,500	5,000	5,000	10,000	5,200	10,300
221.15(c)	(b), (c) improper inspection	2,500	5,000	5,000	10,000	5,200	10,300
	221.16 Inspection procedure:						
221.16(a)	(a) Failure to obtain protection	5,000	7,500	10,000	15,000	10,300	15,500
221.16(b)	(b) Improper protection	2,500	5,000	5,000	10,000	5,200	10,300
	221.17 Movement of defective equipment	1	1	1	1	1	1
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A. Where the conditions for movement of defective equipment set forth in §221.17 of this part are not met, the movement constitutes a violation of §221.13 of this part.						
	[53 FR 52930, Dec. 29, 1988, as amended at 69 FR 62818, Oct. 28, 2004; 73 FR 79702, Dec. 30, 2008; 77 FR 24420, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60747, Nov. 27, 2018]						
	Part 221—Rear End Marking Device - Passenger, Commuter and Freight Trains- Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Use of Locomotive Horns						
	§ 222.21 Use of locomotive horn						
222.21(a)	(a) Failure to sound horn at grade crossing	\$ 5,000	\$ 7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
222.21(a) (improper pattern)	Failure to sound horn in proper pattern	1,000	3,000	2,000	6,000	2,100	6,200
222.21(b)	(b) Failure to sound horn at least 15 seconds and less than 1/4-mile before crossing	5,000	7,500	10,000	15,000	10,300	15,500
222.21(b) (more than 25 seconds)	Sounding the locomotive horn more than 25 seconds before crossing	1,000	2,000	2,000	4,000	2,100	4,100
222.21(b) (more than .25 mile in advance)	Sounding the locomotive horn more than 1/4-mile in advance of crossing	1,000	2,000	2,000	4,000	2,100	4,100
222.33	§ 222.33 Failure to sound horn when conditions of § 222.33 are not met	5,000	7,500	10,000	15,000	10,300	15,500
222.45	§ 222.45 Routine sounding of the locomotive horn at quiet zone crossing	5,000	7,500	10,000	15,000	10,300	15,500
222.49(b)	§ 222.49(b) Failure to provide Grade Crossing Inventory Form information	2,500	5,000	5,000	10,000	5,200	10,300
222.59(d)	§ 222.59(d) Routine sounding of the locomotive horn at a grade crossing equipped with wayside horn	5,000	7,500	10,000	15,000	10,300	15,500
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[71 FR 47634, Aug. 17, 2006, as amended at 73 FR 79702, Dec. 30, 2008. Redesignated at 74 FR 46394, Sept. 9, 2009 as amended at 77 FR 24420, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60747, Nov. 27, 2018]						
	Part 222—Use of Locomotive Horns at Public Highway-Rail Grade Crossings- Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	223.9 Requirements for equipment built or rebuilt after June 30, 1980.						
223.9(a)	(a) Locomotives	\$ 2,500	\$ 5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
223.9(b)	(b) Cabooses	2,500	5,000	5,000	10,000	5,200	10,300
223.9(c)	(c) Passenger cars	2,500	5,000	5,000	10,000	5,200	10,300
223.11(c)	223.11(c) Requirements for locomotives built or rebuilt prior to July 1, 1980.	2,500	5,000	5,000	10,000	5,200	10,300
223.11(d)	(d) Repair of window	1,000	2,000	2,000	4,000	2,100	4,100
223.13(c)	223.13(c) Requirements for cabooses built or rebuilt prior to July 1, 1980.	2,500	5,000	5,000	10,000	5,200	10,300
223.13(d)	(d) Repair of window	1,000	2,000	2,000	4,000	2,100	4,100
223.15(c)	223.15(c) Requirements for passenger cars built or rebuilt prior to July 1, 1980.	2,500	5,000	5,000	10,000	5,200	10,300
223.15(d)	(d) Repair of window	1,000	2,000	2,000	4,000	2,100	4,100
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 U.S.C. 21301, 21304, and 49 CFR part 209, appendix A. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						
	[63 FR 24676, May 4, 1998, as amended at 69 FR 30594, May 28, 2004; 73 FR 6400, Feb. 1, 2008; 73 FR 79702, Dec. 30, 2008; 77 FR 24420, Apr. 24, 2012; 81 FR 6790, Feb. 9, 2016; 81 FR 43110, July 1, 2016; 83 FR 60747, Nov. 27, 2018; 87 FR 68913, Nov. 17, 2022]						
	Part 223—Safety Glazing Standards - Locomotives, Passenger Cars and Cabooses-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Application, Inspection, and Maintenance of Retroreflective Material						
	§ 224.103 Characteristics of retroreflective sheeting:						
224.103	(a)-(d) Retroreflective sheeting applied does not meet the requirements of § 224.103	\$ 2,500	\$ 5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
	§ 224.105 Sheeting dimensions and quantity:						
224.105	Failure to apply minimum amount of retroreflective sheeting in accordance with Table 2	2,500	5,000	5,000	10,000	5,200	10,300
	Applying retroreflective sheeting of wrong dimensions	2,500	5,000	5,000	10,000	5,200	10,300
	§ 224.106 Location of retroreflective sheeting:						
224.106	(a), (b)Applying retroreflective sheeting in nonconforming pattern	2,000	4,000	4,000	8,000	4,100	8,300
	§ 224.107 Implementation schedule:						
224.107	(a)(1), (b)(1) Failure to apply retroreflective sheeting to new freight car or locomotive before equipment placed in service	5,000	7,500	10,000	15,000	10,300	15,500
	(a)(2), (b)(2), (b)(4) Failure to apply retroreflective sheeting to existing freight car or locomotive in accordance with minimum schedule of paragraphs (a)(2), (b)(2), or (b)(4)	5,000	7,500	10,000	15,000	10,300	15,500
	§ 224.109 Inspection, repair, and replacement:						
224.109(a) (no inspection)	(a) Failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
224.109(a) (no notification)	Failure to properly notify car owner of defect	2,500	5,000	5,000	10,000	5,200	10,300
224.109(a) (no retention of notice)	Failure to retain written notification of defect for two years	1,500	2,500	3,000	5,000	3,100	5,200
224.109(a) (no repaired defect)	Failure to repair defect after notification	5,000	7,500	10,000	15,000	10,300	15,500
224.109(b)	(b) Failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to repair defect	5,000	7,500	10,000	15,000	10,300	15,500
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[70 FR 62176, Oct. 28, 2005, as amended at 73 FR 79702, Dec. 30, 2008; 77 FR 24420, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60747, Nov. 27, 2018]						
	Part 224—Reflectorization of Rail Freight Rolling Stock-Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
225.6	225.6: Failure to comply with consolidated reporting requirements	\$ 2,500	\$ 5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
	225.9:						
225.9(1)	(1) Failure to report	2,500	5,000	5,000	10,000	5,200	10,300
225.9(2)	(2) Failure to immediately report	1,000	2,000	2,000	4,000	2,100	4,100
225.9(3)	(3) Failure to accurately report	1,000	2,000	2,000	4,000	2,100	4,100
	225.11:						
225.11(1)	(1) Failure to report accident/incident	2,500	5,000	5,000	10,000	5,200	10,300
225.11(1)(a)	(a) Highway-rail grade crossing	2,500	5,000	5,000	10,000	5,200	10,300
225.11(1)(b)	(b) Rail Equipment	2,500	5,000	5,000	10,000	5,200	10,300
225.11(1)(c)	(c) Death, Injury, or occupational illness	2,500	5,000	5,000	10,000	5,200	10,300
225.11(2)	(2) Report is incomplete	1,000	2,000	2,000	4,000	2,100	4,100
225.12	225.12: Failure to file Railroad Employee Human Factor form	2,500	5,000	5,000	10,000	5,200	10,300
	(a) Failure to file Railroad Employee Human Factor Attachment correctly:						
225.12(a)(1)	(1) Employee identified	2,500	5,000	5,000	10,000	5,200	10,300
225.12(a)(2)	(2) No employee identified	1,000	2,000	2,000	4,000	2,100	4,100
	(b)						
225.12(b)(1)	(1) Failure to notify employee properly	2,500	5,000	5,000	10,000	5,200	10,300
225.12(b)(2)	(2) Notification of employee not involved in accident	2,500	5,000	5,000	10,000	5,200	10,300
225.12(c)	(c) Failure of employing railroad to provide requested information properly	1,000	2,000	2,000	4,000	2,100	4,100
	(d)						
225.12(d)(1)	(1) Failure to revise report	2,500	5,000	5,000	10,000	5,200	10,300
225.12(d)(2)	(2) Failure to notify after late identification	2,500	5,000	5,000	10,000	5,200	10,300
225.12(f)	(f) Submission of notice if employee dies as result of the reported accident	2,500	5,000	5,000	10,000	5,200	10,300
225.12(g)	(g) Willfully false accident statement by employee	NA	5,000	NA	10,000	NA	10,300
	225.13:						
225.13(1)	(1) Failure to Late reports	2,500	5,000	5,000	10,000	5,200	10,300
225.13(2)	(2) Failure to Review Employee Statement	2,500	5,000	5,000	10,000	5,200	10,300
225.13(3)	(3) Failure to Amend Report	1,000	2,000	2,000	4,000	2,100	4,100
225.18	225.18: Alcohol or drug involvement	2,500	5,000	5,000	10,000	5,200	10,300
	225.23: Joint operations	1	1	1	1	1	1
	225.25:						
225.25(1)	(1) Recordkeeping	2,500	5,000	5,000	10,000	5,200	10,300
225.25(2)	(2) Failure to post list	1,000	2,000	2,000	4,000	2,100	4,100
225.25(3)	(3) Posting Prohibited Information	1,000	2,000	2,000	4,000	2,100	4,100
225.25(4)	(4) Missing fields	1,000	2,000	2,000	4,000	2,100	4,100
	225.27:						
225.27(1)	(1) Failure to retain records	1,000	2,000	2,000	4,000	2,100	4,100
225.27(2)	(2) Failure to retain electronic receipt	1,000	2,000	2,000	4,000	2,100	4,100
225.27(3)	(3) Failure to comply with electronic recordkeeping requirements	1,000	2,000	2,000	4,000	2,100	4,100

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
225.27(4)	(4) Failure to provide access to records	1,000	2,000	2,000	4,000	2,100	4,100
	225.33:						
225.33(1)	(1) Failure to adopt Internal Control Plan or more than two missing/outdated/incorrect components	2,500	5,000	5,000	10,000	5,200	10,300
225.33(2)	(2) Internal Control Plan with less than three missing/outdated/incorrect components	1,000	2,000	2,000	4,000	2,100	4,100
225.33(3)	(3) Failure to comply with Internal Control Plan	2,500	5,000	5,000	10,000	5,200	10,300
225.33(4)	(4) Failure to comply with the intimidation/harassment policy in Internal Control Plan	2,500	5,000	5,000	10,000	5,200	10,300
225.33(5)	(5) Failure to comply with requirements associated with Form FRA F 6180.150	2,500	5,000	5,000	10,000	5,200	10,300
225.35	225.35: Access to records and reports	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A. A failure to comply with §225.23 constitutes a violation of §225.11. For purposes of §§225.25 and 225.27 of this part, each of the following constitutes a single act of noncompliance: (1) A missing or incomplete log entry for a particular employee's injury or illness; or (2) a missing or incomplete log record for a particular rail equipment accident or incident. Each day a violation continues is a separate offense.						
	² The penalty schedule uses section numbers from 49 CFR part 225. If more than one item is listed as a type of violation of a given section, each item is also designated by a "penalty code," which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						
	[75 FR 68909, Nov. 9, 2010, as amended at 77 FR 24421, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60748, Nov. 27, 2018]						
	Part 225—Railroad Accidents/Incidents: Reports Classification, and Investigations-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
	227.3 Application:						
227.3(b)(4)	(b)(4) Failure to meet the required conditions for foreign railroad operations	\$2,500	\$5,000	\$5,000	\$10,000	\$ 5,200	\$ 10,300
	Subpart B—General Requirements						
	227.103 Noise monitoring program:						
227.103(a)	(a) Failure to develop and/or implement a noise monitoring program	7,500	10,000	15,000	20,000	15,500	20,600
227.103(b)	(b) Failure to use sampling as required	2,500	5,000	5,000	10,000	5,200	10,300
227.103(c)	(c) Failure to integrate sound levels and/or make noise measurements as required	2,500	5,000	5,000	10,000	5,200	10,300
227.103(d)	(d) Failure to repeat noise monitoring where required	2,500	5,000	5,000	10,000	5,200	10,300
227.103(e)	(e) Failure to consider work environments where hearing protectors may be omitted	2,500	5,000	5,000	10,000	5,200	10,300
227.103(f)	(f) Failure to provide opportunity to observe monitoring	2,000	4,000	4,000	8,000	4,100	8,300
	(g) Reporting of Monitoring Results:						
227.103(g)(1)	(1) Failure to notify monitored employee	2,500	5,000	5,000	10,000	5,200	10,300
227.103(g)(2)	(2) Failure to post results as required	2,500	5,000	5,000	10,000	5,200	10,300
	227.105 Protection of employees:						
227.105(a)	(a) Failure to provide appropriate protection to exposed employee	7,500	10,000	15,000	20,000	15,500	20,600
227.105(b)	(b) Failure to observe and document source(s) of noise exposures	2,500	5,000	5,000	10,000	5,200	10,300
227.105(c)	(c)-(d) Failure to protect employee from impermissible continuous noise	5,000	7,500	10,000	15,000	10,300	15,500
227.105(d)		5,000	7,500	10,000	15,000	10,300	15,500
	227.107 Hearing conservation program:						
227.107(a)	(a) Failure to administer a HCP	7,500	10,000	15,000	20,000	15,500	20,600
227.107(b)	(b) Failure to compute noise exposure as required	3,500	7,000	7,000	14,000	7,200	14,500
	227.109 Audiometric testing program:						
227.109(a)	(a) Failure to establish and/or maintain an audiometric testing program	7,500	10,000	15,000	20,000	15,500	20,600
227.109(b)	(b) Failure to provide audiometric test at no cost to employee	2,500	5,000	5,000	10,000	5,200	10,300
227.109(c)	(c) Failure to have qualified person perform audiometric test	2,500	5,000	5,000	10,000	5,200	10,300
	(d) [Reserved]						
227.109(e)	(e) Failure to establish baseline audiogram as required	3,500	7,000	7,000	14,000	7,200	14,500
227.109(f)	(f) Failure to offer and/or require periodic audiograms as required	2,500	5,000	5,000	10,000	5,200	10,300
227.109(g)	(g) Failure to evaluate audiogram as required	2,500	5,000	5,000	10,000	5,200	10,300
227.109(h)	(h) Failure to comply with follow-up procedures as required	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
227.109(i)	(i) Failure to use required method for revising baseline audiograms	2,500	5,000	5,000	10,000	5,200	10,300
	227.111 Audiometric test requirements:						
227.111(a)	(a) Failure to conduct test as required	2,500	5,000	5,000	10,000	5,200	10,300
227.111(b)	(b) Failure to use required equipment	2,500	5,000	5,000	10,000	5,200	10,300
227.111(c)	(c) Failure to administer test in room that meets requirements	2,500	5,000	5,000	10,000	5,200	10,300
227.111(d)	(d) Complete failure to calibrate	5,000	7,500	10,000	15,000	10,300	15,500
227.111(d)(1)	(1) Failure to perform daily calibration as required	2,000	4,000	4,000	8,000	4,100	8,300
227.111(d)(2)	(2) Failure to perform annual calibration as required	2,000	4,000	4,000	8,000	4,100	8,300
227.111(d)(3)	(3) Failure to perform exhaustive calibration as required	2,000	4,000	4,000	8,000	4,100	8,300
	227.115 Hearing protectors (HP):						
227.115(a)	(a) Failure to comply with general requirements	3,000	6,000	6,000	12,000	6,200	12,400
227.115(b)	(b) Failure to make HP available as required	2,500	5,000	5,000	10,000	5,200	10,300
227.115(c)	(c) Failure to require use of HP at action level	5,000	7,500	10,000	15,000	10,300	15,500
227.115(d)	(d) Failure to require use of HP at TWA of 90 dB(A)	5,000	7,500	10,000	15,000	10,300	15,500
	227.117 Hearing protector attenuation:						
227.117(a)	(a) Failure to evaluate attenuation as required	2,500	5,000	5,000	10,000	5,200	10,300
227.117(b)	(b)-(c) Failure to attenuate to required level	2,500	5,000	5,000	10,000	5,200	10,300
227.117(c)		2,500	5,000	5,000	10,000	5,200	10,300
227.117(d)	(d) Failure to re-evaluate attenuation	2,500	5,000	5,000	10,000	5,200	10,300
	227.119 Training program:						
227.119(a)	(a) Failure to institute a training program as required	5,000	7,500	10,000	15,000	10,300	15,500
227.119(b)	(b) Failure to provide training within required time frame	2,500	5,000	5,000	10,000	5,200	10,300
227.119(c)	(c) Failure of program and/or training materials to include required information	2,500	5,000	5,000	10,000	5,200	10,300
	227.121 Recordkeeping:						
	(a) General Requirements:						
227.121(a)(1)	(1) Failure to make record available as required	2,500	5,000	5,000	10,000	5,200	10,300
227.121(a)(3)	(3) Failure to transfer or retain records as required	2,000	4,000	4,000	8,000	4,100	8,300
	(b)-(f) Records:						
227.121(b)(1)	(1) Failure to maintain record or failure to maintain record with required information	2,000	4,000	4,000	8,000	4,100	8,300
227.121(b)(2)	(2) Failure to retain records for required time period	2,000	4,000	4,000	8,000	4,100	8,300
227.121(c)(1)	(1) Failure to maintain record or failure to maintain record with required information	2,000	4,000	4,000	8,000	4,100	8,300
227.121(c)(2)	(2) Failure to retain records for required time period	2,000	4,000	4,000	8,000	4,100	8,300
227.121(d)(1)	(1) Failure to maintain record or failure to maintain record with required information	2,000	4,000	4,000	8,000	4,100	8,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
227.121(d)(2)	(2) Failure to retain records for required time period	2,000	4,000	4,000	8,000	4,100	8,300
227.121(e)(1)	(1) Failure to maintain record or failure to maintain record with required information	2,000	4,000	4,000	8,000	4,100	8,300
227.121(e)(2)	(2) Failure to retain records for required time period	2,000	4,000	4,000	8,000	4,100	8,300
227.121(f)(1)	(1) Failure to maintain record or failure to maintain record with required information	2,000	4,000	4,000	8,000	4,100	8,300
227.121(f)(2)	(2) Failure to retain records for required time period	2,000	4,000	4,000	8,000	4,100	8,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[71 FR 63123, Oct. 27, 2006, as amended at 73 FR 79703, Dec. 30, 2008; 77 FR 24421, Apr. 24, 2012; 81 FR 43110, July 1, 2016; 83 FR 60748, Nov. 28, 2018]						
	Part 227—Occupational Safety and Health in the Locomotive Cab (Schedule of Civil Penalties)						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B Records and Reporting						
228.7	228.7 Hours of duty	1,000	2,000	2,000	4,000	2,100	4,100
228.9	228.9 Railroad records	1,000	2,000	2,000	4,000	2,100	4,100
228.11	228.11 Hours of duty records	1,000	2,000	2,000	4,000	2,100	4,100
228.17	228.17 Dispatcher's record of train movements	1,000	2,000	2,000	4,000	2,100	4,100
228.19	228.19 Monthly reports of excess service	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart D Electronic Recordkeeping System and Automated Recordkeeping System						
228.201	228.201 Electronic recordkeeping system and automated recordkeeping system; general	NA	NA	NA	NA	4,100	8,300
228.203	228.203 Program components	NA	NA	NA	NA	4,100	8,300
228.205	228.205 Access to electronic records	NA	NA	NA	NA	4,100	8,300
228.206	228.206 Requirements for automated records and for automated recordkeeping systems on eligible smaller railroads, and their contractors or subcontractors that provide covered service employees to such railroads	NA	NA	NA	NA	4,100	8,300
228.207	228.207 Training.	NA	NA	NA	NA	2,100	4,100
	Subpart F Substantive Hours of Service Requirements for Train Employees Engaged in Commuter or Intercity Rail Passenger Transportation						
228.405	228.405 Limitations on duty hours of train employees engaged in commuter or intercity rail passenger transportation	NA	NA	NA	NA	5,200	10,300
228.407	228.407 Analysis of work schedules; submissions; FRA review and approval of submissions; fatigue mitigation plans	NA	NA	NA	NA	2,100	4,100
228.409	228.409 Requirements for railroad-provided employee sleeping quarters during interim releases and other periods available for rest within a duty tour	NA	NA	NA	NA	2,100	4,100
228.411	228.411 Training	NA	NA	NA	NA	2,100	4,100
228.413	228.413 Compliance date for regulations; exemption from compliance with statute	NA	NA	NA	NA	2,100	4,100
	Statutory Hours of Service (49 U.S.C. Ch. 211)						
49 U.S.C. 21103	49 U.S.C. 21103 - Limitations on duty hours of train employees	NA	NA	NA	NA	5,200	10,300
49 U.S.C. 21104	49 U.S.C. 21104 - Limitations on duty hours of signal employees	NA	NA	NA	NA	5,200	10,300
49 U.S.C. 21105	49 U.S.C. 21105 - Limitations on duty hours of dispatching service employees	NA	NA	NA	NA	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[53 FR 52931, Dec. 29, 1988, as amended at 69 FR 30594, May 28, 2004; 73 FR 79703, Dec. 30, 2008; 77 FR 24421, Apr. 24, 2012; 81 FR 43111, July 1, 2016; 83 FR 60748, Nov. 27, 2018]						
	Part 228—Passenger Train Employee Hours of Service; Recordkeeping and Reporting; Sleeping Quarters and Statutory Hours of Service (49 U.S.C. ch. 211)						
	Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
229.7	229.7 Prohibited acts: Safety deficiencies not governed by specific regulations: To be assessed on relevant facts	\$1,000-5,000	\$2,000-7,500	\$2,000-10,000	\$4,000-15,000	\$2,100-10,300	\$4,100-15,500
	229.9 Movement of noncomplying locomotives	1	1	1	1	1	1
229.11	229.11 Locomotive identification	1,000	2,000	2,000	4,000	2,100	4,100
229.13	229.13 Control of locomotives	2,500	5,000	5,000	10,000	5,200	10,300
229.15	229.15 Remote control locomotives	2,500	5,000	5,000	10,000	5,200	10,300
229.17	229.17 Accident reports	2,500	5,000	5,000	10,000	5,200	10,300
	229.19 Prior Waivers	1	1	1	1	1	1
	Subpart B—Inspection and tests						
	229.21 Daily inspection:						
	(a)(b):						
229.21(a)(1)	(1) Inspection overdue	2,000	4,000	4,000	8,000	4,100	8,300
229.21(a)(2)	(2) Inspection report not made, improperly executed, or not retained	1,000	2,000	2,000	4,000	2,100	4,100
229.21(b)(1)	(1) Inspection overdue	2,000	4,000	4,000	8,000	4,100	8,300
229.21(b)(2)	(2) Inspection report not made, improperly executed, or not retained	1,000	2,000	2,000	4,000	2,100	4,100
229.21(c)	(c) Inspection not performed by a qualified person	1,000	2,000	2,000	4,000	2,100	4,100
229.22	229.22 Passenger locomotive inspection and repair record	NA	NA	NA	NA	2,100	4,100
229.22(b)	(b) Failure to use or maintain Form FRA F 6180-49AP	NA	NA	NA	NA	2,100	4,100
	229.23 Periodic inspection General:						
229.23(a)(1)	(a)(1) Inspection overdue	2,500	5,000	5,000	10,000	5,200	10,300
229.23(a)(2)	(a)(2) Inspection performed improperly or at a location where the underneath portion cannot be safely inspected	2,500	5,000	5,000	10,000	5,200	10,300
229.23(b)(1)	(b)(1) Inspection overdue	2,500	5,000	5,000	10,000	5,200	10,300
229.23(b)(2)	(b)(2) Inspection overdue	2,500	5,000	5,000	10,000	5,200	10,300
229.23(c)	(c) Inspection overdue	2,500	5,000	5,000	10,000	5,200	10,300
	(e):						
229.23(e)(1)	(1) Form missing	1,000	2,000	2,000	4,000	2,100	4,100
229.23(e)(2)	(2) Form not properly displayed	1,000	2,000	2,000	4,000	2,100	4,100
229.23(e)(3)	(3) Form improperly executed	1,000	2,000	2,000	4,000	2,100	4,100
	(f) Replace Form FRA F 6180.49A by April 2 or July 3						
229.23(g)	(g) Secondary record of the information reported Form FRA F 6180.49A	1,000	2,000	2,000	4,000	2,100	4,100
	229.25 Tests: every periodic inspection:						
229.25	(a) through (d)(4) and (e) and (f) Tests	2,500	5,000	5,000	10,000	5,200	10,300
229.25(d)(5)	(d)(5) Ineffective maintenance	8,000	16,000	16,000	32,000	16,500	33,000
229.27	229.27 Annual tests	2,500	5,000	5,000	10,000	5,200	10,300
229.29	229.29 Biennial tests	2,500	5,000	5,000	10,000	5,200	10,300
	229.31:						
229.31(a)	(a) Biennial hydrostatic tests of main reservoirs	2,500	5,000	5,000	10,000	5,200	10,300

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229.31(b)	(b) Biennial hammer tests of main reservoirs	2,500	5,000	5,000	10,000	5,200	10,300
229.31(c)	(c) Drilled telltale holes in welded main reservoirs	2,500	5,000	5,000	10,000	5,200	10,300
229.31(d)	(d) Biennial tests of aluminum main reservoirs	2,500	5,000	5,000	10,000	5,200	10,300
229.33	229.33 Out-of-use credit	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Safety Requirements						
229.41	229.41 Protection against personal injury	2,500	5,000	5,000	10,000	5,200	10,300
229.43	229.43 Exhaust and battery gases	2,500	5,000	5,000	10,000	5,200	10,300
229.45	229.45 General condition: To be assessed based on relevant facts	1,000-5,000	2,000-7,500	2,000-10,000	4,000-15,000	2,100-10,300	4,100-15,500
229.46	229.46 Brakes: General	2,500	5,000	5,000	10,000	5,200	10,300
229.47	229.47 Emergency brake valve	2,500	5,000	5,000	10,000	5,200	10,300
	229.49 Main reservoir system:						
229.49(a)(1)	(a)(1) Main reservoir safety valve	2,500	5,000	5,000	10,000	5,200	10,300
229.49(a)(2)	(2) Pneumatically actuated control reservoir	2,500	5,000	5,000	10,000	5,200	10,300
229.49(b)	(b)(c) Main reservoir governors	2,500	5,000	5,000	10,000	5,200	10,300
229.49(c)	(b)(c) Main reservoir governors	2,500	5,000	5,000	10,000	5,200	10,300
229.51	229.51 Aluminum main reservoirs	2,500	5,000	5,000	10,000	5,200	10,300
229.53	229.53 Brake gauges	2,500	5,000	5,000	10,000	5,200	10,300
229.55	229.55 Piston travel	2,500	5,000	5,000	10,000	5,200	10,300
229.57	229.57 Foundation brake gear	2,500	5,000	5,000	10,000	5,200	10,300
229.59	229.59 Leakage	2,500	5,000	5,000	10,000	5,200	10,300
229.61	229.61 Draft system	2,500	5,000	5,000	10,000	5,200	10,300
229.63	229.63 Lateral motion	2,500	5,000	5,000	10,000	5,200	10,300
229.64	229.64 Plain bearing	2,500	5,000	5,000	10,000	5,200	10,300
229.65	229.65 Spring rigging	2,500	5,000	5,000	10,000	5,200	10,300
229.67	229.67 Trucks	2,500	5,000	5,000	10,000	5,200	10,300
229.69	229.69 Side bearings	2,500	5,000	5,000	10,000	5,200	10,300
229.71	229.71 Clearance above top of rail	2,500	5,000	5,000	10,000	5,200	10,300
229.73	229.73 Wheel sets	2,500	5,000	5,000	10,000	5,200	10,300
	229.75 Wheel and tire defects:						
	(a),(d) Slid flat or shelled spot(s):						
229.75(a)(1)	(1) One spot 21/2" or more but less than 3" in length	2,500	5,000	5,000	10,000	5,200	10,300
229.75(a)(2)	(2) One spot 3" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
229.75(a)(3)	(3) Two adjoining spots each of which is 2" or more in length but less than 21/2" in length	2,500	5,000	5,000	10,000	5,200	10,300
229.75(a)(4)	(4) Two adjoining spots each of which are at least 2" in length, if either spot is 21/2" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
229.75(d)(1)	(1) One spot 21/2" or more but less than 3" in length	2,500	5,000	5,000	10,000	5,200	10,300
229.75(d)(2)	(2) One spot 3" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
229.75(d)(3)	(3) Two adjoining spots each of which is 2" or more in length but less than 21/2" in length	2,500	5,000	5,000	10,000	5,200	10,300

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229.75(d)(4)	(4) Two adjoining spots each of which are at least 2" in length, if either spot is 2 1/2" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
	(b) Gouge or chip in flange of:						
229.75(b)(1)	(1) more than 1 1/2" but less than 1 5/8" in length; and more than 1/2" but less than 5/8" in width	2,500	5,000	5,000	10,000	5,200	10,300
229.75(b)(2)	(2) 1 5/8" or more in length and 5/8" or more in width	5,000	7,500	10,000	15,000	10,300	15,500
229.75(c)	(c) Broken rim	5,000	7,500	10,000	15,000	10,300	15,500
229.75(e)	(e) Seam in tread	2,500	5,000	5,000	10,000	5,200	10,300
	(f) Flange thickness of:						
229.75(f)(1)	(1) 7/8" or less but more than 1 3/16"	2,500	5,000	5,000	10,000	5,200	10,300
229.75(f)(2)	(2) 1 3/16" or less	5,000	7,500	10,000	15,000	10,300	15,500
229.75(g)	(g) Tread worn hollow	2,500	5,000	5,000	10,000	5,200	10,300
	(h) Flange height of:						
229.75(h)(1)	(1) 1 1/2" or greater but less than 1 5/8"	2,500	5,000	5,000	10,000	5,200	10,300
229.75(h)(2)	(2) 1 5/8" or more	5,000	7,000	10,000	14,000	10,300	14,500
229.75(i)	(i) Tire thickness	2,500	5,000	5,000	10,000	5,200	10,300
	(j) Rim thickness:						
229.75(j)(1)	(1) Less than 1" in road service and 3/4" in yard service	2,500	5,000	5,000	10,000	5,200	10,300
229.75(j)(2)	(2) 1 5/16" or less in road service and 1 1/16" in yard service	5,000	7,500	10,000	15,000	10,300	15,500
	(k) Crack of less than 1"	5,000	7,500	10,000	15,000	10,300	15,500
229.75(k)(1)	(1) Crack of less than 1"	2,500	5,000	5,000	10,000	5,200	10,300
229.75(k)(2)	(2) Crack of 1" or more	5,000	7,500	10,000	15,000	10,300	15,500
229.75(k)(3)	(3) Break	5,000	7,500	10,000	15,000	10,300	15,500
229.75(l)	(l) Loose wheel or tire	5,000	7,500	10,000	15,000	10,300	15,500
229.75(m)	(m) Welded wheel or tire	5,000	7,500	10,000	15,000	10,300	15,500
229.77	229.77 Current collectors	2,500	5,000	5,000	10,000	5,200	10,300
229.79	229.79 Third rail shoes and beams	2,000	4,000	4,000	8,000	4,100	8,300
229.81	229.81 Emergency pole; shoe insulation	2,500	5,000	5,000	10,000	5,200	10,300
229.83	229.83 Insulation or grounding	5,000	7,500	10,000	15,000	10,300	15,500
229.85	229.85 Door and cover plates marked "Danger"	2,500	5,000	5,000	10,000	5,200	10,300
229.87	229.87 Hand operated switches	2,500	5,000	5,000	10,000	5,200	10,300
	229.89 Jumpers; cable connections:						
229.89(a)	(a) Jumpers and cable connections; located and guarded	2,500	5,000	5,000	10,000	5,200	10,300
229.89(b)	(b) Condition of jumpers and cable connections	2,500	5,000	5,000	10,000	5,200	10,300
229.91	229.91 Motors and generators	2,500	5,000	5,000	10,000	5,200	10,300
229.93	229.93 Safety cut-off device	2,500	5,000	5,000	10,000	5,200	10,300
229.95	229.95 Venting	2,500	5,000	5,000	10,000	5,200	10,300
229.97	229.97 Grounding fuel tanks	2,500	5,000	5,000	10,000	5,200	10,300
229.99	229.99 Safety hangers	2,500	5,000	5,000	10,000	5,200	10,300
	229.101 Engines:						
229.101(a)	(a) Temperature and pressure alarms, controls, and switches	2,500	5,000	5,000	10,000	5,200	10,300
229.101(b)	(b) Warning notice	2,500	5,000	5,000	10,000	5,200	10,300
229.101(c)	(c) Wheel slip/slide protection	2,500	5,000	5,000	10,000	5,200	10,300

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229.103	229.103 Safe working pressure; factor of safety	2,500	5,000	5,000	10,000	5,200	10,300
229.105	229.105 Steam generator number	1,000	1,500	2,000	3,000	2,100	3,100
229.107	229.107 Pressure gauge	2,500	5,000	5,000	10,000	5,200	10,300
229.109	229.109 Safety valves	2,500	5,000	5,000	10,000	5,200	10,300
229.111	229.111 Water-flow indicator	2,500	5,000	5,000	10,000	5,200	10,300
229.113	229.113 Warning notice	2,500	5,000	5,000	10,000	5,200	10,300
229.114	229.114 Steam generator inspections and tests	2,500	5,000	5,000	10,000	5,200	10,300
229.115	229.115 Slip/slide alarms	2,500	5,000	5,000	10,000	5,200	10,300
229.117	229.117 Speed indicators	2,500	5,000	5,000	10,000	5,200	10,300
	229.119 Cabs, floors, and passageways:						
229.119(a)(1)	(a)(1) Cab seat not securely mounted or braced	2,500	5,000	5,000	10,000	5,200	10,300
229.119(a)(2)	(2) Insecure or improper latching device	2,500	5,000	5,000	10,000	5,200	10,300
229.119(b)	(b) Cab windows of lead locomotive	2,500	5,000	5,000	10,000	5,200	10,300
229.119(c)	(c) Floors, passageways, and compartments	2,500	5,000	5,000	10,000	5,200	10,300
229.119(d)	(d) Ventilation and heating arrangement	2,500	5,000	5,000	10,000	5,200	10,300
229.119(e)	(e) Continuous barrier	2,500	5,000	5,000	10,000	5,200	10,300
229.119(f)	(f) Containers for fuses and torpedoes	2,500	5,000	5,000	10,000	5,200	10,300
229.119(g)	(g) Failure to equip	2,500	5,000	5,000	10,000	5,200	10,300
229.119(h)	(h) Failure to maintain	2,500	5,000	5,000	10,000	5,200	10,300
229.119(i)	(i) Failure to equip	2,500	5,000	5,000	10,000	5,200	10,300
	229.121 Locomotive Cab Noise:						
	(a) Performance Standards						
229.121(a)(1)	(1) Failure to meet sound level	5,000	7,500	10,000	15,000	10,300	15,500
229.121(a)(2)	(2) Improper maintenance alterations	2,500	5,000	5,000	10,000	5,200	10,300
229.121(a)(3)	(3) Failure to comply with static test protocols	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Maintenance of Locomotives						
229.121(b)(1)	(1) Failure to maintain excessive noise report record or respond to report as required	2,500	5,000	5,000	10,000	5,200	10,300
229.121(b)(3)	(3) Failure to make good faith effort as required	2,500	5,000	5,000	10,000	5,200	10,300
229.121(b)(4)	(4) Failure to maintain record as required	2,000	4,000	4,000	8,000	4,100	8,300
229.123	229.123 Pilots, snowplows, end plates	2,500	5,000	5,000	10,000	5,200	10,300
	229.125						
229.125(a)	(a) Headlights	2,500	5,000	5,000	10,000	5,200	10,300
229.125(d)	(d) Auxiliary lights	2,500	5,000	5,000	10,000	5,200	10,300
229.127	229.127 Cab lights	2,500	5,000	5,000	10,000	5,200	10,300
	229.129 Locomotive horn:						
229.129(a)	(a) Prescribed sound levels	2,500	5,000	5,000	10,000	5,200	10,300
	Arrangement of horn	2,500	5,000	5,000	10,000	5,200	10,300
229.129(b)	(b) Failure to perform sound level test	2,500	5,000	5,000	10,000	5,200	10,300
229.129(c) (test improper)	(c) Sound level test improperly performed	2,500	5,000	5,000	10,000	5,200	10,300
229.129(c) (test record defect)	Record of sound level test improperly executed, or not retained	1,000	4,000	2,000	8,000	2,100	8,300
229.131	229.131 Sanders	1,000	2,000	2,000	4,000	2,100	4,100
	229.135 Event Recorders:						

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229.135(a)	(a) Lead locomotive without in-service event recorder	2,500	5,000	5,000	10,000	5,200	10,300
229.135(b)	(b) Failure to meet equipment requirements	2,500	5,000	5,000	10,000	5,200	10,300
229.135(c)	(c) Unauthorized removal or failure to remove from service	2,500	5,000	5,000	10,000	5,200	10,300
229.135(d)	(d) Improper response to out of service event recorder	2,500	5,000	5,000	10,000	5,200	10,300
229.135(e)	(e) Failure to preserve data or unauthorized extraction of data	2,500	5,000	5,000	10,000	5,200	10,300
229.135(g)	(g) Tampering with device or data	2,500	5,000	5,000	10,000	5,200	10,300
	229.136 Locomotive image and audio recording devices						
	(a) Duty to equip and record						
229.136(a)(1)	(1) Lead locomotive used in passenger service not equipped with image recording system	NA	NA	NA	NA	20,600	31,000
229.136(a)(1)(i)	(i) Image recording system not turned on and recording when train in motion	NA	NA	NA	NA	20,600	31,000
229.136(a)(1)(ii)	(ii) Controlling locomotive, when other than lead locomotive, without system turned on and recording	NA	NA	NA	NA	10,300	20,600
229.136(a)(1)(iii)(A)	(iii)(A) Both cabs of dual-cab locomotive not equipped with inward- and outward-facing image recording system	NA	NA	NA	NA	10,300	20,600
229.136(a)(1)(iii)(B)	(iii)(B) Image recordings from the active cab or leading end of dual-cab locomotive not retained	NA	NA	NA	NA	10,300	20,600
229.136(a)(3)	(3) Presence of image or audio recording system not noted in REMARKS section of Form F 6180-49AP	NA	NA	NA	NA	2,100	4,100
229.136(a)(4)	(4) Image recording system does not record most recent 12 hrs of locomotive's operation	NA	NA	NA	NA	5,200	10,300
229.136(a)(5)	(5) Image recording device data not recorded on required memory mod	NA	NA	NA	NA	10,300	20,600
229.136(b)	(b) Outward-facing image recording system does not meet requirements	NA	NA	NA	NA	10,300	20,600
	(c) Inward-facing image recording system						
229.136(c)(1)	(1) System does not meet requirements	NA	NA	NA	NA	10,300	20,600
229.136(c)(2)	(2) Recording locomotive's sanitation compartment	NA	NA	NA	NA	20,600	31,000
	(d) Image and audio recording system protection requirements						
229.136(d)(1)	(1) Recordings are downloaded by unauthorized personnel	NA	NA	NA	NA	20,600	31,000
229.136(d)(2)	(2) Recording system has inadequate security protections	NA	NA	NA	NA	10,300	20,600
229.136(e)(1)	(1) Image recording system does not have self-monitoring features	NA	NA	NA	NA	5,200	10,300
229.136(e)(2)	(2) Failure to conduct proper annual testing of image recording system	NA	NA	NA	NA	10,300	20,600
	(f) Handling of recordings						
229.136(f)(1)	(1) Failure to adopt, maintain and/or comply with chain-of-custody procedures for the handling and release of locomotive image recordings	NA	NA	NA	NA	5,200	10,300
229.136(f)(2)	(2) Failure to preserve or provide data properly for reportable accident/incident	NA	NA	NA	NA	10,300	20,600
229.136(f)(3)	(3) Improper use of locomotive image and/or audio recordings	NA	NA	NA	NA	10,300	20,600

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229.136(g)	(g) Installing locomotive image recording system without FRA approval	NA	NA	NA	NA	10,300	20,600
	(i) Removal of device from service and handling for repair						
229.136(i)(1)	(1) Failure to remove from service image recording device or system not properly recording	NA	NA	NA	NA	5,200	10,300
229.136(i)(2)	(2) Failure to note image recording device or system out of service in REMARKS section of Form F 6180-49AP	NA	NA	NA	NA	2,100	4,100
229.136(i)(3)	(3) Operating a locomotive with an image recording device or system taken out of service, except as provided	NA	NA	NA	NA	5,200	10,300
	(j) Disabling or interfering with locomotive-mounted audio and video recording equipment						
229.136(j)(1)	(1) Disabling or interfering with functioning of locomotive-mounted image or audio recording system equipment	NA	NA	NA	NA	20,600	31,000
229.136(j)(2)	(2) Tampering with or altering locomotive-mounted image or audio recording system data	NA	NA	NA	NA	20,600	31,000
	229.137 Sanitation, general:						
229.137(a)	(a) Sanitation compartment in lead unit, complete failure to provide required items	5,000	10,000	10,000	20,000	10,300	20,600
229.137(a)(1)	(1) Ventilation	2,500	5,000	5,000	10,000	5,200	10,300
229.137(a)(2)	(2) Door missing	2,000	4,000	4,000	8,000	4,100	8,300
229.137(a)(2)(i)	(2)(i) Door doesn't close	1,000	2,000	2,000	4,000	2,100	4,100
229.137(a)(2)(ii)	(2)(ii) No modesty lock	1,000	2,000	2,000	4,000	2,100	4,100
229.137(a)(3)	(3) Not equipped with toilet in lead	5,000	10,000	10,000	20,000	10,300	20,600
229.137(a)(4)	(4) Not equipped with washing system	1,000	2,000	2,000	4,000	2,100	4,100
229.137(a)(5)	(5) Lack of paper	1,000	2,000	2,000	4,000	2,100	4,100
229.137(a)(6)	(6) Lack of trash receptacle	1,000	2,000	2,000	4,000	2,100	4,100
	(b) Exceptions:						
229.137(b)(1)(i)	(1)(i) Commuter service, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(1)(ii)	(1)(ii) Switching service, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(1)(iii)	(1)(iii) Transfer service, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(1)(iv)	(1)(iv) Class III, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(1)(v)	(1)(v) Tourist, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(1)(vi)	(1)(vi) Control cab locomotive, failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(b)(2)	(2) Noncompliant toilet	5,000	10,000	10,000	20,000	10,300	20,600
229.137(c)	(c) Defective/unsanitary toilet in lead unit	2,500	5,000	5,000	10,000	5,200	10,300
	(1-5) Failure to meet conditions of exception	2,500	5,000	5,000	10,000	5,200	10,300
229.137(d)	(d) Defective/unsanitary unit; failure to meet conditions for trailing position	2,500	5,000	5,000	10,000	5,200	10,300
229.137(e)	(e) Defective/sanitary unit; failure to meet conditions for switching/transfer service	2,500	5,000	5,000	10,000	5,200	10,300
229.137(f)	(f) Paper, washing, trash holder; failure to equip prior to departure	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
229.137(g)	(g) Inadequate ventilation; failure to repair or move prior to departure	2,500	5,000	5,000	10,000	5,200	10,300
229.137(h)	(h) Door closure/modesty lock; failure to repair or move	1,000	2,000	2,000	4,000	2,100	4,100
229.137(i)	(i) Failure to retain/maintain of equipped units	2,500	5,000	5,000	10,000	5,200	10,300
229.137(j)	(j) Failure to equip new units/in-cab facility	2,500	5,000	5,000	10,000	5,200	10,300
229.137(k)	(k) Failure to provide potable water	2,500	5,000	5,000	10,000	5,200	10,300
	229.139 Servicing requirements:						
229.139(a)	(a) Lead occupied unit not sanitary	2,500	5,000	5,000	10,000	5,200	10,300
229.139(b)	(b) Components not present/operating	2,500	5,000	5,000	10,000	5,200	10,300
229.139(c)	(c) Occupied unit in switching, transfer service, in trailing position not sanitary	2,500	5,000	5,000	10,000	5,200	10,300
229.139(d)	(d) Defective unit used more than 10 days	2,500	5,000	5,000	10,000	5,200	10,300
229.139(e)	(e) Failure to repair defective modesty lock	1,000	2,000	2,000	4,000	2,100	4,100
229.140	229.140 Alerters	2,500	5,000	5,000	10,000	5,200	10,300
229.141	229.141 Body structure, MU locomotives	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart D—Locomotive Crashworthiness Design Requirements						
	229.205 General requirements:						
229.205(a)(1)	(a)(1) Wide-nose locomotive not designed in compliance with AAR S-580-2005	5,000	7,500	10,000	15,000	10,300	15,500
229.205(a)(2)	(2) Wide-nose locomotive not designed in compliance with new approved design standard	5,000	7,500	10,000	15,000	10,300	15,500
229.205(a)(3)	(3) Wide-nose locomotive not designed in compliance with alternate approved design standard	5,000	7,500	10,000	15,000	10,300	15,500
229.205(b)	(b) Monocoque or semi-monocoque locomotive not in compliance with design requirements	5,000	7,500	10,000	15,000	10,300	15,500
229.205(c)	(c) Narrow-nose not in compliance with design requirements	5,000	7,500	10,000	15,000	10,300	15,500
	229.206 Design requirements:						
	Locomotive fails to meet—						
229.206(1)	(1) Emergency egress requirements	2,500	5,000	5,000	10,000	5,200	10,300
229.206(2)	(2) Emergency interior lighting requirements	2,500	5,000	5,000	10,000	5,200	10,300
229.206(3)	(3) Interior configuration requirements	2,500	5,000	5,000	10,000	5,200	10,300
	229.213 Locomotive manufacturing information:						
229.213(a)	(a) Failure to retain required information	2,500	5,000	5,000	10,000	5,200	10,300
229.213(b)	(b) Failure to produce required information	2,500	5,000	5,000	10,000	5,200	10,300
	229.215 Retention and inspection of designs:						
229.215(a)	(a) Failure to retain required design records	2,500	5,000	5,000	10,000	5,200	10,300
229.215(b)	(b) Failure to retain required repair or modification records	2,500	5,000	5,000	10,000	5,200	10,300
229.215(c)	(c) Failure to make records available when requested	2,500	5,000	5,000	10,000	5,200	10,300
	229.217 Fuel tank:						
229.217(a)	(a) External fuel tank	5,000	7,500	10,000	15,000	10,300	15,500
229.217(b)	(b) Internal fuel tank	5,000	7,500	10,000	15,000	10,300	15,500

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	Subpart E—Locomotive Electronics						
	229.307 Safety analysis:						
229.307(a)	(a) Failure to establish and maintain a safety analysis	5,000	10,000	10,000	20,000	10,300	20,600
229.307(b)	(b) Failure to provide safety analysis upon request	2,500	5,000	5,000	10,000	5,200	10,300
229.307(c)	(c) Failure to comply with safety analysis	5,000-10,000	15,000	10,000-20,000	30,000	10,300-20,600	31,000
	229.309 Safety-critical changes and failure:						
229.309(a)(1)	(a)(1) Failure to notify FRA	1,000	2,000	2,000	4,000	2,100	4,100
229.309(a)(2)	(a)(2) Failure to update safety analysis	3,500	7,000	7,000	14,000	7,200	14,500
229.309(a)(4)	(a)(4) Failure to notify manufacturer	10,000	15,000	20,000	30,000	20,600	31,000
229.309(b)	(b) Failure to notify railroad	10,000	15,000	20,000	30,000	20,600	31,000
229.309(c)	(c) Failure to establish and maintain program	3,500	7,000	7,000	14,000	7,200	14,500
	229.311 Review of SAs:						
229.311(a)	(a) Failure to notify FRA	1,000	2,000	2,000	4,000	2,100	4,100
229.311(b)	(b) Failure to report	1,000	2,000	2,000	4,000	2,100	4,100
229.311(c)	(c) Failure to correct safety hazards	5,000-10,000	15,000	10,000-20,000	30,000	10,300-20,600	31,000
229.311(d)	(d) Failure to final report	1,000	2,000	2,000	4,000	2,100	4,100
	229.313 Product testing results and records:						
229.313(a)	(a) Failure to maintain records and database	5,000	10,000	10,000	20,000	10,300	20,600
229.313(b)	(b) Incomplete testing records	3,500	7,000	7,000	14,000	7,200	14,500
229.313(c)	(c) Improper signature	3,500	7,000	7,000	14,000	7,200	14,500
	229.315 Operations and maintenance manual:						
229.315(a)	(a) Failure to implement and maintain manual	5,000	10,000	10,000	20,000	10,300	20,600
229.315(c)	(c) Failure to document revisions	5,000	10,000	10,000	20,000	10,300	20,600
229.315(d)	(d) Failure to follow plan	5,000-10,000	15,000	10,000-20,000	30,000	10,300-20,600	31,000
	229.317 Training and qualification program:						
229.317(a)	(a) Failure to establish and implement program	5,000	10,000	10,000	20,000	10,300	20,600
229.317(b)	(b) Failure to conduct training	2,500	5,000	5,000	10,000	5,200	10,300
229.317(g)	(g) Failure to evaluate program	2,500	5,000	5,000	10,000	5,200	10,300
229.317(h)	(h) Failure to maintain records	1,500	3,000	3,000	6,000	3,100	6,200
229.319	229.319 Operating personnel training	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. Generally, when two or more violations of these regulations are discovered with respect to a single locomotive that is used by a railroad, the appropriate penalties set forth above are aggregated up to a maximum of \$36,439 per day. However, a failure to perform, with respect to a particular locomotive, any of the inspections and tests required under subpart B of this part will be treated as a violation separate and distinct from, and in addition to, any substantive violative conditions found on that locomotive. Moreover, the Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						

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	Failure to observe any condition for movement set forth in § 229.9 will deprive the railroad of the benefit of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) concerning the substantive defect(s) present on the locomotive at the time of movement. Failure to comply with § 229.19 will result in the lapse of any affected waiver.						
	[53 FR 52931, Dec. 29, 1988, as amended at 58 FR 36615, July 8, 1993; 61 FR 8888, Mar. 6, 1996; 63 FR 11622, Mar. 10, 1998; 67 FR 16052, Apr. 4, 2002; 69 FR 30594, May 28, 2004; 70 FR 21920, Apr. 27, 2005; 70 FR 37942, June 30, 2005; 71 FR 36915, June 28, 2006; 71 FR 47667, Aug. 17, 2006; 71 FR 63136, Oct. 27, 2006; 72 FR 51197, Sept. 6, 2007; 73 FR 79703, Dec. 30, 2008; 77 FR 21351, Apr. 9, 2012; 77 FR 24421, Apr. 24, 2012; 81 FR 43111, July 1, 2016; 82 FR 16134, Apr. 3, 2017; 83 FR 60748, Nov. 27, 2018; 84 FR 37059, July 31, 2019; 86 FR 1745, Jan. 11, 2021; 86 FR 23241, May 3, 2021; 87 FR 15839, Mar. 21, 2022; 88 FR 1114, Jan. 6, 2023; 88 FR 70722, Oct. 12, 2023; 88 FR 89551, Dec. 28, 2023; 89 FR 106282, Dec. 30, 2024]						
	Part 229—Railroad Locomotive Safety Standards-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
	230.11 Repair of non-complying conditions:						
230.11(a)	(a) Failure to repair non-complying steam locomotive prior to use in service	\$1,000	\$2,500	\$ 2,000	\$ 5,000	\$ 2,100	\$ 5,200
230.11(b)	(b) Failure of owner and/or operator to approve repairs made prior to use of steam locomotive	1,000	1,500	2,000	3,000	2,100	3,100
	230.12 Movement of non-complying steam locomotive:						
	230.13 Daily inspection:						
	(a) (b):						
230.13(a)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
230.13(a)(2)	(2) Inspection not performed by qualified person	1,000	1,500	2,000	3,000	2,100	3,100
230.13(b)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
230.13(b)(2)	(2) Inspection not performed by qualified person	1,000	1,500	2,000	3,000	2,100	3,100
230.13(c)	(c) Inspection report not made, improperly executed or not retained	1,000	1,500	2,000	3,000	2,100	3,100
	230.14 Thirty-one service day inspection:						
	(a):						
230.14(a)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
	(2) Inspection not performed by qualified person						
230.14(b)	(b) Failure to notify FRA	1,000	1,500	2,000	3,000	2,100	3,100
230.14(c)	(c) Inspection report not made, improperly executed, not properly filed	1,000	1,500	2,000	3,000	2,100	3,100
	230.15 Ninety-two service day inspection:						
	(a):						
230.15(a)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
230.15(a)(2)	(2) Inspection not performed by qualified person	1,000	1,500	2,000	3,000	2,100	3,100
230.15(b)	(b) Inspection report not made, improperly executed, not properly filed	1,000	1,500	2,000	3,000	2,100	3,100
	230.16 Annual inspection:						
	(a):						
230.16(a)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
230.16(a)(2)	(2) Inspection not performed by qualified person	1,000	1,500	2,000	3,000	2,100	3,100
230.16(b)	(b) Failure to notify FRA	1,000	1,500	2,000	3,000	2,100	3,100
230.16(c)	(c) Inspection report not made, improperly executed, not properly filed	1,000	1,500	2,000	3,000	2,100	3,100
	230.17 One thousand four hundred seventy-two service day inspection:						
	(a):						
230.17(a)(1)	(1) Inspection overdue	1,500	3,000	3,000	6,000	3,100	6,200
230.17(a)(2)	(2) Inspection not performed by qualified person	1,250	2,000	2,500	4,000	2,600	4,100
230.17(b)	(b) Inspection report not made, improperly executed, not properly maintained, not properly filed	1,000	1,500	2,000	3,000	2,100	3,100
	230.18 Service days:						
230.18(a)	(a) Service day record not available for inspection	1,000	1,500	2,000	3,000	2,100	3,100
230.18(b)	(b) Failure to file service day report with FRA Regional Administrator	1,000	1,500	2,000	3,000	2,100	3,100
230.18(c)	(c) Failure to complete all 1,472 service day inspection items prior to returning retired steam locomotive to service	1,500	3,000	3,000	6,000	3,100	6,200
	230.19 Posting of forms:						
	(a) FRA Form No. 1:						
230.19(a)(1)	(1) FRA Form No. 1 not properly filled out	1,000	1,500	2,000	3,000	2,100	3,100
230.19(a)(2)	(2) FRA Form No. 1 not properly displayed	1,000	1,500	2,000	3,000	2,100	3,100

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	(b) FRA Form No. 3:						
230.19(b)(1)	(1) FRA Form No. 3 not properly filled out	1,000	1,500	2,000	3,000	2,100	3,100
230.19(b)(2)	(2) FRA Form No. 3 not properly displayed	1,000	1,500	2,000	3,000	2,100	3,100
	230.20 Alteration and repair reports:						
	(a) Alterations:						
230.20(a)(1)	(1) Failure to properly file FRA Form No. 19 with FRA Regional Administrator	1,000	1,500	2,000	3,000	2,100	3,100
230.20(a)(2)	(2) FRA Form No. 19 not properly filled out	1,000	1,500	2,000	3,000	2,100	3,100
230.20(a)(3)	(3) FRA Form No. 19 not properly maintained	1,000	1,500	2,000	3,000	2,100	3,100
	(b) Repairs to unstayed portions of the boiler:						
230.20(b)(1)	(1) FRA Form No. 19 not properly filled out	1,000	1,500	2,000	3,000	2,100	3,100
230.20(b)(2)	(2) FRA Form No. 19 not properly maintained	1,000	1,500	2,000	3,000	2,100	3,100
	(c) Repairs to stayed portions of the boiler:						
230.20(c)(1)	(1) FRA Form No. 19 not properly filled out	1,000	1,500	2,000	3,000	2,100	3,100
230.20(c)(2)	(2) FRA Form No. 19 not properly maintained	1,000	1,500	2,000	3,000	2,100	3,100
230.21	230.21 Failure to properly document steam locomotive number Change	1,000	1,500	2,000	3,000	2,100	3,100
	Subpart B—Boilers and Appurtenances						
230.22	230.22 Failure to properly report accident resulting from failure of steam locomotive boiler or part or appurtenance thereof	1,500	2,500	3,000	5,000	3,100	5,200
	230.23 Responsibility for general construction and safe working pressure:						
230.23(a)	(a) Failure to properly establish safe working pressure for steam locomotive boiler	5,000	10,000	10,000	20,000	10,300	20,600
230.23(b)	(b) Placing steam locomotive in service before safe working pressure for boiler has been established	5,000	10,000	10,000	20,000	10,300	20,600
	230.24 Maximum allowable stress values on boiler components:						
230.24(a)	(a) Use of materials not of sufficient tensile strength	1,000	2,000	2,000	4,000	2,100	4,100
230.24(b)	(b) Use of a safety factor value of less than 4 when using the code of original construction in boiler calculations	2,000	4,000	4,000	8,000	4,100	8,300
	230.25 Maximum allowable stresses on stays and braces:						
230.25(a)	(a) Exceeding allowable stress values on fire box and/or combustion chamber	1,000	2,000	2,000	4,000	2,100	4,100
230.25(b)	(b) Exceeding allowable stress values on round, rectangular or gusset braces	1,000	2,000	2,000	4,000	2,100	4,100
	230.29 Inspection and repair:						
	(a):						
230.29(a)(1)	(1) Failure of owner and/or operator to inspect and repair any steam locomotive boiler and/or appurtenance under control thereof	1,500	3,000	3,000	6,000	3,100	6,200
230.29(a)(2)	(2) Failure to remove steam locomotive from service when considered necessary to do so	2,500	5,000	5,000	10,000	5,200	10,300
	(b):						
230.29(b)(1)	(1) Failure of perform repairs in accordance with accepted industry standards	2,000	4,000	4,000	8,000	4,100	8,300
230.29(b)(2)	(2) Owner and/or operator returning steam locomotive boiler and/or appurtenances to service before they are in good condition and safe and suitable for service	2,000	4,000	4,000	8,000	4,100	8,300
230.3	230.30 Lap-joint seam boilers, Failure to properly inspect	2,000	4,000	4,000	8,000	4,100	8,300
	230.31 Flues to be removed:						
	(a):						
230.31(a)(1)	(1) Failure to remove all flues when inspecting boiler	1,500	3,000	3,000	6,000	3,100	6,200
230.31(a)(2)	(2) Failure to enter boiler and clean and inspect	1,500	3,000	3,000	6,000	3,100	6,200
230.31(b)	(b) Failure to remove superheater flues when deemed necessary to do so	1,000	2,000	2,000	4,000	2,100	4,100

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	230.32 Time and method of inspection:						
230.32(a)	(a) Failure to perform 1,472 service day inspection when required to do so	1,500	3,000	3,000	6,000	3,100	6,200
230.32(b)	(b) Failure to properly inspect boiler during 1,472 service day inspection	1,500	3,000	3,000	6,000	3,100	6,200
	230.33 Welded repairs and alterations:						
230.33(a)	(a) Failure to obtain permission before welding on unstayed portions of boiler containing alloy or carbon steel with carbon content over .25 percent carbon	1,500	3,000	3,000	6,000	3,100	6,200
230.33(b)	(b) Failure to perform welding on unstayed portions of boiler containing carbon steel not exceeding .25 percent carbon in accordance with a nationally accepted standard for boiler repairs	1,500	3,000	3,000	6,000	3,100	6,200
	(c):						
230.33(c)(1)	(1) Failure to submit written request for approval before performing weld buildup on wasted areas of unstayed boiler surfaces that exceed 100 square inches or the smaller of 25 percent of minimum required wall thickness or 1/2 inch	1,500	3,000	3,000	6,000	3,100	6,200
230.33(c)(2)	(2) Repairing wasted sheets	1,500	3,000	3,000	6,000	3,100	6,200
	230.34 Riveted repairs and alterations:						
230.34(a)	(a) Failure to obtain approval before making riveted alterations on unstayed portions of the boiler; failure to do riveting in accordance with established railroad practices or accepted national standards for boiler repairs	1,500	3,000	3,000	6,000	3,100	6,200
230.34(b)	(b) Failure to perform riveted repairs on unstayed boiler portions in accordance with established railroad practices or accepted national standards for boiler repairs	1,500	3,000	3,000	6,000	3,100	6,200
230.34(c)	(c) Failure to perform riveted repairs on stayed boiler portions in accordance with established railroad practices or accepted national standards for boiler repairs	1,000	2,000	2,000	4,000	2,100	4,100
230.35	230.35 Failure to raise temperature of steam locomotive boiler to 70 degrees F. before applying hydrostatic pressure to the boiler	1,000	2,000	2,000	4,000	2,100	4,100
	230.36 Hydrostatic testing of boilers:						
230.36(a)	(a) Failure to perform hydrostatic test of boiler as required	1,500	3,000	3,000	6,000	3,100	6,200
230.36(b)	(b) Failure to properly perform hydrostatic test	1,500	3,000	3,000	6,000	3,100	6,200
230.36(c)	(c) Failure to properly inspect boiler after conducting hydrostatic test above MAWP	1,500	3,000	3,000	6,000	3,100	6,200
230.37	230.37 Failure to perform proper steam test or inspection of boiler after completion of repair or alteration to boiler	1,000	2,000	2,000	4,000	2,100	4,100
	230.38 Telltale holes:						
230.38(a)	(a) Failure to have telltale holes as required in staybolts	1,000	2,000	2,000	4,000	2,100	4,100
230.38(b)	(b) Failure to have proper telltale holes in reduced body staybolts	1,000	2,000	2,000	4,000	2,100	4,100
230.38(c)	(c) Failure to keep telltales holes when so required	1,000	2,000	2,000	4,000	2,100	4,100
	230.39 Broken staybolts:						
230.39(a)	(a) Boiler in service with excess number of broken staybolts	1,500	3,000	3,000	6,000	3,100	6,200
230.39(b)	(b) Failure to replace staybolts when required to do so; to properly replace staybolts when so required; to inspect adjacent staybolts when replacing broken staybolts	1,500	3,000	3,000	6,000	3,100	6,200
230.39(c)	(c) Failure to count leaking, plugged, or missing telltale holes as broken staybolts	1,500	3,000	3,000	6,000	3,100	6,200
230.39(d)	(d) Closing telltale holes by prohibited means	1,500	3,000	3,000	6,000	3,100	6,200
	230.40 Time and method of staybolt testing:						
230.40(a)	(a) Failure to hammer test staybolts when so required	1,000	2,000	2,000	4,000	2,100	4,100
230.40(b)	(b) Failure to properly hammer test staybolts	1,000	2,000	2,000	4,000	2,100	4,100
	230.41 Flexible staybolts with caps:						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
230.41(a)	(a) Failure to inspect flexible staybolts as required	1,000	2,000	2,000	4,000	2,100	4,100
230.41(b)	(b) Failure to replace broken flexible staybolts; failure to close inner ends of telltale holes as required	1,000	2,000	2,000	4,000	2,100	4,100
230.41(c)	(c) Failure to report removal of flexible staybolts caps and other tests on FRA Form No. 3 when so required	1,000	2,000	2,000	4,000	2,100	4,100
230.41(d)	(d) Failure to remove staybolt caps or otherwise test when FRA inspector or steam locomotive owner and/or operator consider it necessary to do so	1,000	2,000	2,000	4,000	2,100	4,100
230.42	230.42 Failure to have accurate boiler steam gauge where engine crew can conveniently read	2,000	4,000	4,000	8,000	4,100	8,300
230.43	230.43 Failure to have gauge siphon of proper capacity on steam gauge supply pipe; failure to properly clean, maintain the steam gauge supply pipe	1,000	2,000	2,000	4,000	2,100	4,100
230.44	230.44 Failure to test steam gauge when so required	1,000	2,000	2,000	4,000	2,100	4,100
230.45	230.45 Failure to properly test and/or set steam gauge	1,000	2,000	2,000	4,000	2,100	4,100
230.46	230.46 Failure to attach to boiler backhead metal badge plate showing allowable steam pressure	1,000	1,500	2,000	3,000	2,100	3,100
	230.47 Boiler Number:						
230.47	(a) (b) (c) Failure to stamp builder's number on boiler when number is known	1,000	1,500	2,000	3,000	2,100	3,100
	230.48 Number and capacity of safety relief valves:						
230.48(a)	(a) Failure to equip steam locomotive boiler with proper safety relief valves	2,500	5,000	5,000	10,000	5,200	10,300
230.48(b)	(b) Failure to provide additional safety relief valve capacity when so required	3,000	6,000	6,000	12,000	6,200	12,400
	230.49 Setting of safety relief valves:						
230.49(a)	(a) Safety relief valve(s) set and/or adjusted by person not competent to do so	2,500	5,000	5,000	10,000	5,200	10,300
230.49(b)	(b) Safety relief valve(s) not set to open at prescribed pressure(s)	2,500	5,000	5,000	10,000	5,200	10,300
230.49(c)	(c) Safety relief valve(s) not properly set	3,000	6,000	6,000	12,000	6,200	12,400
230.49(d)	(d) Set pressure of lowest safety relief valve not properly indicated	1,000	2,000	2,000	4,000	2,100	4,100
230.50	230.50 Failure to test and adjust safety relief valves when required to do so	1,500	3,000	3,000	6,000	3,100	6,200
230.51	230.51 Failure to equip steam locomotive boiler with at least 2 properly installed water glasses	1,000	2,000	2,000	4,000	2,100	4,100
230.52	230.52 Failure to properly equip water glasses	2,000	4,000	4,000	8,000	4,100	8,300
230.53	230.53 Failure to properly clean water glass valves and/or gauge cocks when required to do so	1,000	2,000	2,000	4,000	2,100	4,100
	230.54 Testing and maintenance:						
230.54(a)	(a) Failure to properly test water glasses and/or gauge cocks	1,000	2,000	2,000	4,000	2,100	4,100
230.54(b)	(b) Failure to properly maintain gauge cocks, water column drain valves, and/or water glass valves	1,500	3,000	3,000	6,000	3,100	6,200
	230.55 Tubular type water and lubricator glasses and shields:						
230.55(a)	(a) Failure to renew tubular type water glasses as required	1,000	2,000	2,000	4,000	2,100	4,100
230.55(b)	(b) Failure to properly shield tubular water glasses and/or lubricator glasses	1,000	2,000	2,000	4,000	2,100	4,100
230.55(c)	(c) Failure to properly locate and/or maintain water glasses and/or water glass shields	1,000	2,000	2,000	4,000	2,100	4,100
230.56	230.56 Failure to equip water glass with suitable lamp	1,000	2,000	2,000	4,000	2,100	4,100
	230.57 Injectors and feedwater pumps:						
230.57(a)	(a) Failure to equip steam locomotive with proper means for delivering water to the boiler	3,000	6,000	6,000	12,000	6,200	12,400
230.57(b)	(b) Failure to properly test and/or maintain injectors, feedwater pumps, boiler checks, delivery pipes, feed water pipes, tank hose, tank valves	2,500	5,000	5,000	10,000	5,200	10,300
230.57(c)	(c) Failure to properly brace injectors, feedwater pumps, and/or associated piping	1,000	2,000	2,000	4,000	2,100	4,100

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	230.58 Flue plugs:						
230.58(a)	(a) Plugging flue plugs when not otherwise permitted	1,000	2,000	2,000	4,000	2,100	4,100
230.58(b)	(b) Improperly plugging flue plugs, when otherwise permitted	1,000	2,000	2,000	4,000	2,100	4,100
230.59	230.59 Failure to remove and properly clean fusible boiler plugs when required to do so; failure to properly note removal	1,500	3,000	3,000	6,000	3,100	6,200
	230.60 Time of washing:						
230.60(a)	(a) Failure to thoroughly wash boiler when required to do so	1,000	2,000	2,000	4,000	2,100	4,100
230.60(b)	(b) Failure to remove washout plugs, arch tube plugs, thermic siphon plugs, circulator plugs, water bar plugs when washing locomotive boiler	1,500	3,000	3,000	6,000	3,100	6,200
230.60(c)	(c) Failure to examine and/or properly maintain washout plugs washout plug sleeves, threaded openings	1,500	3,000	3,000	6,000	3,100	6,200
230.60(d)	(d) Failure to clean fusible plugs when required to do so	1,500	3,000	3,000	6,000	3,100	6,200
	230.61 Arch tubes, water bar tubes, circulators and thermic siphons:						
230.61(a)	(a) Failure to clean, wash, inspect arch tubes, water bar tubes, circulators and thermic siphons as required	1,000	2,000	2,000	4,000	2,100	4,100
230.61(b)	(b) Failure to renew arch tubes, water bar tubes; failure to repair or renew circulators, thermic siphons when required	1,500	3,000	3,000	6,000	3,100	6,200
230.61(c)	(c) Failure to properly inspect and/or replace as necessary arch tubes, water bar tubes, circulators	1,500	3,000	3,000	6,000	3,100	6,200
230.62	230.62 Failure to properly inspect and/or repair or replace as necessary dry pipes subject to pressure	2,500	5,000	5,000	10,000	5,200	10,300
230.63	230.63 Failure to properly inspect smoke box, steam pipes, pressure parts when required to do so	1,500	3,000	3,000	6,000	3,100	6,200
230.64	230.64 Failure to remove from service steam locomotive boiler leaking under lagging from condition which may reduce safety and/or repair the boiler before returning to service	1,500	3,000	3,000	6,000	3,100	6,200
230.65	230.65 Failure to keep steam locomotive boiler, piping, appurtenances in repair so steam does not obscure vision	1,000	2,000	2,000	4,000	2,100	4,100
230.66	230.66 Failure to properly oversee general design, construction, maintenance of steam locomotive(s) and tender(s)	1,000	2,000	2,000	4,000	2,100	4,100
230.67	230.67 Failure to ensure all steam locomotives and tenders are properly inspected and repaired and/or all defects are properly repaired and steam locomotive and/or tender are in good condition, safe and suitable for service before being returned to service	2,500	5,000	5,000	10,000	5,200	10,300
230.68	230.68 Failure to equip steam locomotive that operates in excess of 20 miles per hour over the general system with speed indicator maintained to ensure accurate functioning	1,000	1,500	2,000	3,000	2,100	3,100
230.69	230.69 Failure to equip steam locomotive with properly supported ash pan with operating mechanism that may be safely operated and securely closed	1,000	2,000	2,000	4,000	2,100	4,100
	230.70 Safe condition:						
230.70(a)	(a) Failure to perform proper pre-departure inspection when so required	1,000	2,000	2,000	4,000	2,100	4,100
230.70(b)	(b) Failure to properly equip steam locomotive with brake pipe valve clearly identified as "Emergency Brake Valve"	1,000	2,000	2,000	4,000	2,100	4,100
	230.71 Orifice testing of air compressors:						
	(a)(b):						
230.71	Failure to properly test and/or maintain air compressor(s) capacity	1,000	2,000	2,000	4,000	2,100	4,100
	230.72 Testing main reservoirs:						

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230.72(a)	(a) Failure to properly test main reservoir(s) when required	1,000	2,000	2,000	4,000	2,100	4,100
230.72(b)	(b) Impermissibly or improperly drilling main reservoir	1,000	2,000	2,000	4,000	2,100	4,100
230.72(c)	(c) Impermissibly using NDE method to measure wall thickness of main reservoir	1,000	2,000	2,000	4,000	2,100	4,100
230.72(d)	(d) Failure to use appropriate method of NDE testing of wall thickness of welded or riveted longitudinal lap seam main reservoir(s); failure to withdraw main reservoir(s) from service when testing reveals insufficient wall thickness	1,500	3,000	3,000	6,000	3,100	6,200
	230.73 Air gauges:						
230.73(a)	(a) Failure to equip steam locomotive with properly located air gauge(s) that are no more than 3 psi in error	1,000	1,500	2,000	3,000	2,100	3,100
230.73(b)	(b) Failure to test air gauge(s) when so required	1,000	1,500	2,000	3,000	2,100	3,100
230.73(c)	(c) Failure to properly test air gauge(s)	1,000	1,500	2,000	3,000	2,100	3,100
230.74	230.74 Failure to properly clean and/or test all air brake valves, related dirt collectors, filters when required to do so	1,000	1,500	2,000	3,000	2,100	3,100
230.75	230.75 Failure to properly stencil or display date of testing and cleaning and initials of shop or station performing work	1,000	1,500	2,000	3,000	2,100	3,100
	230.76 Piston travel:						
230.76(a)	(a) Insufficient minimum piston travel	1,000	1,500	2,000	3,000	2,100	3,100
230.76(b)	(b) Excessive piston travel when steam locomotive is stationary	1,000	2,000	2,000	4,000	2,100	4,100
	230.77 Foundation brake gear:						
230.77(a)	(a) Failure to properly maintain foundation brake gear	1,000	2,000	2,000	4,000	2,100	4,100
230.77(b)	(b) Foundation brake gear less than 2.5 inches above rail	1,000	2,000	2,000	4,000	2,100	4,100
	230.78 Leakage:						
	(a):						
230.78(a)(1)	(1) Failure to test for leakage from main reservoir or related piping as required	1,000	1,500	2,000	3,000	2,100	3,100
230.78(a)(2)	(2) Failure to repair excessive leakage from main reservoir or related piping leakage	1,000	2,000	2,000	4,000	2,100	4,100
230.78(b)	(b) Failure to test for brake cylinder as required	1,000	1,500	2,000	3,000	2,100	3,100
	(c):						
230.78(c)(1)	(1) Failure to test for leakage from steam locomotive brake pipe as required	1,000	2,000	2,000	4,000	2,100	4,100
230.78(c)(2)	(2) Failure to repair excessive brake pipe leakage	1,000	2,000	2,000	4,000	2,100	4,100
	230.79 Train signal system:						
230.79(1)	(1) Failure to test the train signal system or other form of on-board communication as required	1,000	1,500	2,000	3,000	2,100	3,100
230.79(2)	(2) Failure to repair train signal system or other on-board communication when not safe or suitable for service	1,000	1,500	2,000	3,000	2,100	3,100
	230.80 Cabs:						
230.80(a)	(a) Steam locomotive cab not safe and suitable for service	1,000	2,000	2,000	4,000	2,100	4,100
230.80(b)	(b) Steam pipes: Construction, attachment	1,000	2,000	2,000	4,000	2,100	4,100
230.80(c)	(c) Oil-burning steam locomotive, cab-enclosed	1,000	1,500	2,000	3,000	2,100	3,100
	230.81 Cab aprons:						
230.81(a)	(a) Cab apron, general provisions	1,000	1,500	2,000	3,000	2,100	3,100
230.81(b)	(b) Cab apron, insufficient width	1,000	1,500	2,000	3,000	2,100	3,100
	230.82 Fire doors:						
230.82(a)	(a) Safe and suitable for service, general provisions	1,000	2,000	2,000	4,000	2,100	4,100
230.82(b)	(b) Construction and maintenance of mechanically operated fire doors	1,000	2,000	2,000	4,000	2,100	4,100
230.82(c)	(c) Construction and maintenance of hand-operated fire doors	1,000	2,000	2,000	4,000	2,100	4,100

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	230.83 Cylinder cocks:						
230.83(1)	(1) Failure to properly equip with cylinder cocks	1,000	1,500	2,000	3,000	2,100	3,100
230.83(2)	(2) Failure to properly maintain cylinder cocks	1,000	1,500	2,000	3,000	2,100	3,100
	230.84 Sanders:						
230.84(1)	(1) Inoperable sanders	1,000	1,500	2,000	3,000	2,100	3,100
230.84(2)	(2) Failure to test sanders	1,000	1,500	2,000	3,000	2,100	3,100
	230.85 Audible warning devices:						
230.85(a)	(a) General provisions	1,000	1,500	2,000	3,000	2,100	3,100
230.85(b)	(b) Sound level measurements, Failure to properly take	1,000	1,500	2,000	3,000	2,100	3,100
	230.86 Required illumination:						
230.86(a)	(a) General provisions	1,000	1,500	2,000	3,000	2,100	3,100
230.86(b)	(b) Dimming device, Failure to properly equip with	1,000	1,500	2,000	3,000	2,100	3,100
230.86(c)	(c) Multiple locomotives, Failure of lead locomotive to display headlight	1,000	1,500	2,000	3,000	2,100	3,100
230.87	230.87 Cab lights: Failure to properly equip with	1,000	2,000	2,000	4,000	2,100	4,100
230.88	230.88 Throttles: Failure to properly maintain, equip	1,000	2,000	2,000	4,000	2,100	4,100
	230.89 Reverse gear:						
230.89(a)	(a) General provisions	1,000	2,000	2,000	4,000	2,100	4,100
230.89(b)	(b) Air-operated power reverse gear	1,000	2,000	2,000	4,000	2,100	4,100
230.89(c)	(c) Power reverse gear reservoirs	1,000	2,000	2,000	4,000	2,100	4,100
	230.90 Draw gear and draft systems:						
230.90(a)	(a) Maintenance and testing	1,000	1,500	2,000	3,000	2,100	3,100
230.90(b)	(b) Safety bars and chains, general	1,000	1,500	2,000	3,000	2,100	3,100
230.90(c)	(c) Safety bars and chains, minimum length	1,000	1,500	2,000	3,000	2,100	3,100
230.90(d)	(d) Lost motion between steam locomotive and tender	1,000	1,500	2,000	3,000	2,100	3,100
230.90(e)	(e) Spring buffers: Improper application, compression	1,000	1,500	2,000	3,000	2,100	3,100
230.91	230.91 Chafing irons: Improper application, maintenance	1,000	1,500	2,000	3,000	2,100	3,100
230.92	230.92 Draw gear, draft systems: Improperly maintained, fastened	1,000	1,500	2,000	3,000	2,100	3,100
	230.93 Pistons and piston rods:						
230.93(a)	(a) Failure to properly inspect, maintain, renew	1,000	2,000	2,000	4,000	2,100	4,100
230.93(b)	(b) Fasteners: Failure to keep tight, properly equip	1,000	2,000	2,000	4,000	2,100	4,100
230.94	230.94 Crossheads: Improperly maintained, excess clearance	1,000	2,000	2,000	4,000	2,100	4,100
230.95	230.95 Guides: Failure to securely fasten, properly maintain	1,000	2,000	2,000	4,000	2,100	4,100
	230.96 Main, side, valve motion rods:						
230.96(a)	(a) General	1,000	2,000	2,000	4,000	2,100	4,100
	(b) Repairs						
230.96(b)(1)	(1) Failure to make in accordance with accepted national standard	1,000	2,000	2,000	4,000	2,100	4,100
230.96(b)(2)	(2) Failure to submit written request for approval prior to welding	1,000	2,000	2,000	4,000	2,100	4,100
230.96(c)	(c) Bearings and bushings	1,000	1,500	2,000	3,000	2,100	3,100
230.96(d)	(d) Rod side motion: Excessive motion	1,000	1,500	2,000	3,000	2,100	3,100
230.96(e)	(e) Oil, grease cups: Failure to securely fasten, properly equip	1,000	1,500	2,000	3,000	2,100	3,100
	(f) Main rod bearings:						
230.96(f)(1)	(1) excessive bore	1,000	1,500	2,000	3,000	2,100	3,100
230.96(f)(2)	(2) excessive lost motion	1,000	1,500	2,000	3,000	2,100	3,100
230.96(g)	(g) Side rod bearings, excessive bore	1,000	1,500	2,000	3,000	2,100	3,100

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	230.97 Crank pins:						
230.97(a)	(a) General provisions	1,000	2,000	2,000	4,000	2,100	4,100
230.97(b)	(b) Maintenance: Failure to maintain in safe, suitable condition	1,000	2,000	2,000	4,000	2,100	4,100
	230.98 Driving, trailing, engine truck axles:						
230.98(a)	(a) Condemning defects	1,000	2,000	2,000	4,000	2,100	4,100
230.98(b)	(b) Journal diameter: Failure to stamp on end of axle	750	1,000	1,500	2,000	1,500	2,100
230.99	230.99 Tender truck axle: Insufficient diameter	1,000	2,000	2,000	4,000	2,100	4,100
	230.100 Defects in tender truck axles and journals:						
230.100(a)	(a) Tender truck axle condemning defects	1,000	2,000	2,000	4,000	2,100	4,100
230.100(b)	(b) Tender truck journal condemning defects	1,000	2,000	2,000	4,000	2,100	4,100
	230.101 Steam locomotive driving journal boxes:						
230.101(a)	(a) Driving journal boxes: Failure to properly maintain	1,000	2,000	2,000	4,000	2,100	4,100
230.101(b)	(b) Broken bearings: Failure to renew	1,000	2,000	2,000	4,000	2,100	4,100
230.101(c)	(c) Loose bearings: Failure to repair or renew	1,000	2,000	2,000	4,000	2,100	4,100
230.102	230.102 Tender plain bearing journal boxes: Failure to repair	1,000	1,500	2,000	3,000	2,100	3,100
230.103	230.103 Tender roller bearing journal boxes: Failure to properly maintain	1,000	1,500	2,000	3,000	2,100	3,100
230.104	230.104 Driving box shoes and wedges: Failure to properly maintain	1,000	1,500	2,000	3,000	2,100	3,100
	230.105 Lateral motion:						
230.105(a)	(a) Condemning limits: Total lateral motion in excess of	1,000	1,500	2,000	3,000	2,100	3,100
230.105(b)	(b) Limits exceeded, failure to demonstrate conditions require additional lateral motion	1,000	1,500	2,000	3,000	2,100	3,100
230.105(c)	(c) Interferes with other parts of steam locomotive	1,000	1,500	2,000	3,000	2,100	3,100
	230.106 Steam locomotive frame:						
230.106(a)	(a) Failure to properly inspect and/or maintain	1,000	2,000	2,000	4,000	2,100	4,100
230.106(b)	(b) Broken frames, not properly patched or secured	2,500	5,000	5,000	10,000	5,200	10,300
	230.107 Tender frame and body:						
230.107(a)	(a) Failure to properly maintain	1,000	1,500	2,000	3,000	2,100	3,100
230.107(b)	(b) Height difference between tender deck and steam locomotive cab floor or deck excessive	1,000	1,500	2,000	3,000	2,100	3,100
230.107(c)	(c) Gangway minimum width excessive	1,000	1,500	2,000	3,000	2,100	3,100
230.107(d)	(d) Tender frame condemning defects	1,500	3,000	3,000	6,000	3,100	6,200
	230.108 Steam locomotive leading and trailing trucks:						
230.108(a)	(a) Failure to properly maintain	1,000	1,500	2,000	3,000	2,100	3,100
230.108(b)	(b) Safety chain, suitable safety chain not provided	1,000	1,500	2,000	3,000	2,100	3,100
230.108(c)	(c) Insufficient truck clearance	1,000	2,000	2,000	4,000	2,100	4,100
	230.109 Tender trucks:						
	(a):						
230.109(a)(1)	(1) Tender truck frames	1,000	2,000	2,000	4,000	2,100	4,100
230.109(a)(2)	(2) Tender truck center plate	1,000	2,000	2,000	4,000	2,100	4,100
230.109(b)	(b) Tender truck bolsters: Failure to properly maintain	1,500	3,000	3,000	6,000	3,100	6,200
230.109(c)	(c) Condemning defects, springs and/or spring rigging	1,000	2,000	2,000	4,000	2,100	4,100
230.109(d)	(d) Truck securing arrangement: Not properly maintained	1,000	1,500	2,000	3,000	2,100	3,100
230.109(e)	(e) Side bearings, truck centering devices	1,000	2,000	2,000	4,000	2,100	4,100
230.109(f)	(f) Friction side bearings: Run in contact	1,000	2,000	2,000	4,000	2,100	4,100
	(g):						
230.109(g)(1)	(1) Side bearings, failure to equip rear trucks with	1,000	2,000	2,000	4,000	2,100	4,100

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230.109(g)(2)	(2) Insufficient clearance of	1,000	2,000	2,000	4,000	2,100	4,100
	230.110 Pilots:						
230.110(a)	(a) General provisions	1,000	1,500	2,000	3,000	2,100	3,100
230.110(b)	(b) Clearance, insufficient or excessive	1,000	1,500	2,000	3,000	2,100	3,100
	230.111 Spring rigging:						
230.111(a)	(a) Arrangement of springs and equalizers	1,000	2,000	2,000	4,000	2,100	4,100
230.111(b)	(b) Spring or spring rigging condemning defects	1,000	2,000	2,000	4,000	2,100	4,100
	230.112 Wheels and tires:						
230.112(a)	(a) Improperly Mounted, excess variance in axle diameter	1,500	3,000	3,000	6,000	3,100	6,200
230.112(b)	(b) Out of gage	1,000	2,000	2,000	4,000	2,100	4,100
230.112(c)	(c) Flange distance variance, excessive	1,000	2,000	2,000	4,000	2,100	4,100
230.112(d)	(d) Tire thickness, insufficient	1,000	2,000	2,000	4,000	2,100	4,100
230.112(e)	(e) Tire width, insufficient	1,000	2,000	2,000	4,000	2,100	4,100
	230.113 Wheels and tire defects:						
230.113(1)	(1) Failure to repair	1,000	2,000	2,000	4,000	2,100	4,100
230.113(2)	(2) Welding on, except as otherwise provided for	1,500	3,000	3,000	6,000	3,100	6,200
230.113(a)	(a) Cracks or breaks in	1,000	2,000	2,000	4,000	2,100	4,100
230.113(b)	(b) Flat spots	1,000	2,000	2,000	4,000	2,100	4,100
230.113(c)	(c) Chipped flange	1,000	2,000	2,000	4,000	2,100	4,100
230.113(d)	(d) Broken rim	1,000	2,000	2,000	4,000	2,100	4,100
230.113(e)	(e) Shelled-out spots	1,000	2,000	2,000	4,000	2,100	4,100
230.113(f)	(f) Seams	1,000	2,000	2,000	4,000	2,100	4,100
230.113(g)	(g) Worn flanges, excessive wear	1,000	2,000	2,000	4,000	2,100	4,100
230.113(h)	(h) Worn treads, excessive wear	1,000	2,000	2,000	4,000	2,100	4,100
230.113(i)	(i) Flange height, insufficient or excessive	1,000	2,000	2,000	4,000	2,100	4,100
230.113(j)	(j) Rim thickness, insufficient	1,000	2,000	2,000	4,000	2,100	4,100
230.113(k)	(k) Wheel diameter, excessive variance	1,000	2,000	2,000	4,000	2,100	4,100
	230.114 Wheel centers:						
230.114(a)	(a) Filling blocks and shims	1,000	2,000	2,000	4,000	2,100	4,100
230.114(b)	(b) Wheel center condemning limits, failure to repair	1,000	2,000	2,000	4,000	2,100	4,100
230.114(c)	(c) Wheel center repairs	1,000	2,000	2,000	4,000	2,100	4,100
230.114(d)	(d) Counterbalance maintenance	1,000	2,000	2,000	4,000	2,100	4,100
	230.115 Feed water tanks:						
230.115(a)	(a) General provisions	1,000	2,000	2,000	4,000	2,100	4,100
230.115(b)	(b) Inspection frequency, failure to inspect as required	1,000	1,500	2,000	3,000	2,100	3,100
230.115(c)	(c) Top of tender: Improperly maintained and/or equipped	1,000	1,500	2,000	3,000	2,100	3,100
	230.116 Oil tanks:						
230.116(1)	(1) Failure to properly maintain	2,500	5,000	5,000	10,000	5,200	10,300
230.116(2)	(2) Failure to equip with complying safety cut-off device	5,000	7,500	10,000	15,000	10,300	15,500
	¹ Failure to observe any condition for movement set forth in §230.12 will deprive the railroad of the benefit of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) concerning the substantive defect(s) present on the locomotive at the time of movement. Failure to comply with §230.12 will result in the lapse of any affected waiver.						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Part 230—Steam Locomotive Inspection and Maintenance Standards- Civil Penalty Schedule						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
231.110.A1	110.A1 Hand Brake or Hand Brake Part Missing	\$5,000	\$7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
231.110.A2	110.A2 Hand Brake or Hand Brake Part Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.110.A3	110.A3 Hand Brake or Hand Brake Part Loose or Worn	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B1	110.B1 Hand Brake Inoperative	5,000	7,500	10,000	15,000	10,300	15,500
231.110.B2	110.B2 Hand Brake Inefficient	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B3	110.B3 Hand Brake Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B4	110.B4 Hand Brake Incorrectly located	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B5	110.B5 Hand Brake Shaft Welded or Wrong Dimension	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B6	110.B6 Hand Brake Shaft Not Retained in Operating Position	2,500	5,000	5,000	10,000	5,200	10,300
231.110.B8	110.B8 Hand Brake or Hand Brake Parts Wrong Design	2,500	5,000	5,000	10,000	5,200	10,300
231.114.B2	114.B2 Hand Brake Wheel or Lever Has Insufficient Clearance Around Rim or Handle	2,500	5,000	5,000	10,000	5,200	10,300
231.114.B3	114.B3 Hand Brake Wheel/Lever Clearance Insufficient to Vertical Plane Through Inside Face of Knuckle	2,500	5,000	5,000	10,000	5,200	10,300
231.120.A1	120.A1 Brake Step Missing Except by Design	5,000	7,500	10,000	15,000	10,300	15,500
231.120.A2	120.A2 Brake Step or Brace Broken or Decayed	2,500	5,000	5,000	10,000	5,200	10,300
231.120.A3	120.A3 Brake Step or Brace Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.120.B1	120.B1 Brake Step or Brace Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.120.B2	120.B2 Brake Step or Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.120.C1	120.C1 Brake Step Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.120.C2	120.C2 Brake Step Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.120.C3	120.C3 Brake Step With Less Than 4" Clearance to Vertical Plane Through Inside Face of Knuckle	2,500	5,000	5,000	10,000	5,200	10,300
231.120.C4	120.C4 Brake Step Obstructed or Otherwise Unsafe	2,500	5,000	5,000	10,000	5,200	10,300
231.124.A1	124.A1 Running Board Missing or Part Missing Except By Design	5,000	7,500	10,000	15,000	10,300	15,500
231.124.A2	124.A2 Running Board Broken or Decayed	5,000	7,500	10,000	15,000	10,300	15,500
231.124.A3	124.A3 Running Board Loose Presents a Tripping Hazard or Other Unsafe Condition	2,500	5,000	5,000	10,000	5,200	10,300
231.124.A4	124.A4 Running Board Wrong Material	2,500	5,000	5,000	10,000	5,200	10,300
231.124.B1	124.B1 Running Board Bent to the Extent that It is Unsafe	2,500	5,000	5,000	10,000	5,200	10,300
231.124.B2	124.B2 Running Board Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.124.B3	124.B3 Running Board Wrong Location	2,500	5,000	5,000	10,000	5,200	10,300
231.124.C1	124.C1 Running Board Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.124.C2	124.C2 Running Board Obstructed	2,500	5,000	5,000	10,000	5,200	10,300
231.126.A1	126.A1 End Platform Missing or Part Except By Design	5,000	7,500	10,000	15,000	10,300	15,500
231.126.A2	126.A2 End Platform Broken or Decayed	5,000	7,500	10,000	15,000	10,300	15,500
231.126.A3	126.A3 End Platform Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.126.B1	126.B1 End Platform or Brace Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.126.B2	126.B2 End Platform Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.126.C1	126.C1 End Platform Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.126.C2	126.C2 End Platform With Less Than Required Clearance to Vertical Plane Through Inside Knuckle	2,500	5,000	5,000	10,000	5,200	10,300
231.126.C3	126.C3 End Platform Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.126.C4	126.C4 End Platform Obstructed	5,000	7,500	10,000	15,000	10,300	15,500
231.128.A1	128.A1 Platform or Switching Step Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.128.A2	128.A2 Platform or Switching Step Broken or Decayed	5,000	7,500	10,000	15,000	10,300	15,500
231.128.A3	128.A3 Platform or Switching Step Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.128.B1	128.B1 Platform or Switching Step Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.128.B2	128.B2 Platform or Switching Step Does Not Meet the Required Location or Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.128.C1	128.C1 Platform or Switching Step Improperly Applied or Repaired	2,500	5,000	5,000	10,000	5,200	10,300
231.128.C2	128.C2 Platform or Switching Step Obstructed	2,500	5,000	5,000	10,000	5,200	10,300
231.128.D1	128.D1 Switching Step Back Stop or Kick Plate Missing	2,500	5,000	5,000	10,000	5,200	10,300
231.128.D2	128.D2 Switching Step Not Illuminated When Required	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
231.128.D3	128.D3 Non-Illuminated Step Not Painted Contrasting Color	1,000	2,000	2,000	4,000	2,100	4,100
231.130.A1	130.A1 Sill Step or Additional Tread, Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.130.A2	130.A2 Sill Step or Additional Tread, Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.130.A3	130.A3 Sill Step or Additional Tread, Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.130.B1	130.B1 Sill Step or Additional Tread, Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.130.B2	130.B2 Sill Step or Additional Tread, Having Wrong Dimensions or Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.130.B3	130.B3 Sill Step Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.132.A1	132.A1 Side Missing Step	5,000	7,500	10,000	15,000	10,300	15,500
231.132.A2	132.A2 Side Door Step Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.132.A3	132.A3 Side Door Step Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.132.B1	132.B1 Side Door Step Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.132.B2	132.B2 Side Door Step Having Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.134.A1	134.A1 Ladder Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.134.A2	134.A2 Ladder Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.134.A3	134.A3 Ladder Loose	2,500	5,000	5,000	10,000	5,200	10,300
231.134.B1	134.B1 Ladder Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.134.B2	134.B2 Ladder Having Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.134.C1	134.C1 Ladder Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.134.C2	134.C2 Ladder Having Insufficient Clearance or Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.134.C3	134.C3 Ladder Wrong Design	2,500	5,000	5,000	10,000	5,200	10,300
231.134.C4	134.C4 Ladder Wrong Material	2,500	5,000	5,000	10,000	5,200	10,300
231.134.D1	134.D1 End Clearance Insufficient	2,500	5,000	5,000	10,000	5,200	10,300
231.136.A1	136.A1 Ladder Tread or Handholds Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.136.A2	136.A2 Ladder Tread or Handhold Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.136.A3	136.A3 Ladder Tread or Handhold Loose Except By Design	2,500	5,000	5,000	10,000	5,200	10,300
231.136.B1	136.B1 Ladder Tread or Handhold Bent to The Extent That It May Be Unsafe	2,500	5,000	5,000	10,000	5,200	10,300
231.136.B2	136.B2 Ladder Tread or Handhold Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.136.C1	136.C1 Ladder Tread or Handhold Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.136.C2	136.C2 Ladder Tread or Handhold Having Wrong Clearance	2,500	5,000	5,000	10,000	5,200	10,300
231.136.C3	136.C3 Ladder or Handhold Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.136.C4	136.C4 Ladder Tread or Handhold Obstructed	2,500	5,000	5,000	10,000	5,200	10,300
231.136.C5	136.C5 Ladder Tread Without Footguards	2,500	5,000	5,000	10,000	5,200	10,300
231.138.A1	138.A1 Hand or Safety Railing Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.138.A2	138.A2 Hand or Safety Railing Broken	5,000	7,500	10,000	15,000	10,300	15,500
231.138.A3	138.A3 Hand or Safety Railing Loose Except by Design	2,500	5,000	5,000	10,000	5,200	10,300
231.138.B1	138.B1 Hand or Safety Railing Bent	2,500	5,000	5,000	10,000	5,200	10,300
231.138.B2	138.B2 Hand or Safety Railing Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.138.C1	138.C1 Hand or Safety Railing Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.138.C2	138.C2 Hand or Safety Railing Having Less Than the Required Clearance	2,500	5,000	5,000	10,000	5,200	10,300
231.138.C3	138.C3 Hand or Safety Railing Improperly Located	2,500	5,000	5,000	10,000	5,200	10,300
231.140.A1	140.A1 Uncoupling Lever Missing	2,500	5,000	5,000	10,000	5,200	10,300
231.140.A2	140.A2 Uncoupling Lever Broken or Disconnected	2,500	5,000	5,000	10,000	5,200	10,300
231.140.B1	140.B1 Uncoupling Lever Bent Will not Safely and Reasonably Function As Intended	2,500	5,000	5,000	10,000	5,200	10,300
231.140.C1	140.C1 Uncoupling Lever Bracket Bent Lever Will Not Function Properly	2,500	5,000	5,000	10,000	5,200	10,300
231.140.C2	140.C2 Uncoupling Lever Bracket Broken or Missing	2,500	5,000	5,000	10,000	5,200	10,300
231.140.D1	140.D1 Uncoupling Lever Wrong Dimension	2,500	5,000	5,000	10,000	5,200	10,300
231.140.D2	140.D2 Uncoupling Lever With Improper Handle Clearance	2,500	5,000	5,000	10,000	5,200	10,300
231.144.A1	144.A1 Coupler Missing	5,000	7,500	10,000	15,000	10,300	15,500
231.144.B1	144.B1 Coupler Height Incorrect	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
231.144.C1	144.C1 Coupler Inoperative	2,500	5,000	5,000	10,000	5,200	10,300
231.145.A1	145.A1 Kick Plates Missing	2,500	5,000	5,000	10,000	5,200	10,300
231.145.A2	145.A2 Kick Plates Broken	2,500	5,000	5,000	10,000	5,200	10,300
231.145.B1	145.B1 Kick Plates Wrong Dimensions	2,500	5,000	5,000	10,000	5,200	10,300
231.145.B2	145.B2 Kick Plates Improper Clearance	2,500	5,000	5,000	10,000	5,200	10,300
231.145.B3	145.B3 Kick Plates Insecure Or Improperly Applied	2,500	5,000	5,000	10,000	5,200	10,300
231.146.A	146.A Notice or Stencil not Posted on Caboose with Running Boards Removed	1,000	2,000	2,000	4,000	2,100	4,100
231.146.B	146.B Safe Means not Provided to Clean or Maintain Windows of Caboose	1,000	2,000	2,000	4,000	2,100	4,100
231.31	231.31 Drawbars, standard height	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² This schedule uses section numbers from FRA's Safety Appliance Defect Code, a restatement of the CFR text in a reorganized format. For convenience, and as an exception to FRA's general policy, penalty citations will cite the defect code rather than the CFR. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR and/or statutory citation in place of the defect code section cited in the penalty demand letter.						
	[53 FR 52933, Dec. 29, 1988, as amended at 63 FR 11623, Mar. 10, 1998; 66 FR 4193, Jan. 17, 2001; 73 FR 79703, Dec. 30, 2008; 77 FR 24421, Apr. 24, 2012; 77 FR 26704, May 7, 2012; 81 FR 43111, July 1, 2016; 83 FR 60748, Nov. 27, 2018]						
	Part 231—Railroad Safety Appliance Standards-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
	232.15 Movement of power brake defects:						
	(a) Improper movement, general	1	1	1	1	1	1
232.15(a)(11)	(11) Failure to make determinations and provide notification of en route defect	\$ 2,500	\$ 5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
232.15(b)	(b) Complete failure to tag	2,500	5,000	5,000	10,000	5,200	10,300
232.15(b)(1)	(1) Insufficient tag or record	1,000	2,000	2,000	4,000	2,100	4,100
232.15(b)(2)	(2), (4) Improper removal of tag	2,000	4,000	4,000	8,000	4,100	8,300
232.15(b)(4)	(2), (4) Improper removal of tag	2,000	4,000	4,000	8,000	4,100	8,300
232.15(b)(3)	(3) Failure to retain record of tag	2,000	4,000	4,000	8,000	4,100	8,300
232.15(c)	(c) Improper loading or purging	2,500	5,000	5,000	10,000	5,200	10,300
232.15(e)	(e) Improper placement of defective equipment	2,500	5,000	5,000	10,000	5,200	10,300
	232.19 Availability of records	1	1	1	1	1	1
	Subpart B—General Requirements						
	232.103 All train brake systems:						
232.103(a)	(a)-(c), (h)-(i) Failure to meet general design requirements	2,500	5,000	5,000	10,000	5,200	10,300
232.103(b)	(a)-(c), (h)-(i) Failure to meet general design requirements	2,500	5,000	5,000	10,000	5,200	10,300
232.103(c)	(a)-(c), (h)-(i) Failure to meet general design requirements	2,500	5,000	5,000	10,000	5,200	10,300
232.103(h)	(a)-(c), (h)-(i) Failure to meet general design requirements	2,500	5,000	5,000	10,000	5,200	10,300
232.103(i)	(a)-(c), (h)-(i) Failure to meet general design requirements	2,500	5,000	5,000	10,000	5,200	10,300
232.103(d)	(d) Failure to have proper percentage of operative brakes from Class I brake test	5,000	7,500	10,000	15,000	10,300	15,500
232.103(e)	(e) Operating with less than 85 percent operative brakes	5,000	7,500	10,000	15,000	10,300	15,500
232.103(f)	(f) Improper use of car with inoperative or ineffective brakes	2,500	5,000	5,000	10,000	5,200	10,300
232.103(g)	(g) Improper display of piston travel	2,500	5,000	5,000	10,000	5,200	10,300
232.103(m)	(m) Failure to stop train with excess air flow or gradient	2,500	5,000	5,000	10,000	5,200	10,300
	(n) Securement of unattended equipment						
232.103(n)(1)	(1) Failure to apply sufficient number of hand brakes; failure to develop or implement procedure to verify number applied	5,000	7,500	10,000	15,000	10,300	15,500
232.103(n)(2)	(2) Failure to initiate emergency or depend upon air brake	2,500	5,000	5,000	10,000	5,200	10,300
232.103(n)(3)	(3) Failure to apply hand brakes on locomotives	2,500	5,000	5,000	10,000	5,200	10,300
232.103(n)(4)	(4) Failure to adopt or comply with procedures for securing unattended locomotive	5,000	7,500	10,000	15,000	10,300	15,500
232.103(n)(5)	(5) Release of hand brakes before brake system is properly charged	5,000	7,500	10,000	15,000	10,300	15,500
232.103(n)(7)(i)	(7)(i) Failure to adopt or comply with unattended location plan	2,500	5,000	5,000	10,000	5,200	10,300
232.103(n)(8)(i)	(8)(i) Failure to verify securement	2,500	5,000	5,000	10,000	5,200	10,300
232.103(n)(8)(ii)	(8)(ii) Failure to apply lock or remove and secure reverser	2,500	5,000	5,000	10,000	5,200	10,300
232.103(n)(9)	(9) Failure implement operating rule for securement job briefing	2,500	2,500	5,000	5,000	5,200	5,200
232.103(n)(10)	(10) Failure to adopt and comply with securement procedures for after emergency response	2,500	5,000	5,000	10,000	5,200	10,300
232.103(o)	(o) Improper adjustment of air regulating devices	2,500	5,000	5,000	10,000	5,200	10,300
232.103(p)	(p) Failure to hold supervisors jointly responsible	2,500	5,000	5,000	10,000	5,200	10,300
	232.105 Locomotives:						

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232.105(a)	(a) Air brakes not in safe and suitable condition	1,000-5,000	2,000-7,500	2,000-10,000	4,000-15,000	2,100-10,300	4,100-15,500
232.105(b)	(b) Not equipped with proper hand or parking brake	5,000	7,500	10,000	15,000	10,300	15,500
232.105(c)(1)	(c)(1) Failure to inspect/repair hand or parking brake	2,500	5,000	5,000	10,000	5,200	10,300
232.105(c)(2)	(2) Failure to properly stencil, tag, or record	2,000	4,000	4,000	8,000	4,100	8,300
232.105(d)	(d) Excess leakage from equalizing reservoir	2,500	5,000	5,000	10,000	5,200	10,300
232.105(e)	(e) Improper use of feed or regulating valve braking	2,500	5,000	5,000	10,000	5,200	10,300
232.105(f)	(f) Improper use of passenger position	2,500	5,000	5,000	10,000	5,200	10,300
232.105(g)	(g) Brakes in operative condition	2,500	5,000	5,000	10,000	5,200	10,300
232.105(h)(1)	(h)(1) Failure to equip with operative locomotive lock	2,500	5,000	5,000	10,000	5,200	10,300
232.105(h)(2)	(h)(2)-(h)(3) Failure to inspect or timely repair locomotive lock	2,500	5,000	5,000	10,000	5,200	10,300
232.105(h)(3)	(h)(2)-(h)(3) Failure to inspect or timely repair locomotive lock	2,500	5,000	5,000	10,000	5,200	10,300
	232.107 Air sources/cold weather operations:						
232.107(a)(1)	(a)(1), (2) Failure to adopt or comply with monitoring program for yard air sources	5,000	7,500	10,000	15,000	10,300	15,500
232.107(a)(2)	(a)(1), (2) Failure to adopt or comply with monitoring program for yard air sources	5,000	7,500	10,000	15,000	10,300	15,500
232.107(a)(3)	(3) Failure to maintain records	2,500	5,000	5,000	10,000	5,200	10,300
232.107(b)	(b) Failure to blow condensation	2,500	5,000	5,000	10,000	5,200	10,300
232.107(c)	(c) Use of improper chemicals	5,000	7,500	10,000	15,000	10,300	15,500
232.107(d)	(d) Failure to equip or drain yard air reservoirs	2,500	5,000	5,000	10,000	5,200	10,300
232.107(e)	(e) Failure to adopt or comply cold weather operating procedures	5,000	7,500	10,000	15,000	10,300	15,500
	232.109 Dynamic brakes:						
232.109(a)	(a) Failure to provide information	5,000	7,500	10,000	15,000	10,300	15,500
232.109(b)	(b) Failure to make repairs	5,000	7,500	10,000	15,000	10,300	15,500
232.109(c)	(c) Failure to properly tag	2,500	5,000	5,000	10,000	5,200	10,300
232.109(d)	(d) Failure to maintain record of repair	2,000	4,000	4,000	8,000	4,100	8,300
232.109(e)	(e) Improper deactivation	2,500	5,000	5,000	10,000	5,200	10,300
232.109(f)	(f) Improper use of locomotive as controlling unit	2,500	5,000	5,000	10,000	5,200	10,300
232.109(g)	(g) Locomotive not properly equipped with indicator	2,500	5,000	5,000	10,000	5,200	10,300
232.109(h)	(h) Rebuilt locomotive not properly equipped	2,500	5,000	5,000	10,000	5,200	10,300
232.109(j)	(j) Failure to adopt or comply with dynamic brake operating rules	5,000	7,500	10,000	15,000	10,300	15,500
232.109(k)	(k) Failure to adopt or comply with training on operating procedures	5,000	7,500	10,000	15,000	10,300	15,500
	232.111 Train handling information:						
232.111(a)	(a) Failure to adopt and comply with procedures	5,000	7,500	10,000	15,000	10,300	15,500
232.111(b)	(b) Failure to provide specific information	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart C—Inspection and Testing Requirements						
	232.203 Training requirements:						
232.203(a)	(a) Failure to develop or adopt program	7,500	11,000	15,000	22,000	15,500	22,700
232.203(b)	(b)(1)-(9) Failure to address or comply with specific required item or provision of program	5,000	7,500	10,000	15,000	10,300	15,500
232.203(c)	(c) Failure to adopt or comply with two-way EOT program	5,000	7,500	10,000	15,000	10,300	15,500
232.203(d)	(d) Failure to adopt or comply with retaining valve program	5,000	7,500	10,000	15,000	10,300	15,500
232.203(e)	(e) Failure to maintain adequate records	5,000	7,500	10,000	15,000	10,300	15,500
232.203(f)	(f) Failure to adopt and comply with periodic assessment plan	7,500	11,000	15,000	22,000	15,500	22,700

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	232.205 Class I brake test—initial terminal inspection:						
232.205(a)	(a) Complete failure to perform inspection ¹	10,000	15,000	20,000	30,000	20,600	31,000
232.205(c)(1)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(2)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(3)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(4)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(5)	(c)(5) Failure to properly adjust piston travel (per car)	2,500	5,000	5,000	10,000	5,200	10,300
232.205(c)(6)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(7)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(8)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(c)(9)	(c)(1)-(4), (6)-(9) Partial failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.205(d)	(d) Failure to use carman when required	5,000	7,500	10,000	15,000	10,300	15,500
232.205(e)	(e) Failure to provide proper notification	2,500	5,000	5,000	10,000	5,200	10,300
232.205(f)	(f) Failure to void compressed air	2,500	5,000	5,000	10,000	5,200	10,300
	232.207 Class IA brake tests—1,000-mile inspection:						
232.207(a)	(a) Complete failure to perform inspection ¹	5,000	7,500	10,000	15,000	10,300	15,500
232.207(b)	(b)(1)-(6) Partial failure to perform inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.207(c)	(c) Failure to properly designate location	5,000	7,500	10,000	15,000	10,300	15,500
232.207(c)(1)	(c)(1) Failure to perform at designated location	5,000	7,500	10,000	15,000	10,300	15,500
232.207(c)(2)	(c)(2) Failure to provide notification	2,500	5,000	5,000	10,000	5,200	10,300
	232.209 Class II brake tests—intermediate inspection:						
232.209(a)	(a) Complete failure to perform inspection ¹	5,000	7,500	10,000	15,000	10,300	15,500
232.209(b)	(b)(1)-(5), (c) Partial failure to perform inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.209(c)	(b)(1)-(5), (c) Partial failure to perform inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.209(d)	(d) Failure to conduct Class I after Class II pick-up	¹	¹	¹	¹	¹	¹
	232.211 Class III brake tests—trainline continuity inspection:						
232.211(a)	(a) Complete failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.211(b)	(b)(1)-(4), (c) Partial failure to perform inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.211(c)	(b)(1)-(4), (c) Partial failure to perform inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.211(d)	(d) Failure to restore air pressure at rear	2,500	2,500	5,000	5,000	5,200	5,200
	232.213 Extended haul trains:						
232.213(a)(1)	(a)(1) Failure to properly designate an extended haul train	5,000	7,500	10,000	15,000	10,300	15,500
	(a)(2)-(3), (5),(6) Failure to perform inspections	¹	¹	¹	¹	¹	¹
232.213(a)(4)	(a)(4) Failure to remove defective car (per car)	2,000	4,000	4,000	8,000	4,100	8,300
232.213(a)(7)	(a)(7) Failure to maintain record of defects (per car)	2,000	4,000	4,000	8,000	4,100	8,300
232.213(a)(8)	(a)(8) Failure to provide notification	2,500	5,000	5,000	10,000	5,200	10,300
232.213(b)	(b) Improper movement or use of extended haul train	5,000	7,500	10,000	15,000	10,300	15,500
	232.215 Transfer train brake tests:						
232.215(a)	(a) Failure to perform inspection	5,000	7,500	10,000	15,000	10,300	15,500
232.215(b)	(b) Failure to perform on cars added	2,500	5,000	5,000	10,000	5,200	10,300
	232.217 Train brake system tests conducted using yard air:						
232.217(a)	(a) Failure to use suitable device	2,500	5,000	5,000	10,000	5,200	10,300
232.217(b)	(b) Improper connection of air test device	5,000	7,500	10,000	15,000	10,300	15,500

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	(c) Failure to properly perform inspection	1	1	1	1		
232.217(d)	(d) Failure to calibrate test device	2,500	5,000	5,000	10,000	5,200	10,300
232.217(e)	(e) Failure to use accurate device	2,500	5,000	5,000	10,000	5,200	10,300
	232.219 Double heading and helper service:						
232.219(a)	(a) Failure to perform inspection or inability to control brakes	2,500	5,000	5,000	10,000	5,200	10,300
232.219(b)	(b) Failure to make visual inspection	2,500	5,000	5,000	10,000	5,200	10,300
232.219(c)	(c) Improper use of helper link device	2,500	5,000	5,000	10,000	5,200	10,300
232.219(c)(4)	(c)(4) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart D—Periodic Maintenance and Testing Requirements						
	232.303 General requirements:						
232.303(b)	(b)-(d) Failure to conduct inspection or test when car on repair track	2,500	5,000	5,000	10,000	5,200	10,300
232.303(c)	(b)-(d) Failure to conduct inspection or test when car on repair track	2,500	5,000	5,000	10,000	5,200	10,300
232.303(d)	(b)-(d) Failure to conduct inspection or test when car on repair track	2,500	5,000	5,000	10,000	5,200	10,300
232.303(e)	(e) Improper movement of equipment for testing	2,500	5,000	5,000	10,000	5,200	10,300
232.303(e)(1)	(e)(1) Failure to properly tag equipment for movement	2,000	5,000	4,000	10,000	4,100	10,300
232.303(e)(2)	(e)(2)-(4) Failure to retain record or improper removal of tag or card	2,000	4,000	4,000	8,000	4,100	8,300
232.303(e)(3)	(e)(2)-(4) Failure to retain record or improper removal of tag or card	2,000	4,000	4,000	8,000	4,100	8,300
232.303(e)(4)	(e)(2)-(4) Failure to retain record or improper removal of tag or card	2,000	4,000	4,000	8,000	4,100	8,300
232.303(f)	(f) Failure to stencil or track test information	2,500	5,000	5,000	10,000	5,200	10,300
	232.305 Repair track air brake tests:						
232.305(a)	(a) Failure to test in accord with required procedure	2,500	5,000	5,000	10,000	5,200	10,300
232.305(b)	(b)-(d) Failure to perform test	2,500	5,000	5,000	10,000	5,200	10,300
232.305(c)	(b)-(d) Failure to perform test	2,500	5,000	5,000	10,000	5,200	10,300
232.305(d)	(b)-(d) Failure to perform test	2,500	5,000	5,000	10,000	5,200	10,300
	232.307 Single car tests:						
232.307(a)	(a) Failure to test in accord with required procedure	2,500	5,000	5,000	10,000	5,200	10,300
232.307(b)	(b)-(c) Failure to perform test	2,500	5,000	5,000	10,000	5,200	10,300
232.307(c)	(b)-(c) Failure to perform test	2,500	5,000	5,000	10,000	5,200	10,300
	232.309 Repair track air brake test and single car test equipment and devices:						
232.309(a)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.309(b)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.309(c)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.309(d)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.309(e)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.309(f)	(a)-(f) Failure to properly test or calibrate	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart E—End-of-Train Devices						
	232.403 Design standards for one-way devices:						
232.403	(a)-(g) Failure to meet standards	2,500	5,000	5,000	10,000	5,200	10,300
	232.405 Design standards for two-way devices:						
232.405	(a)-(i) Failure to meet standards	2,500	5,000	5,000	10,000	5,200	10,300
	232.407 Operating requirements for two-way devices:						
232.407(b)	(b) Failure to equip a train	5,000	7,500	10,000	15,000	10,300	15,500
232.407(c)	(c) Improper purchase	2,500	5,000	5,000	10,000	5,200	10,300

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232.407(f)(1)	(f)(1) Failure of device to be armed and operable	5,000	7,500	10,000	15,000	10,300	15,500
232.407(f)(2)	(f)(2) Insufficient battery charge	2,500	5,000	5,000	10,000	5,200	10,300
232.407(f)(3)	(f)(3) Failure to activate the device	2,500	5,000	5,000	10,000	5,200	10,300
232.407(g)	(g) Improper handling of en route failure, freight or other non-passenger	5,000	7,500	10,000	15,000	10,300	15,500
232.407(h)	(h) Improper handling of en route failure, passenger	5,000	7,500	10,000	15,000	10,300	15,500
	232.409 Inspection and testing of devices:						
232.409(a)	(a) Failure to have unique code	2,500	5,000	5,000	10,000	5,200	10,300
232.409(b)	(b) Failure to compare quantitative values	2,500	5,000	5,000	10,000	5,200	10,300
232.409(c)	(c) Failure to test emergency capability	5,000	7,500	10,000	15,000	10,300	15,500
232.409(d)	(d) Failure to properly calibrate	2,500	5,000	5,000	10,000	5,200	10,300
232.409(e)	(e) Failure to properly test	2,500	5,000	5,000	10,000	5,200	10,300
232.409(f)(1)	(f)(1) Failure to publish tranceiver calibration period	2,500	5,000	5,000	10,000	5,200	10,300
232.409(f)(2)	(f)(2) Failure to provide annual report	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart F—Introduction of New Brake System Technology						
	232.503 Process to introduce new technology:						
232.503(b)	(b) Failure to obtain FRA approval	10,000	15,000	20,000	30,000	20,600	31,000
	232.505 Pre-revenue service acceptance testing plan:						
232.505(a)	(a) Failure to obtain FRA approval	5,000	7,500	10,000	15,000	10,300	15,500
232.505(b)	(b) Failure to comply with plan	2,500	5,000	5,000	10,000	5,200	10,300
232.505(f)	(f) Failure to test previously used technology	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart G—Electronically Controlled Pneumatic (ECP) Braking Systems						
	232.603 Design, interoperability, and configuration management requirements:						
232.603(a)	(a) Failure to meet minimum standards	7,500	11,000	15,000	22,000	15,500	22,700
232.603(b)	(b) Using ECP brake equipment without approval	7,500	11,000	15,000	22,000	15,500	22,700
232.603(c)	(c) Failure to adopt and comply with a proper configuration management plan	7,500	11,000	15,000	22,000	15,500	22,700
	232.605 Training Requirements:						
232.605(a)	(a) Failure to adopt and comply with a proper training, qualification, and designation program for employees that perform inspection, testing or maintenance	1	1	1	1		
232.605(b)	(b) Failure to amend operating rules	12,500	16,000	25,000	32,000	25,800	33,000
232.605(c)	(c) Failure to adopt and comply with proper training criteria for locomotive engineers	12,500	16,000	25,000	32,000	25,800	33,000
	232.607 Inspection and testing requirements:						
	(a)(1), (b), (c)(1) Complete or partial failure to perform inspection	1	1	1	1		
232.607(a)(2)	(a)(2) Complete or partial failure to perform pre-departure inspection	7,500	11,000	15,000	22,000	15,500	22,700
232.607(c)(1)(iv)	(c)(1)(iv), (c)(2) Failure to perform visual inspection on a car added en route	4,500	6,500	9,000	13,000	9,300	13,400
232.607(c)(2)	(c)(1)(iv), (c)(2) Failure to perform visual inspection on a car added en route	4,500	6,500	9,000	13,000	9,300	13,400
	(d) Failure to perform inspection	1	1	1	1	1	1

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232.607(e)(1)	(e)(1), (2) Failure to properly initialize the train	7,500	11,000	15,000	22,000	15,500	22,700
232.607(e)(2)	(e)(1), (2) Failure to properly initialize the train	7,500	11,000	15,000	22,000	15,500	22,700
232.607(e)(3)	(e)(3) Failure to ensure identical consist and system information	7,500	11,000	15,000	22,000	15,500	22,700
	(f)(1) Failure to apply a proper brake pipe service reduction	1	1	1	1	1	1
	(f)(2) Failure to properly adhere to the proper piston travel ranges	1	1	1	1	1	1
232.607(g)(1)	(g)(1)-(4) Improperly located and guarded cable	7,500	11,000	15,000	22,000	15,500	22,700
232.607(g)(2)	(g)(1)-(4) Improperly located and guarded cable	7,500	11,000	15,000	22,000	15,500	22,700
232.607(g)(3)	(g)(1)-(4) Improperly located and guarded cable	7,500	11,000	15,000	22,000	15,500	22,700
232.607(g)(4)	(g)(1)-(4) Improperly located and guarded cable	7,500	11,000	15,000	22,000	15,500	22,700
232.607(g)(5)	(g)(5) Condition of cable and connections	7,500	11,000	15,000	22,000	15,500	22,700
	232.609 Handling of defective equipment with ECP brake systems:						
	(a) Failure to have proper percentage of operative brakes from Class I brake test	1	1	1	1	1	1
232.609(b)	(b) Failure to prevent a car known to arrive with defective brakes to depart location where a Class I brake test is required	7,500	11,000	15,000	22,000	15,500	22,700
232.609(c)	(c) Improper movement of a car equipped with conventional pneumatic brakes	7,500	11,000	15,000	22,000	15,500	22,700
	(d) Operating with less than 85 percent operative brakes	1	1	1	1	1	1
	(f)(2)(i) Improper placement of defective conventional brake equipment	1	1	1	1	1	1
232.609(f)(2)(ii)	(f)(2)(ii) Improper placement of defective ECP brake equipment	7,500	11,000	15,000	22,000	15,500	22,700
	(g) Improper movement of defective stand-alone ECP brake equipment in a train operating with conventional pneumatic brakes	1	1	1	1	1	1
	(h) Improper movement from initial terminal of stand-alone ECP brake equipment in a conventional brake operated train	1	1	1	1	1	1
	(i) Failure to tag equipment	1	1	1	1	1	1
232.609(j)(1)	(j)(1) Failure to adopt and comply with procedures for the movement of defective equipment	7,500	11,000	15,000	22,000	15,500	22,700
232.609(j)(2)	(j)(2) Failure to submit list of ECP brake system repair locations	7,500	11,000	15,000	22,000	15,500	22,700
	232.611 Periodic maintenance:						
232.611(a)	(a) Failure to ensure the proper and safe condition of car	7,500	11,000	15,000	22,000	15,500	22,700
232.611(b)	(b)-(d) Failure to perform test	7,500	11,000	15,000	22,000	15,500	22,700
232.611(c)	(b)-(d) Failure to perform test	7,500	11,000	15,000	22,000	15,500	22,700
232.611(d)	(b)-(d) Failure to perform test	7,500	11,000	15,000	22,000	15,500	22,700
	232.613 End-of-train devices:						
232.613(a)	(a) Failure to meet design standards for ECP-EOT devices	7,500	11,000	15,000	22,000	15,500	22,700
232.613(b)	(b) Moving with an improper or improperly connected ECP-EOT device	9,500	13,000	19,000	26,000	19,600	26,800
	Subpart H—Tourist, Scenic, Historic, and Excursion Operations Braking Systems						
232.701	232.701 Operating with less than 85 percent operative brakes	5,000	7,500	10,000	15,000	10,300	15,500
	232.710 General rules—locomotives:						
232.710(b)	(b) Air brakes not in safe and suitable condition	1,000-5,000	2,000-7,500	2,000-10,000	4,000-15,000	2,100-10,300	4,100-15,500

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
232.710(c)	(c) Compressor not tested for capacity	2,500	5,000	5,000	10,000	5,200	10,300
232.710(d)	(d) Main reservoir not tested	2,500	5,000	5,000	10,000	5,200	10,300
232.710(e)	(e) Air gauges not tested; if inaccurate not repaired or replaced	2,500	5,000	5,000	10,000	5,200	10,300
232.710(f)(1)	(f)(1) Operating portion of air brake equipment, dirt collectors, and filters not cleaned, repaired, and tested	2,500	5,000	5,000	10,000	5,200	10,300
232.710(f)(2)	(f)(2) Hand brakes, parts and connections not inspected or suitably stenciled	1,000	2,000	2,000	4,000	2,100	4,100
232.710(g)	(g) Date of testing or cleaning of air brake equipment not displayed in the cab	1,000	2,000	2,000	4,000	2,100	4,100
232.710(h)(1)	(h)(1) Minimum brake cylinder piston travel insufficient	2,500	5,000	5,000	10,000	5,200	10,300
232.710(h)(2)	(h)(2) Maximum brake cylinder piston travel excessive	2,500	5,000	5,000	10,000	5,200	10,300
232.710(i)(1)	(i)(1) Foundation brake rigging, safety supports and brake shoes	2,500	5,000	5,000	10,000	5,200	10,300
232.710(i)(2)	(i)(2) Foundation brake rigging or safety supports have improper clearance to the rails	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(1)	(j)(1) Main reservoir leakage	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(2)	(j)(2) Brake pipe leakage	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(3)	(j)(3) Brake cylinder leakage	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(4)	(j)(4) Main reservoir safety valve	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(5)	(j)(5) Governor	2,500	5,000	5,000	10,000	5,200	10,300
232.710(j)(6)	(j)(6) Compressor governor when used in connection with automatic air brake system	2,500	5,000	5,000	10,000	5,200	10,300
232.710(k)	(k) Communicating signal system on locomotive	1,000	2,000	2,000	4,000	2,100	4,100
232.710(l)	(l) Enginemen taking charge of locomotive	2,500	5,000	5,000	10,000	5,200	10,300
232.710(m)	(m) Drain cocks on air compressors of steam locomotives	2,500	5,000	5,000	10,000	5,200	10,300
232.710(n)	(n) Air pressure regulating devices	2,500	5,000	5,000	10,000	5,200	10,300
	232.711 Train air brake system tests:						
232.711(b)	(b) Communicating signal system on passenger train	2,500	5,000	5,000	10,000	5,200	10,300
232.711(c)	(c) Effective and operative air brakes	2,500	5,000	5,000	10,000	5,200	10,300
232.711(d)	(d) Condensation from yard line or motive power	2,500	5,000	5,000	10,000	5,200	10,300
	232.712 Initial terminal road train air brake tests:						
232.712(a)	(a) Total failure to perform initial terminal test	10,000	NA	20,000	NA	20,600	NA
232.712(b)	(b) 1,000 mile inspection not performed	5,000	10,000	10,000	20,000	10,300	20,600
232.712(c)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(d)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(e)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(f)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(g)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(h)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(i)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
232.712(j)	(c)-(j) partial failure to perform initial terminal test	2,500	5,000	5,000	10,000	5,200	10,300
	232.713 Road train and intermediate terminal train air brake tests:						
232.713(a)	(a) Passenger trains: before locomotive is detached	5,000	7,500	10,000	15,000	10,300	15,500
232.713(b)	(b) Freight trains: before locomotive is detached	5,000	7,500	10,000	15,000	10,300	15,500

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
232.713(c)(1)	(c)(1) Locomotive or caboose is changed, or one or more cars are cut off from the rear end or head end	5,000	7,500	10,000	15,000	10,300	15,500
232.713(c)(2)	(c)(2) Brake pipe pressure restored	5,000	7,500	10,000	15,000	10,300	15,500
232.713(c)(3)	(c)(3) Electropneumatic application and release test	5,000	7,500	10,000	15,000	10,300	15,500
232.713(d)(1)	(d)(1) Cars are added at a point other than a terminal	5,000	7,500	10,000	15,000	10,300	15,500
232.713(d)(2)(i)	(d)(2)(i) Cars added at a terminal and have been charged and tested	5,000	7,500	10,000	15,000	10,300	15,500
232.713(d)(2)(ii)	(d)(2)(ii) Cars added at a terminal and have not been charged and tested	5,000	7,500	10,000	15,000	10,300	15,500
232.713(d)(3)	(d)(3) Brake pipe pressure restored at the rear of freight train	5,000	7,500	10,000	15,000	10,300	15,500
232.713(e)(1)	(e)(1) Transfer train and yard train movements	2,500	5,000	5,000	10,000	5,200	10,300
232.713(e)(2)	(e)(2) Transfer train and yard train movements exceeding 20 miles	5,000	7,500	10,000	15,000	10,300	15,500
232.713(f)	(f) Locomotives, cars or train standing on a yard	5,000	7,500	10,000	15,000	10,300	15,500
232.713(h)	(h) Device is used to comply with test requirement	2,500	5,000	5,000	10,000	5,200	10,300
	232.714 Inbound brake equipment inspection:						
232.714(a)	(a) Inspection of trains upon arrival at terminals	1,000	2,000	2,000	4,000	2,100	4,100
232.714(b)	(b) Special instructions provide for immediate brake inspection and repairs	1,000	2,000	2,000	4,000	2,100	4,100
	232.715 Double heading and helper service:						
232.715(a)	(a) Engineman of the leading locomotive shall operate the brakes	5,000	7,500	10,000	15,000	10,300	15,500
232.715(b)	(b) Electropneumatic brake valve	5,000	7,500	10,000	15,000	10,300	15,500
232.716	232.716 Running tests	2,500	5,000	5,000	10,000	5,200	10,300
	232.717 Freight and passenger train car brakes:						
	(a) Testing and repairing brakes on cars while in shop or on repair track:						
232.717(a)(1)	(a)(1) Periodic attention on freight car air brake equipment while car is on repair track	5,000	7,500	10,000	15,000	10,300	15,500
232.717(a)(2)(i)	(a)(2)(i) Single car testing of freight cars	2,500	5,000	5,000	10,000	5,200	10,300
232.717(a)(2)(ii)	(a)(ii) Repair track tests of freight cars	2,500	5,000	5,000	10,000	5,200	10,300
232.717(a)(2)(iii)	(a)(iii) Single car testing of passenger cars	2,500	5,000	5,000	10,000	5,200	10,300
232.717(a)(2)(iv)	(a)(iv) Car is released from a shop or repair track	2,500	5,000	5,000	10,000	5,200	10,300
232.717(b)(1)	(b)(1) Brake equipment on cars other than passenger cars	2,500	5,000	5,000	10,000	5,200	10,300
232.717(b)(2)	(b)(2) Brake equipment on passenger cars	4,000	6,000	8,000	12,000	8,300	12,400
232.717(c)	(c) Maintenance plan for discontinued brake systems	5,000	7,500	10,000	15,000	10,300	15,500

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	<p>¹ A penalty may be assessed against an individual only for a willful violation. Generally when two or more violations of these regulations are discovered with respect to a single unit of equipment that is placed or continued in service by a railroad, the appropriate penalties set forth above are aggregated up to a maximum of \$36,439 per day. An exception to this rule is the \$31,800 penalty for willful violation of §232.503 (failure to get FRA approval before introducing new technology) with respect to a single unit of equipment; if the unit has additional violative conditions, the penalty may routinely be aggregated to \$31,800. Although the penalties listed for failure to perform the brake inspections and tests under §232.205 through §232.209 may be assessed for each train that is not properly inspected, failure to perform any of the inspections and tests required under those sections will be treated as a violation separate and distinct from, and in addition to, any substantive violative conditions found on the equipment contained in the train consist. Moreover, the Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.</p>						
	<p>Failure to observe any condition for movement of defective equipment set forth in § 232.15(a) will deprive the railroad of the benefit of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) concerning the substantive defect(s) present on the equipment at the time of movement.</p>						
	<p>Failure to provide any of the records or plans required by this part pursuant to § 232.19 will be considered a failure to maintain or develop the record or plan and will make the railroad liable for penalty under the particular regulatory section(s) concerning the retention or creation of the document involved.</p>						
	<p>Failure to properly perform any of the inspections specifically referenced in § 232.209, § 232.213, § 232.217, and subpart G may be assessed under each section of this part or this chapter, or both, that contains the requirements for performing the referenced inspection.</p>						
	<p>[66 FR 4193, Jan. 17, 2001, as amended at 69 FR 30594, May 28, 2004; 72 FR 51197, Sept. 6, 2007; 73 FR 61556, Oct. 16, 2008; 73 FR 79703, Dec. 30, 2008; 74 FR 15388, Apr. 6, 2009; 77 FR 24421, Apr. 24, 2012; 80 FR 47836, Aug. 6, 2015; 81 FR 43111, July 1, 2016; 82 FR 16134, Apr. 3, 2017; 83 FR 60748, Nov. 27, 2018; 84 FR 37059, July 31, 2019; 85 FR 80544, Dec. 11, 2020; 86 FR 1745, Jan. 11, 2021; 86 FR 23241, May 3, 2021; 87 FR 15839, Mar. 21, 2022; 88 FR 1114, Jan. 6, 2023; 88 FR 89551, Dec. 28, 2023; 89 FR 106282, Dec. 30, 2024]</p>						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Part 232—Brake System Safety Standards for Freight and Other Non-Passenger Trains and Equipment; End-of-Train Devices-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
233.5	233.5 Accidents resulting from signal failure	\$2,500	\$5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
233.7	233.7 Signal failure reports	5,000	7,500	10,000	15,000	10,300	15,500
233.9	233.9 [Reserved]						
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[53 FR 52936, Dec. 29, 1988, as amended at 63 FR 11623, Mar. 10, 1998; 69 FR 30595, May 28, 2004; 73 FR 79704, Dec. 30, 2008; 77 FR 24422, Apr. 24, 2012; 79 FR 37669, July 2, 2014; 81 FR 43111, July 1, 2016; 83 FR 60479, Nov. 27, 2018]						
	Part 233—Signal Systems Reporting Requirements-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Reports						
234.7	234.7 Accidents involving grade crossing signal failure	\$5,000	\$7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
234.9	234.9 Grade crossing signal system failure reports	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart C—Response to Reports of Warning System Malfunction						
	Sec.						
234.101	234.101 Employee notification rules	2,500	5,000	5,000	10,000	5,200	10,300
234.103	234.103 Timely response to report of malfunction	2,500	5,000	5,000	10,000	5,200	10,300
	234.105 Activation failure						
234.105(a)	(a) Failure to notify—train crews	5,000	7,500	10,000	15,000	10,300	15,500
	Other railroads	5,000	7,500	10,000	15,000	10,300	15,500
234.105(b)	(b) Failure to notify law enforcement agency	2,500	5,000	5,000	10,000	5,200	10,300
234.105(c) fail on flagging	(c) Failure to comply with—flagging requirements	5,000	5,000	10,000	10,000	10,300	10,300
234.105(c) fail on speed	Speed restrictions	5,000	7,500	10,000	15,000	10,300	15,500
234.105(d)	(d) Failure to activate horn or whistle	5,000	7,500	10,000	15,000	10,300	15,500
	234.106 Partial activation						
234.106(a)	(a) Failure to notify—train crews	5,000	7,500	10,000	15,000	10,300	15,500
	Other railroads	5,000	7,500	10,000	15,000	10,300	15,500
234.106(b)	(b) Failure to notify law enforcement agency	2,500	5,000	5,000	10,000	5,200	10,300
234.106(c)	(c) Failure to comply with—flagging requirements speed restrictions	5,000	7,500	10,000	15,000	10,300	15,500
234.106(d)	(d) Failure to activate horn or whistle	5,000	7,500	10,000	15,000	10,300	15,500
	234.107 False activation						
234.107(a)	(a) Failure to notify—train crews	5,000	7,500	10,000	15,000	10,300	15,500
	Other railroads	5,000	7,500	10,000	15,000	10,300	15,500
234.107(b)	(b) Failure to notify law enforcement agency	2,500	5,000	5,000	10,000	5,200	10,300
234.107(c)	(c) Failure to comply with—flagging requirements	5,000	7,500	10,000	15,000	10,300	15,500
	Speed restrictions	5,000	7,500	10,000	15,000	10,300	15,500
234.107(d)	(d) Failure to activate horn or whistle	5,000	7,500	10,000	15,000	10,300	15,500
234.109	234.109 Recordkeeping	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart D—Maintenance, Inspection, and Testing						
	Maintenance Standards:						
234.201	234.201 Location of plans	1,000	2,000	2,000	4,000	2,100	4,100
234.203	234.203 Control circuits	1,000	2,000	2,000	4,000	2,100	4,100
234.205	234.205 Operating characteristics of warning system apparatus	2,500	5,000	5,000	10,000	5,200	10,300
234.207	234.207 Adjustment, repair, or replacement of component	2,500	5,000	5,000	10,000	5,200	10,300
234.209	234.209 Interference with normal functioning of system	5,000	7,500	10,000	15,000	10,300	15,500
234.211	234.211 Locking of warning system apparatus	1,000	2,000	2,000	4,000	2,100	4,100
234.213	234.213 Grounds	1,000	2,000	2,000	4,000	2,100	4,100
234.215	234.215 Standby power system	5,000	7,500	10,000	15,000	10,300	15,500
234.217	234.217 Flashing light units	1,000	2,000	2,000	4,000	2,100	4,100
234.219	234.219 Gate arm lights and light cable	1,000	2,000	2,000	4,000	2,100	4,100
234.221	234.221 Lamp voltage	1,000	2,000	2,000	4,000	2,100	4,100
234.223	234.223 Gate arm	1,000	2,000	2,000	4,000	2,100	4,100
234.225	234.225 Activation of warning system	5,000	7,500	10,000	15,000	10,300	15,500
234.227	234.227 Train detection apparatus	2,500	5,000	5,000	10,000	5,200	10,300
234.229	234.229 Shunting sensitivity	2,500	5,000	5,000	10,000	5,200	10,300
234.231	234.231 Fouling wires	1,000	2,000	2,000	4,000	2,100	4,100
234.233	234.233 Rail joints	1,000	2,000	2,000	4,000	2,100	4,100
234.235	234.235 Insulated rail joints	1,000	2,000	2,000	4,000	2,100	4,100
234.237	234.237 Switch equipped with circuit controller	1,000	2,000	2,000	4,000	2,100	4,100
234.239	234.239 Tagging of wires and interference of wires or tags with signal apparatus	1,000	2,000	2,000	4,000	2,100	4,100

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
234.241	234.241 Protection of insulated wire; splice in underground wire	1,000	2,000	2,000	4,000	2,100	4,100
234.243	234.243 Wire on pole line and aerial cable	1,000	2,000	2,000	4,000	2,100	4,100
234.245	234.245 Signs	1,000	2,000	2,000	4,000	2,100	4,100
	Inspections and Tests:						
234.247	234.247 Purpose of inspections and tests; removal from service of relay or device failing to meet test requirements	2,500	5,000	5,000	10,000	5,200	10,300
234.249	234.249 Ground tests	2,500	5,000	5,000	10,000	5,200	10,300
234.251	234.251 Standby power	5,000	7,500	10,000	15,000	10,300	15,500
234.253	234.253 Flashing light units and lamp voltage	1,000	2,000	2,000	4,000	2,100	4,100
234.255	234.255 Gate arm and gate mechanism	1,000	2,000	2,000	4,000	2,100	4,100
234.257	234.257 Warning system operation	2,500	5,000	5,000	10,000	5,200	10,300
234.259	234.259 Warning time	1,000	2,000	2,000	4,000	2,100	4,100
234.261	234.261 Highway traffic signal pre-emption	1,000	2,000	2,000	4,000	2,100	4,100
234.263	234.263 Relays	1,000	2,000	2,000	4,000	2,100	4,100
234.265	234.265 Timing relays and timing devices	1,000	2,000	2,000	4,000	2,100	4,100
234.267	234.267 Insulation resistance tests, wires in trunking and cables	2,500	5,000	5,000	10,000	5,200	10,300
234.269	234.269 Cut-out circuits	1,000	2,000	2,000	4,000	2,100	4,100
234.271	234.271 Insulated rail joints, bond wires, and track connections	2,500	5,000	5,000	10,000	5,200	10,300
234.273	234.273 Results of tests	1,000	2,000	2,000	4,000	2,100	4,100
234.275	234.275 Processor-Based Systems	\$5,000	\$7,500	10,000	15,000	10,300	15,500
	Subpart E—Emergency Notification Systems for Telephonic Reporting of Unsafe Conditions at Highway-Rail and Pathway Grade Crossings						
	234.303 Emergency notification systems (ENS) for telephonic reporting of unsafe conditions at highway-rail and pathway grade crossings:						
234.303(a)	(a) Dispatching railroad fails to establish and maintain a toll-free telephone service by which the railroad can directly and promptly receive telephone calls (calls) from the public of reports of unsafe conditions at crossings	15,000	25,000	30,000	50,000	31,000	51,600
234.303(a)(1)	(a)(1) Dispatching railroad fails to have either a live person answer calls directly and promptly, or use an automated answering system or third-party telephone service for receiving calls from the public of reports of unsafe conditions at crossings	10,000	15,000	20,000	30,000	20,600	31,000
234.303(a)(2)	(a)(2) Dispatching railroad improperly uses an automated answering system	10,000	15,000	20,000	30,000	20,600	31,000
234.303(b)(1)	(b)(1)-(2) Excepted dispatching railroad improperly uses answering machine to receive calls of unsafe conditions at crossings	5,000	7,500	10,000	15,000	10,300	15,500
234.303(b)(2)	(b)(2) Excepted dispatching railroad fails to use proper method to receive calls of unsafe conditions at crossings during either operational or non-operational hours	5,000	7,500	10,000	15,000	10,300	15,500
234.303(e)	(e) Dispatching railroad improperly uses local telephone number to receive calls of unsafe conditions at crossings	5,000	7,500	10,000	15,000	10,300	15,500
	234.305 Remedial actions in response to reports of unsafe conditions at highway-rail and pathway grade crossings:						
	<i>Response to credible report of warning system malfunction at a highway-rail grade crossing.</i>						
234.305(a)(1)	(a)(1) Maintaining railroad fails under subpart C to follow subpart C of this part ²	5,000	7,500	10,000	15,000	10,300	15,500
234.305(a)(2)(i)	(a)(2)(i) Dispatching railroad fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(a)(2)(ii)	(ii) Dispatching railroad fails to promptly contact the maintaining railroad and inform it of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Response to public report of warning system malfunction at a highway-rail grade crossing.</i>						
234.305(b)(1)(i)	(b)(1)(i) Railroad with both maintaining and dispatching responsibilities fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(b)(1)(ii)	(ii) Railroad with both maintaining and dispatching responsibilities fails to promptly contact the appropriate law enforcement agency and inform it of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
234.305(b)(1)(iii)	(iii) Railroad with both maintaining and dispatching responsibilities fails to promptly investigate the report, determine the nature of the malfunction, and take the appropriate remedial action	5,000	7,500	10,000	15,000	10,300	15,500
234.305(b)(2)(i)	(b)(2)(i) Railroad with only dispatching responsibility fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(b)(2)(ii)	(ii) Railroad with only dispatching responsibility fails to promptly contact the appropriate law enforcement agency and inform it of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(b)(2)(iii)	(iii) Railroad with only dispatching responsibility fails to promptly contact the maintaining railroad and inform it of the reported malfunction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(b)(2)(iv)	(iv) Maintaining railroad fails to promptly investigate the report, determine the nature of the malfunction, and take the appropriate remedial action	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Response to report of warning system failure at a pathway grade crossing.</i>						
234.305(c)(1)(i)	(c)(1)(i) Railroad with both maintaining and dispatching responsibilities fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported failure	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(1)(ii)	(ii) Railroad with both maintaining and dispatching responsibilities fails to promptly contact the appropriate law enforcement agency and inform it of the reported failure	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(1)(iii)	(iii) Railroad with both maintaining and dispatching responsibilities fails to promptly investigate the report, determine the nature of the failure, and without undue delay repair the active warning system if necessary	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(2)(i)	(c)(2)(i) Railroad with only dispatching responsibility fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported failure	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(2)(ii)	(ii) Railroad with only dispatching responsibility fails to promptly contact the appropriate law enforcement agency and inform it of the reported failure	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(2)(iii)	(iii) Railroad with only dispatching responsibility fails to promptly contact the maintaining railroad and inform it of the reported failure	5,000	7,500	10,000	15,000	10,300	15,500
234.305(c)(2)(iv)	(iv) Maintaining railroad fails to promptly investigate the report, determine the nature of the failure, and without undue delay repair the active warning system if necessary	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Response to report of a disabled vehicle or other obstruction blocking a railroad track at a highway-rail or pathway grade crossing.</i>						
234.305(d)(1)(i)	(d)(1)(i) Railroad with both maintaining and dispatching responsibilities fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported obstruction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(1)(ii)	(ii) Railroad with both maintaining and dispatching responsibilities fails to promptly contact the appropriate law enforcement agency and inform it of the reported obstruction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(1)(iii)	(iii) Railroad with both maintaining and dispatching responsibilities fails to promptly investigate the report, determine the nature of the obstruction, and without undue delay take the necessary action to have the obstruction removed	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(2)(i)	(d)(2)(i) Railroad with only dispatching responsibility fails to promptly contact all trains authorized to operate through the crossing and inform them of the reported obstruction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(2)(ii)	(ii) Railroad with only dispatching responsibility fails to promptly contact the appropriate law enforcement agency and inform it of the reported obstruction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(2)(iii)	(iii) Railroad with only dispatching responsibility fails to promptly contact the maintaining railroad and inform it of the reported obstruction	5,000	7,500	10,000	15,000	10,300	15,500
234.305(d)(2)(iv)	(iv) Maintaining railroad fails to promptly investigate the report, determine the nature of the obstruction, and without undue delay take the necessary action to have the obstruction removed	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Special rule on contacting a train that is not required to have communication equipment.</i>						
234.305(e)	(e) Having received a report pursuant to § 234.303(c)(1), (c)(2), (d)(1), or (d)(2), railroad fails to promptly contact the occupied controlling locomotive of the train by the quickest means available consistent with § 220.13(a) of this chapter	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Response to report of an obstruction of view at a highway-rail or pathway grade crossing.</i>						
234.305(f)(1)	(f)(1) Railroad with both maintaining and dispatching responsibilities fails to timely investigate the report and remove the obstruction if it is lawful and feasible to do so	5,000	7,500	10,000	15,000	10,300	15,500

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
234.305(f)(2)(i)	(f)(2)(i) Railroad with only dispatching responsibility fails to promptly contact the maintaining railroad	5,000	7,500	10,000	15,000	10,300	15,500
234.305(f)(2)(ii)	(ii) Maintaining railroad fails to timely investigate the report and remove the obstruction if it is lawful and feasible to do so	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Response to report of other unsafe condition at a highway-rail or pathway grade crossing.</i>						
234.305(g)(1)	(g)(1) Railroad with both maintaining and dispatching responsibilities fails to timely investigate the report, and timely correct the unsafe condition if it is lawful and feasible to do so	5,000	7,500	10,000	15,000	10,300	15,500
234.305(g)(2)	(g)(2) Railroad with only dispatching responsibility fails to promptly contact the maintaining railroad	5,000	7,500	10,000	15,000	10,300	15,500
234.305(g)(3)	(g)(3) Maintaining railroad fails to timely investigate the report, and timely correct the unsafe condition if it is lawful and feasible to do so	5,000	7,500	10,000	15,000	10,300	15,500
	<i>Maintaining railroad's responsibilities for receiving reports of unsafe conditions at highway-rail and pathway grade crossings.</i>						
234.305(h)(1)(i)	(h)(1)(i) Maintaining railroad fails to provide sufficient contact information to dispatching railroad, by which the dispatching railroad may timely contact the maintaining railroad upon receipt of a report	5,000	7,500	10,000	15,000	10,300	15,500
234.305(h)(1)(ii)	(ii) Maintaining railroad fails either to have a live person answer calls directly and promptly, or to use an automated answering system for receiving calls from the dispatching railroad of a report of an unsafe condition	10,000	15,000	20,000	30,000	20,600	31,000
234.305(h)(1)(iii)	(iii) Dispatching railroad improperly uses an automated answering system	10,000	15,000	20,000	30,000	20,600	31,000
234.305(h)(2)	(h)(2)(i)-(ii) Excepted maintaining railroad fails to use proper method to receive calls of unsafe conditions at crossings during operational or non-operational hours	5,000	7,500	10,000	15,000	10,300	15,500
	234.306 Multiple dispatching or maintaining railroads with respect to the same highway-rail or pathway grade crossing; appointment of responsible railroad:						
234.306(a)(1)	(a)(1) Each of the dispatching railroads for the crossing fails to appoint a primary dispatching railroad for the crossing	10,000	15,000	20,000	30,000	20,600	31,000
234.306(a)(1)(i) - (iv)	(a)(1)(i)-(iv) The primary dispatching railroad for the crossing fails to carry out one of the prescribed duties	5,000	7,500	10,000	15,000	10,300	15,500
234.306(a)(2)	(a)(2) Another pertinent dispatching railroad for the crossing fails to carry out remedial action as required by § 234.305	5,000	7,500	10,000	15,000	10,300	15,500
234.306(b)(1)	(b)(1) Each of the maintaining railroads for the crossing fails to appoint one maintaining railroad responsible for the placement and maintenance of the ENS sign(s)	5,000	7,500	10,000	15,000	10,300	15,500
234.306(b)(2)	(b)(2) The assigned maintaining railroad in § 234.306(b)(1) fails to display on the ENS sign(s) the emergency telephone number of the dispatching railroad or primary dispatching railroad for the crossing	5,000	7,500	10,000	15,000	10,300	15,500
234.306(c)(1)	(c)(1) The dispatching railroad or primary dispatching railroad fails to promptly contact and inform the appropriate maintaining railroad(s) for the crossing of the reported problem	5,000	7,500	10,000	15,000	10,300	15,500
234.306(c)(2)	(c)(2) The maintaining railroad fails to carry out remedial action as required by § 234.305	10,000	15,000	20,000	30,000	20,600	31,000
	234.307 Use of third-party telephone service by dispatching and maintaining railroads ³ :						
234.307(a)(1)	(a)(1) Dispatching railroad improperly uses third-party telephone service	10,000	15,000	20,000	30,000	20,600	31,000
234.307(a)(2)	(a)(2) Dispatching railroad fails to ensure third-party telephone service compliance with § 234.307	10,000	15,000	20,000	30,000	20,600	31,000
234.307(b)(1)	(b)(1) Maintaining railroad improperly uses third-party telephone service	10,000	15,000	20,000	30,000	20,600	31,000
234.307(b)(2)	(b)(2) Maintaining railroad fails to ensure third-party telephone service compliance with § 234.307	10,000	15,000	20,000	30,000	20,600	31,000
234.307(d)(1)	(d)(1) Railroad fails to provide sufficient contact information to third-party telephone service	2,500	5,000	5,000	10,000	5,200	10,300
234.307(d)(2)(i)	(d)(2)(i) Railroad fails to inform FRA in writing before the implementation of a third-party telephone service	2,500	5,000	5,000	10,000	5,200	10,300
234.307(d)(2)(ii)	(ii) Railroad fails to provide FRA with contact information for the third-party telephone service	2,500	5,000	5,000	10,000	5,200	10,300
234.307(d)(2)(iii)	(iii) Railroad fails to provide FRA with information identifying the crossings about which the third-party telephone service will receive reports	2,500	5,000	5,000	10,000	5,200	10,300
234.307(d)(3)	(d)(3) Railroad fails to inform FRA in writing within 30 days following any changes in the use of, or the discontinuance of, third-party telephone service	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	(d)(4) ⁴ Dispatching or maintaining railroad fails to take appropriate action required by § 234.305.						
	(e) ⁵ Railroad fails to ensure third-party telephone service compliance with § 234.313 or § 234.315, as applicable.						
	234.309 ENS signs in general:						
234.309(a)	(a) Dispatching railroad fails to provide ENS telephone number to the maintaining railroad a minimum of 180 days prior to the required implementation date of the ENS	2,500	5,000	5,000	10,000	5,200	10,300
234.309(b)	(b)(1)-(3) ⁶ Responsible railroad fails to display minimum required information on ENS sign	5,000	7,500	10,000	15,000	10,300	15,500
234.309(c)	(c)(1)-(4) ⁷ Responsible railroad fails to display ENS sign that meets size and other physical requirements	5,000	7,500	10,000	15,000	10,300	15,500
	234.311 ENS sign placement and maintenance:						
234.311(a)	(a)(1)-(2) Responsible railroad fails to place and maintain required number of sign(s) at the crossing or entrance(s) to facility	5,000	7,500	10,000	15,000	10,300	15,500
234.311(b)	(b)(1)-(2) Responsible railroad fails to properly locate and maintain the sign(s) at the crossing	5,000	7,500	10,000	15,000	10,300	15,500
	234.313 Recordkeeping:						
234.313(a)	(a)(1)-(9) Railroad fails to maintain in its records the minimum information required for each ENS report received	2,500	5,000	5,000	10,000	5,200	10,300
234.313(c)	(c)(1)-(2) Responsible railroad(s) fail(s) to record in writing an appointment of a railroad, pursuant to § 234.306, or properly retain a copy of the document	2,500	5,000	5,000	10,000	5,200	10,300
234.313(d)(1)	(d)(1) Railroad fails to properly retain records	2,500	5,000	5,000	10,000	5,200	10,300
234.313(d)(2)	(d)(2) Railroad fails to provide FRA access to records	2,500	5,000	5,000	10,000	5,200	10,300
	234.315 Electronic recordkeeping:						
234.315	(a)-(b) Railroad fails to comply with electronic recordkeeping requirements	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart F—Highway-Rail and Pathway Crossing Inventory Reporting						
	§ 234.403 Submission of data to the Crossing Inventory:						
234.403(b)	(b) Failure to complete Inventory Form (or electronic equivalent) in accordance with the Inventory Guide	\$1,000	\$2,000	2,000	4,000	2,100	4,100
234.403(c)	(c) Class I railroad failure to submit crossing data to the Crossing Inventory electronically	1,000	2,000	2,000	4,000	2,100	4,100
	§ 234.405 Submission of initial data to the Crossing Inventory for previously unreported crossings						
234.405(a)	(a) Primary operating railroad failure to timely submit an accurate Inventory Form (or electronic equivalent) to the Crossing Inventory for previously unreported crossing	2,500	5,000	5,000	10,000	5,200	10,300
234.405(b)	(b) Operating railroad failure to timely submit accurate partial crossing data to the Crossing Inventory for previously unreported crossing	2,500	5,000	5,000	10,000	5,200	10,300
234.405(c)	(c) Operating railroad failure to provide written notification to FRA that the primary operating railroad failed to timely report previously unreported crossing	1,000	2,000	2,000	4,000	2,100	4,100
	§ 234.407 Submission of initial data to the Crossing Inventory for new crossings:						
234.407(a)	(a) Primary operating railroad failure to timely submit an accurate Inventory Form (or electronic equivalent) to the Crossing Inventory for new crossing	2,500	5,000	5,000	10,000	5,200	10,300
234.407(b)	(b) Operating railroad failure to timely submit accurate partial crossing data to the Crossing Inventory for new crossing	2,500	5,000	5,000	10,000	5,200	10,300
234.407(c)	(c) Operating railroad failure to provide written notification to FRA that the primary operating railroad failed to timely report new crossing	1,000	2,000	2,000	4,000	2,100	4,100
	§ 234.409 Submission of periodic updates to the Crossing Inventory:						
234.409(a)	(a) Primary operating railroad failure to timely submit up-to-date and accurate crossing data to the Crossing Inventory for highway-rail or pathway crossing	2,500	5,000	5,000	10,000	5,200	10,300
234.409(b)	(b) Operating railroad failure to timely submit up-to-date and accurate partial crossing data to the Crossing Inventory for highway-rail or pathway crossing	2,500	5,000	5,000	10,000	5,200	10,300
	§ 234.411 Changes requiring submission of updated information to the Crossing Inventory:						
234.411(a)	(a) Failure to timely report crossing sale to the Crossing Inventory	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
234.411(b)	(b) Primary operating railroad failure to timely report crossing closure to the Crossing Inventory	2,500	5,000	5,000	10,000	5,200	10,300
234.411(c)	(c) Primary operating railroad failure to timely submit up-to-date and accurate crossing data to the Crossing Inventory after change in crossing characteristics	2,500	5,000	5,000	10,000	5,200	10,300
234.413	§ 234.413 Recordkeeping	1,000	2,000	2,000	4,000	2,100	4,100
234.415	§ 234.415 Electronic Recordkeeping	1,000	2,000	2,000	4,000	2,100	4,100
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A. To facilitate the assessment of penalty amounts, the specific types of violations of a given section are sometimes designated by the paragraph of the section (e.g., “(a)”) and a code not corresponding to the legal citation for the violation (e.g., “(1)”), so that the complete citation in the penalty schedule is e.g., “(a)(1).” FRA reserves the right to revise the citation of the violation in the Summary of Alleged Violations issued by FRA in the event of litigation.						
	² Either this section or the parallel section of subpart C of this part may be cited, but not both.						
	³ FRA does not plan to assess civil penalties against a third-party telephone service, under § 234.307(c) or (e). However, FRA plans to assess violations against the dispatching and maintaining railroads for failing to ensure that the third-party telephone service complies with the requirements of §§ 234.307, 234.313, or 234.315, if applicable. See § 234.307(a), (b), (e).						
	⁴ For a violation of § 234.307(d)(4), a penalty should be assessed for the specific type of violation according to the penalty schedule for a violation of § 234.305.						
	⁵ For a violation of § 234.307(e) pertaining to recordkeeping, a penalty should be assessed for the specific type of violation in the penalty schedule for a violation of §§ 234.313 or 234.315, as applicable.						
	⁶ FRA reserves the right to cite a violation for each item of required information omitted from a sign.						
	⁷ FRA reserves the right to cite a violation for each physical characteristic that is nonconforming.						
	[61 FR 31806, June 20, 1996, as amended at 63 FR 11623, Mar. 10, 1998; 69 FR 30595, May 28, 2004; 70 FR 11094, Mar. 7, 2005; 73 FR 76704, Dec. 30, 2008; 77 FR 24422, Apr. 24, 2012; 80 FR 786, Jan. 6, 2015; 80 FR 30364, May 28, 2015; 81 FR 37534, June 10, 2016; 81 FR 43111, July 1, 2016; 83 FR 60749, Nov. 27, 2018]						
	Part 234—Grade Crossing Safety-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
235.5	235.5 Changes requiring filing of application	\$5,000	\$7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[53 FR 52936, Dec. 29, 1988, as amended at 69 FR 62818, Oct. 28, 2004; 77 FR 24422, Apr. 24, 2012; 81 FR 43112, July 1, 2016; 83 FR 60749, Nov. 27, 2018]						
	Part 235—Instructions Governing Applications for Approval of a Discontinuance or Material Modification of a Signal System or Relief from the Requirements of Part 236-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—Rules and Instructions—All Systems						
	<i>General:</i>						
236.0	236.0 Applicability, minimum requirements	\$2,500	\$5,000	\$ 5,000	\$ 10,000	\$ 5,200	\$ 10,300
236.1	236.1 Plans, where kept	1,000	2,000	2,000	4,000	2,100	4,100
236.2	236.2 Grounds	1,000	2,000	2,000	4,000	2,100	4,100
	236.3 Locking of signal apparatus housings:						
236.3(a)	(a) Power interlocking machine cabinet not secured against unauthorized entry	2,500	5,000	5,000	10,000	5,200	10,300
236.3(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.4	236.4 Interference with normal functioning of device	5,000	7,500	10,000	15,000	10,300	15,500
236.5	236.5 Design of control circuits on closed circuit principle	1,000	2,000	2,000	4,000	2,100	4,100
236.6	236.6 Hand-operated switch equipped with switch circuit controller	1,000	2,000	2,000	4,000	2,100	4,100
236.7	236.7 Circuit controller operated by switch-and-lock movement	1,000	2,000	2,000	4,000	2,100	4,100
236.8	236.8 Operating characteristics of electro-magnetic, electronic, or electrical apparatus	1,000	2,000	2,000	4,000	2,100	4,100
236.9	236.9 Selection of circuits through indicating or annunciating instruments	1,000	2,000	2,000	4,000	2,100	4,100
236.10	236.10 Electric locks, force drop type; where required	1,000	2,000	2,000	4,000	2,100	4,100
236.11	236.11 Adjustment, repair, or replacement of component	2,500	5,000	5,000	10,000	5,200	10,300
236.12	236.12 Spring switch signal protection; where required	1,000	2,000	2,000	4,000	2,100	4,100
236.13	236.13 Spring switch; selection of signal control circuits through circuit controller	1,000	2,000	2,000	4,000	2,100	4,100
236.14	236.14 Spring switch signal protection; requirements	1,000	2,000	2,000	4,000	2,100	4,100
236.15	236.15 Timetable instructions	1,000	2,000	2,000	4,000	2,100	4,100
	236.16 Electric lock, main track releasing circuit:						
236.16(a)	(a) Electric lock releasing circuit on main track extends into fouling circuit where turnout not equipped with derail at clearance point either pipe-connected to switch or independently locked, electrically	2,500	5,000	5,000	10,000	5,200	10,300
236.16(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.17	236.17 Pipe for operating connections, requirements	1,000	2,000	2,000	4,000	2,100	4,100
	236.18 Software management control plan:						
236.18(a)	Failure to develop and adopt a plan	5,000	10,000	10,000	20,000	10,300	20,600
236.18(b)	Failure to fully implement plan	5,000	10,000	10,000	20,000	10,300	20,600
236.18(c)	Inadequate plan	2,500	10,000	5,000	20,000	5,200	20,600
	<i>Roadway Signals and Cab Signals—</i>						
236.21	236.21 Location of roadway signals	1,000	2,000	2,000	4,000	2,100	4,100
236.22	236.22 Semaphore signal arm; clearance to other objects	1,000	2,000	2,000	4,000	2,100	4,100
236.23	236.23 Aspects and indications	1,000	2,000	2,000	4,000	2,100	4,100
236.24	236.24 Spacing of roadway signals	2,500	5,000	5,000	10,000	5,200	10,300
236.26	236.26 Buffing device, maintenance	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Track Circuits—</i>						
	236.51 Track circuit requirements:						
236.51(a)	(a) Shunt fouling circuit used where permissible speed through turnout greater than 45 m.p.h	2,500	5,000	5,000	10,000	5,200	10,300
236.51(b)	(b) Track relay not in de-energized position or device that functions as track relay not in its most restrictive state when train, locomotive, or car occupies any part of track circuit, except fouling section of turnout of hand-operated main-track crossover	2,500	5,000	5,000	10,000	5,200	10,300
236.51(c)	(c) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.52	236.52 Relayed cut-section	1,000	2,000	2,000	4,000	2,100	4,100
236.53	236.53 Track circuit feed at grade crossing	1,000	2,000	2,000	4,000	2,100	4,100
236.54	236.54 Minimum length of track circuit	1,000	2,000	2,000	4,000	2,100	4,100
236.55	236.55 Dead section; maximum length	1,000	2,000	2,000	4,000	2,100	4,100
236.56	236.56 Shunting sensitivity	2,500	5,000	5,000	10,000	5,200	10,300
	236.57 Shunt and fouling wires:						
236.57(a)	(a) Shunt or fouling wires do not consist of at least two discrete conductors	2,500	5,000	5,000	10,000	5,200	10,300
236.57(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
	236.58 Turnout, fouling section:						

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236.58(a)	(a) Rail joint in shunt fouling section not bonded	2,500	5,000	5,000	10,000	5,200	10,300
236.58(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.59	236.59 Insulated rail joints	1,000	2,000	2,000	4,000	2,100	4,100
236.60	236.60 Switch shunting circuit; use restricted	2,500	5,000	5,000	10,000	5,200	10,300
	<i>Wires and Cables —</i>						
236.71	236.71 Signal wires on pole line and aerial cable	1,000	2,000	2,000	4,000	2,100	4,100
236.73	236.73 Open-wire transmission line; clearance to other circuits	1,000	2,000	2,000	4,000	2,100	4,100
236.74	236.74 Protection of insulated wire; splice in underground wire	1,000	2,000	2,000	4,000	2,100	4,100
236.76	236.76 Tagging of wires and interference of wires or tags with signal apparatus	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Inspections and Tests; All Systems —</i>						
236.101	236.101 Purpose of inspection and tests; removal from service or relay or device failing to meet test requirements	2,500	5,000	5,000	10,000	5,200	10,300
236.102	236.102 Semaphore or search-light signal mechanism	1,000	2,000	2,000	4,000	2,100	4,100
236.103	236.103 Switch circuit controller or point detector	1,000	2,000	2,000	4,000	2,100	4,100
236.104	236.104 Shunt fouling circuit	1,000	2,000	2,000	4,000	2,100	4,100
236.105	236.105 Electric lock	1,000	2,000	2,000	4,000	2,100	4,100
236.106	236.106 Relays	1,000	2,000	2,000	4,000	2,100	4,100
236.107	236.107 Ground tests	1,000	2,000	2,000	4,000	2,100	4,100
	236.108 Insulation resistance tests, wires in trunking and cables:						
236.108(a)	(a) Circuit permitted to function on a conductor having insulation resistance value less than 200,000 ohms	2,500	5,000	5,000	10,000	5,200	10,300
236.108(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.109	236.109 Time releases, timing relays and timing devices	1,000	2,000	2,000	4,000	2,100	4,100
236.110	236.110 Results of tests	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart B—Automatic Block Signal Systems						
236.201	236.201 Track circuit control of signals	1,000	2,000	2,000	4,000	2,100	4,100
236.202	236.202 Signal governing movements over hand-operated switch	1,000	2,000	2,000	4,000	2,100	4,100
236.203	236.203 Hand-operated crossover between main tracks; protection	1,000	2,000	2,000	4,000	2,100	4,100
236.204	236.204 Track signaled for movements in both directions, requirements	1,000	2,000	2,000	4,000	2,100	4,100
236.205	236.205 Signal control circuits; requirements	1,000	2,000	2,000	4,000	2,100	4,100
236.206	236.206 Battery or power supply with respect to relay; location	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Interlocking						
	236.207 Electric lock on hand-operated switch; control:						
236.207(a)	(a) Approach or time locking of electric lock on hand-operated switch can be defeated by unauthorized use of emergency device which is not kept sealed in the non-release position	2,500	5,000	5,000	10,000	5,200	10,300
236.207(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.301	236.301 Where signals shall be provided	1,000	2,000	2,000	4,000	2,100	4,100
236.302	236.302 Track circuits and route locking	1,000	2,000	2,000	4,000	2,100	4,100
236.303	236.303 Control circuits for signals, selection through circuit controller operated by switch points or by switch locking mechanism	1,000	2,000	2,000	4,000	2,100	4,100
236.304	236.304 Mechanical locking or same protection effected by circuits	1,000	2,000	2,000	4,000	2,100	4,100
236.305	236.305 Approach or time locking	1,000	2,000	2,000	4,000	2,100	4,100
236.306	236.306 Facing point lock or switch-and-lock movement	1,000	2,000	2,000	4,000	2,100	4,100
236.307	236.307 Indication locking:						
236.308	236.308 Mechanical or electric locking or electric circuits; requisites	1,000	2,000	2,000	4,000	2,100	4,100
	236.309 Loss of shunt protection; where required:						
236.309(a)	(a) Loss of shunt of five seconds or less permits release of route locking of power-operated switch, movable point frog, or derail	2,500	5,000	5,000	10,000	5,200	10,300
236.309(b)	(b) Other violations	1,000	2,000	2,000	4,000	2,100	4,100
236.310	236.310 Signal governing approach to home signal	1,000	2,000	2,000	4,000	2,100	4,100
236.311	236.311 Signal control circuits, selection through track relays or devices functioning as track relays and through signal mechanism contacts and time releases at automatic interlocking	1,000	2,000	2,000	4,000	2,100	4,100

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	236.312 Movable bridge, interlocking of signal appliances with bridge devices:						
236.312(a)	(a) Emergency bypass switch or device not locked or sealed	2,500	5,000	5,000	10,000	5,200	10,300
236.312(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
	236.314 Electric lock for hand-operated switch or derail:						
236.314(a)	(a) Approach or time locking of electric lock at hand-operated switch or derail can be defeated by unauthorized use of emergency device which is not kept sealed in non-release position	2,500	5,000	5,000	10,000	5,200	10,300
236.314(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Rules and Instructions —</i>						
236.326	236.326 Mechanical locking removed or disarranged; requirement for permitting train movements through interlocking	1,000	2,000	2,000	4,000	2,100	4,100
236.327	236.327 Switch, movable-point frog or split-point derail	1,000	2,000	2,000	4,000	2,100	4,100
236.328	236.328 Plunger of facing-point	1,000	2,000	2,000	4,000	2,100	4,100
236.329	236.329 Bolt lock	1,000	2,000	2,000	4,000	2,100	4,100
236.330	236.330 Locking dog of switch and lock movement	1,000	2,000	2,000	4,000	2,100	4,100
236.334	236.334 Point detector	1,000	2,000	2,000	4,000	2,100	4,100
236.335	236.335 Dogs, stops and trunnions of mechanical locking	1,000	2,000	2,000	4,000	2,100	4,100
236.336	236.336 Locking bed	1,000	2,000	2,000	4,000	2,100	4,100
236.337	236.337 Locking faces of mechanical locking; fit	1,000	2,000	2,000	4,000	2,100	4,100
236.338	236.338 Mechanical locking required in accordance with locking sheet and dog chart	1,000	2,000	2,000	4,000	2,100	4,100
236.339	236.339 Mechanical locking; maintenance requirements	1,000	2,000	2,000	4,000	2,100	4,100
236.340	236.340 Electromechanical interlocking machine; locking between electrical and mechanical levers	1,000	2,000	2,000	4,000	2,100	4,100
236.341	236.341 Latch shoes, rocker links, and quadrants	1,000	2,000	2,000	4,000	2,100	4,100
236.342	236.342 Switch circuit controller	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Inspection and Tests —</i>						
236.376	236.376 Mechanical locking	1,000	2,000	2,000	4,000	2,100	4,100
236.377	236.377 Approach locking	1,000	2,000	2,000	4,000	2,100	4,100
236.378	236.378 Time locking	1,000	2,000	2,000	4,000	2,100	4,100
236.379	236.379 Route locking	1,000	2,000	2,000	4,000	2,100	4,100
236.380	236.380 Indication locking	1,000	2,000	2,000	4,000	2,100	4,100
236.381	236.381 Traffic locking	1,000	2,000	2,000	4,000	2,100	4,100
236.382	236.382 Switch obstruction test	1,000	2,000	2,000	4,000	2,100	4,100
236.383	236.383 Valve locks, valves, and valve magnets	1,000	2,000	2,000	4,000	2,100	4,100
236.384	236.384 Cross protection						
236.386	236.386 Restoring feature on power switches						
236.387	236.387 Movable bridge locking	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart D—Traffic Control Systems Standards						
236.401	236.401 Automatic block signal system and interlocking standards applicable to traffic control systems:						
236.402	236.402 Signals controlled by track circuits and control operator	1,000	2,000	2,000	4,000	2,100	4,100
236.403	236.403 Signals at controlled point	1,000	2,000	2,000	4,000	2,100	4,100
236.404	236.404 Signals at adjacent control points	1,000	2,000	2,000	4,000	2,100	4,100
236.405	236.405 Track signaled for movements in both directions, change of direction of traffic	1,000	2,000	2,000	4,000	2,100	4,100
236.407	236.407 Approach or time locking; where required	1,000	2,000	2,000	4,000	2,100	4,100
236.408	236.408 Route locking	1,000	2,000	2,000	4,000	2,100	4,100
	236.410 Locking, hand-operated switch; requirements:						
236.410(a)	(a) Hand-operated switch on main track not electrically or mechanically locked in normal position where signal not provided to govern movement to main track, movements made at speeds in excess of 20 m.p.h., and train or engine movements may clear main track	2,500	5,000	5,000	10,000	5,200	10,300
236.410(b)	(b) Hand-operated switch on signaled siding not electrically or mechanically locked in normal position where signal not provided to govern movements to signaled siding, train movements made at speeds in excess of 30 m.p.h., and train or engine movements may clear signaled siding	2,500	5,000	5,000	10,000	5,200	10,300

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236.410(c)	(c) Approach or time locking of electric lock at hand-operated switch can be defeated by use of emergency release device of electric lock which is not kept sealed in non-release position	2,500	5,000	5,000	10,000	5,200	10,300
236.410(d)	(d) other violations	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Rules and Instructions—</i>						
236.426	236.426 Interlocking rules and instructions applicable to traffic control systems	1,000	2,000	2,000	4,000	2,100	4,100
236.476	236.476 Interlocking inspections and tests applicable to traffic control systems	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart E—Automatic Train Stop, Train Control and Cab Signal Systems Standards						
236.501	236.501 Forestalling device and speed control	1,000	2,000	2,000	4,000	2,100	4,100
236.502	236.502 Automatic brake application, initiation by restrictive block conditions stopping distance in advance	1,000	2,000	2,000	4,000	2,100	4,100
236.503	236.503 Automatic brake application; initiation when predetermined rate of speed exceeded	1,000	2,000	2,000	4,000	2,100	4,100
236.504	236.504 Operations interconnected with automatic block-signal system	1,000	2,000	2,000	4,000	2,100	4,100
236.505	236.505 Proper operative relation between parts along roadway and parts on locomotive	1,000	2,000	2,000	4,000	2,100	4,100
236.506	236.506 Release of brakes after automatic application	1,000	2,000	2,000	4,000	2,100	4,100
236.507	236.507 Brake application; full service	1,000	2,000	2,000	4,000	2,100	4,100
236.508	236.508 Interference with application of brakes by means of brake valve	1,000	2,000	2,000	4,000	2,100	4,100
236.509	236.509 Two or more locomotives coupled	1,000	2,000	2,000	4,000	2,100	4,100
236.511	236.511 Cab signals controlled in accordance with block conditions stopping distance in advance	1,000	2,000	2,000	4,000	2,100	4,100
236.512	236.512 Cab signal indication when locomotive enters blocks	1,000	2,000	2,000	4,000	2,100	4,100
236.513	236.513 Audible indicator	1,000	2,000	2,000	4,000	2,100	4,100
236.514	236.514 Interconnection of cab signal system with roadway signal system	1,000	2,000	2,000	4,000	2,100	4,100
236.515	236.515 Visibility of cab signals	1,000	2,000	2,000	4,000	2,100	4,100
236.516	236.516 Power supply	1,000	2,000	2,000	4,000	2,100	4,100
	<i>Rules and Instructions; Roadway—</i>						
236.526	236.526 Roadway element not functioning properly	2,500	5,000	5,000	10,000	5,200	10,300
236.527	236.527 Roadway element insulation resistance	1,000	2,000	2,000	4,000	2,100	4,100
236.528	236.528 Restrictive condition resulting from open hand-operated switch; requirement	1,000	2,000	2,000	4,000	2,100	4,100
236.529	236.529 Roadway element inductor; height and distance from rail	1,000	2,000	2,000	4,000	2,100	4,100
236.531	236.531 Trip arm; height and distance from rail	1,000	2,000	2,000	4,000	2,100	4,100
236.532	236.532 Strap iron inductor; use restricted	1,000	2,000	2,000	4,000	2,100	4,100
236.534	236.534 Rate of pressure reduction; equalizing reservoir or brake pipe	1,000	2,000	2,000	4,000	2,100	4,100
236.551	236.551 Power supply voltage	1,000	2,000	2,000	4,000	2,100	4,100
236.552	236.552 Insulation resistance	1,000	2,000	2,000	4,000	2,100	4,100
236.553	236.553 Seal, where required	2,500	5,000	5,000	10,000	5,200	10,300
236.554	236.554 Rate of pressure reduction; equalizing reservoir or brake pipe	1,000	2,000	2,000	4,000	2,100	4,100
236.555	236.555 Repaired or rewound receiver coil	1,000	2,000	2,000	4,000	2,100	4,100
236.556	236.556 Adjustment of relay	1,000	2,000	2,000	4,000	2,100	4,100
236.557	236.557 Receiver; location with respect to rail	1,000	2,000	2,000	4,000	2,100	4,100
236.560	236.560 Contact element, mechanical trip type; location with respect to rail	1,000	2,000	2,000	4,000	2,100	4,100
	236.562 Minimum rail current required	1,000	2,000	2,000	4,000	2,100	4,100
	236.563 Delay time	1,000	2,000	2,000	4,000	2,100	4,100
	236.564 Acknowledging time	1,000	2,000	2,000	4,000	2,100	4,100
236.565	236.565 Provision made for preventing operation of pneumatic brake-applying apparatus by double-heading clock; requirement	1,000	2,000	2,000	4,000	2,100	4,100
236.566	236.566 Locomotive of each train operating in train stop, train control or cab signal territory; equipped	5,000	7,500	10,000	15,000	10,300	15,500
	236.567 Restrictions imposed when device fails and/or is cut out en route:						
236.567(a)	(a) Report not made to designated officer at next available point of communication after automatic train stop, train control, or cab signal device fails and/or is cut en route	5,000	7,500	10,000	15,000	10,300	15,500
236.567(b)	(b) Train permitted to proceed at speed exceeding 79 m.p.h. where automatic train stop, train control, or cab signal device fails and/or is cut out en route when absolute block established in advance of train on which device is inoperative	5,000	7,500	10,000	15,000	10,300	15,500
236.567(c)	(c) other violations	1,000	2,000	2,000	4,000	2,100	4,100

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236.568	236.568 Difference between speeds authorized by roadway signal and cab signal; action <i>Inspection and Tests; Roadway—</i>	1,000	2,000	2,000	4,000	2,100	4,100
236.576	236.576 Roadway element	1,000	2,000	2,000	4,000	2,100	4,100
236.577	236.577 Test, acknowledgement, and cut-in circuits <i>Inspection and Tests; Locomotive—</i>	1,000	2,000	2,000	4,000	2,100	4,100
236.586	236.586 Daily or after trip test	2,500	5,000	5,000	10,000	5,200	10,300
	236.587 Departure test:						
236.587(a)	(a) Test of automatic train stop, train control, or cab signal apparatus on locomotive not made on departure of locomotive from initial terminal if equipment on locomotive not cut out between initial terminal and equipped territory	5,000	7,500	10,000	15,000	10,300	15,500
236.587(b)	(b) Test of automatic train stop, train control, or cab signal apparatus on locomotive not made immediately on entering equipped territory, if equipment on locomotive cut out between initial terminal and equipped territory	5,000	7,500	10,000	15,000	10,300	15,500
236.587(c)	(c) Automatic train stop, train control, or cab signal apparatus on locomotive making more than one trip within 24-hour period not given departure test within corresponding 24-hour period	5,000	7,500	10,000	15,000	10,300	15,500
236.587(d)	(d) other violations	2,500	5,000	5,000	10,000	5,200	10,300
236.588	236.588 Periodic test	2,500	5,000	5,000	10,000	5,200	10,300
236.589	236.589 Relays	2,500	5,000	5,000	10,000	5,200	10,300
	236.590 Pneumatic apparatus:						
236.590(a)	(a) Automatic train stop, train control, or cab signal apparatus not inspected and cleaned at least once every 736 days	2,500	5,000	5,000	10,000	5,200	10,300
236.590(b)	(b) other violations	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart F—Dragging Equipment and Slide Detectors and Other Similar Protective Devices; Standards						
236.601	236.601 Signals controlled by devices; location	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart H—Standards for Processor-Based Signal and Train Control Systems						
	236.905 Railroad Safety Program Plan (RSPP):						
236.905	Failure to develop and submit RSPP when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to obtain FRA approval for a modification to RSPP	5,000	7,500	10,000	15,000	10,300	15,500
	236.907 Product Safety Plan (PSP):						
236.907	Failure to develop a PSP	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to submit a PSP when required	5,000	7,500	10,000	15,000	10,300	15,500
	236.909 Minimum Performance Standard:						
236.909(a)	Failure to make analyses or documentation available	2,500	5,000	5,000	10,000	5,200	10,300
236.909(b)	Failure to determine that the standard has been met	5,000	7,500	10,000	15,000	10,300	15,500
236.913	236.913 Notification to FRA of PSPs:	2,500	5,000	5,000	10,000	5,200	10,300
236.913(b)	Failure to prepare a PSP or PSP amendment as required	5,000	7,500	10,000	15,000	10,300	15,500
236.913(d)	Failure to submit a PSP or PSP amendment as required	5,000	7,500	10,000	15,000	10,300	15,500
236.913(e)	Field testing without authorization or approval	10,000	20,000	20,000	40,000	20,600	36,400
	236.915 Implementation and operation:						
236.915(a)	(a) Operation of product without authorization or approval	10,000	20,000	20,000	40,000	20,600	36,400
236.915(b)	(b) Failure to comply with PSP	2,500	5,000	5,000	10,000	5,200	10,300
236.915(c)	(c) Interference with normal functioning safety-critical product	7,500	15,000	15,000	30,000	15,500	31,000
236.915(d)	(d) Failure to determine cause and adjust, repair or replace without undue delay or take appropriate action pending repair	5,000	7,500	10,000	15,000	10,300	15,500
	236.917 Retention of records:						
236.917(a)	Failure to maintain records as required	7,500	15,000	15,000	30,000	15,500	31,000
236.917(b)(1)	Failure to report inconsistency	10,000	20,000	20,000	40,000	20,600	36,400
236.917(b)(2)	Failure to take prompt countermeasures	10,000	20,000	20,000	40,000	20,600	36,400
236.917(b)(3)	Failure to provide final report	2,500	5,000	5,000	10,000	5,200	10,300
236.919	236.919 Operations and Maintenance Manual	3,000	6,000	6,000	12,000	6,200	12,400
236.921	236.921 Training and qualification program, general	3,000	6,000	6,000	12,000	6,200	12,400

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	236.923 Task analysis and basic requirements:						
	236.923 Failure to develop an acceptable training program	2,500	5,000	5,000	10,000	5,200	10,300
236.923(a)(6)	Failure to train persons as required	2,500	5,000	5,000	10,000	5,200	10,300
236.923(a)(8)	Failure to conduct evaluation of training program as required	2,500	5,000	5,000	10,000	5,200	10,300
236.923(b)	Failure to maintain records as required	1,500	3,000	3,000	6,000	3,100	6,200
236.925	236.925 Training specific to control office personnel	2,500	5,000	5,000	10,000	5,200	10,300
236.927	236.927 Training specific to locomotive engineers and other operating personnel	2,500	5,000	5,000	10,000	5,200	10,300
236.929	236.929 Training specific to roadway workers	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart I—Positive Train Control Systems³						
	236.1005 Positive Train Control System Requirements:						
	236.1005 Failure to timely complete PTC system installation on track segment where PTC is required	16,000	25,000	32,000	50,000	33,000	36,400
236.1005(b)	Commencement of revenue service prior to obtaining PTC System Certification	16,000	25,000	32,000	50,000	33,000	36,400
236.1005(a)	Failure of the PTC system to perform a safety-critical function required by this section	5,000	7,500	10,000	15,000	10,300	15,500
236.1005(b)(4)	Operating outside the limits of an approved <i>de minimis</i> exception	15,000	25,000	30,000	50,000	31,000	36,400
236.1005(c)	Failure to integrate a hazard detector	15,000	25,000	30,000	50,000	31,000	36,400
236.1005(d)(1)	Non-compliant event recorder	2,500	5,000	5,000	10,000	5,200	10,300
236.1005(d)(2)	Failure of event recorder	2,500	5,000	5,000	10,000	5,200	10,300
236.1005(g)	Failure to provide notice, obtain approval, or follow a condition for temporary rerouting when required	5,000	7,500	10,000	15,000	10,300	15,500
236.1005(a)(5)	Exceeding the allowed percentage of controlling locomotives operating out of an initial terminal after receiving a failed initialization	5,000	7,500	10,000	15,000	10,300	15,500
	236.1006 Equipping locomotives operating in PTC territory:						
	Failure to adhere to a PTCIP.	2	2	2	2	2	2
	236.1006 Operating in PTC territory a controlling locomotive without a required and operative PTC onboard apparatus	15,000	25,000	30,000	50,000	31,000	36,400
236.1006(a)	Operating with a PTC onboard apparatus that is not functioning in accordance with the applicable PTCSP	15,000	25,000	30,000	50,000	31,000	36,400
236.1006(a)(1)	Failure to report as prescribed by this section	5,000	7,500	10,000	15,000	10,300	15,500
236.1006(a)(2)	Non-compliant operation of unequipped trains in PTC territory	15,000	25,000	30,000	50,000	31,000	36,400
236.1006(a)(3)	Failure to equip locomotives in accordance with the applicable PTCIP	15,000	25,000	30,000	50,000	31,000	36,400
	Failure to comply with conditions of a yard movement exception	2	2	2	2	2	2
236.1006(b)	Improper arrangement of the PTC system onboard apparatus	2,500	5,000	5,000	10,000	5,200	10,300
236.1006(b)(1)	Engineer performing prohibited duties	5,000	7,500	10,000	15,000	10,300	15,500
	236.1007 Additional requirements for high-speed service:						
236.1007(a)	Installing or operating a PTC system without the required safety-critical functional attributes of a block signal system	15,000	25,000	30,000	50,000	31,000	36,400
	Operation of passenger trains at speed equal to or greater than 60 mph on non-PTC-equipped territory where required	15,000	25,000	30,000	50,000	31,000	36,400
	Operation of freight trains at speed equal to or greater than 50 mph on non-PTC-equipped territory where required	15,000	25,000	30,000	50,000	31,000	36,400
236.1007(b)	Failure to fully implement incursion protection where required	5,000	7,500	10,000	15,000	10,300	15,500
	236.1009 Procedural requirements:						
236.1009(a)(2)	Failure to file PTCIP when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to amend PTCIP when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to obtain Type Approval when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to update NPI	5,000	7,500	10,000	15,000	10,300	15,500
236.1009(d)	Operation of PTC system without system certification	16,000	25,000	32,000	50,000	33,000	36,400
	Failure to comply with FRA condition or modification	2	2	2	2	2	2
	Failure to report as required	5,000	7,500	10,000	15,000	10,300	15,500
236.1009(h)	Failure to provide FRA access	10,000	16,000	20,000	32,000	20,600	33,000
	236.1011 PTCIP content requirements:						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
236.1011	Failure to install a PTC system as required	11,000	16,000	22,000	32,000	22,700	33,000
	Failure to maintain a PTCIP as required	2	2	2	2	2	2
	236.1013 PTCDP content requirements and Type Approval:						
236.1013	Failure to maintain quality control system	5,000	7,500	10,000	15,000	10,300	15,500
	Inappropriate use of Type Approval	5,000	7,500	10,000	15,000	10,300	15,500
	236.1015 PTCSP content requirements and PTC System Certification:						
236.1015(a)	Failure to implement PTC system in accordance with the associated PTCSP and resultant system certification	16,000	25,000	32,000	50,000	33,000	36,400
236.1015(d)	Failure to maintain PTC system in accordance with the associated PTCSP and resultant system certification	16,000	25,000	32,000	50,000	33,000	36,400
236.1015(h)	Failure to maintain required supporting documentation	2,500	5,000	5,000	10,000	5,200	10,300
	236.1017 Independent third party Verification and Validation:						
236.1017	Failure to conduct independent third party Verification and Validation when ordered	11,000	16,000	22,000	32,000	22,700	33,000
	236.1019 Main line track exceptions:						
236.1019(b)	Operations conducted in non-compliance with the passenger terminal exception	16,000	25,000	32,000	50,000	33,000	36,400
	Operations conducted in non-compliance with the limited operations exception	16,000	25,000	32,000	50,000	33,000	36,400
236.1019(g)	Failure to request modification of the PTCIP or PTCSP when required	11,000	16,000	22,000	32,000	22,700	33,000
	Operations conducted in violation of (c)(2)	16,000	25,000	32,000	50,000	33,000	36,400
236.1019(c)(3)	Operations conducted in violation of (c)(3)	25,000	25,000	50,000	50,000	51,600	36,400
	236.1021 Discontinuances, material modifications, and amendments:						
236.1021(a)	Failure to update PTCDP when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to update PTCSP when required	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to immediately adopt and comply with approved RFA	5,000	7,500	10,000	15,000	10,300	15,500
236.1021(f)	Discontinuance or modification of a PTC system without approval when required	11,000	16,000	22,000	32,000	22,700	36,400
	236.1023 Errors and malfunctions:						
236.1023(f)	Railroad failure to provide proper notification of PTC system error or malfunction	5,000	7,500	10,000	15,000	10,300	15,500
236.1023(c)	Failure to maintain PTCVPL	2,500	5,000	5,000	10,000	5,200	10,300
	Supplier failure to provide proper notification of previously identified PTC system error or malfunction	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to provide timely notification	5,000	7,500	10,000	15,000	10,300	15,500
236.1023(f)(3)(iv)	Failure to provide appropriate protective measures in the event of PTC system failure	15,000	25,000	30,000	50,000	31,000	36,400
	236.1027 Exclusions:						
236.1027	Integration of primary train control system with locomotive electronic system without approval	5,000	7,500	10,000	15,000	10,300	15,500
	236.1029 PTC system use and en route failures:						
236.1029(a)	Failure to determine cause of PTC system component failure without undue delay	5,000	7,500	10,000	15,000	10,300	15,500
	Failure to adjust, repair, or replace faulty PTC system component without undue delay	5,000	7,500	10,000	15,000	10,300	15,500
236.1029(a)(1)	Failure to take appropriate action pending adjustment, repair, or replacement of faulty PTC system component	15,000	25,000	30,000	50,000	31,000	36,400
	PTC territory operation with an inoperative PTC onboard apparatus	5,000	7,500	10,000	15,000	10,300	15,500
	Interference with the normal functioning of safety-critical PTC system	15,000	25,000	30,000	50,000	31,000	36,400
	236.1033 Communications and security requirements:						
236.1033(a)	Failure to provide cryptographic message integrity and authentication	5,000	7,500	10,000	15,000	10,300	15,500
236.1033(c)	Improper use of revoked cryptographic key	5,000	15,000	10,000	30,000	10,300	31,000
	Failure to protect cryptographic keys from unauthorized disclosure, modification, or substitution	5,000	15,000	10,000	30,000	10,300	31,000
	Failure to establish prioritized service restoration and mitigation plan for communication services	5,000	7,500	10,000	15,000	10,300	15,500
	236.1035 Field testing requirements:						
236.1035	Field testing without authorization or approval	10,000	20,000	20,000	40,000	20,600	36,400
	Failure to comply with FRA condition	2	2	2	2	2	2
	236.1037 Records retention:						
236.1037(d)	Failure to maintain records and databases as required	7,500	15,000	15,000	30,000	15,500	31,000
236.1037(d)(1)	Failure to report inconsistency	10,000	20,000	20,000	40,000	20,600	36,400
	Failure to take prompt countermeasures	10,000	20,000	20,000	40,000	20,600	36,400
236.1037(d)(3)	Failure to provide final report	2,500	5,000	5,000	10,000	5,200	10,300
	236.1039 Operations and Maintenance Manual:						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
236.1039(a)	Failure to implement and maintain Operations and Maintenance Manual as required	3,000	6,000	6,000	12,000	6,200	12,400
236.1039(b)	Failure to make Operations and Maintenance Manual available to FRA when required	10,000	16,000	20,000	32,000	20,600	33,000
236.1039(c)	Failure to make Operations and Maintenance Manual available to persons required to performed the required tasks	15,000	25,000	30,000	50,000	31,000	36,400
236.1039(d)	Amends Operations and Maintenance Manual without FRA approval	5,000	10,000	10,000	20,000	10,300	20,600
	236.1043 Task analysis and basic requirements:						
236.1043(a)	Failure to develop and maintain an acceptable training program	10,000	20,000	20,000	40,000	20,600	36,400
236.1043(a)(6)	Failure to train persons as required	2,500	5,000	5,000	10,000	5,200	10,300
	Failure to conduct evaluation of training program as required	2,500	5,000	5,000	10,000	5,200	10,300
236.1043(b)	Failure to maintain records as required	1,500	3,000	3,000	6,000	3,100	6,200
	236.1045 Training specific to office control personnel:						
236.1045	Failure to conduct training unique to office control personnel	2,500	5,000	5,000	10,000	5,200	10,300
	236.1047 Training specific to locomotive engineers and other operating personnel:						
236.1047	Failure to conduct training unique to locomotive engineers and other operating personnel	2,500	5,000	5,000	10,000	5,200	10,300
	236.1049 Training specific to roadway workers:						
236.1049	Failure to conduct training unique to roadway workers	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a civil penalty of up to the statutory maximum amount per day for any violation where circumstances warrant. See 49 CFR part 209, Appendix A.						
	² Each plan has numerous conditions and requirements with varying degrees of importance or impact. Thus, a single recommended civil penalty amount for a violation for failure to adhere to each plan or condition is not advisable or warranted. When a violation of a plan or condition is found, FRA may consider a variety of factors to determine the appropriate civil penalty to assess, including any underlying or related violation.						
	³ For violations occurring on or after Dec. 28, 2023, the statutory ordinary maximum civil penalty per rail safety violation is \$36,439, and the aggravated maximum civil penalty is \$145,757. See 88 FR 89551(Dec. 28, 2023).						
	[53 FR 52936, Dec. 29, 1988, as amended at 63 FR 11624, Mar. 10, 1998; 69 FR 30595, May 28, 2004; 70 FR 11104, Mar. 7, 2005; 73 FR 79704, Dec. 30, 2008; 75 FR 2715, Jan. 15, 2010; 77 FR 24422, Apr. 24, 2012; 81 FR 10129, Feb. 29, 2016; 81 FR 43112, July 1, 2016; 83 FR 60749, Nov. 27, 2018]						
	<i>Note - The ordinary maximum civil penalty for violations occurring on/after Dec. 30, 2024, is \$36,439. The guideline penalties are capped at \$36,400, accordingly. See 89 FR 106282 (Dec. 30, 2024).</i>						
	Part 236—Rules, Standards, and Instructions Governing the Installation, Inspection, Maintenance, and Repair of Signal and Train Control Systems, Devices, and Appliances-Schedule of Civil Penalties^{1,2}						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Railroad Bridge Safety Assurance						
237.31	237.31 Adoption of bridge management program	\$9,500	\$17,000	\$ 19,000	\$ 34,000	\$ 19,600	\$ 35,100
	237.33 Content of bridge management program:						
237.33(a)	(a) Inventory of railroad bridges	2,500	5,000	5,000	10,000	5,200	10,300
237.33(b)	(b) Record of safe load capacity	5,500	10,000	11,000	20,000	11,400	20,600
	(c) Provision to obtain and maintain:						
237.33(c)(i)	(i) Design documents	5,500	10,000	11,000	20,000	11,400	20,600
237.33(c)(ii)	(ii) Documentation of repairs and modifications	2,500	5,000	5,000	10,000	5,200	10,300
237.33(c)(iii)	(iii) Inspection reports	2,500	5,000	5,000	10,000	5,200	10,300
237.33(d)	(d) Bridge inspection program content	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart C—Qualification and Designation of Responsible Persons						
	237.51 Railroad bridge engineers:						
237.51(a)	(a) Competency	5,500	10,000	11,000	20,000	11,400	20,600
237.51(b)	(b) Educational qualification	2,500	5,000	5,000	10,000	5,200	10,300
237.53	237.53 Railroad bridge inspectors	5,500	10,000	11,000	20,000	11,400	20,600
237.55	237.55 Railroad bridge supervisors	5,500	10,000	11,000	20,000	11,400	20,600
237.57	237.57 Designation of individuals	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart D—Capacity of Bridges						
	237.71 Determination of bridge load capacities:						
237.71(a)	(a) Safe load capacity	5,500	10,000	11,000	20,000	11,400	20,600
237.71(b)	(b) Load capacity documented	5,500	10,000	11,000	20,000	11,400	20,600
237.71(c)	(c) Load capacity determined by a railroad bridge engineer	5,500	10,000	11,000	20,000	11,400	20,600
237.71(d)	(d) Method of load capacity determination	2,500	5,000	5,000	10,000	5,200	10,300
237.71(e)	(e) Prioritization of load capacity determination	2,500	5,000	5,000	10,000	5,200	10,300
237.71(f)	(f) New load capacity determined due to change in condition	2,500	5,000	5,000	10,000	5,200	10,300
237.71(g)	(g) Load capacity stated in terms of weight and length of equipment	2,500	5,000	5,000	10,000	5,200	10,300
237.71(h)	(h) Restriction on operations by railroad bridge engineer	5,500	10,000	11,000	20,000	11,400	20,600
	237.73 Protection of bridges from over-weight and over-dimension equipment:						
237.73(a)	(a) Instructions issued	5,500	10,000	11,000	20,000	11,400	20,600
237.73(b)	(b) Weight instructions	2,500	5,000	5,000	10,000	5,200	10,300
237.73(c)	(c) Dimensional instructions	2,500	5,000	5,000	10,000	5,200	10,300
237.73(d)	(d) Incorrect instructions issued	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart E—Bridge Inspection						
	237.101 Scheduling of bridge inspections:						
	(a) Scheduling:						
237.101(a)(i)	(i) Failure to inspect	9,500	17,000	19,000	34,000	19,600	35,100
237.101(a)(ii)	(ii) Inspection within calendar year	2,500	5,000	5,000	10,000	5,200	10,300
237.101(a)(iii)	(iii) Inspection frequency exceeding 540 days	2,500	5,000	5,000	10,000	5,200	10,300
237.101(b)	(b) Increased inspection frequency	5,500	10,000	11,000	20,000	11,400	20,600
237.101(c)	(c) Special inspections	2,500	5,000	5,000	10,000	5,200	10,300
237.101(d)	(d) Resumption of railroad operations prior to inspection & review	9,500	17,000	19,000	34,000	19,600	35,100
237.103	237.103 Bridge inspection procedures	2,500	5,000	5,000	10,000	5,200	10,300
	237.105 Special inspections:						
237.105(a)	(a) Procedures to protect train operations and requiring special inspections	2,500	5,000	5,000	10,000	5,200	10,300
237.105(b)	(b) Provision for the detection of scour or underwater deterioration	2,500	5,000	5,000	10,000	5,200	10,300
237.107	237.107 Conduct of bridge inspections	5,500	10,000	11,000	20,000	11,400	20,600
	237.109 Bridge inspection records:						
237.109(a)	(a) Record of inspection	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	(b) Inspection record:						
237.109(b)(i)	(i) Certification and date	2,500	5,000	5,000	10,000	5,200	10,300
237.109(b)(ii)	(ii) Falsification	NA	17,000	NA	34,000	NA	35,100
237.109(c)	(c) Inspection record information	2,500	5,000	5,000	10,000	5,200	10,300
237.109(d)	(d) Initial report within 30 days	2,500	5,000	5,000	10,000	5,200	10,300
237.109(e)	(e) Final inspection report within 120 calendar days	2,500	5,000	5,000	10,000	5,200	10,300
237.109(f)	(f) Retention	2,500	5,000	5,000	10,000	5,200	10,300
237.109(g)	(g) Prompt reporting of dangerous conditions	5,500	10,000	11,000	20,000	11,400	20,600
	237.111 Review of bridge inspection reports.						
237.111(a)	(a) Review by railroad bridge engineers and supervisors	2,500	5,000	5,000	10,000	5,200	10,300
237.111(b)	(b) Appropriate action concerning present or potential safety hazards	5,500	10,000	11,000	20,000	11,400	20,600
237.111(c)	(c) Modification of inspection frequency or procedures	2,500	5,000	5,000	10,000	5,200	10,300
237.111(d)	(d) Scheduling remedial action	2,500	5,000	5,000	10,000	5,200	10,300
237.111(e)	(e) Higher-level review	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart F—Repair and Modification of Bridges						
237.131	237.131 Design	5,500	10,000	11,000	20,000	11,400	20,600
237.133	237.133 Supervision of repairs and modifications	5,500	10,000	11,000	20,000	11,400	20,600
	Subpart G—Documentation, Records and Audits of Bridge Management Programs						
237.151	237.151 Audits; general	2,500	5,000	5,000	10,000	5,200	10,300
237.153	237.153 Audits of inspections	2,500	5,000	5,000	10,000	5,200	10,300
	237.155 Documents and records:						
237.155(a)	(a) Electronic recordkeeping, general	2,500	5,000	5,000	10,000	5,200	10,300
237.155(b)	(b) System security	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² The penalty schedule uses section numbers from 49 CFR part 237. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						
	[75 FR 41302, July 15, 2010, as amended at 77 FR 24422, Apr. 24, 2012; 81 FR 43112, July 1, 2016; 83 FR 60749, Nov. 27, 2018]						
	Part 237—Bridge Safety Standards-Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	SUBPART A—GENERAL						
	238.15 Movement of power brake defects:						
238.15(b)	(b) Improper movement from Class I or IA brake test	\$ 5,000	\$ 7,500	\$ 10,000	\$ 15,000	\$ 10,300	\$ 15,500
238.15(c)	(c) Improper movement of en route defect	2,500	5,000	5,000	10,000	5,200	10,300
238.15(c)(2)	(2), (3) Insufficient tag or record	1,000	2,000	2,000	4,000	2,100	4,100
238.15(c)(3)	(2), (3) Insufficient tag or record	1,000	2,000	2,000	4,000	2,100	4,100
238.15(c)(4)	(4) Failure to determine percent operative brake	2,500	5,000	5,000	10,000	5,200	10,300
238.15(d)	(d) Failure to follow operating restrictions	5,000	7,500	10,000	15,000	10,300	15,500
238.15(e)	(e) Failure to follow restrictions for inoperative front or rear unit	2,500	5,000	5,000	10,000	5,200	10,300
	238.17 Movement of other than power brake defects: ¹						
238.17(c)(4)	(c)(4), (5) Insufficient tag or record	1,000	2,000	2,000	4,000	2,100	4,100
238.17(c)(5)	(c)(4), (5) Insufficient tag or record	1,000	2,000	2,000	4,000	2,100	4,100
238.17(d)	(d) Failure to inspect or improper use of roller bearings	2,500	5,000	5,000	10,000	5,200	10,300
	(e) Improper movement of defective safety appliances ¹						
	238.19 Reporting and tracking defective equipment:						
238.19(a)	(a) Failure to have reporting or tracking system	7,500	11,000	15,000	22,000	15,500	22,700
238.19(b)	(b) Failure to retain records	2,000	4,000	4,000	8,000	4,100	8,300
238.19(c)	(c) Failure to make records available	1,000	2,000	2,000	4,000	2,100	4,100
238.19(d)	(d) Failure to list power brake repair points	2,000	4,000	4,000	8,000	4,100	8,300
	SUBPART B—SAFETY PLANNING AND GENERAL REQUIREMENTS						
	238.103 Fire protection plan/fire safety:						
238.103(a)	(a) Failure to use proper materials	5,000	7,500	10,000	15,000	10,300	15,500
238.103(b)	(b) Improper certification	1,000	2,000	2,000	4,000	2,100	4,100
238.103(c)	(c) Failure to consider fire safety on new equipment	5,000	7,500	10,000	15,000	10,300	15,500
238.103(d)	(d) Failure to perform fire safety analysis	5,000	7,500	10,000	15,000	10,300	15,500
238.103(e)	(e) Failure to develop, adopt or comply with procedures	5,000	7,500	10,000	15,000	10,300	15,500
	238.105 Train electronic hardware and software safety:						
238.105(a)	(a), (b), (c) Failure to develop and maintain hardware and software safety	7,500	11,000	15,000	22,000	15,500	22,700
238.105(b)	(a), (b), (c) Failure to develop and maintain hardware and software safety	7,500	11,000	15,000	22,000	15,500	22,700
238.105(c)	(a), (b), (c) Failure to develop and maintain hardware and software safety	7,500	11,000	15,000	22,000	15,500	22,700
238.105(d)	(d) Failure to include required design features	5,000	7,500	10,000	15,000	10,300	15,500
238.105(e)	(e) Failure to comply with hardware and software safety program	5,000	7,500	10,000	15,000	10,300	15,500
	238.107 Inspection, testing, and maintenance plan:						
238.107(b)	(b) Failure to develop plan	7,500	11,000	15,000	22,000	15,500	22,700
238.107(b)(1)	(b)(1)-(5) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.107(b)(2)	(b)(1)-(5) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.107(b)(3)	(b)(1)-(5) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.107(b)(4)	(b)(1)-(5) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.107(b)(5)	(b)(1)-(5) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.107(d)	(d) Failure to conduct annual review	5,000	7,500	10,000	15,000	10,300	15,500
	238.109 Training, qualification, and designation program:						
238.109(a)	(a) Failure to develop or adopt program	7,500	11,000	15,000	22,000	15,500	22,700
238.109(b)(1)	(b)(1)-(4) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.109(b)(2)	(b)(1)-(4) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.109(b)(3)	(b)(1)-(4) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.109(b)(4)	(b)(1)-(4) Failure of plan to address specific item	3,000	6,000	6,000	12,000	6,200	12,400
238.109(b)(5)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(6)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(7)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(8)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(9)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(10)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(11)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.109(b)(12)	(b)(5)-(12) Failure to comply with specific required provision of the program	5,000	7,500	10,000	15,000	10,300	15,500

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238.109(b)(13)	(b)(13) Failure to maintain adequate records	2,500	5,000	5,000	10,000	5,200	10,300
	238.111 Pre-revenue service acceptance testing plan:						
238.111(a)	(a) Failure to properly test previously used equipment	7,500	11,000	15,000	22,000	15,500	22,700
238.111(b)(1)	(b)(1) Failure to develop plan	7,500	11,000	15,000	22,000	15,500	22,700
238.111(b)(2)	(b)(2) Failure to submit plan to FRA	5,000	7,500	10,000	15,000	10,300	15,500
238.111(b)(3)	(b)(3) Failure to comply with plan	5,000	7,500	10,000	15,000	10,300	15,500
238.111(b)(4)	(b)(4) Failure to document results of testing	5,000	7,500	10,000	15,000	10,300	15,500
238.111(b)(5)	(b)(5) Failure to correct safety deficiencies or impose operating limits	5,000	7,500	10,000	15,000	10,300	15,500
238.111(b)(6)	(b)(6) Failure to maintain records	3,000	6,000	6,000	12,000	6,200	12,400
238.111(b)(7)	(b)(7) Failure to obtain FRA approval	5,000	7,500	10,000	15,000	10,300	15,500
238.112	238.112 Door emergency egress and rescue access systems	2,500	5,000	5,000	10,000	5,200	10,300
238.113	238.113 Emergency window exits	2,500	5,000	5,000	10,000	5,200	10,300
238.114	238.114 Rescue access windows	2,500	5,000	5,000	10,000	5,200	10,300
238.115	238.115 Emergency lighting	2,500	5,000	5,000	10,000	5,200	10,300
238.117	238.117 Protection against personal injury	2,500	5,000	5,000	10,000	5,200	10,300
238.119	238.119 Rim-stamped straight plate wheels	2,500	5,000	5,000	10,000	5,200	10,300
238.121	238.121 Emergency communication	2,500	5,000	5,000	10,000	5,200	10,300
238.123	238.123 Emergency roof access	2,500	5,000	5,000	10,000	5,200	10,300
238.125	238.125 Marking and instructions for emergency egress and rescue access	2,500	5,000	5,000	10,000	5,200	10,300
238.127	238.127 Low-location emergency exit path marking	2,500	5,000	5,000	10,000	5,200	10,300
238.131	238.131 Exterior side door safety systems—new passenger cars and locomotives used in passenger service	10,000	15,000	20,000	30,000	20,600	31,000
	238.133 Exterior side door safety systems—all passenger cars and locomotives used in a passenger service:						
238.133(a)(1)	(a)(1) Failure to verify position of by-pass device or by-pass device not sealed	2,500	5,000	5,000	10,000	5,200	10,300
238.133(a)(2)	(a)(2) Failure to provide functional test plan	5,000	7,500	10,000	15,000	10,300	15,500
238.133(a)(3)	(a)(3) Failure to perform visual inspection or functional test	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Unsealed door by-pass device:						
238.133(b)(1)	(b)(1) Door by-pass device not inactive, sealed, or seal ineffective	2,500	5,000	5,000	10,000	5,200	10,300
238.133(b)(2)	(b)(2) Failure to notify designated authority	5,000	7,500	10,000	15,000	10,300	15,500
238.133(b)(3)	(b)(3) Movement beyond repair point	7,500	11,000	15,000	22,000	15,500	22,700
238.133(b)(4)	(b)(4) Door by-pass device not sealed by QMP at calendar day inspection	2,500	5,000	5,000	10,000	5,200	10,300
238.133(c)(1)	(c)(1) Failure to follow en route by-pass activation procedures; improper movement of defective equipment	7,500	11,000	15,000	22,000	15,500	22,700
238.133(c)(2)	(c)(2) Failure to use QMP	5,000	7,500	10,000	15,000	10,300	15,500
238.133(d)	(d) Failure to maintain record	2,000	4,000	4,000	8,000	4,100	8,300
238.133(e)	(e) Door control panel not inactive when the key or other similar device is removed	5,000	7,500	10,000	15,000	10,300	15,500
	(f) End-of-train circuit:						
238.133(f)(1)	(f)(1) End-of-train circuit integrity not maintained	5,000	7,500	10,000	15,000	10,300	15,500
238.133(f)(2)	(f)(2) Switches not secured to prevent unauthorized access	2,500	5,000	5,000	10,000	5,200	10,300
238.133(g)	(g) Door by-pass device not inactive, sealed or seal ineffective	2,500	5,000	5,000	10,000	5,200	10,300
	238.135 Operating practices for exterior side door safety systems:						
238.135(a)	(a) Failure to conduct proper safety briefing	7,500	11,000	15,000	22,000	15,500	22,700
238.135(b)(1)	(b)(1) Exterior side doors and trap doors not closed when a train is in motion between stations	10,000	15,000	20,000	30,000	20,600	31,000
238.135(b)(2)	(b)(2) Failure to follow conditions for operating a train while in motion between stations with an exterior side door or trap door open	10,000	15,000	20,000	30,000	20,600	31,000
238.135(d)	(d) Failure to adopt and comply with operating rules on how to safely override a door summary circuit or no-motion system	9,500	13,000	19,000	26,000	19,600	26,800
238.135(e)	(e) Failure to provide training	9,500	13,000	19,000	26,000	19,600	26,800
238.135(f)	(f) Failure to adopt and comply with operating rules requiring crewmembers to determine the status of the train's exterior side doors	9,500	13,000	19,000	26,000	19,600	26,800
238.135(g)	(g) Failure to periodically conduct operational (efficiency) tests and observations	9,500	13,000	19,000	26,000	19,600	26,800
	238.137 Mixed consist; operating equipment with incompatible exterior side door systems:						
238.137(a)	(a) Incompatible exterior side door systems not operated within the constraints of each door safety system	5,000	7,500	10,000	15,000	10,300	15,500

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238.137(b)	(b) Failure to adopt and comply with operating rules to provide for the safe use of equipment with incompatible exterior side door systems when utilized in a mixed consist	9,500	13,000	19,000	26,000	19,600	26,800
	SUBPART C—SPECIFIC REQUIREMENTS FOR TIER I EQUIPMENT						
238.203	238.203 Static end strength	2,500	5,000	5,000	10,000	5,200	10,300
238.205	238.205 Anti-climbing mechanism	2,500	5,000	5,000	10,000	5,200	10,300
238.207	238.207 Link between coupling mechanism and car body	2,500	5,000	5,000	10,000	5,200	10,300
238.209	238.209 Forward-facing end structure of locomotives	2,500	5,000	5,000	10,000	5,200	10,300
238.211	238.211 Collision posts	2,500	5,000	5,000	10,000	5,200	10,300
238.213	238.213 Corner posts	2,500	5,000	5,000	10,000	5,200	10,300
238.215	238.215 Rollover strength	2,500	5,000	5,000	10,000	5,200	10,300
238.217	238.217 Side structure	2,500	5,000	5,000	10,000	5,200	10,300
238.219	238.219 Truck-to-car-body attachment	2,500	5,000	5,000	10,000	5,200	10,300
238.221	238.221 Glazing	2,500	5,000	5,000	10,000	5,200	10,300
238.223	238.223 Fuel tanks	2,500	5,000	5,000	10,000	5,200	10,300
238.225	238.225 Electrical System	2,500	5,000	5,000	10,000	5,200	10,300
238.227	238.227 Suspension system	2,500	5,000	5,000	10,000	5,200	10,300
	238.229 Safety appliances—general:						
238.229(e)	(e) Failure to properly identify equipment (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.229(g)	(g) Failure to adopt or comply with inspection plan	2,500	5,000	5,000	10,000	5,200	10,300
238.229(h)	(h) Failure to use qualified person (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.229(i)	(i) Failure to properly conduct initial or periodic inspection (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.229(j)	(j) Failure to take proper remedial action (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.229(k)	(k) Failure to maintain records (per car)	2,000	4,000	4,000	8,000	4,100	8,300
	238.230 Safety appliances—new equipment:						
238.230(b)(2)	(b)(2) Failure to identify welded appliance (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.230(b)(3)	(b)(3) Failure to receive approval for use (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.230(c)(2)	(c)(2) Failure to make proper repair (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(a)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(b)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(c)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(d)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(e)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(f)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(g)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(i)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(j)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(k)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(l)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(m)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(n)	238.231 Brake System (a)-(g), (i)-(n)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(h)(1)	(h)(1), (2) Hand or parking brake missing or inoperative	5,000	7,500	10,000	15,000	10,300	15,500
238.231(h)(2)	(h)(1), (2) Hand or parking brake missing or inoperative	5,000	7,500	10,000	15,000	10,300	15,500
238.231(h)(3)	(h)(3) Hand or parking brake inspection or record (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.231(h)(4)	(h)(4) Hand or parking brake not applied to hold unattended equipment or prematurely released	5,000	7,500	10,000	15,000	10,300	15,500
238.233	238.233 Interior fittings and surfaces	2,500	7,500	5,000	15,000	5,200	15,500
238.237	238.237 Automated monitoring	2,500	5,000	5,000	10,000	5,200	10,300
	SUBPART D—INSPECTION, TESTING, AND MAINTENANCE REQUIREMENTS FOR TIER I EQUIPMENT						
	238.303 Exterior mechanical inspection of passenger equipment:						
238.303(a)(1)	(a)(1) Failure to perform mechanical inspection ¹	2,000	4,000	4,000	8,000	4,100	8,300
238.303(a)(2)	(a)(2) Failure to inspect secondary brake system	2,500	5,000	5,000	10,000	5,200	10,300
238.303(b)	(b) Failure to perform inspection on car added to train ¹	2,000	4,000	4,000	8,000	4,100	8,300
238.303(c)	(c) Failure to utilize properly qualified personnel	2,000	4,000	4,000	8,000	4,100	8,300
238.303(e)(1)	(e)(1) Products of combustion not released outside cab	2,500	5,000	5,000	10,000	5,200	10,300

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238.303(e)(2)	(e)(2) Battery not vented or gassing excessively	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(3)	(e)(3) Coupler not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(4)	(e)(4) No device under drawbar pins or connection pins	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(5)	(e)(5) Suspension system and spring rigging not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(6)	(e)(6) Truck not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(7)	(e)(7) Side bearing not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
	(e)(8) Wheel not in proper condition:						
	(i), (iv) Flat spot(s) and shelled spot(s):						
238.303(e)(8)(i)(A)	(A) One spot 21/2" or more but less than 3" in length	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(i)(B)	(B) One spot 3" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(i)(C)	(C) Two adjoining spots each of which is 2" or more in length but less than 21/2" in length	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(i)(D)	(D) Two adjoining spots each of which are at least 2" in length, if either spot is 21/27" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(iv)(A)	(A) One spot 21/2" or more but less than 3" in length	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(iv)(B)	(B) One spot 3" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(iv)(C)	(C) Two adjoining spots each of which is 2" or more in length but less than 21/2" in length	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(iv)(D)	(D) Two adjoining spots each of which are at least 2" in length, if either spot is 21/27" or more in length	5,000	7,500	10,000	15,000	10,300	15,500
	(ii) Gouge or chip in flange:						
238.303(e)(8)(ii)(A)	(A) More than 11/2" but less than 15/8" in length; and more than 1/2" but less than 5/8" in width	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(ii)(B)	(B) 15/8" or more in length and 5/8" or more in width	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(iii)	(iii) Broken rim	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(v)	(v) Seam in tread	2,500	5,000	5,000	10,000	5,200	10,300
	(vi) Flange thickness of:						
238.303(e)(8)(vi)(A)	(A) 7/8" or less but more than	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(vi)(B)	(B) 13/16" or less	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(vii)	(vii) Tread worn hollow	2,500	5,000	5,000	10,000	5,200	10,300
	(viii) Flange height of:						
238.303(e)(8)(viii)(A)	(A) 11/2" or greater but less than 15/8"	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(viii)(B)	(B) 15/8" or more	5,000	7,500	10,000	15,000	10,300	15,500
	(ix) Rim thickness:						
238.303(e)(8)(ix)(A)	(A) Less than 1"	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(ix)(B)	(B) 15/16" or less	5,000	7,500	10,000	15,000	10,300	15,500
	(x) Crack or break in flange, tread, rim, plate, or hub:						
238.303(e)(8)(x)(A)	(A) Crack of less than 1"	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(8)(x)(B)	(B) Crack of 1" or more	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(x)(C)	(C) Break	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(xi)	(xi) Loose wheel	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(8)(xii)	(xii) Welded wheel	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(10)	(e)(10) Improper grounding or insulation	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(11)	(e)(11) Jumpers or cable connections not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(12)	(e)(12) Door or cover plate not properly marked	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(13)	(e)(13) Buffer plate not properly placed	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(14)	(e)(14) Diaphragm not properly placed or aligned	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(15)	(e)(15) Secondary braking system not in operating mode or contains known defect	2,500	5,000	5,000	10,000	5,200	10,300
	(e)(16) Roller bearings:						
238.303(e)(16)(i)	(i) Overheated	5,000	7,500	10,000	15,000	10,300	15,500
238.303(e)(16)(ii)	(ii) Cap screw loose or missing	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(16)(iii)	(iii) Cap screw lock broken or missing	1,000	2,000	2,000	4,000	2,100	4,100
238.303(e)(16)(iv)	(iv) Seal loose, damaged, or leaks lubricant	2,500	5,000	5,000	10,000	5,200	10,300
238.303(e)(17)	(e)(17) Air compressor inoperative	2,500	5,000	5,000	10,000	5,200	10,300
	(g) Record of inspection:						
238.303(g)(1)	(1), (4) Failure to maintain record of inspection	5,000	4,000	10,000	8,000	10,300	15,500
238.303(g)(4)	(1), (4) Failure to maintain record of inspection	5,000	4,000	10,000	8,000	10,300	15,500

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
238.303(g)(2)	(2) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
	238.305 Interior mechanical inspection of passenger cars:						
238.305(a)	(a) Failure to perform inspection ¹	1,000	2,000	2,000	4,000	2,100	4,100
238.305(b)	(b) Failure to utilize properly qualified personnel	1,000	2,000	2,000	4,000	2,100	4,100
238.305(c)(1)	(c)(1) Failure to protect against personal injury	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(2)	(c)(2) Floors not free of condition that creates hazard	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(3)	(c)(3) Access to manual door release not in place	2,000	4,000	4,000	8,000	4,100	8,300
238.305(c)(4)	(c)(4) Emergency equipment not in place	1,000	2,000	2,000	4,000	2,100	4,100
238.305(c)(5)	(c)(5) Emergency brake valve not stenciled or marked	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(6)	(c)(6) Door or cover plates not properly marked	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(7)	(c)(7) Safety signage not in place or legible	1,000	2,000	2,000	4,000	2,100	4,100
238.305(c)(8)	(c)(8) Trap door unsafe or improperly secured	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(9)	(c)(9) Vestibule steps not illuminated	2,000	4,000	4,000	8,000	4,100	8,300
238.305(c)(10)	(c)(10) Door not safely operate as intended	2,500	5,000	5,000	10,000	5,200	10,300
238.305(c)(11)	(c)(11) Seat broken, loose, or not properly attached	2,500	5,000	5,000	10,000	5,200	10,300
	(e) Record of inspection:						
238.305(e)(1)	(1), (4) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.305(e)(4)	(1), (4) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.305(e)(2)	(2) Record contains insufficient information	1,000	1,000	2,000	2,000	2,100	2,100
	(f) Record of inspection:						
238.305(f)(1)	(1), (4) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.305(f)(4)	(1), (4) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.305(f)(2)	(2) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
	238.307 Periodic mechanical inspection of passenger cars and unpowered vehicles:						
238.307(a)	(a) Failure to perform periodic mechanical inspection ¹	2,500	5,000	5,000	10,000	5,200	10,300
238.307(b)	(b) Failure to utilize properly qualified personnel	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(1)	(c)(1) Seat or seat attachment broken or loose	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(2)	(c)(2) Luggage rack broken or loose	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(3)	(c)(3) Bed, bunks, or restraints broken or loose	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(4)	(c)(4) Emergency window exit not properly operate	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(5)	(c)(5) Emergency lighting not operational	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(6)	(c)(6) Switches not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(7)	(c)(7) Coupler not in proper condition	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(8)	(c)(8) Truck not equipped with securing arrangement	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(9)	(c)(9) Truck center casting cracked or broken	5,000	7,500	10,000	15,000	10,300	15,500
238.307(c)(10)	(c)(10) General conditions endangering crew, passengers	2,500	5,000	5,000	10,000	5,200	10,300
238.307(c)(13)	(c)(13) Hand or parking brake test not performed	2,500	5,000	5,000	10,000	5,200	10,300
238.307(d)(1)	(d)(1) Manual door release not operate as intended	2,500	5,000	5,000	10,000	5,200	10,300
238.307(d)(2)	(d)(2) Hand or parking brake inspection not performed	2,500	5,000	5,000	10,000	5,200	10,300
238.307(e)(1)	(e)(1) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.307(e)(1)(i)	(i)-(iv) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
238.307(e)(1)(ii)	(i)-(iv) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
238.307(e)(1)(iii)	(i)-(iv) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
238.307(e)(1)(iv)	(i)-(iv) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
	(f)(1) Record of inspection:						
238.307(f)(1)(i)	(i) Failure to maintain record of inspection	2,000	4,000	4,000	8,000	4,100	8,300
238.307(f)(1)(ii)	(ii) Record contains insufficient information	1,000	2,000	2,000	4,000	2,100	4,100
	238.309 Periodic brake equipment maintenance:						
238.309(b)	(b) Failure to perform on MU locomotive	2,500	5,000	5,000	10,000	5,200	10,300
238.309(c)	(c) Failure to perform on conventional locomotive	2,500	5,000	5,000	10,000	5,200	10,300
238.309(d)	(d) Failure to perform on passenger coaches or other unpowered vehicle	2,500	5,000	5,000	10,000	5,200	10,300
238.309(e)	(e) Failure to perform on cab car	2,500	5,000	5,000	10,000	5,200	10,300
	(f) Record of periodic maintenance:						
238.309(f)	(1), (2) Failure to maintain record or stencil	2,000	4,000	4,000	8,000	4,100	8,300

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	238.311 Single car tests:						
238.311(a)	(a) Failure to test in accord with required procedure	2,500	5,000	5,000	10,000	5,200	10,300
238.311(b)	(b) Failure to utilize properly qualified personnel	2,500	5,000	5,000	10,000	5,200	10,300
238.311(c)	(c), (e) Failure to perform single car test	2,500	5,000	5,000	10,000	5,200	10,300
238.311(e)	(c), (e) Failure to perform single car test	2,500	5,000	5,000	10,000	5,200	10,300
238.311(f)	(f) Improper movement of car for testing	2,000	4,000	4,000	8,000	4,100	8,300
238.311(g)	(g) Failure to test after repair or replacement of component	2,000	4,000	4,000	8,000	4,100	8,300
	238.313 Class I brake test:						
238.313(a)	(a) Failure to perform on commuter or short distance intercity passenger train ¹	10,000	15,000	20,000	30,000	20,600	31,000
238.313(b)	(b) Failure to perform on long-distance intercity passenger train ¹	10,000	15,000	20,000	30,000	20,600	31,000
238.313(c)	(c) Failure to perform on cars added to passenger train ¹	5,000	7,500	10,000	15,000	10,300	15,500
238.313(d)	(d) Failure to utilized properly qualified personnel	5,000	7,500	10,000	15,000	10,300	15,500
238.313(f)	(f) Passenger train used from Class I brake test with less than 100% operative brakes	5,000	7,500	10,000	15,000	10,300	15,500
238.313(g)	(g) Partial failure to perform inspection on a passenger train	5,000	7,500	10,000	15,000	10,300	15,500
238.313(g)(3)	(3) Failure to adjust piston travel (per car)	2,500	5,000	5,000	10,000	5,200	10,300
238.313(h)	(h) Failure to maintain record	2,000	4,000	4,000	8,000	4,100	8,300
238.313(i)	(i) Failure to perform additional Class I brake test	5,000	7,500	10,000	15,000	10,300	15,500
238.313(i)(3)	(i)(3) Failure to maintain record	2,000	4,000	4,000	8,000	4,100	8,300
	238.315 Class IA brake test:						
238.315(a)	(a) Failure to perform inspection ¹	5,000	7,500	10,000	15,000	10,300	15,500
238.315(d)	(d) Failure to utilize properly qualified personnel	2,500	5,000	5,000	10,000	5,200	10,300
238.315(e)	(e) Passenger train used from Class IA brake test with improper percentage of operative brakes	5,000	7,500	10,000	15,000	10,300	15,500
238.315(f)	(f) Partial failure to perform inspection on passenger train	2,500	5,000	5,000	10,000	5,200	10,300
	238.317 Class II brake test:						
238.317(a)	(a) Failure to perform inspection ¹	2,500	5,000	5,000	10,000	5,200	10,300
238.317(b)	(b) Failure to utilize properly qualified personnel	2,500	5,000	5,000	10,000	5,200	10,300
238.317(c)	(c) Improper use of defective equipment from Class II brake test	2,500	5,000	5,000	10,000	5,200	10,300
	238.319 Running brake tests:						
238.319	(a), (b) Failure to perform test	2,000	4,000	4,000	8,000	4,100	8,300
238.321	238.321 Out-of-service credit	1,000	2,000	2,000	4,000	2,100	4,100
	SUBPART E—SPECIFIC REQUIREMENTS FOR TIER II PASSENGER EQUIPMENT						
238.403	238.403 Crash energy management	2,500	5,000	5,000	10,000	5,200	10,300
238.405	238.405 Longitudinal static compressive strength	2,500	5,000	5,000	10,000	5,200	10,300
238.407	238.407 Anti-climbing mechanism	2,500	5,000	5,000	10,000	5,200	10,300
	238.409 Forward end structures of power car cabs:						
238.409(a)	(a) Center collision post	2,500	5,000	5,000	10,000	5,200	10,300
238.409(b)	(b) Side collision posts	2,500	5,000	5,000	10,000	5,200	10,300
238.409(c)	(c) Corner posts	2,500	5,000	5,000	10,000	5,200	10,300
238.409(d)	(d) Skin	2,500	5,000	5,000	10,000	5,200	10,300
238.411	238.411 Rear end structures of power car cabs:	2,500	5,000	5,000	10,000	5,200	10,300
	(a) Corner posts	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Collision posts	2,500	5,000	5,000	10,000	5,200	10,300
238.413	238.413 End structures of trailer cars	2,500	5,000	5,000	10,000	5,200	10,300
238.415	238.415 Rollover strength	2,500	5,000	5,000	10,000	5,200	10,300
238.417	238.417 Side loads	2,500	5,000	5,000	10,000	5,200	10,300
238.419	238.419 Truck-to-car-body and truck component attachment	2,500	5,000	5,000	10,000	5,200	10,300
	238.421 Glazing:						
238.421(b)	(b) End-facing exterior glazing	2,500	5,000	5,000	10,000	5,200	10,300
238.421(c)	(c) Alternate glazing requirements	2,500	5,000	5,000	10,000	5,200	10,300
238.421(d)	(d) Glazing securement	1,000	2,000	2,000	4,000	2,100	4,100
238.421(e)	(e) Stenciling	2,500	5,000	5,000	10,000	5,200	10,300
	238.423 Fuel tanks:						

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238.423(a)	(a) External fuel tanks	2,500	5,000	5,000	10,000	5,200	10,300
238.423(b)	(b) Internal fuel tanks	2,500	5,000	5,000	10,000	5,200	10,300
	238.425 Electrical system:						
238.425(a)	(a) Circuit protection	2,500	5,000	5,000	10,000	5,200	10,300
238.425(b)	(b) Main battery system	2,500	5,000	5,000	10,000	5,200	10,300
238.425(c)	(c) Power dissipation resistors	2,500	5,000	5,000	10,000	5,200	10,300
238.425(d)	(d) Electromagnetic interference and compatibility	2,500	5,000	5,000	10,000	5,200	10,300
238.427	238.427 Suspension system	2,500	5,000	5,000	10,000	5,200	10,300
238.428	238.428 Overheat sensors	2,500	5,000	5,000	10,000	5,200	10,300
	238.429 Safety Appliances:						
238.429(a)	(a) Couplers	5,000	7,500	10,000	15,000	10,300	15,500
238.429(b)	(b) Hand/parking brakes	5,000	7,500	10,000	15,000	10,300	15,500
238.429(d)	(d) Handrail and handhold missing	2,500	5,000	5,000	10,000	5,200	10,300
	(d)(1)-(8) Handrail or handhold improper design	2,500	5,000	5,000	10,000	5,200	10,300
238.429(e) missing	(e) Sill step missing	5,000	7,500	10,000	15,000	10,300	15,500
238.429(e) improper design	(e)(1)-(11) Sill step improper design	2,500	5,000	5,000	10,000	5,200	10,300
238.429(g)	(g) Optional safety appliances	2,500	5,000	5,000	10,000	5,200	10,300
238.431	238.431 Brake system	2,500	5,000	5,000	10,000	5,200	10,300
238.433	238.433 Draft System	2,500	5,000	5,000	10,000	5,200	10,300
238.435	238.435 Interior fittings and surfaces	2,500	5,000	5,000	10,000	5,200	10,300
	238.437 [Reserved]						
	238.439 Doors:						
238.439(a)	(a) Exterior side doors	2,500	5,000	5,000	10,000	5,200	10,300
238.439(b)	(b) Manual override feature	2,500	5,000	5,000	10,000	5,200	10,300
238.439(c)	(c) Notification to crew of door status	2,500	5,000	5,000	10,000	5,200	10,300
238.439(d)	(d) Emergency back-up power	2,500	5,000	5,000	10,000	5,200	10,300
238.439(f)	(f) End door kick-out panel or pop-out window	2,500	5,000	5,000	10,000	5,200	10,300
238.439(g)	(g) Marking and instructions [Reserved]						
238.441	238.441 Emergency roof access	2,500	5,000	5,000	10,000	5,200	10,300
238.443	238.443 Headlights	2,500	5,000	5,000	10,000	5,200	10,300
238.445	238.445 Automated monitoring	2,500	5,000	5,000	10,000	5,200	10,300
238.447	238.447 Train operator's controls and power car cab layout	2,500	5,000	5,000	10,000	5,200	10,300
	SUBPART F—INSPECTION, TESTING, AND MAINTENANCE REQUIREMENTS FOR TIER II PASSENGER EQUIPMENT						
	238.503 Inspection, testing, and maintenance requirements:						
238.503(a)	(a) Failure to develop inspection, testing, and maintenance program or obtain FRA approval	10,000	15,000	20,000	30,000	20,600	31,000
238.503(b)	(b) Failure to comply with provisions of the program	5,000	7,500	10,000	15,000	10,300	15,500
238.503(c)	(c) Failure to ensure equipment free of conditions which endanger safety of crew, passengers, or equipment	2,500	5,000	5,000	10,000	5,200	10,300
	(d) Specific safety inspections:						
238.503(d)(1)(i)	(1)(i) Failure to perform Class I brake test or equivalent	10,000	15,000	20,000	30,000	20,600	31,000
238.503(d)(1)(ii)	(1)(ii) Partial failure to perform Class I brake test or equivalent	5,000	7,500	10,000	15,000	10,300	15,500
238.503(d)(2)(i)	(2)(i) Failure to perform exterior mechanical inspection ¹	2,000	4,000	4,000	8,000	4,100	8,300
238.503(d)(2)(ii)	(2)(ii) Failure to perform interior mechanical inspection ¹	1,000	2,000	2,000	4,000	2,100	4,100
238.503(g)	(g) Failure to perform scheduled maintenance as required in program	2,500	5,000	5,000	10,000	5,200	10,300
238.503(h)	(h) Failure to comply with training, qualification and designation program	5,000	7,500	10,000	15,000	10,300	15,500
238.503(i)	(i) Failure to develop or comply with standard procedures for performing inspection, tests, and maintenance	2,500	5,000	5,000	10,000	5,200	10,300
238.503(j)	(j) Failure to conduct annual review	5,000	7,500	10,000	15,000	10,300	15,500
238.503(k)	(k) Failure to establish or utilize quality control program	5,000	7,500	10,000	15,000	10,300	15,500
	SUBPART G—SPECIFIC SAFETY PLANNING REQUIREMENTS FOR TIER II PASSENGER EQUIPMENT						
	238.603 Safety plan:						
238.603(a)	(a) Failure to develop safety operating plan	7,500	11,000	15,000	22,000	15,500	22,700

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238.603(b)	(b) Failure to develop procurement plan	7,500	11,000	15,000	22,000	15,500	22,700
238.603(b)(1)	(1)-(7) Failure to develop portion of plan	2,500	5,000	5,000	10,000	5,200	10,300
238.603(e)	(e) Failure to maintain documentation	2,500	5,000	5,000	10,000	5,200	10,300
	SUBPART H—SPECIFIC REQUIREMENTS FOR TIER III PASSENGER EQUIPMENT						
238.703	238.703 Quasi-static compression load requirements	2,500	5,000	5,000	10,000	5,200	10,300
238.705	238.705 Dynamic collision scenario	2,500	5,000	5,000	10,000	5,200	10,300
238.707	238.707 Override protection	2,500	5,000	5,000	10,000	5,200	10,300
238.709	238.709 Fluid entry inhibition	2,500	5,000	5,000	10,000	5,200	10,300
238.711	238.711 End structure integrity of cab end	2,500	5,000	5,000	10,000	5,200	10,300
238.713	238.713 End structure integrity of non-cab end	2,500	5,000	5,000	10,000	5,200	10,300
238.715	238.715 Roof and side structure integrity	2,500	5,000	5,000	10,000	5,200	10,300
238.717	238.717 Truck-to-car-body attachment	2,500	5,000	5,000	10,000	5,200	10,300
238.721	238.721 Glazing	2,500	5,000	5,000	10,000	5,200	10,300
238.731	238.731 Brake system	2,500	5,000	5,000	10,000	5,200	10,300
238.733	238.733 Interior fixture attachment	2,500	5,000	5,000	10,000	5,200	10,300
238.735	238.735 Seat crashworthiness	2,500	5,000	5,000	10,000	5,200	10,300
238.737	238.737 Luggage racks	2,500	5,000	5,000	10,000	5,200	10,300
238.741	238.741 Emergency window egress and rescue access	2,500	5,000	5,000	10,000	5,200	10,300
238.751	238.751 Alerters	2,500	5,000	5,000	10,000	5,200	10,300
238.753	238.753 Sanders	1,000	2,000	2,000	4,000	2,100	4,100
	¹ A penalty may be assessed against an individual only for a willful violation. Generally when two or more violations of these regulations are discovered with respect to a single unit of passenger equipment that is placed or continued in service by a railroad, the appropriate penalties set forth above are aggregated up to a maximum of \$36,439 per day. However, failure to perform, with respect to a particular unit of passenger equipment, any of the inspections and tests required under subparts D and F of this part will be treated as a violation separate and distinct from, and in addition to, any substantive violative conditions found on that unit of passenger equipment. Moreover, the Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	Failure to observe any condition for movement of defective equipment set forth in §238.17 will deprive the railroad of the benefit of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) concerning the substantive defect(s) present on the unit of passenger equipment at the time of movement.						
	Failure to observe any condition for the movement of passenger equipment containing defective safety appliances, other than power brakes, set forth in §238.17(e) will deprive the railroad of the movement-for-repair provision and make the railroad and any responsible individuals liable for penalty under the particular regulatory section(s) contained in part 231 of this chapter or §238.429 concerning the substantive defective condition.						
	The penalties listed for failure to perform the exterior and interior mechanical inspections and tests required under §238.303 and §238.305 may be assessed for each unit of passenger equipment contained in a train that is not properly inspected. Whereas, the penalties listed for failure to perform the brake inspections and tests under §238.313 through §238.319 may be assessed for each train that is not properly inspected.						
	² The penalty schedule uses section numbers from 49 CFR part 238. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	[64 FR 25660, May 12, 1999, as amended at 65 FR 41310, July 3, 2000; 67 FR 19994, Apr. 23, 2002; 72 FR 51198, Sept. 6, 2007; 73 FR 6413, Feb. 1, 2008; 73 FR 79704, Dec. 30, 2008; 77 FR 24422, Apr. 24, 2012; 78 FR 16126, Mar. 13, 2013; 78 FR 71815, Nov. 29, 2013; 80 FR 76148, Dec. 7, 2015; 81 FR 43112, July 1, 2016; 82 FR 16135, Apr. 3, 2017; 83 FR 60749, Nov. 27, 2018, 83 FR 59227, Nov. 21, 2018; 84 FR 37059, July 31, 2019; 86 FR 1745, Jan. 11, 2021; 86 FR 23241, May 3, 2021; 87 FR 15839, Mar. 21, 2022; 88 FR 1114, Jan. 6, 2023; 88 FR 89551, Dec. 28, 2023; 89 FR 106282, Dec. 30, 2024]						
	Part 238—Passenger Equipment Safety Standards-Schedule of Civil Penalties^{1,2}						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Specific Requirements:						
239.101(a)	239.101(a) Failure of a railroad to adopt a written or electronic emergency preparedness plan	\$ 7,500	\$ 11,000	\$ 15,000	\$ 22,000	\$ 15,500	\$ 22,700
	(a)(1) Failure of the plan to provide for:						
239.101(a)(1)(i)	(i) Initial or on-board notifications by an on-board crewmember	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(1)(ii)	(ii) Notification of outside emergency responders by control center or ERCC	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(1)(iii)	(iii) Failure to designate employee responsible for maintaining current emergency telephone numbers for use in notifications	2,500	5,000	5,000	10,000	5,200	10,300
	(a)(2) Failure of the plan to provide for:						
239.101(a)(2)(i)	(i) Initial or periodic training of on-board personnel	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(2)(ii)	(ii) Initial or periodic training of control center and ERCC personnel	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(2)(iii)	(iii) Completion of initial training of each on-board, control center, and ERCC personnel by the specified date	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(2)(iv)	(iv) Completion of initial training of each newly-hired on-board, control center, and ERCC personnel by the specified date	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(2)(v)	(v) Adequate procedures to evaluate and test on-board, control center, and ERCC personnel for qualification under the emergency preparedness plan	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(2)(vi)	(vi) Adequate on-board staffing	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(3)	(a)(3) Failure of a host railroad involved in joint operations to coordinate applicable portions of the emergency preparedness plan with the railroad or railroads providing or operating a passenger train service operation	3,000	6,000	6,000	12,000	6,200	12,400
	(a)(4) Failure of the plan to address:						
239.101(a)(4)(i)	(i) Readiness procedures for emergencies in tunnels	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(4)(ii)	(ii) Readiness procedures for emergencies on an elevated structure or in electrified territory	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(4)(iii)	(iii) Coordination efforts involving adjacent rail modes of transportation	2,500	5,000	5,000	10,000	5,200	10,300
	(a)(5) Failure of the plan to address relationships with on-line emergency responders by providing for:						
239.101(a)(5)(i)	(i) The development and availability of training programs	3,000	6,000	6,000	12,000	6,200	12,400
239.101(a)(5)(ii)	(ii) Invitations to emergency responders to participate in emergency simulations	3,000	6,000	6,000	12,000	6,200	12,400
239.101(a)(5)(iii)	(iii) Distribution of applicable portions of the current emergency preparedness plan	3,000	6,000	6,000	12,000	6,200	12,400
	(a)(6) Failure of the plan to provide for, or the railroad to include on board each train and maintain and replace:						
239.101(a)(6)(i)	(i) Emergency equipment	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(6)(ii)	(ii) First-aid kits	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(6)(iii)	(iii) Emergency lighting	2,500	5,000	5,000	10,000	5,200	10,300
239.101(a)(7)	(a)(7) Failure of the plan to provide for emergency instructions inside each passenger car or to include additional safety awareness information	3,500	7,000	7,000	14,000	7,200	14,500
239.101(a)(8)	(a)(8) Failure of the plan to include procedures promoting the safety of passengers with disabilities	2,500	5,000	5,000	10,000	5,200	10,300
239.103	239.103 Failure to conduct a required full-scale simulation in accordance with the frequency schedule	5,000	7,500	10,000	15,000	10,300	15,500
	239.105 Debriefing and critique						
239.105(a)	(a) Failure to conduct a debriefing and critique session after an emergency or full-scale simulation	4,000	7,500	8,000	15,000	8,300	15,500
239.105(c)	(c) Failure to design the debrief and critique session to determine the five items specified	2,500	5,000	5,000	10,000	5,200	10,300
239.105(d)(1)	(d)(1) Failure to maintain a record	2,500	5,000	5,000	10,000	5,200	10,300
239.105(d)(1)(i)	(i) Failure to include date or location of the emergency or simulation	1,000	2,000	2,000	4,000	2,100	4,100
239.105(d)(1)(ii)	(ii) Failure to include date or location of the debriefing and critique session	1,000	2,000	2,000	4,000	2,100	4,100
239.105(d)(1)(iii)	(iii) Failure to include names of participants in the debriefing and critique session	1,000	2,000	2,000	4,000	2,100	4,100
239.105(d)(2)	(d)(2) Failure to make record available	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Review, Approval, and Retention of Emergency Preparedness Plans:						
	239.201 Filing and approval						
	(a):						
239.201(a)(i)	(i) Failure of a railroad to file a written emergency preparedness plan	5,000	7,500	10,000	15,000	10,300	15,500
239.201(a)(ii)	(ii) Failure to designate a primary person to contact for plan review	1,000	2,000	2,000	4,000	2,100	4,100
239.201(a)(iii)	(iii) Failure of a railroad to file an amendment to its plan	2,500	5,000	5,000	10,000	5,200	10,300
239.201(a)(iv)	(iv) Failure of a railroad to file a summary of an amendment to its plan	1,000	2,000	2,000	4,000	2,100	4,100
	(b)(1), (b)(2):						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
239.201(b)(1)(i)	(i) Failure of a railroad to correct a plan deficiency	2,500	5,000	5,000	10,000	5,200	10,300
239.201(b)(1)(ii)	(ii) Failure to provide FRA with a corrected copy of the plan	1,000	2,000	2,000	4,000	2,100	4,100
239.201(b)(2)(i)		2,500	5,000	5,000	10,000	5,200	10,300
239.201(b)(2)(ii)		1,000	2,000	2,000	4,000	2,100	4,100
	(b)(3):						
239.201(b)(3)(i)	(i) Failure of a railroad to correct an amendment deficiency	2,500	5,000	5,000	10,000	5,200	10,300
239.201(b)(3)(ii)	(ii) Failure to file a corrected plan amendment with FRA	1,000	1,000	2,000	2,000	2,100	2,100
	239.203 Retention of emergency preparedness plan						
239.203(1)	(1) Failure to retain a copy of the plan or an amendment to the plan	2,500	5,000	5,000	10,000	5,200	10,300
239.203(2)	(2) Failure to make record available	1,000	2,000	2,000	4,000	2,100	4,100
	Subpart D—Operational Tests and Inspections; Records, Recordkeeping, and Availability of Records:						
	239.301 Operational tests and inspections						
239.301(a)	(a) Failure to periodically conduct operational tests and inspections of applicable personnel in accordance with program of operational tests and inspections	2,500	5,000	5,000	10,000	5,200	10,300
239.301(a)(1)	(a)(1) Failure to adopt a program of operational tests and inspections that meets the minimum requirements within 30 days of commencing rail operations	5,000	7,500	10,000	15,000	10,300	15,500
239.301(a)(1)(vi)	(a)(1)(vi) Failure to train or qualify each railroad officer who conducts operational tests and inspections on aspects of the e-prep plan and program procedures relevant to the operational tests and inspections that the officer conducts	2,500	5,000	5,000	10,000	5,200	10,300
239.301(b)(1)	(b)(1) Failure to maintain a record	2,500	5,000	5,000	10,000	5,200	10,300
239.301(b)(2)	(b)(2) Record improperly completed	1,000	1,000	2,000	2,000	2,100	2,100
239.301(c)(1)	(c)(1), (d)(1), (e)(2), (f)(1): Failure to retain a copy of the record	2,500	5,000	5,000	10,000	5,200	10,300
239.301(d)(1)		2,500	5,000	5,000	10,000	5,200	10,300
239.301(e)(2)		2,500	5,000	5,000	10,000	5,200	10,300
239.301(f)(1)		2,500	5,000	5,000	10,000	5,200	10,300
239.301(c)(2)	(c)(2), (d)(2), (e)(3), (f)(2): Failure to make record available	1,000	2,000	2,000	4,000	2,100	4,100
239.301(d)(2)		1,000	2,000	2,000	4,000	2,100	4,100
239.301(e)(3)		1,000	2,000	2,000	4,000	2,100	4,100
239.301(f)(2)		1,000	2,000	2,000	4,000	2,100	4,100
239.301(e)(1)	(e)(1) Failure to conduct six-month review and analysis of required data and make any necessary or appropriate adjustments to the program of operational tests and inspections	4,000	7,500	8,000	15,000	8,300	15,500
	239.303 Electronic recordkeeping						
239.303(a)	(a) Insufficient limits or controls on accessibility to records	2,500	5,000	5,000	10,000	5,200	10,300
239.303(b)	(b) Missing terminal	1,000	2,000	2,000	4,000	2,100	4,100
239.303(c)	(c) Inability of railroad to produce information in a usable format for immediate review	1,000	2,000	2,000	4,000	2,100	4,100
239.303(d)	(d) Failure by railroad to designate an authorized representative	1,000	2,000	2,000	4,000	2,100	4,100
239.303(e)	(e) Failure to make record available	1,000	2,000	2,000	4,000	2,100	4,100
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² The penalty schedule uses section numbers from 49 CFR part 239. If more than one item is listed as a type of violation of a given section, each item is also designated by a “penalty code,” which is used to facilitate assessment of civil penalties, and which may or may not correspond to any subsection designation(s). For convenience, penalty citations will cite the CFR section and the penalty code, if any. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined CFR and penalty code citation, should they differ.						
	[63 FR 24676, May 4, 1998, as amended at 69 FR 30595, May 28, 2004; 73 FR 79704, Dec. 30, 2008; 77 FR 24422, Apr. 24, 2012; 78 FR 71816, Nov. 29, 2013; 79 FR 18150, Mar. 31, 2014; 81 FR 43112, July 1, 2016; 83 FR 60749, Nov. 27, 2018]						
	Part 239—Passenger Train Emergency Preparedness-Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Component Elements						
	240.101—Program failures:						
240.101(a)	(a)-(b) Failure to have program	\$10,000	\$20,000	\$ 20,000	\$ 40,000	\$ 20,600	\$ 36,400
240.101(b)	(a)-(b) Failure to have program	\$10,000	\$20,000	\$ 20,000	\$ 40,000	\$ 20,600	\$ 36,400
240.101(c)	(c) Program that fails to include a subject	2,500	5,000	5,000	10,000	5,200	10,300
	240.103—Failure to, in program approval process:						
240.103(a)	(a) Timely file program or follow Appendix B	1,000	2,000	2,000	4,000	2,100	4,100
240.103(b)	(b) Serve copy or affirm such service	1,000	2,000	2,000	4,000	2,100	4,100
240.103(g)	(g) Resubmit, when directed by FRA	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.103(h)	(h) Timely file material modification	1,000	2,000	2,000	4,000	2,100	4,100
240.104	240.104—Allowing uncertified person to operate non-traditional locomotives	5,000	10,000	10,000	20,000	10,300	20,600
240.105	240.105—Failure to have or execute adequate procedure for selection of supervisors	2,500	5,000	5,000	10,000	5,200	10,300
	240.107—Types of service:						
240.107(a)	(a) Failure to designate classes of service	2,500	5,000	5,000	10,000	5,200	10,300
240.107(d)	(d) Conditions or restrictions imposed that are inconsistent with this part	2,500	5,000	5,000	10,000	5,200	10,300
240.107(e)	(e) Improper reclassification of a certificate	2,500	5,000	5,000	10,000	5,200	10,300
	240.109—General criteria for eligibility based on prior safety conduct:						
240.109(a)	(a) Failure to have procedure for determining eligibility	2,500	5,000	5,000	10,000	5,200	10,300
240.109(c)	(c) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
240.109(f)	(f), (g) Failure to provide timely review opportunity	2,000	4,000	4,000	8,000	4,100	8,300
240.109(g)	(f), (g) Failure to provide timely review opportunity	2,000	4,000	4,000	8,000	4,100	8,300
	240.111—Furnishing motor vehicle records:						
240.111(a)	(a)-(e) Failure to take action required to make information available	1,000	2,000	2,000	4,000	2,100	4,100
240.111(b)	(a)-(e) Failure to take action required to make information available	1,000	2,000	2,000	4,000	2,100	4,100
240.111(c)	(a)-(e) Failure to take action required to make information available	1,000	2,000	2,000	4,000	2,100	4,100
240.111(d)	(a)-(e) Failure to take action required to make information available	1,000	2,000	2,000	4,000	2,100	4,100
240.111(e)	(a)-(e) Failure to take action required to make information available	1,000	2,000	2,000	4,000	2,100	4,100
240.111(f)	(f) Failure to request additional record	1,000	2,000	2,000	4,000	2,100	4,100
240.111(g)	(g) Failure to notify of absence of license	1,000	2,000	2,000	4,000	2,100	4,100
240.111(h)	(h) Failure to report within 48 hours or railroad taking certification action for not reporting earlier than 48 hours	1,000	2,000	2,000	4,000	2,100	4,100
	240.113—Furnishing prior employment information:						
240.113(a)	(a) Failure to take action required to make information available	2,000	4,000	4,000	8,000	4,100	8,300
	240.115—Criteria for considering prior motor vehicle conduct:						
240.115(a)	(a) Railroad failure to include in program or any person's failure to comply	6,000	10,000	12,000	20,000	12,400	20,600
240.115(b)	(b), (e) Failure to consider motor vehicle conduct	5,000	7,500	10,000	15,000	10,300	15,500
240.115(c)	(b), (e) Failure to consider motor vehicle conduct	5,000	7,500	10,000	15,000	10,300	15,500
240.115(d)	(c)-(d) Failure to follow temporary, 60-day certification requirements	2,000	4,000	4,000	8,000	4,100	8,300
240.115(e)	(c)-(d) Failure to follow temporary, 60-day certification requirements	2,000	4,000	4,000	8,000	4,100	8,300
240.115(f)	(f) Failure to follow certification requirements during pendency of a waiver request	2,000	4,000	4,000	8,000	4,100	8,300
240.115(g)	(g), (h) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
240.115(h)	(g), (h) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
	(i) Failure to:						
240.115(i)(1)	(1) Provide data to DAC or refer person for evaluation	6,000	10,000	12,000	20,000	12,400	20,600
240.115(i)(2)	(2) Cooperate in evaluation or provide requested records	1,000	2,000	2,000	4,000	2,100	4,100
240.115(i)(3)	(3)-(4) Properly act in response to data	2,500	5,000	5,000	10,000	5,200	10,300
240.115(i)(4)	(3)-(4) Properly act in response to data	2,500	5,000	5,000	10,000	5,200	10,300
240.115(i)(5)	(5) Prevent person who does not comply with 240.115(i)(2) from performing as an engineer	7,500	15,000	15,000	30,000	15,500	31,000
	240.117—Consideration of operating rules compliance data:						
240.117(a)	(a) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600

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240.117(b)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(c)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(d)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(e)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(f)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(g)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(h)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
240.117(i)	(b)-(i) Failure to comply with program or procedure	2,500	5,000	5,000	10,000	5,200	10,300
	240.119—Consideration of substance abuse disorders and alcohol/drug rules compliance:						
240.119(a)	(a) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.119(c)	(c) Failure to have documents on file	2,500	5,000	5,000	10,000	5,200	10,300
240.119(d)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
240.119(e)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
240.119(f)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
240.119(g)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
	240.121—Criteria for vision and hearing acuity data:						
240.121(a)	(a) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.121(b)	(b)-(e) Failure to have adequate procedure for determining acuity	2,500	5,000	5,000	10,000	5,200	10,300
240.121(c)	(b)-(e) Failure to have adequate procedure for determining acuity	2,500	5,000	5,000	10,000	5,200	10,300
240.121(d)	(b)-(e) Failure to have adequate procedure for determining acuity	2,500	5,000	5,000	10,000	5,200	10,300
240.121(e)	(b)-(e) Failure to have adequate procedure for determining acuity	2,500	5,000	5,000	10,000	5,200	10,300
240.121(f)	(f) Failure of engineer to notify	2,500	5,000	5,000	10,000	5,200	10,300
	240.123—Training:						
240.123(a)	(a) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.123(b)	(b) Failure to comply or inadequate procedures for continuing education	2,500	5,000	5,000	10,000	5,200	10,300
240.123(c)	(c) Failure to comply or inadequate procedures for training new engineers	2,500	5,000	5,000	10,000	5,200	10,300
240.123(d)	(d)-(f) Failure to comply or inadequate procedures for physical characteristics training	2,500	5,000	5,000	10,000	5,200	10,300
240.123(e)	(d)-(f) Failure to comply or inadequate procedures for physical characteristics training	2,500	5,000	5,000	10,000	5,200	10,300
240.123(f)	(d)-(f) Failure to comply or inadequate procedures for physical characteristics training	2,500	5,000	5,000	10,000	5,200	10,300
	240.125—Knowledge testing:						
240.125(a)	(a)-(b) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.125(b)	(a)-(b) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.125(c)	(c), (e) Failure to have adequate testing methods	2,500	5,000	5,000	10,000	5,200	10,300
240.125(e)	(c), (e) Failure to have adequate testing methods	2,500	5,000	5,000	10,000	5,200	10,300
240.125(d)	(d), (f) Failure to have adequate procedures for documenting testing	2,500	5,000	5,000	10,000	5,200	10,300
240.125(f)	(d), (f) Failure to have adequate procedures for documenting testing	2,500	5,000	5,000	10,000	5,200	10,300
240.125(g)	(g) Permitting a person to work as an engineer when test failed, reexamination not passed	7,500	15,000	15,000	30,000	15,500	31,000
	240.127—Criteria for examining skill performance:						
240.127(a)	(a) Failure to include in program or violate program	2,500	5,000	5,000	10,000	5,200	10,300
240.127(b)	(b)-(f) Failure to have adequate procedures	2,500	5,000	5,000	10,000	5,200	10,300
240.127(c)	(b)-(f) Failure to have adequate procedures	2,500	5,000	5,000	10,000	5,200	10,300
240.127(d)	(b)-(f) Failure to have adequate procedures	2,500	5,000	5,000	10,000	5,200	10,300
240.127(e)	(b)-(f) Failure to have adequate procedures	2,500	5,000	5,000	10,000	5,200	10,300
240.127(f)	(b)-(f) Failure to have adequate procedures	2,500	5,000	5,000	10,000	5,200	10,300
	240.129—Criteria for monitoring operational performance of certified engineers:						
240.129(a)	(a) Failure to include in program or violate program	6,000	10,000	12,000	20,000	12,400	20,600
240.129(b)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
240.129(c)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
240.129(d)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
240.129(e)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
240.129(f)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
240.129(g)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
240.129(h)	(b)-(h) Failure to have adequate procedures, perform required tests, or keep adequate records	2,500	5,000	5,000	10,000	5,200	10,300
	Subpart C—Implementation of the Process						
	240.201—Implementation:						
240.201(a)	(a) Failure to designate supervisors	2,000	4,000	4,000	8,000	4,100	8,300
240.201(b)	(b) Failure to designate engineers	2,000	4,000	4,000	8,000	4,100	8,300
240.201(b)(1)	(b)(1) Designating a person as an engineer prematurely	5,000	10,000	10,000	20,000	10,300	20,600
240.201(b)(2)	(b)(2), (h) Failure to issue certificate to engineer	1,000	2,000	2,000	4,000	2,100	4,100
240.201(h)	(b)(2), (h) Failure to issue certificate to engineer	1,000	2,000	2,000	4,000	2,100	4,100
240.201(c)	(c) Permitting or requiring engineer service for more than 36 months without recertification or waiver	7,500	15,000	15,000	30,000	15,500	31,000
240.201(d)	(d) Allowing uncertified person to operate	7,500	15,000	15,000	30,000	15,500	31,000
240.201(e)	(e)-(g) Certifying without complying with subpart C	5,000	7,500	10,000	15,000	10,300	15,500
240.201(f)	(e)-(g) Certifying without complying with subpart C	5,000	7,500	10,000	15,000	10,300	15,500
240.201(g)	(e)-(g) Certifying without complying with subpart C	5,000	7,500	10,000	15,000	10,300	15,500
	240.203—Determinations required as a prerequisite to certification:						
	(a) Certifying a person without determining that:						
240.203(a)(1)	(1) Person meets the eligibility criteria	5,000	7,500	10,000	15,000	10,300	15,500
240.203(a)(2)	(2) Person meets the vision and hearing acuity criteria	2,500	5,000	5,000	10,000	5,200	10,300
240.203(a)(3)	(3) Person has demonstrated knowledge	2,500	5,000	5,000	10,000	5,200	10,300
240.203(a)(4)	(4) Person has demonstrated skills	2,500	5,000	5,000	10,000	5,200	10,300
240.203(a)(5)	(5) Person has completed a training program	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Certifying a person without determining that:						
240.203(b)(1)	(1) Person has completed training program	2,500	5,000	5,000	10,000	5,200	10,300
240.203(b)(2)	(2) Person meets the eligibility criteria	2,500	5,000	5,000	10,000	5,200	10,300
240.203(b)(3)	(3) Student certification period elapsed	2,500	5,000	5,000	10,000	5,200	10,300
	240.205—Procedures for determining eligibility based on prior safety conduct:						
240.205(a)	(a) Selecting person lacking eligibility	5,000	7,500	10,000	15,000	10,300	15,500
240.205(b)	(b) Failure to have documents supporting determination	2,500	5,000	5,000	10,000	5,200	10,300
	240.207—Procedures for making the determination on vision and hearing acuity:						
240.207(a)	(a) Selecting person lacking proper acuity	5,000	10,000	10,000	20,000	10,300	20,600
240.207(b)	(b) Failure to have on file required medical examiner's documents needed for determining proper acuity	1,000	2,000	2,000	4,000	2,100	4,100
240.207(c)	(c) Acuity examinations performed by unauthorized person	1,000	2,000	2,000	4,000	2,100	4,100
240.207(d)	(d) Failure to note need for device on certificate to achieve acuity	1,000	2,000	2,000	4,000	2,100	4,100
240.207(e)	(e) Failure to have notation removed when medical device is no longer needed	1,000	2,000	2,000	4,000	2,100	4,100
240.207(e)(i)	(e) Failure to use medical device needed for proper acuity	5,000	10,000	10,000	20,000	10,300	20,600
	240.209—Procedures for making the determination on knowledge:						
240.209(a)	(a) Failure to properly determine knowledge	2,500	5,000	5,000	10,000	5,200	10,300
240.209(b)	(b) Improper test procedure or failure to retain written documentation of test	2,000	4,000	4,000	8,000	4,100	8,300
240.209(c)	(c) Allowing person to operate despite test failure	5,000	10,000	10,000	20,000	10,300	20,600
	240.211—Procedures for making the determination on performance skills:						
240.211(a)	(a) Failure to determine skills per most demanding class or type of service to be performed	5,000	10,000	10,000	20,000	10,300	20,600
240.211(b)	(b) Improper test procedure or failure to retain written documentation of test	2,000	4,000	4,000	8,000	4,100	8,300
240.211(c)	(c) Allowing person to operate despite test failure	5,000	10,000	10,000	20,000	10,300	20,600
240.211(d)	(d) DSLE evaluates his or her own skills	5,000	10,000	10,000	20,000	10,300	20,600
	240.213—Procedures for making the determination on completion of training program:						
240.213(a)	(a) Failure to properly make determination	2,500	5,000	5,000	10,000	5,200	10,300
240.213(b)	(b) Failure to document successful program completion	2,500	5,000	5,000	10,000	5,200	10,300

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	240.215—Retaining information supporting determinations:						
240.215(a)	(a), (h) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
240.215(h)	(a), (h) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
240.215(b)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(c)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(d)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(e)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(f)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(g)	(b)-(g) Missing component of relevant record	2,000	4,000	4,000	8,000	4,100	8,300
240.215(i)	(i) Falsification of record	NA	10,000	NA	20,000	NA	20,600
240.215(j)	(j) Failure to comply with electronic recordkeeping system procedures	5,000	7,500	10,000	15,000	10,300	15,500
	240.217—Time limitations for making determinations:						
240.217	(a), (c), (d) Exceeding time limit	2,000	4,000	4,000	8,000	4,100	8,300
	240.219—Denial of certification:						
240.219(a)	(a) Failure to notify, provide opportunity for comment, or provide supporting documentation	2,000	4,000	4,000	8,000	4,100	8,300
240.219(c)	(c) Failure to notify, provide explanation, or address rebuttal information, or untimely notification	2,000	4,000	4,000	8,000	4,100	8,300
	240.221—Identification of qualified persons:						
240.221(a)	(a)-(c) Failure to have a record	2,500	5,000	5,000	10,000	5,200	10,300
240.221(b)	(a)-(c) Failure to have a record	2,500	5,000	5,000	10,000	5,200	10,300
240.221(c)	(a)-(c) Failure to have a record	2,500	5,000	5,000	10,000	5,200	10,300
	(d) Failure to:						
240.221(d)	(1) Update a record	2,000	4,000	4,000	8,000	4,100	8,300
	(2)-(3) Make a record available	2,000	4,000	4,000	8,000	4,100	8,300
240.221(e)	(e) Knowingly or willfully making false entry, or other falsification	NA	10,000	NA	20,000	NA	20,600
240.221(f)	(f) Records system not maintained as required	5,000	7,500	10,000	15,000	10,300	15,500
	240.223—Certificate criteria:						
240.223(a)	(a) Improper certificate	1,000	2,000	2,000	4,000	2,100	4,100
240.223(b)	(b) Failure to designate those with signatory authority	1,000	2,000	2,000	4,000	2,100	4,100
240.223(d)	(d) Falsification of certificate	NA	10,000	NA	20,000	NA	20,600
	240.225—Railroad relying on determination of another:						
240.225(a)	(a) Failure to address in program or failure to require newly hired engineer to take entire training program	5,000	7,500	10,000	15,000	10,300	15,500
240.225(b)	(b) Failure to properly make determinations	5,000	7,500	10,000	15,000	10,300	15,500
	240.227—Railroad relying on requirements of a different country (Canada):						
	(a) Joint operator reliance:						
240.227(a)(1)	(1) On person not employed	5,000	7,500	10,000	15,000	10,300	15,500
240.227(a)(2)	(2) On person who fails to meet Canadian requirements	5,000	7,500	10,000	15,000	10,300	15,500
	(b) Canadian railroad reliance:						
240.227(b)(1)	(1) On person not employed	5,000	7,500	10,000	15,000	10,300	15,500
240.227(b)(2)	(2) On person who fails to meet Canadian requirements	5,000	7,500	10,000	15,000	10,300	15,500
	240.229—Requirements for joint operations territory:						
240.229(a)	(a)-(d) Allowing uncertified person to operate or certifying improperly	7,500	15,000	15,000	30,000	15,500	31,000
240.229(b)	(a)-(d) Allowing uncertified person to operate or certifying improperly	7,500	15,000	15,000	30,000	15,500	31,000
240.229(c)	(a)-(d) Allowing uncertified person to operate or certifying improperly	7,500	15,000	15,000	30,000	15,500	31,000
240.229(d)	(a)-(d) Allowing uncertified person to operate or certifying improperly	7,500	15,000	15,000	30,000	15,500	31,000
240.229(c)(3)	(c)(3) Failure to notify the railroad if unqualified	NA	5,000	NA	10,000	NA	10,300
240.229(e)	(e)-(f) Failure to provide qualified person or incorrect determination of an exception	5,000	7,500	10,000	15,000	10,300	15,500
240.229(f)	(e)-(f) Failure to provide qualified person or incorrect determination of an exception	5,000	7,500	10,000	15,000	10,300	15,500

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	240.231—Requirements for engineers unfamiliar with physical characteristics in other than joint operations:						
240.231(a)	(a) Person unqualified, no exception applies or railroad does not adequately address in program	7,500	15,000	15,000	30,000	15,500	31,000
	(b) Failure to have a pilot:						
240.231(b)(1)	(1) for engineer who has never been qualified	7,500	15,000	15,000	30,000	15,500	31,000
240.231(b)(2)	(2) for engineer previously qualified	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart D—Program Administration						
	240.301—Replacement of certificates:						
240.301(a)	(a) Failure to have system for certificate replacement or system not reasonably accessible to engineers	5,000	7,500	10,000	15,000	10,300	15,500
240.301(b)	(b) Failure to properly issue temporary replacement certificate	2,000	4,000	4,000	8,000	4,100	8,300
	240.303—Operational monitoring requirements:						
240.303(a)	(a) Failure to have program for observations or unannounced tests	5,000	10,000	10,000	20,000	10,300	20,600
240.303(b)	(b) Failure to observe a person in a calendar year	1,000	2,000	2,000	4,000	2,100	4,100
240.303(c)	(c) Failure to test a person in a calendar year	1,000	2,000	2,000	4,000	2,100	4,100
240.303(d)	(d) Failure to test properly	1,000	2,000	2,000	4,000	2,100	4,100
	240.305—Prohibited conduct:						
	(a) Unlawful:						
240.305(a)(1)	(1) Passing of stop signal	2,500	5,000	5,000	10,000	5,200	10,300
240.305(a)(2)	(2) Control of speed	2,500	5,000	5,000	10,000	5,200	10,300
240.305(a)(3)	(3) Brake tests	2,500	5,000	5,000	10,000	5,200	10,300
240.305(a)(4)	(4) Occupancy of main track	2,500	5,000	5,000	10,000	5,200	10,300
240.305(a)(5)	(a)(5) Tampering or operating with unauthorized disabled safety device	2,500	5,000	5,000	10,000	5,200	10,300
240.305(a)(6)	(a)(6) Supervisor, pilot, or instructor fails to take appropriate action	2,500	5,000	5,000	10,000	5,200	10,300
	(b) Failure of engineer to:						
240.305(b)(1)	(1) possess certificate while on duty as an engineer	1,000	2,000	2,000	4,000	2,100	4,100
240.305(b)(2)	(2) display certificate when requested	1,000	2,000	2,000	4,000	2,100	4,100
240.305(c)	(c) Failure of engineer to notify railroad of limitations or railroad requiring engineer to exceed limitations	4,000	8,000	8,000	16,000	8,300	16,500
240.305(d)	(d) Failure of engineer to notify railroad of denial or revocation	4,000	8,000	8,000	16,000	8,300	16,500
	240.307—Revocation of certification:						
240.307(a)	(a) Failure to revoke certification	7,500	10,000	15,000	20,000	15,500	20,600
240.307(b)	(b) Failure to comply with suspension, hearing, and revocation procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(c)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(d)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(e)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(f)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(g)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
240.307(h)	(h) Failure to credit suspension period toward revocation period	2,000	4,000	4,000	8,000	4,100	8,300
240.307(j)	(j) Failure of railroad to place relevant information in records	2,500	5,000	5,000	10,000	5,200	10,300
240.307(k)	(k) Failure of railroad to conduct reasonable inquiry or make good faith determination	7,500	10,000	15,000	20,000	15,500	20,600
	240.308—Multiple certifications:						
240.308(c)	(c) Engineer is not a certified conductor and no assigned certified conductor in or attached to train crew	7,500	10,000	15,000	20,000	15,500	20,600
240.308(d)	(d) Procedure not followed when conductor removed from passenger train	7,500	10,000	15,000	20,000	15,500	20,600
240.308(e)	(e) Certified person fails to notify a railroad of denial or revocation by another railroad		5,000		10,000		10,300
240.308(f)	(f), (i) Performing work as an engineer or obtaining an engineer certificate while a conductor certificate is revoked for a violation of 242.403(e)(1)-(e)(5) or (e)(12)	7,500	15,000	15,000	30,000	15,500	31,000

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240.308(i)	(f), (i) Performing work as an engineer or obtaining an engineer certificate while a conductor certificate is revoked for a violation of 242.403(e)(1)-(e)(5) or (e)(12)	7,500	15,000	15,000	30,000	15,500	31,000
240.308(g)	(g)-(h) Performing work as a conductor or obtaining a conductor certificate while an engineer certificate is revoked under 240.307	7,500	15,000	15,000	30,000	15,500	31,000
240.308(h)	(g)-(h) Performing work as a conductor or obtaining a conductor certificate while an engineer certificate is revoked under 240.307	7,500	15,000	15,000	30,000	15,500	31,000
240.308(j)	(j) Denying or revoking engineer certification or recertification based solely on the denial of conductor certification	4,000	8,000	8,000	16,000	8,300	16,500
240.308(k)	(k) Denying or revoking conductor certification or recertification based solely on the denial of engineer certification	4,000	8,000	8,000	16,000	8,300	16,500
240.308(m)	(m) Railroad improperly revokes both engineer and conductor certifications for a dual certified person based on the same event	5,000	7,500	10,000	15,000	10,300	15,500
	240.309—Oversight responsibility report:						
240.309(a)	(a) Failure to perform annual review/analysis or complete on time	5,000	7,500	10,000	15,000	10,300	15,500
240.309(b)	(b) Incomplete or inaccurate formal annual review and analysis	2,500	5,000	5,000	10,000	5,200	10,300
240.309(c)	(c) Failure to determine actions to improve safety	2,500	5,000	5,000	10,000	5,200	10,300
240.309(d)	(d) Failure to provide written report to FRA upon request	2,500	5,000	5,000	10,000	5,200	10,300
240.309(e)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
240.309(f)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
240.309(g)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
240.309(h)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
240.309(i)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[56 FR 28254, June 19, 1991, as amended at 60 FR 53138, Oct. 12, 1995; 63 FR 11624, Mar. 10, 1998; 64 FR 60995, Nov. 8, 1999; 69 FR 30595, May 28, 2004; 73 FR 79704, Dec. 30, 2008; 74 FR 68185, Dec. 23, 2009; 77 FR 24422, Apr. 24, 2012; 81 FR 43112, July 1, 2016; 83 FR 60750, Nov. 27, 2018; 85 FR 81290, Dec. 15, 2020]						
	<i>Note - The ordinary maximum civil penalty for violations occurring on/after Dec. 30, 2024, is \$36,439. The guideline penalties are capped at \$36,400, accordingly. See 89 FR 106282 (Dec. 30, 2024).</i>						
	Part 240—Qualification and Certification of Locomotive Engineers- Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
241.9(a)	241.9(a) Requiring or permitting extraterritorial dispatching of a railroad operation	\$7,500	\$11,000	\$ 15,000	\$ 22,000	\$ 15,500	\$ 22,700
241.9(b)	(b) Failing to notify FRA about extraterritorial dispatching of a railroad operation in an emergency situation	5,000	7,500	10,000	15,000	10,300	15,500
	241.11 Conducting a railroad operation that is extraterritorially dispatched:						
241.11(a)(1)	(a)(1) Generally	7,500	11,000	15,000	22,000	15,500	22,700
241.11(a)(2)	(a)(2) In an emergency situation-where dispatching railroad fails to notify FRA of the extraterritorial dispatching	2,500	5,000	5,000	10,000	5,200	10,300
	241.13 Requiring or permitting track to be used for the conduct of a railroad operation that is extraterritorially dispatched:						
241.13(a)(1)	(a)(1) Generally	7,500	11,000	15,000	22,000	15,500	22,700
241.13(a)(2)	(a)(2) In an emergency situation-where dispatching railroad fails to notify FRA of the extraterritorial dispatching	2,500	5,000	5,000	10,000	5,200	10,300
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 U.S.C. 21301, 21304 and 49 CFR part 209, appendix A.						
	² Further designations for certain provisions, not found in the CFR citation for those provisions, and not found in this appendix, are FRA Office of Chief Counsel computer codes added as a suffix to the CFR citation and used to expedite imposition of civil penalties for violations. FRA reserves the right, should litigation become necessary, to substitute in its complaint the CFR citation in place of the combined designation cited in the civil penalty demand letter.						
	[67 FR 75960, Dec. 10, 2002, as amended at 73 FR 79705, Dec. 30, 2008; 77 FR 24423, Apr. 24, 2012; 81 FR 43112, July 1, 2016; 83 FR 60750, Nov. 27, 2018]						
	Part 241—United States Locational Requirement for Dispatching of United States Rail Operations-Schedule of Civil Penalties¹						

Rule	Section ²	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Program and Eligibility Requirements						
	242.101—Program failures:						
242.101(a)	(a) Failure to have program	\$10,000	\$20,000	\$ 20,000	\$ 40,000	\$ 20,600	\$ 36,400
242.101(a)(1)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
242.101(a)(2)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
242.101(a)(3)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
242.101(a)(4)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
242.101(a)(5)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
242.101(a)(6)	(1)-(6) Program that fails to address a subject	6,000	10,000	12,000	20,000	12,400	20,600
	242.103—Program approval:						
242.103(a)	(a)-(b) Failure to follow Appendix B	1,000	2,000	2,000	4,000	2,100	4,100
242.103(b)	(a)-(b) Failure to follow Appendix B	1,000	2,000	2,000	4,000	2,100	4,100
242.103(c)	(c)-(g) Failure to comply with filing or submission requirements	1,000	2,000	2,000	4,000	2,100	4,100
242.103(d)	(c)-(g) Failure to comply with filing or submission requirements	1,000	2,000	2,000	4,000	2,100	4,100
242.103(e)	(c)-(g) Failure to comply with filing or submission requirements	1,000	2,000	2,000	4,000	2,100	4,100
242.103(f)	(c)-(g) Failure to comply with filing or submission requirements	1,000	2,000	2,000	4,000	2,100	4,100
242.103(g)	(c)-(g) Failure to comply with filing or submission requirements	1,000	2,000	2,000	4,000	2,100	4,100
242.103(h)	(h) Failure to resubmit, when directed by FRA	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.103(i)	(i) Failure to timely file material modification	1,000	2,000	2,000	4,000	2,100	4,100
	242.105—Schedule for implementation:						
242.105(a)	(a)-(b) Failure to designate conductors	2,000	4,000	4,000	8,000	4,100	8,300
242.105(b)	(a)-(b) Failure to designate conductors	2,000	4,000	4,000	8,000	4,100	8,300
242.105(c)	(c) Allowing uncertified person to serve as conductor	7,500	15,000	15,000	30,000	15,500	31,000
242.105(d)	(d)-(e) Certifying without complying with subpart B or failure to issue a certificate	5,000	7,500	10,000	15,000	10,300	15,500
242.105(e)	(d)-(e) Certifying without complying with subpart B or failure to issue a certificate	5,000	7,500	10,000	15,000	10,300	15,500
242.105(f)	(f) Serving as a conductor without complying with subpart B or being issued a certificate	7,500	15,000	15,000	30,000	15,500	31,000
	242.107—Types of service:						
242.107(a)	(a) Failure to designate types of service	2,500	5,000	5,000	10,000	5,200	10,300
242.107(c)	(c) Reclassifying a certificate	2,500	5,000	5,000	10,000	5,200	10,300
	242.109—Certification and recertification determinations:						
	(a) Failure to determine in writing that:						
242.109(a)(1)	(1) person meets the eligibility criteria	5,000	7,500	10,000	15,000	10,300	15,500
242.109(a)(2)	(2) person meets the vision and hearing acuity criteria	2,500	5,000	5,000	10,000	5,200	10,300
242.109(a)(3)	(3) person has demonstrated knowledge	2,500	5,000	5,000	10,000	5,200	10,300
242.109(a)(4)	(4) person has completed a training program	2,500	5,000	5,000	10,000	5,200	10,300
242.109(b)	(b) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
242.109(c)	(c) Failure to have required documents on file	1,000	2,000	2,000	4,000	2,100	4,100
242.109(d)	(d), (e) Failure to provide timely review opportunity	2,000	4,000	4,000	8,000	4,100	8,300
242.109(e)	(d), (e) Failure to provide timely review opportunity	2,000	4,000	4,000	8,000	4,100	8,300
242.109(f)	(f) Failure to include method to advise that person has never been a railroad employee or obtained motor vehicle licenses	1,000	2,000	2,000	4,000	2,100	4,100
	242.111—Motor vehicle operator records:						
242.111(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.111(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.111(c)	(c) Failure to initially certify	2,000	4,000	4,000	8,000	4,100	8,300
242.111(d)	(d) Failure to recertify	2,000	4,000	4,000	8,000	4,100	8,300
242.111(e)	(e) Allowing person to serve as conductor before information is evaluated	5,000	7,500	10,000	15,000	10,300	15,500

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242.111(f)	(f) Failure to certify or recertify during pendency of waiver request	2,000	4,000	4,000	8,000	4,100	8,300
242.111(g)	(g) Failure to take action to make information available	1,000	2,000	2,000	4,000	2,100	4,100
242.111(h)	(h)-(j) Failure to request record	1,000	2,000	2,000	4,000	2,100	4,100
242.111(i)	(h)-(j) Failure to request record	1,000	2,000	2,000	4,000	2,100	4,100
242.111(j)	(h)-(j) Failure to request record	1,000	2,000	2,000	4,000	2,100	4,100
242.111(k)	(k) Failure to notify of absence of license	1,000	2,000	2,000	4,000	2,100	4,100
242.111(l)	(l) Failure to report in timely manner or railroad taking certification action for not reporting earlier than 48 hours	1,000	2,000	2,000	4,000	2,100	4,100
242.111(m)	(m)-(n) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
242.111(n)	(m)-(n) Considering excluded data	2,000	4,000	4,000	8,000	4,100	8,300
	(o) Failure to:						
242.111(o)(1)	(1) Provide data to DAC or refer person for evaluation	6,000	10,000	12,000	20,000	12,400	20,600
242.111(o)(2)	(2) Cooperate in evaluation or provide requested records	1,000	2,000	2,000	4,000	2,100	4,100
242.111(o)(3)	(3)-(4) Properly act in response to data	2,500	5,000	5,000	10,000	5,200	10,300
242.111(o)(4)	(3)-(4) Properly act in response to data	2,500	5,000	5,000	10,000	5,200	10,300
242.111(o)(5)	(5) Prevent person who does not comply with 242.111(o)(2) from performing as a conductor	7,500	15,000	15,000	30,000	15,500	31,000
	242.113—Prior safety conduct:						
242.113(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.113(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.113(c)	(c) Failure to request record or take required action	2,000	4,000	4,000	8,000	4,100	8,300
	242.115—Substance abuse disorders and alcohol drug rules compliance:						
242.115(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.115(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.115(c)	(c) Failure to have documents on file	2,500	5,000	5,000	10,000	5,200	10,300
242.115(d)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.115(e)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.115(f)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.115(g)	(d)-(g) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
	242.117—Vision and hearing acuity:						
242.117(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.117(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.117(c)	(c) Failure to have on file required medical examiner's documents needed for determining proper acuity	1,000	2,000	2,000	4,000	2,100	4,100
242.117(d)	(d) Acuity examination performed by unauthorized person	1,000	2,000	2,000	4,000	2,100	4,100
242.117(e)	(e) Failure to note need for device to achieve acuity	1,000	2,000	2,000	4,000	2,100	4,100
242.117(f)(i)	(f) Failure to have notation removed when medical device is no longer needed	1,000	2,000	2,000	4,000	2,100	4,100
242.117(f)(ii)	(f) Failure to use medical device needed for proper acuity	5,000	10,000	10,000	20,000	10,300	20,600
242.117(h)	(h)-(j) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.117(i)	(h)-(j) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.117(j)	(h)-(j) Failure to comply with requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.117(k)	(k) Failure of conductor to notify	2,500	5,000	5,000	10,000	5,200	10,300
	242.119—Training:						
242.119(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.119(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.119(c)	(c) Failure to determine in writing the requirements of (c)(1), (c)(2), and/or (c)(3)	2,500	5,000	5,000	10,000	5,200	10,300
	(d) Failure to:						
242.119(d)(1)	(1) Make determination, include proper curriculum, and/or document knowledge and ability	2,500	5,000	5,000	10,000	5,200	10,300
242.119(d)(2)	(2) Include component	1,000	2,000	2,000	4,000	2,100	4,100

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242.119(d)(3)	(3) Make information available	1,000	2,000	2,000	4,000	2,100	4,100
242.119(d)(4)	(4) Maintain steps or tasks in one manual or make available	1,000	2,000	2,000	4,000	2,100	4,100
242.119(d)(5)	(5) Review and modify training plan	1,000	2,000	2,000	4,000	2,100	4,100
242.119(e)	(e) Failure to require person to meet requirements	2,500	5,000	5,000	10,000	5,200	10,300
242.119(f)	(f) Failure to provide opportunity to consult	1,000	2,000	2,000	4,000	2,100	4,100
242.119(g)	(g)-(k) Failure to have adequate procedures or include procedures in program	2,500	5,000	5,000	10,000	5,200	10,300
242.119(h)	(g)-(k) Failure to have adequate procedures or include procedures in program	2,500	5,000	5,000	10,000	5,200	10,300
242.119(i)	(g)-(k) Failure to have adequate procedures or include procedures in program	2,500	5,000	5,000	10,000	5,200	10,300
242.119(j)	(g)-(k) Failure to have adequate procedures or include procedures in program	2,500	5,000	5,000	10,000	5,200	10,300
242.119(k)	(g)-(k) Failure to have adequate procedures or include procedures in program	2,500	5,000	5,000	10,000	5,200	10,300
242.119(l)	(l) Failure to have adequate procedures for or provide continuing education	2,500	5,000	5,000	10,000	5,200	10,300
	242.121—Knowledge testing:						
242.121(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.121(b)	(b) Failure to determine eligibility requirements met	5,000	7,500	10,000	15,000	10,300	15,500
242.121(c)	(c) Failure to have adequate procedures for testing knowledge	2,500	5,000	5,000	10,000	5,200	10,300
242.121(d)	(d) Failure to properly document testing	1,000	2,000	2,000	4,000	2,100	4,100
242.121(e)	(e) Failure to provide opportunity to consult	1,000	2,000	2,000	4,000	2,100	4,100
242.121(f)	(f) Failure to document whether test was passed or failed	1,000	2,000	2,000	4,000	2,100	4,100
242.121(g)	(g) Allowing person to serve as a conductor despite test failure	7,500	15,000	15,000	30,000	15,500	31,000
	242.123—Monitoring operational performance:						
242.123(a)	(a)-(b) Failure to implement program meeting requirements	6,000	10,000	12,000	20,000	12,400	20,600
242.123(b)	(b) Failure to comply with program	2,500	5,000	5,000	10,000	5,200	10,300
242.123(c)	(c) Failure to test a conductor at least once in a calendar year	2,500	5,000	5,000	10,000	5,200	10,300
242.123(d)	(d) Failure to test properly	2,500	5,000	5,000	10,000	5,200	10,300
242.123(e)	(e) Failure to indicate the action to be taken	2,500	5,000	5,000	10,000	5,200	10,300
242.123(f)	(f) Failure to test within time limits	2,500	5,000	5,000	10,000	5,200	10,300
	242.125—Certification determinations made by other railroads:						
242.125(a)	(a) Failure to address in program or require newly hired conductor to take entire training program	5,000	7,500	10,000	15,000	10,300	15,500
242.125(b)	(b) Failure to make a required determination	5,000	7,500	10,000	15,000	10,300	15,500
	242.127—Reliance on qualification requirements of other countries (Canada):						
242.127(a)	(a)-(b) Failure to determine person employed and meets Canadian standards	5,000	7,500	10,000	15,000	10,300	15,500
242.127(b)	(a)-(b) Failure to determine person employed and meets Canadian standards	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart C—Administration of the Certification Program						
	242.201—Time limitations:						
242.201(a)	(a), (c), and (d) Exceeding time limit	2,000	4,000	4,000	8,000	4,100	8,300
242.201(c)	(a), (c), and (d) Exceeding time limit	2,000	4,000	4,000	8,000	4,100	8,300
242.201(d)	(a), (c), and (d) Exceeding time limit	2,000	4,000	4,000	8,000	4,100	8,300
	242.203—Supporting information:						
242.203(a)	(a), (c)-(e) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
242.203(c)	(a), (c)-(e) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
242.203(d)	(a), (c)-(e) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
242.203(e)	(a), (c)-(e) Complete failure to maintain record for a person	5,000	7,500	10,000	15,000	10,300	15,500
242.203(b)	(b) Failure to have a complete record	2,000	4,000	4,000	8,000	4,100	8,300
242.203(f)	(f) Falsification of a record	NA	10,000	NA	20,000	NA	20,600
242.203(g)	(g) Failure to comply with requirements if records maintained electronically	5,000	7,500	10,000	15,000	10,300	15,500
	242.205—Identification of persons:						

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242.205(a)	(a)-(b) Failure to have a record	2,500	5,000	5,000	10,000	5,200	10,300
242.205(b)	(a)-(b) Failure to have a record	2,500	5,000	5,000	10,000	5,200	10,300
242.205(c)	(c) Failure to update or make a record available	2,000	4,000	4,000	8,000	4,100	8,300
242.205(d)	(d) Falsification of a record		10,000		20,000		20,600
242.205(e)	(e) Failure to comply with requirements if records maintained electronically	5,000	7,500	10,000	15,000	10,300	15,500
	242.207—Certificate components:						
242.207(a)	(a) Improper certificate	1,000	2,000	2,000	4,000	2,100	4,100
242.207(b)	(b) Failure to designate those with signing authority	1,000	2,000	2,000	4,000	2,100	4,100
242.207(d)	(d) Falsification of a certificate		10,000		20,000		20,600
	242.209—Maintenance of the certificate:						
242.209(a)	(a) Failure of conductor to carry certificate or display certificate when requested	1,000	2,000	2,000	4,000	2,100	4,100
242.209(b)	(b) Failure of conductor to notify railroad of limitations or railroad requiring conductor to exceed limitations	4,000	8,000	8,000	16,000	8,300	16,500
	242.211—Replacement of certificates:						
242.211(a)	(a) Failure to have system for certificate replacement or system not reasonably accessible to conductors	5,000	7,500	10,000	15,000	10,300	15,500
242.211(b)	(b) Failure to properly issue temporary replacement certificate	2,000	4,000	4,000	8,000	4,100	8,300
	242.213—Multiple certifications:						
242.213(d)	(d) Allowing an engineer to operate without a conductor where the engineer is not certified as a conductor or not accompanied by a certified conductor	7,500	10,000	15,000	20,000	15,500	20,600
242.213(e)	(e) Failure to comply with emergency restrictions	7,500	10,000	15,000	20,000	15,500	20,600
242.213(f)	(f) Failure of conductor to notify railroad of denial or revocation		5,000		10,000		10,300
242.213(g)	(g) Performing conductor service with a revoked conductor certificate	7,500	15,000	15,000	30,000	15,500	31,000
242.213(h)	(h), (k) Performing work as an engineer or obtaining an engineer certificate while a conductor certificate is revoked for a violation of 242.403(e)(1)-(e)(5) or (e)(12)	7,500	15,000	15,000	30,000	15,500	31,000
242.213(i)	(i)-(j) Performing work as a conductor or obtaining a conductor certificate while an engineer certificate is revoked under 240.307	7,500	15,000	15,000	30,000	15,500	31,000
242.213(j)	(i)-(j) Performing work as a conductor or obtaining a conductor certificate while an engineer certificate is revoked under 240.307	7,500	15,000	15,000	30,000	15,500	31,000
242.213(l)	(l) Denying or revoking engineer certification or recertification based solely on the denial of conductor certification	4,000	8,000	8,000	16,000	8,300	16,500
242.213(m)	(m) Denying or revoking conductor certification or recertification based solely on the denial of engineer certification	4,000	8,000	8,000	16,000	8,300	16,500
242.213(o)	(o) Revoking both a person's conductor and engineer certificates for a single event	5,000	7,500	10,000	15,000	10,300	15,500
	242.215—Oversight responsibility:						
242.215(a)	(a) Failure to perform annual review/analysis or complete on time	5,000	7,500	10,000	15,000	10,300	15,500
242.215(b)	(b) Incomplete or inaccurate formal annual review and analysis	2,500	5,000	5,000	10,000	5,200	10,300
242.215(c)	(c) Failure to determine actions to improve safety	2,500	5,000	5,000	10,000	5,200	10,300
242.215(d)	(d) Failure to provide written report to FRA upon request	2,500	5,000	5,000	10,000	5,200	10,300
242.215(e)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
242.215(f)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
242.215(g)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
242.215(h)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
242.215(i)	(e)-(i) Incomplete or inaccurate report	2,000	4,000	4,000	8,000	4,100	8,300
	Subpart D—Territorial Qualification and Joint Operations						
	242.301—Territorial qualification:						
242.301(a)	(a) Allowing uncertified person or person not territorially qualified to serve as a conductor	7,500	15,000	15,000	30,000	15,500	31,000

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242.301(b)	(b) Failure to notify railroad of lack of qualifications	NA	5,000	NA	10,000	NA	10,300
242.301(c)	(c) Failure to provide required assistance	5,000	7,500	10,000	15,000	10,300	15,500
242.301(d)	(d) Failure to provide assistance or up-to-date job aid	5,000	7,500	10,000	15,000	10,300	15,500
	Subpart E—Denial and Revocation of Certification						
	242.401—Denial of certification:						
242.401(a)	(a) Failure to notify, provide opportunity for comment, or provide supporting documentation	2,000	4,000	4,000	8,000	4,100	8,300
242.401(c)	(c) Failure to notify, provide explanation, or address rebuttal information, or untimely notification	2,000	4,000	4,000	8,000	4,100	8,300
	242.403—Revocation criteria:						
242.403(a)	(a) Failure to implement program meeting requirements or violate program	6,000	10,000	12,000	20,000	12,400	20,600
242.403(b)	(b) Unlawful failure to comply with rules and practices	2,500	5,000	5,000	10,000	5,200	10,300
242.403(c)	(c) Failure to revoke certification	5,000	10,000	10,000	20,000	10,300	20,600
242.403(d)	(d) Considering excluded data	2,500	5,000	5,000	10,000	5,200	10,300
242.403(e)	(e) Considering unlisted violations of operating rules and practices	2,500	5,000	5,000	10,000	5,200	10,300
242.403(f)	(f) Improperly counting or considering violations	2,500	5,000	5,000	10,000	5,200	10,300
	242.405—Periods of ineligibility:						
242.405(a)	(a)-(c) Imposition of incorrect period of ineligibility	2,500	5,000	5,000	10,000	5,200	10,300
242.405(b)	(a)-(c) Imposition of incorrect period of ineligibility	2,500	5,000	5,000	10,000	5,200	10,300
242.405(c)	(a)-(c) Imposition of incorrect period of ineligibility	2,500	5,000	5,000	10,000	5,200	10,300
	242.407—Revocation of certification:						
242.407(a)	(a) Failure to revoke certification	7,500	10,000	15,000	20,000	15,500	20,600
242.407(b)	(b) Failure to suspend, notify, or provide hearing opportunity, or improper procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(c)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(d)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(e)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(f)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(g)	(c)-(g) Failure of railroad to comply with hearing or waiver procedures	2,500-5,000	5,000-10,000	5,000-10,000	10,000-20,000	5,200-10,300	10,300-20,600
242.407(h)	(h) Failure to credit suspension period toward revocation period	2,000	4,000	4,000	8,000	4,100	8,300
242.407(j)	(j) Failure of railroad to make record	2,500	5,000	5,000	10,000	5,200	10,300
242.407(k)	(k) Failure of railroad to conduct reasonable inquiry or make good faith determination	7,500	10,000	15,000	20,000	15,500	20,600
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	[76 FR 69841, Nov. 9, 2011, as amended at 77 FR 24423, Apr. 24, 2012; 81 FR 43113, July 1, 2016; 83 FR 60750, Nov. 27, 2018; 85 FR 81290, Dec. 15, 2020]						
	<i>Note - The ordinary maximum civil penalty for violations occurring on/after Dec. 30, 2024, is \$36,439. The guideline penalties are capped at \$36,400, accordingly. See 89 FR 106282 (Dec. 30, 2024).</i>						
	Part 242—Qualification and Certification of Conductors-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—Program Components and Approval Process						
	243.101—Employer program required:						
243.101(a) (complete failure)	(a-c) Complete failure to submit, adopt, or comply with program	\$7,500-12,500	\$11,000-16,000	\$15,000-25,000	\$22,000-32,000	15,500-25,800	22,700-33,000
243.101(b) (complete failure)		\$7,500-12,500	\$11,000-16,000	\$15,000-25,000	\$22,000-32,000	15,500-25,800	22,700-33,000
243.101(c) (complete failure)		\$7,500-12,500	\$11,000-16,000	\$15,000-25,000	\$22,000-32,000	15,500-25,800	22,700-33,000
243.101(a) (partial failure)	(a-c) Partial failure to submit, adopt, or comply with program; or failure to correct deficiencies upon FRA's request	4,500-9,500	6,500-13,000	9,000-19,000	13,000-26,000	9,300-19,600	13,400-26,800
243.101(b) (partial failure)		4,500-9,500	6,500-13,000	9,000-19,000	13,000-26,000	9,300-19,600	13,400-26,800
243.101(c) (partial failure)		4,500-9,500	6,500-13,000	9,000-19,000	13,000-26,000	9,300-19,600	13,400-26,800
243.101(d)	(d) OJT program requirements or failure to make reference materials available	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.101(e)	(e-f) Program validation	2,000	4,000	4,000	8,000	4,100	8,300
243.101(f)		2,000	4,000	4,000	8,000	4,100	8,300
243.105	243.105 Claiming optional model program is FRA-approved, when it is not:	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
	243.109 Training program submission, review, and approval process:						
243.109(a)	(a) Failure to timely resubmit program	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.109(b)	(b) Failure to timely submit informational filing	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.109(c)	(c) Failure to submit new portions or revisions	4,500	6,500	9,000	13,000	9,300	13,400
243.109(d)	(d) Railroad failure to serve program	1,000	2,000	2,000	4,000	2,100	4,100
	243.111 Approval of programs filed by training organizations or learning institutions:						
243.111(a)	(a-b) Claiming training is FRA-approved, when it is not	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(b)		2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(c)	(c-f) FRA approved some training, but all conditions not met	2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(d)		2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(e)		2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(f)		2,000-4,500	4,000-6,500	4,000-9,000	8,000-13,000	4,100-9,300	8,300-13,400
243.111(g)	(g-h) Records	1,000	2,000	2,000	4,000	2,100	4,100
243.111(h)		1,000	2,000	2,000	4,000	2,100	4,100
	Subpart C—Program Implementation and Oversight Requirements						
	243.201 Employee qualification requirements:						
243.201(a)	(a-b) Failure to designate an employee	1,000	2,000	2,000	4,000	2,100	4,100
243.201(b)		1,000	2,000	2,000	4,000	2,100	4,100
243.201(c)	(c-f) Other failures and refresher training (per employee)	1,000	2,000	2,000	4,000	2,100	4,100
243.201(d)		1,000	2,000	2,000	4,000	2,100	4,100
243.201(e)		1,000	2,000	2,000	4,000	2,100	4,100
243.201(f)		1,000	2,000	2,000	4,000	2,100	4,100
	243.203 Records:						
243.203(a)	(a-f) Failure to maintain records (per employee)	1,000	2,000	2,000	4,000	2,100	4,100
243.203(b)		1,000	2,000	2,000	4,000	2,100	4,100
243.203(c)		1,000	2,000	2,000	4,000	2,100	4,100
243.203(d)		1,000	2,000	2,000	4,000	2,100	4,100
243.203(e)		1,000	2,000	2,000	4,000	2,100	4,100
243.203(f)		1,000	2,000	2,000	4,000	2,100	4,100
243.205	243.205 Periodic oversight	4,500-9,500	6,500-13,000	9,000-19,000	13,000-26,000	9,300-19,600	13,400-26,800
243.207	243.207 Annual review	4,500	6,500	9,000	13,000	9,300	13,400
243.209	243.209 Railroad maintained list of contractors utilized	4,500	6,500	9,000	13,000	9,300	13,400
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	[79 FR 66501, Nov. 7, 2014, as amended at 81 FR 43113, July 1, 2016; 83 FR 60750, Nov. 27, 2018]						
	Part 243—Training, Qualification, and Oversight for Safety-Related Railroad Employees-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart B—System Safety Program (SSP) Requirements						
	270.101—System safety program; general:						
270.101(a)	(a) Failure to establish a system safety program	\$ 15,000	\$ 30,000	\$ 30,000	\$ 60,000	\$ 31,000	\$ 36,400
270.101(p)	Failure to include a risk-based hazard management program in the system safety program	10,000	20,000	20,000	40,000	20,600	41,300
	270.103—System safety program plan:						
270.103(a)(1)	(a)(1) Failure to include and comply with any required element or any sub-element in the SSP plan	7,500	15,000	15,000	30,000	15,500	31,000
270.103(a)(2)	(a)(2) Failure to communicate and coordinate with host railroad on the SSP plan	7,500	15,000	15,000	30,000	15,500	31,000
	270.107—Consultation Requirements:						
270.107(a)(1)	(a)(1) Failure to consult with directly affected employees	10,000	20,000	20,000	40,000	20,600	36,400
	Failure to consult in good faith and/or use best efforts						
270.107(a)(3)	(a)(3) Failure to hold preliminary meeting	7,500	15,000	15,000	30,000	15,500	31,000
270.107(a)(3)(i)	Failure to comply with deadline for holding preliminary meeting	5,000	10,000	10,000	20,000	10,300	20,600
270.107(a)(3)(ii)	Failure to notify directly affected employees no less than 60 days before meeting is held	7,500	15,000	15,000	30,000	15,500	31,000
270.107(b)	(b) Failure to submit consultation statement with plan submission	7,500	15,000	15,000	30,000	15,500	31,000
270.107(b)(1)	Failure to include all required elements in consultation statement	5,000	10,000	10,000	20,000	10,300	20,600
270.107(d)	(d) Failure to submit consultation statement with submission of plan amendment	5,000	10,000	10,000	20,000	10,300	20,600
	Subpart C—Review, Approval, and Retention of SSP Plans						
	270.201—Filing and approval:						
270.201(a)(1)	(a)(1) Failure to file an initial SSP plan	10,000	20,000	20,000	40,000	20,600	36,400
	Failure to file an SSP plan within 90 days of commencing operations						
270.201(a)(3)	(a)(3) Failure to include all required information in submission	5,000	10,000	10,000	20,000	10,300	20,600
270.201(b)(3)	(b)(3) Failure to correct identified deficiencies and amend SSP plan	7,500	15,000	15,000	30,000	15,500	31,000
	Failure to submit corrected SSP plan						
270.201(b)(3) (delayed submission)	Failure to submit corrected SSP plan within 90 days	5,000	10,000	10,000	20,000	10,300	20,600
270.201(c)(1)(i)	(c)(1)(i) Failure to submit amendment to SSP plan	7,500	15,000	15,000	30,000	15,500	31,000
270.201(c)(1)(i) (delayed submission)	Failure to submit amendment to SSP plan within 60 days	5,000	10,000	10,000	20,000	10,300	20,600
270.201(c)(2)(iii)	(c)(2)(iii) Failure to submit corrected amendment or notify FRA of retraction	7,500	15,000	15,000	30,000	15,500	31,000
270.201(c)(2)(iii) (delayed submission)	Failure to submit corrected amendment within 60 days	5,000	10,000	10,000	20,000	10,300	20,600
	270.203—Retention of SSP plan:						
270.203 (retention)	Failure to retain a copy of the SSP plan at system/division headquarters	10,000	20,000	20,000	40,000	20,600	41,300
270.203 (make available)	Failure to make records available to representatives of FRA and States participating under part 212 of this chapter	7,500	15,000	15,000	30,000	15,500	31,000
	Subpart D—SSP Internal Assessments and External Auditing						
	270.303—Internal system safety program assessment:						
270.303(a) (no assessment)	(a) Failure to conduct an annual internal assessment	10,000	20,000	20,000	40,000	20,600	36,400
270.303(a) (assessment incomplete)	Failure to include all required elements in the internal assessment	7,500	15,000	15,000	30,000	15,500	31,000
270.303(b)	(b) Failure to include a statement in the SSP plan describing the required elements	5,000	10,000	10,000	20,000	10,300	20,600
270.303(c)(1)(i) (no assessment)	(c)(1)(i) Failure to submit to FRA the internal assessment report	7,500	15,000	15,000	30,000	15,500	31,000

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
270.303(c)(1)(i) (assessment incomplete)	Failure of the internal assessment report to contain all required elements and sub-elements	5,000	10,000	10,000	20,000	10,300	20,600
270.303(c)(1)(ii)	(c)(1)(ii) Failure to develop and outline improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
	Failure to comply with improvement plan						
270.303(c)(2)	(c)(2) Failure of chief official responsible for safety to certify the results of the internal assessment	5,000	10,000	10,000	20,000	10,300	20,600
	270.305—External safety audit:						
270.305(b)(1) (no submission)	(b)(1) Failure to submit improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
270.305(b)(1) (delayed submission)	Failure to submit improvement plan within 60 days	5,000	10,000	10,000	20,000	10,300	20,600
270.305(b)(1) (incomplete submission)	Failure to include all required elements in the improvement plan	5,000	10,000	10,000	20,000	10,300	20,600
270.305(b)(2) (no submission)	(b)(2) Failure to amend and submit to FRA the improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
270.305(b)(2) (delayed submission)	Failure to submit amended improvement plan within 30 days	5,000	10,000	10,000	20,000	10,300	20,600
270.305(b)(3)	(b)(3) Failure to provide a report regarding the status of the implementation of the improvements set forth in the improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² For violations occurring on or after Dec. 28, 2023, the statutory ordinary maximum civil penalty per rail safety violation is \$36,439, and the aggravated maximum civil penalty is \$145,757. See 88 FR 89551(Dec. 28, 2023).						
	[81 FR 53896, Aug. 12, 2016, as amended at 85 FR 12843, Mar. 4, 2020]						
	<i>Note - The ordinary maximum civil penalty for violations occurring on/after Dec. 30, 2024, is \$36,439. The guideline penalties are capped at \$36,400, accordingly. See 89 FR 106282 (Dec. 30, 2024).</i>						
	Part 270—System Safety Program-Schedule of Civil Penalties						
	PENALTY SCHEDULE ^{1, 2}						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Subpart A—General						
	271.13 Determination of inadequate safety performance:						
271.13(c)(1)(i)	(c)(1)(i) Failure to notify employees that FRA has identified railroad as possibly having inadequate safety performance	\$ 7,500	\$ 15,000	\$ 15,000	\$ 30,000	\$ 15,500	\$ 31,000
271.13(c)(1)(i)(A)	(c)(1)(i)(A) Failure to include all required information in employee notification	5,000	10,000	10,000	20,000	10,300	20,600
271.13(e)	(e) Failure to comply for minimum period of five years	7,500	15,000	15,000	30,000	15,500	31,000
271.13(g)	(g) Failure to continue compliance until FRA has granted petition to discontinue compliance	7,500	15,000	15,000	30,000	15,500	31,000
	271.15 Voluntary compliance:						
271.15(b)	(b) Failure to comply for minimum period of five years	7,500	15,000	15,000	30,000	15,500	31,000
271.15(c)	(c) Failure to provide FRA written notice to discontinue compliance	5,000	10,000	10,000	20,000	10,300	20,600
	Subpart B—Risk Reduction Program Requirements						
	271.101 Risk reduction programs:						
271.101(a)	(a) Failure to establish and fully-implement a risk reduction program (RRP)	15,000	30,000	30,000	60,000	31,000	36,400
271.101(a)(1)	(a)(1) Failure to include a risk-based hazard management program in RRP	10,000	20,000	20,000	40,000	20,600	36,400
271.101(a)(2)	(a)(2)-(6) Failure to include a required component in RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(a)(3)	(a)(2)-(6) Failure to include a required component in RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(a)(4)	(a)(2)-(6) Failure to include a required component in RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(a)(5)	(a)(2)-(6) Failure to include a required component in RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(a)(6)	(a)(2)-(6) Failure to include a required component in RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(b)	(b) Failure to comply with RRP plan	7,500	15,000	15,000	30,000	15,500	31,000
271.101(c)	(c) Failure to communicate and coordinate with passenger railroad operation as part of RRP	7,500	15,000	15,000	30,000	15,500	31,000
271.101(d)	(d) Failure to ensure that persons performing or utilizing significant safety-related services support and participate in railroad's RRP	7,500	15,000	15,000	30,000	15,500	31,000
	271.103 Risk-based hazard management program:						
271.103(b)	(b)-(c) Failure to comply with requirements for risk-based hazard management program	7,500	15,000	15,000	30,000	15,500	31,000
271.103(c)	(b)-(c) Failure to comply with requirements for risk-based hazard management program	7,500	15,000	15,000	30,000	15,500	31,000
	271.105 Safety performance evaluation:						
271.105(b)	(b)-(c) Failure to comply with requirements for safety performance evaluation	5,000	10,000	10,000	20,000	10,300	20,600
271.105(c)	(b)-(c) Failure to comply with requirements for safety performance evaluation	5,000	10,000	10,000	20,000	10,300	20,600
	271.107 Safety outreach:						
271.107(a)	(a)-(b) Failure to comply with requirements for safety outreach	5,000	10,000	10,000	20,000	10,300	20,600
271.107(b)	(a)-(b) Failure to comply with requirements for safety outreach	5,000	10,000	10,000	20,000	10,300	20,600
	271.109 Technology analysis and technology implementation plan:						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
271.109(a)	(a) Failure to comply with deadline for conducting a technology analysis and adopting a technology implementation plan	5,000	10,000	10,000	20,000	10,300	20,600
271.109(b)	(b)-(d) Failure to comply with requirements for technology analysis and technology implementation plan	5,000	10,000	10,000	20,000	10,300	20,600
271.109(c)	(b)-(d) Failure to comply with requirements for technology analysis and technology implementation plan	5,000	10,000	10,000	20,000	10,300	20,600
271.109(d)	(b)-(d) Failure to comply with requirements for technology analysis and technology implementation plan	5,000	10,000	10,000	20,000	10,300	20,600
	271.111 Implementation and support training:						
271.111(a)	(a) Failure to comply with requirements for implementation and support training	5,000	10,000	10,000	20,000	10,300	20,600
271.111(b)	(b) Failure to keep record of training	5,000	10,000	10,000	20,000	10,300	20,600
	271.113 Involvement of railroad employees:						
271.113(a)	(a)-(b) Failure to comply with requirements for involvement of employees	5,000	10,000	10,000	20,000	10,300	20,600
271.113(b)	(a)-(b) Failure to comply with requirements for involvement of employees	5,000	10,000	10,000	20,000	10,300	20,600
	Subpart C—Risk Reduction Program Plan Requirements						
	271.201 General:						
271.201(a)	(a) Failure to adopt and implement RRP through an RRP plan	15,000	30,000	30,000	60,000	31,000	36,400
271.201(b)	(b) Failure to adopt and implement a required element of an RRP plan	7,500	15,000	15,000	30,000	15,500	31,000
	271.207 Consultation requirements:						
271.207(a)(1)	(a)(1) Failure to consult with directly affected employees in good faith and/or use best efforts	10,000	20,000	20,000	40,000	20,600	36,400
271.207(b)	(b) Failure to hold preliminary meeting	7,500	15,000	15,000	30,000	15,500	31,000
271.207(b)(1)	(b)(1) Failure to comply with deadline for holding preliminary meeting	5,000	10,000	10,000	20,000	10,300	20,600
271.207(b)(2)	(b)(2) Failure to comply with deadline for notifying directly affected employees before holding preliminary meeting	7,500	15,000	15,000	30,000	15,500	31,000
271.207(d)	(d) Failure to submit consultation statement with RRP plan submission	7,500	15,000	15,000	30,000	15,500	31,000
271.207(d)(1)	(d)(1)-(3) Failure to include required element in consultation statement	5,000	10,000	10,000	20,000	10,300	20,600
271.207(d)(2)	(d)(1)-(3) Failure to include required element in consultation statement	5,000	10,000	10,000	20,000	10,300	20,600
271.207(d)(3)	(d)(1)-(3) Failure to include required element in consultation statement	5,000	10,000	10,000	20,000	10,300	20,600
	Subpart D—Review, Approval, and Retention of Risk Reduction Program Plans						
	271.301 Filing and approval:						
271.301(a)	(a) Failure to file an initial RRP plan	10,000	20,000	20,000	40,000	20,600	36,400
271.301(b)	(b)(1)-(4) Failure to comply with deadline for filing RRP plan	10,000	20,000	20,000	40,000	20,600	36,400

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
271.301(c)	(c) Failure to include required information in submission	5,000	10,000	10,000	20,000	10,300	20,600
271.301(d)(3)(i)	(d)(3)(i) Failure to amend RRP plan to correct identified deficiency	7,500	15,000	15,000	30,000	15,500	31,000
271.301(d)(3)(ii)	(d)(3)(ii) Failure to submit corrected RRP plan	7,500	15,000	15,000	30,000	15,500	31,000
271.301(d)(3)(iii)	(d)(3)(iii) Failure to submit corrected RRP plan within 90 days	5,000	10,000	10,000	20,000	10,300	20,600
	271.303 Amendments:						
271.303(a)(1)(i)	(a)(1)(i) Failure to follow process for consulting with directly affected employees on substantive amendments	10,000	20,000	20,000	40,000	20,600	36,400
271.303(a)(1)(ii)	(a)(1)(ii) Failure to submit consultation statement with submission of substantive amendment	5,000	10,000	10,000	20,000	10,300	20,600
271.303(a)(1)(iii)	(a)(1)(iii) Failure to include required element in consultation statement for substantive amendment	5,000	10,000	10,000	20,000	10,300	20,600
271.303(b)(1)(i)	(b)(1)(i) Failure to file proposed substantive amendment	7,500	15,000	15,000	30,000	15,500	31,000
271.303(b)(1)(ii)	(b)(1)(ii) Failure to file proposed substantive amendment no less than 60 days before its proposed effective date	5,000	10,000	10,000	20,000	10,300	20,600
271.303(b)(2)(i)	(b)(2)(i) Failure to file non-substantive amendment with FRA before implementing it	1,000	2,000	2,000	4,000	2,100	4,100
271.303(c)(3)(i)	(c)(3)(i) Failure to submit corrected amendment or notify FRA of retraction	7,500	15,000	15,000	30,000	15,500	31,000
271.303(c)(3)(ii)	(c)(3)(ii) Failure to submit corrected amendment or notify FRA of retraction within 60 days	5,000	10,000	10,000	20,000	10,300	20,600
	271.307 Retention of RRP plans:						
271.307(a)	(a) Failure to retain a copy of RRP plan and each subsequent amendment(s) to RRP plan at system and division headquarters	10,000	20,000	20,000	40,000	20,600	36,400
271.307(b)	(b) Failure to make copy of RRP plan and each subsequent amendment available to representatives of FRA and States participating under part 212 of this chapter	7,500	15,000	15,000	30,000	15,500	31,000
	Subpart E—Internal Assessments						
	271.401 – Annual internal assessments:						
271.401(a)	(a) Failure to conduct an annual internal assessment	10,000	20,000	20,000	40,000	20,600	36,400
271.401(b)	(b)(1)-(5) Failure to include required element in the internal assessment	7,500	15,000	15,000	30,000	15,500	31,000
271.401(c)	(c) Failure to report internal assessment results to railroad senior management	7,500	15,000	15,000	30,000	15,500	31,000
	271.403 – Internal assessment improvement plans:						
271.403(a)(1)	(a)(1) Failure to develop an improvement plan addressing findings of internal assessment	7,500	15,000	15,000	30,000	15,500	31,000
271.403(a)(2)	(a)(2) Failure to develop an improvement plan addressing findings of internal assessment within 30 days of completing internal assessment	5,000	10,000	10,000	20,000	10,300	20,600
271.403(a)(3)	(a)(3) Failure to comply with internal assessment improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
271.403(b)	(b)(1)-(4) Failure to include required element in internal assessment improvement plan	5,000	10,000	10,000	20,000	10,300	20,600
	271.405 – Internal assessment reports:						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
271.405(a)(1)	(a)(1) Failure to submit internal assessment report to FRA	7,500	15,000	15,000	30,000	15,500	31,000
271.405(a)(2)	(a)(2) Failure to submit internal assessment report to FRA within 60 days of internal assessment	5,000	10,000	10,000	20,000	10,300	20,600
271.405(b)	(b) Failure of chief official responsible for safety to sign internal assessment report	5,000	10,000	10,000	20,000	10,300	20,600
	(b)(1)-(4) Failure to include required element in internal assessment report	5,000	10,000	10,000	20,000	10,300	20,600
	Subpart F—External Audits						
	271.503 – External audit improvement plans:						
271.503(a)(1)	(a)(1) Failure to submit external audit improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
271.503(a)(2)	(a)(2) Failure to submit external audit improvement plan within 60 days	5,000	10,000	10,000	20,000	10,300	20,600
271.503(a)(3)	(a)(3) Failure to comply with external audit improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
271.503(b)	(b)(1)-(3) Failure to include required element in external audit improvement plan	5,000	10,000	10,000	20,000	10,300	20,600
271.503(c)(1)	(c)(1) Failure to amend and submit to FRA the external audit improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
271.503(c)(2)	(c)(2) Failure to submit amended external audit improvement plan within 30 days	5,000	10,000	10,000	20,000	10,300	20,600
271.503(d)	(d) Failure to provide FRA a status report on the implementation of the improvements set forth in the external audit improvement plan	7,500	15,000	15,000	30,000	15,500	31,000
	¹ A penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum for any violation where circumstances warrant. See 49 CFR part 209, appendix A.						
	² For violations occurring on or after Dec. 28, 2023, the statutory ordinary maximum civil penalty per rail safety violation is \$36,439, and the aggravated maximum civil penalty is \$145,757. See 88 FR 89551(Dec. 28, 2023).						
	[85 FR 9262, Feb. 18, 2020]						
	<i>Note - The ordinary maximum civil penalty for violations occurring on/after Dec. 30, 2024, is \$36,439. The guideline penalties are capped at \$36,400, accordingly. See 89 FR 106282 (Dec. 30, 2024).</i>						
	Part 271—Risk Reduction Program-Schedule of						
	PENALTY SCHEDULE ^{1, 2}						

Rule	Section	Ordinary (1.1.2000 3.8.2023)	Willful (1.1.2000 3.8.2023)	Ordinary (3.8.2023 3.31.2024)	Willful (3.8.2023 3.31.2024)	Ordinary (4.1.2024 12.31.203 0)	Willful (4.1.2024 - 12.31.2030)
	SUBPART B—PLAN COMPONENTS AND APPROVAL PROCESS						
	272.101 Content of a critical incident stress plan						
272.101(a)	(a) Failure to inform about relief options	\$ 5,000	\$ 6,000	\$ 10,000	\$ 12,000	\$ 10,300	\$ 12,400
272.101(b)	(b) Failure to offer timely relief from duty tour	5,000	10,000	10,000	20,000	10,300	20,600
272.101(c)	(c) Failure to offer timely transportation to home terminal	5,000	10,000	10,000	20,000	10,300	20,600
272.101(d)	(d) Failure to offer counseling, guidance, support services	5,000	10,000	10,000	20,000	10,300	20,600
272.101(e)	(e) Failure to permit relief from duty tour(s) subsequent to incident	5,000	10,000	10,000	20,000	10,300	20,600
272.101(f)	(f) Failure to permit additional leave to receive preventive services or treatment related to the incident	5,000	10,000	10,000	20,000	10,300	20,600
	272.103 Submission of critical incident stress plan for approval by the Federal Railroad Administration						
272.103(a)	(a) Failure to submit a plan to FRA	9,000	18,000	18,000	36,000	18,600	37,200
272.103(b)	(b) Failure to simultaneously file a copy	5,000	10,000	10,000	20,000	10,300	20,600
272.103(e)	(e) Failure to submit a material modification to the plan	7,500	15,000	15,000	30,000	15,500	31,000
272.103(f)	(f) Failure to make a copy of the plan available to covered employees	3,000	6,000	6,000	12,000	6,200	12,400
272.103(g)	(g) Failure to make a copy of the plan available to FRA	3,000	6,000	6,000	12,000	6,200	12,400
	¹ A civil penalty may be assessed against an individual only for a willful violation. The Administrator reserves the right to assess a penalty of up to the statutory maximum amount for any violation where circumstances warrant. See 49 U.S.C. 21301, 21304 and 49 CFR part 209, Appendix A.						
	[79 FR 16233, Mar. 25, 2014, as amended at 81 FR 43113, July 1, 2016; 83 FR 60750, Nov. 27, 2018]						
	Part 272—Critical Incident Stress Plans-Schedule of Civil Penalties¹						

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
	Emergency Orders	Penalty will vary depending on the specific circumstances of the order and facts.	NA	Penalty will vary depending on the specific circumstances of the order and facts.	NA	Penalty will vary depending on the specific circumstances of the order and facts.	NA
	PART 107—HAZARDOUS MATERIALS PROGRAM PROCEDURES		NA		NA		NA
107.608	107.608	1,500	NA	1,500	NA	1,500	NA
107.620(d)	107.620(d)	2,000	NA	2,000	NA	2,000	NA
		Varies.	NA	Varies.	NA	Varies.	NA
	PART 130 –OIL SPILL PREVENTION AND RESPONSE PLANS		NA		NA		NA
130.31	130.31	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.33	130.33	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.100	130.100	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.105 to 130.130	130.105-130	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.135(a)	130.135(a)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.135(b)	130.135(b)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.135(c)	130.135(c)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.140(a)	130.140(a)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.140(b)	130.140(b)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.140(c)	130.140(c)	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
130.145	130.145	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
130.155	130.155	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA	See 33 U.S.C. 1321(6), as adjusted for inflation per 40 CFR 19.4	NA
	PART 171—GENERAL REGULATIONS		NA		NA		NA
	171.2(a), (b), (c), (e), (f)		NA		NA		NA
171.2(d)	171.2(d)	1,500	NA	1,500	NA	1,500	NA
171.2(g)	171.2(g)	8,000	NA	8,000	NA	8,000	NA
171.2(i)	171.2(i)	5,000	NA	5,000	NA	5,000	NA
171.2(j)	171.2(j)	8,000	NA	8,000	NA	8,000	NA
171.2(k)	171.2(k)	2,000	NA	2,000	NA	2,000	NA
171.2(l)	171.2(l)	Varies—considerable aggravation possible.	NA	Varies—considerable aggravation possible.	NA	Varies—considerable aggravation possible.	NA
171.2(m)	171.2(m)	Varies—considerable aggravation possible.	NA	Varies—considerable aggravation possible.	NA	Varies—considerable aggravation possible.	NA
	171.3(a)		NA		NA		NA
171.12	171.12; 171.22-171.25	Equivalent penalties depending on the circumstances.	NA	Equivalent penalties depending on the circumstances.	NA	Equivalent penalties depending on the circumstances.	NA
171.22 to 171.25	171.12; 171.22-171.25	Equivalent penalties depending on the circumstances.	NA	Equivalent penalties depending on the circumstances.	NA	Equivalent penalties depending on the circumstances.	NA
171.15	171.15	6,000	NA	6,000	NA	6,000	NA
171.16	171.16	4,000	NA	4,000	NA	4,000	NA
	PART 172—SHIPPING PAPERS		NA		NA		NA
	172.200-203		NA		NA		NA
172.200 undeclared shipment		15,000	NA	15,000	NA	15,000	NA
172.200 info. wrong caused release		15,000	NA	15,000	NA	15,000	NA
172.200 no hmt info on paper		7,500	NA	7,500	NA	7,500	NA
172.200 info missing		5,000	NA	5,000	NA	5,000	NA
172.200 technical defect		2,000	NA	2,000	NA	2,000	NA
172.200 no HMT in shipment		7,500	NA	7,500	NA	7,500	NA
			NA		NA		NA
172.201(d)	172.201(d)	4,000	NA	4,000	NA	4,000	NA
172.201(e)	172.201(e)	7,500	NA	7,500	NA	7,500	NA
172.204	172.204	2,000	NA	2,000	NA	2,000	NA
	172.205	Equivalent penalties for §§ 172.200-203, depending on circumstances.	NA	Equivalent penalties for §§ 172.200-203, depending on circumstances.	NA	Equivalent penalties for §§ 172.200-203, depending on circumstances.	NA
	Marking:		NA		NA		NA
172.301	172.301	1,000	NA	1,000	NA	1,000	NA
172.302	172.302	2,000	NA	2,000	NA	2,000	NA
172.302(a)	172.302(a)	2,500	NA	2,500	NA	2,500	NA

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
172.302(b)	172.302(b)	2,000	NA	2,000	NA	2,000	NA
172.302(c)	172.302(c)	2,000	NA	2,000	NA	2,000	NA
	172.303		NA		NA		NA
172.303 wrong marking caused bad response		10,000	NA	10,000	NA	10,000	NA
172.303 improper use of car commodity		5,000	NA	5,000	NA	5,000	NA
172.303 marked HMT but no HMT inside		5,000	NA	5,000	NA	5,000	NA
172.303		2,000	NA	2,000	NA	2,000	NA
172.304	172.304	2,000	NA	2,000	NA	2,000	NA
172.310 to 172.324	172.310-.324	2,500	NA	2,500	NA	2,500	NA
172.325(a)	172.325(a)	1,500	NA	1,500	NA	1,500	NA
172.325(b)	172.325(b)	2,500	NA	2,500	NA	2,500	NA
172.326(a) fail to mark commodity	172.326(a)	2,500	NA	2,500	NA	2,500	NA
172.326(a) commodity name not visible		2,500	NA	2,500	NA	2,500	NA
172.326(b)	172.326(b)	500	NA	500	NA	500	NA
172.326(c) fail to mark ID number	172.326(c)	2,500	NA	2,500	NA	2,500	NA
172.326(c) ID number not visible		2,500	NA	2,500	NA	2,500	NA
172.330(a)(1)(i)	172.330(a)(1)(i)	2,500	NA	2,500	NA	2,500	NA
172.330(a)(1)(ii)	172.330(a)(1)(ii)	2,500	NA	2,500	NA	2,500	NA
172.330(c)	172.330(c)	2,500	NA	2,500	NA	2,500	NA
172.331(b)	172.331(b)	2,500	NA	2,500	NA	2,500	NA
172.332	172.332	2,000	NA	2,000	NA	2,000	NA
172.334(a)	172.334(a)	4,000	NA	4,000	NA	4,000	NA
172.334(b) ID caused bad response	172.334(b)	15,000	NA	15,000	NA	15,000	NA
172.334(b) ID could cause bad response		5,000	NA	5,000	NA	5,000	NA
172.334(b) technical error		2,000	NA	2,000	NA	2,000	NA
172.334(f)	172.334(f)	1,500	NA	1,500	NA	1,500	NA
	Labeling:		NA		NA		NA
172.400 to 172.406	172.400-.406	2,500	NA	2,500	NA	2,500	NA
	Placarding:		NA		NA		NA
172.502 placard but no HMT	172.502	2,000	NA	2,000	NA	2,000	NA
172.502 HMT but placard inaccurate		4,000	NA	4,000	NA	4,000	NA
172.502 sign could be confused with placard		2,000	NA	2,000	NA	2,000	NA
172.503	172.503	See § 172.334.	NA	See § 172.334.	NA	See § 172.334.	NA
172.504(a)	172.504(a)	penalty should be set at the amount for the violation most directly on point. Generally, the car is the unit of violation, and penalties vary with the number of violations. The maximum penalty is \$5,000.	NA	penalty should be set at the amount for the violation most directly on point. Generally, the car is the unit of violation, and penalties vary with the number of violations. The maximum penalty is \$5,000.	NA	penalty should be set at the amount for the violation most directly on point.	NA
172.504(a) failure to placard entirely		7,500	NA	7,500	NA	7,500	NA
172.504(a) 1 placard missing		1,000	NA	1,000	NA	1,000	NA
172.504(a) failure to placard but only 2 required		2,500	NA	2,500	NA	2,500	NA
172.504(b)	172.504(b)	5,000	NA	5,000	NA	5,000	NA
172.504(c)	172.504(c)	2,000	NA	2,000	NA	2,000	NA
	172.504(e)		NA		NA		NA
172.504(e) improper placard caused bad response	172.504(e)	15,000	NA	15,000	NA	15,000	NA
172.504(e) improper placard could cause bad response		5,000	NA	5,000	NA	5,000	NA
172.504(e) improper placard for subsidiary hazards		2,500	NA	2,500	NA	2,500	NA
172.505	172.505	5,000	NA	5,000	NA	5,000	NA
172.508(a) offering without placards	172.508(a)	7,500	NA	7,500	NA	7,500	NA
172.508(a) 1 placard missing		1,000	NA	1,000	NA	1,000	NA

Rule	Section	Ordinary (1.1.2000 - 3.8.2023)	Willful (1.1.2000 - 3.8.2023)	Ordinary (3.8.2023 - 3.31.2024)	Willful (3.8.2023 - 3.31.2024)	Ordinary (4.1.2024 - 12.31.2030)	Willful (4.1.2024 - 12.31.2030)
172.508(a) placards ok but IMDG labels		500	NA	500	NA	500	NA
172.508(a) placards not visible		See § 172.516.	NA	See § 172.516.	NA	See § 172.516.	NA
172.508(b)	172.508(b)	5,000	NA	5,000	NA	5,000	NA
172.510(a)	172.510(a)	5,000	NA	5,000	NA	5,000	NA
172.512(a)	172.512(a)	Follow § 172.504 guidelines	NA	Follow § 172.504 guidelines	NA	Follow § 172.504 guidelines	NA
172.514	172.514	2,000	NA	2,000	NA	2,000	NA
172.516 placard not readily visible or displayed	172.516	1,000	NA	1,000	NA	1,000	NA
172.516 placards on IM container not visible		2,000	NA	2,000	NA	2,000	NA
			NA		NA		NA
172.519(b)(4)	172.519(b)(4)	2,500	NA	2,500	NA	2,500	NA
		2,500	NA	2,500	NA	2,500	NA
	Emergency Response Information:		NA		NA		NA
172.600 to 172.602 improper emergency response info caused bad response	172.600-.602	15,000	NA	15,000	NA	15,000	NA
172.600 to 172.602 incorrect emergency response info could cause bad response	172.600-.602	5,000	NA	5,000	NA	5,000	NA
172.600 to 172.602 incorrect emergency response info unlikely to cause bad response		2,500	NA	2,500	NA	2,500	NA
172.602(c) info not available caused delay or confused emergency response	172.602(c)	15,000	NA	15,000	NA	15,000	NA
172.602(c) info not available but no negative emergency response		7,500	NA	7,500	NA	7,500	NA
172.604	172.604	4,000	NA	4,000	NA	4,000	NA
		4,000	NA	4,000	NA	4,000	NA
		4,000	NA	4,000	NA	4,000	NA
	Training:		NA		NA		NA
172.702(a)	172.702(a)	7,500	NA	7,500	NA	7,500	NA
172.702(b)	172.702(b)	1,000	NA	1,000	NA	1,000	NA
172.704(a)	172.704(a)	2,500	NA	2,500	NA	2,500	NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
172.704(c)	172.704(c)	Varies.	NA	Varies.	NA	Varies.	NA
172.704(d) failure to maintain record of training	172.704(d)	2,500	NA	2,500	NA	2,500	NA
172.704(d) some evidence of training but no records and employee shows low skills		4,000	NA	4,000	NA	4,000	NA
	Security:		NA		NA		NA
172.800 total failure to develop plan	172.800	5,000 to 10,000.	NA	5,000 to 10,000.	NA	5,000 to 10,000.	NA
172.800 failure to adhere to plan		1,000 to 10,000.	NA	1,000 to 10,000.	NA	1,000 to 10,000.	NA
172.802(a)	172.802(a)	2,000	NA	2,000	NA	2,000	NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
172.802(b)	172.802(b)	5,000	NA	5,000	NA	5,000	NA
172.802(c)	172.802(c)	5,000	NA	5,000	NA	5,000	NA
172.820(a) through 172.820(e) general failure	172.820(a)-(e)	5,000 to 10,000	NA	5,000 to 10,000	NA	5,000 to 10,000	NA
172.820(a) through 172.820(e) partial failure	172.820(a)-(e)	5,000	NA	5,000	NA	5,000	NA

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			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
172.820(f)	172.820(f)	2,000	NA	2,000	NA	2,000	NA
172.820(g)	172.820(g)	2,000	NA	2,000	NA	2,000	NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
172.820(h) fail to include 1 or more measures in plan	172.820(h)	1,000-4,000 depending on the number of measures missing.	NA	1,000-4,000 depending on the number of	NA	1,000-4,000 depending on the	NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
			NA		NA		NA
172.820(h) failure to develop procedures to notify consignee within 48 hrs		1,000	NA	1,000	NA	1,000	NA
172.820(h) procedures lack revised delivery schedule		1,000	NA	1,000	NA	1,000	NA
172.820(i)	172.820(i)	2,000	NA	2,000	NA	2,000	NA
172.820(j)	172.820(j)	10,000	NA	10,000	NA	10,000	NA
	PART 173—SHIPPERS—GENERAL REQUIREMENTS FOR SHIPMENTS AND PACKAGES		NA		NA		NA
	General:		NA		NA		NA
173.1	173.1	2,000	NA	2,000	NA	2,000	NA
173.9(b)	173.9(b)	2,000	NA	2,000	NA	2,000	NA
173.9(c)	173.9(c)	1,000	NA	1,000	NA	1,000	NA
173.9(d)	173.9(d)	2,000	NA	2,000	NA	2,000	NA
173.9(e)	173.9(e)	2,000	NA	2,000	NA	2,000	NA
173.10	173.10	3,000	NA	3,000	NA	3,000	NA
	Preparation of Hazardous Materials for Transportation:		NA		NA		NA
173.21	173.21	3,000	NA	3,000	NA	3,000	NA
173.22	173.22	See specific section.	NA	See specific section.	NA	See specific section.	NA
173.22a improper use of packagings	173.22a	2,500	NA	2,500	NA	2,500	NA
173.22a fail to maintain copy		1,000	NA	1,000	NA	1,000	NA
173.24(b)(1), (b)(2), (f)(1), (f)(1)(ii)	173.24(b)(1); 173.24(b)(2) and 173.24(f)(1); and 173.24(f)(1)(ii)		NA		NA		NA
173.24(b)(1), (b)(2), (f)(1), (f)(1)(ii) small bottle		1,000	NA	1,000	NA	1,000	NA
173.24(b)(1), (b)(2), (f)(1), (f)(1)(ii) 55 gal drum		2,500	NA	2,500	NA	2,500	NA
173.24(b)(1), (b)(2), (f)(1), (f)(1)(ii) larger container		5,000	NA	5,000	NA	5,000	NA
			NA		NA		NA
173.24(b)(1), (b)(2), (f)(1), (f)(1)(ii) residue outside tank car		5,000	NA	5,000	NA	5,000	NA
	173.24(c)		NA		NA		NA
173.24(c) small bottle		1,000	NA	1,000	NA	1,000	NA
173.24(c) 55 gal drum		2,500	NA	2,500	NA	2,500	NA
173.24(c) larger container		5,000	NA	5,000	NA	5,000	NA
			NA		NA		NA
173.24(a)(3) fail to secure inner packaging	173.24(a)(3)	1,000	NA	1,000	NA	1,000	NA
173.24(a)(3) causes leak of vapors (less 1 gal)		5,000	NA	5,000	NA	5,000	NA
173.24(a)(3) causes leak 1 gal or more		10,000	NA	10,000	NA	10,000	NA
173.24(a)(3) leak contacting human		20,000	NA	20,000	NA	20,000	NA
173.24(a)(b), (d) exceed fill limits	173.24(a) and (d)	1,000	NA	1,000	NA	1,000	NA
173.24(a)(b), (d) causes leak of vapors (less 1 gal)		5,000	NA	5,000	NA	5,000	NA
173.24(a)(b), (d) causes leak of 1 gal or more		10,000	NA	10,000	NA	10,000	NA
173.24(a)(b), (d) leak contacting human		20,000	NA	20,000	NA	20,000	NA
	173.24b(a)		NA		NA		NA
173.24b(a) less than 1 percent outage	173.24b(a)	3,000	NA	3,000	NA	3,000	NA
173.24b(a) causes leak		5,000	NA	5,000	NA	5,000	NA

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173.24b(a) less than 5 percent outage PIH material		5,000	NA	5,000	NA	5,000	NA
173.24b(a) less than 5 percent outage PIH material leak of less than gallon		5,000	NA	5,000	NA	5,000	NA
173.24b(a) less than 5 percent outage PIH material leak of more than gallon		10,000	NA	10,000	NA	10,000	NA
173.24b(a) less than 5 percent outage PIH, leak contacts human		20,000	NA	20,000	NA	20,000	NA
173.24b(d)(2)	173.24b(d)(2)	5,000	NA	5,000	NA	5,000	NA
173.26	173.26	5,000	NA	5,000	NA	5,000	NA
173.28	173.28	1,000	NA	1,000	NA	1,000	NA
	173.29(a)		NA		NA		NA
173.29(a) hazmat insignificant vapor and not PIH		2,000	NA	2,000	NA	2,000	NA
173.29(a) leak of vapors or less 1 gallon		5,000	NA	5,000	NA	5,000	NA
173.29(a) causes leak of more than 1 gallon		10,000	NA	10,000	NA	10,000	NA
173.29(a) leak contacting human		20,000	NA	20,000	NA	20,000	NA
173.29(a) hazmat vapor and PIH		5,000	NA	5,000	NA	5,000	NA
173.29(a) hazmat vapor and PIH leak less 1 gallon		7,500	NA	7,500	NA	7,500	NA
173.29(a) hazmat vapor and PIH leak more than 1 gallon		12,500	NA	12,500	NA	12,500	NA
173.29(a) hazmat vapor and PIH leak contacting human		22,500	NA	22,500	NA	22,500	NA
173.29(a) failure to secure protective housing		1,000	NA	1,000	NA	1,000	NA
	173.30		NA		NA		NA
	173.31(a)(1)		NA		NA		NA
173.31(a)(1) ref 173.240		1,000	NA	1,000	NA	1,000	NA
173.31(a)(1) ref 173.241		2,500	NA	2,500	NA	2,500	NA
173.31(a)(1) ref 173.242		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.243		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.244		7,500	NA	7,500	NA	7,500	NA
173.31(a)(1) ref 173.245		7,500	NA	7,500	NA	7,500	NA
173.31(a)(1) ref 173.247		1,000	NA	1,000	NA	1,000	NA
173.31(a)(1) ref 173.249		7,500	NA	7,500	NA	7,500	NA
173.31(a)(1) ref 173.314		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.315		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.319		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.320		5,000	NA	5,000	NA	5,000	NA
173.31(a)(1) ref 173.323		7,500	NA	7,500	NA	7,500	NA
137.31(a)(1) minor defect		500	NA	500	NA	500	NA
137.31(a)(1) bigger defect		1,000	NA	1,000	NA	1,000	NA
137.31(a)(1) spec not stenciled		1,000	NA	1,000	NA	1,000	NA
137.31(a)(1) not stenciled per AppC		2,500	NA	2,500	NA	2,500	NA
173.31(a)(2)	173.31(a)(2)	7,500	NA	7,500	NA	7,500	NA
173.31(a)(3)	173.31(a)(3)	7,500	NA	7,500	NA	7,500	NA
173.31(a)(4)	173.31(a)(4)	5,000	NA	5,000	NA	5,000	NA
173.31(a)(5)	173.31(a)(5)	15,000	NA	15,000	NA	15,000	NA
173.31(b)(1) use of car without shelf coupler	173.31(b)(1)	10,000	NA	10,000	NA	10,000	NA
173.31(b)(1) against a carrier		6,000	NA	6,000	NA	6,000	NA
173.31(b)(2) nonreclosing PRD	173.31(b)(2)	7,500	NA	7,500	NA	7,500	NA
173.31(b)(2) wrong pressure on stenciled on tank		1,000	NA	1,000	NA	1,000	NA
173.31(b)(2) rupture disk unmarked		5,000	NA	5,000	NA	5,000	NA
			NA		NA		NA
173.31(b)(3) class 2	173.31(b)(3)	10,000	NA	10,000	NA	10,000	NA
173.31(b)(3) aluminum or nickel car		7,500	NA	7,500	NA	7,500	NA
173.31(b)(3) against a carrier		6,000	NA	6,000	NA	6,000	NA
173.31(b)(4)	173.31(b)(4)	10,000	NA	10,000	NA	10,000	NA

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173.31(b)(5)	173.31(b)(5)	5,000	NA	5,000	NA	5,000	NA
173.31(b)(6)	173.31(b)(6)	2,500	NA	2,500	NA	2,500	NA
173.31(c)	173.31(c)	10,000	NA	10,000	NA	10,000	NA
	173.31(d)	5,000	NA	5,000	NA	5,000	NA
			NA		NA		NA
173.31(d) loaded car		5,000	NA	5,000	NA	5,000	NA
173.31(d) loaded car with vapor leak or less 1 gal		10,000	NA	10,000	NA	10,000	NA
173.31(d) loaded car with more than 1 gal leak		15,000	NA	15,000	NA	15,000	NA
173.31(d) loaded car with leak contacting human		20,000	NA	20,000	NA	20,000	NA
173.31(d) loaded car with minor violation		1,000	NA	1,000	NA	1,000	NA
			NA		NA		NA
			NA		NA		NA
173.31(d) residue car not PIH		2,000	NA	2,000	NA	2,000	NA
173.31(d) residue car with vapor leak or less 1 gal		5,000	NA	5,000	NA	5,000	NA
173.31(d) residue car with more than 1 gal leak		10,000	NA	10,000	NA	10,000	NA
173.31(d) residue car with leak contacting human		20,000	NA	20,000	NA	20,000	NA
173.31(d) containing PIH		5,000	NA	5,000	NA	5,000	NA
173.31(d) PIH car with vapor leak or less 1 gal		7,500	NA	7,500	NA	7,500	NA
173.31(d) PIH car with more than 1 gal leak		12,500	NA	12,500	NA	12,500	NA
173.31(d) PIH car with leak contacting human		22,500	NA	22,500	NA	22,500	NA
			NA		NA		NA
173.31(d) fail to secure protective housing		1,000	NA	1,000	NA	1,000	NA
173.31(d) other conditions than loose closure		2,500 (Varies to account for seriousness).	NA	2,500 (Varies to account for seriousness).	NA	2,500 (Varies to account for seriousness).	NA
173.31(e)(1)	173.31(e)(1)	7,500	NA	7,500	NA	7,500	NA
173.31(e)(2)	173.31(e)(2)	10,000	NA	10,000	NA	10,000	NA
173.31(f)	173.31(f)	5,000	NA	5,000	NA	5,000	NA
173.31(g)(1)	173.31(g)(1)	4,000	NA	4,000	NA	4,000	NA
173.31(g)(2)	173.31(g)(2)	2,000	NA	2,000	NA	2,000	NA
	173.31(g)(3)		NA		NA		NA
173.31(g)(3) no brakes set or wheels blocked		5,000	NA	5,000	NA	5,000	NA
173.31(g)(3) no brakes set but wheels are blocked		3,000	NA	3,000	NA	3,000	NA
173.31(g)(3) brakes set but wheels not blocked		4,000	NA	4,000	NA	4,000	NA
173.32(a)(1)	173.32(a)(1)	5,000	NA	5,000	NA	5,000	NA
173.32(a)(2)	173.32(a)(2)	5,000	NA	5,000	NA	5,000	NA
	Gases: Preparation and Packaging:		NA		NA		NA
173.314(c)	173.314(c)	6,000	NA	6,000	NA	6,000	NA
173.314(e) through (o)	173.314(e) through (o)	5,000	NA	5,000	NA	5,000	NA
	PART 174—CARRIAGE BY RAIL		NA		NA		NA
	General Requirements:		NA		NA		NA
	174.3		NA		NA		NA
174.9	174.9	For loaded car 5,000. For residue car 2,000.	NA	For loaded car 5,000. For residue car 2,000.	NA	For loaded car 5,000. For residue car 2,000.	NA
174.14	174.14	2,500	NA	2,500	NA	2,500	NA
174.16	174.16	2,500	NA	2,500	NA	2,500	NA
	General Operating Requirements		NA		NA		NA
	174.24(a)		NA		NA		NA
174.24(a) improper info could cause error in response		7,500	NA	7,500	NA	7,500	NA
174.24(a) absence of any hazmat info		5,000	NA	5,000	NA	5,000	NA
174.24(a) technical error not likely to cause error in response		1,000	NA	1,000	NA	1,000	NA
174.24(a) minor error not related to emergency response		500	NA	500	NA	500	NA
			NA		NA		NA

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174.24(b)	174.24(b)	7,500	NA	7,500	NA	7,500	NA
	174.26(a)		NA		NA		NA
174.26(a) info wrong aggravating situation		15,000	NA	15,000	NA	15,000	NA
174.26(a) info wrong but no adverse effect		3,000	NA	3,000	NA	3,000	NA
174.26(a) total lack of hazmat info on document		7,500	NA	7,500	NA	7,500	NA
174.26(a) some info but could cause mishandling or error in response		5,000	NA	5,000	NA	5,000	NA
174.26(a) improper info but only small shipment		3,000	NA	3,000	NA	3,000	NA
174.26(a) no emergency response number		4,000	NA	4,000	NA	4,000	NA
174.26(a) technical defect		500-1,000	NA	500-1,000	NA	500-1,000	NA
174.26(b) crew has no document showing position in train of hazmat	174.26(b)	6,000	NA	6,000	NA	6,000	NA
174.26(b) crew has document but not updated		2,000 to 4,000.	NA	2,000 to 4,000.	NA	2,000 to 4,000.	NA
174.50 general	174.50	For loaded car 5,000. For residue car 2,000.	NA	For loaded car 5,000. For residue car 2,000.	NA	For loaded car 5,000. For residue car 2,000.	NA
174.50 forwarding leaking package		5,000	NA	5,000	NA	5,000	NA
174.50 forwarding leaking package further than immediate threat		10,000	NA	10,000	NA	10,000	NA
174.50 loss of product contacting human		15,000	NA	15,000	NA	15,000	NA
174.50 fail to obtain OTMA		7,500	NA	7,500	NA	7,500	NA
174.50 fail to follow OTMA		5,000	NA	5,000	NA	5,000	NA
174.50 fail to report corrective actions		5,000	NA	5,000	NA	5,000	NA
	General Handling and Loading Requirements:		NA		NA		NA
	174.55		NA		NA		NA
174.55 general failure to block and brace		5,000	NA	5,000	NA	5,000	NA
174.55 inadequate block and brace		2,500	NA	2,500	NA	2,500	NA
174.55 inadequate block and brace causes leak		7,500	NA	7,500	NA	7,500	NA
174.55 inadequate block and brace causes leak contacting human		15,000	NA	15,000	NA	15,000	NA
174.57	174.57	5,000	NA	5,000	NA	5,000	NA
	174.59		NA		NA		NA
			NA		NA		NA
			NA		NA		NA
174.59 complete failure to placard		7,500	NA	7,500	NA	7,500	NA
174.59 one placard missing		1,000	NA	1,000	NA	1,000	NA

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			NA		NA		NA
174.61	174.61	3,000	NA	3,000	NA	3,000	NA
174.63(a), (c) improper transport on flat car	174.63(a) and (c)	3,000	NA	3,000	NA	3,000	NA
174.63(a), (c) portable tank double stacked		5,000	NA	5,000	NA	5,000	NA
174.63(a), (c) portable tank in well car with outlet valve inward		3,000	NA	3,000	NA	3,000	NA
174.63(a), (c) without securement engaged		5,000	NA	5,000	NA	5,000	NA
174.63(a), (c) improper transport causes release		7,500	NA	7,500	NA	7,500	NA
174.63(a), (c) improper transport causes release contacting human		15,000	NA	15,000	NA	15,000	NA
	174.63(b)		NA		NA		NA
174.63(b) general failure		5,000	NA	5,000	NA	5,000	NA
174.63(b) inadequate securement		2,500	NA	2,500	NA	2,500	NA
174.63(b) inadequate securement cause leak		7,500	NA	7,500	NA	7,500	NA
174.63(b) inadequate securement cause leak contacting human		15,000	NA	15,000	NA	15,000	NA
174.63(c)	174.63(c)	7,500	NA	7,500	NA	7,500	NA
174.67(a)(1)	174.67(a)(1)	5,000	NA	5,000	NA	5,000	NA
	174.67(a)(2)		NA		NA		NA
174.67(a)(2) no brakes set or wheels blocked		5,000	NA	5,000	NA	5,000	NA
174.67(a)(2) no brakes set but wheels blocked		3,000	NA	3,000	NA	3,000	NA
174.67(a)(2) brakes set but wheels not blocked		4,000	NA	4,000	NA	4,000	NA
174.67(a)(3)	174.67(a)(3)	4,000	NA	4,000	NA	4,000	NA
174.67(a)(4)	174.67(a)(4)	2,000	NA	2,000	NA	2,000	NA
174.67(a)(5)	174.67(a)(5)	2,500	NA	2,500	NA	2,500	NA
	174.67(c)(2)		NA		NA		NA
174.67(c)(2) flammable		3,000	NA	3,000	NA	3,000	NA
174.67(c)(2) no flammable hazard		500	NA	500	NA	500	NA
174.67(h) insecure with leak	174.67(h)	10,000	NA	10,000	NA	10,000	NA
174.67(h) insecure with no leak		5,000	NA	5,000	NA	5,000	NA
174.67(i)	174.67(i)	5,000	NA	5,000	NA	5,000	NA
174.67(j)	174.67(j)	2,000	NA	2,000	NA	2,000	NA
	174.67(k)		NA		NA		NA
174.67(k) not PIH		2,000	NA	2,000	NA	2,000	NA
174.67(k) not PIH with leak		5,000	NA	5,000	NA	5,000	NA
174.67(k) not PIH with leak contacting human		15,000	NA	15,000	NA	15,000	NA
174.67(k) PIH		5,000	NA	5,000	NA	5,000	NA
174.67(k) PIH with leak		7,500	NA	7,500	NA	7,500	NA
174.67(k) PIH with leak contacting human		15,000	NA	15,000	NA	15,000	NA
174.67(l)	174.67(l)	2,000	NA	2,000	NA	2,000	NA
174.81 not segregated (x in table)	174.81	6,000	NA	6,000	NA	6,000	NA
174.81 not segregated (o in table)		4,000	NA	4,000	NA	4,000	NA
	Handling of Placarded Rail Cars, Transport Vehicles and Freight Containers:		NA		NA		NA
174.83(a)	174.83(a)	5,000	NA	5,000	NA	5,000	NA
174.83(b)	174.83(b)	8,000	NA	8,000	NA	8,000	NA
174.83(c) through (e)	174.83(c)-(e)	5,000	NA	5,000	NA	5,000	NA
174.84	174.84	4,000	NA	4,000	NA	4,000	NA
	174.85		NA		NA		NA
174.85 residue without at least 1 buffer from engine		3,000	NA	3,000	NA	3,000	NA
			NA		NA		NA
174.85 PG1 fewer than 6 cars from engine		8,000	NA	8,000	NA	8,000	NA
174.85 PG1 fewer than 6 cars from engine with at least 1 buffer		7,000	NA	7,000	NA	7,000	NA
174.85 PG1 no buffer		8,000	NA	8,000	NA	8,000	NA
174.85 PG1 next to open car with lading beyond car ends		7,000	NA	7,000	NA	7,000	NA
174.85 PG1 next to loaded flat car		6,000	NA	6,000	NA	6,000	NA
174.85 PG1 next to temperature controlled equipment		7,000	NA	7,000	NA	7,000	NA
174.85 PG1 next to placarded car or combustible		7,000	NA	7,000	NA	7,000	NA
			NA		NA		NA

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			NA		NA		NA
174.85 PG2 tank car fewer than 6 cars from engine		6,000	NA	6,000	NA	6,000	NA
174.85 PG2 tank car fewer than 6 cars from engine with buffer		5,000	NA	5,000	NA	5,000	NA
174.85 PG2 tank car no buffer		6,000	NA	6,000	NA	6,000	NA
174.85 PG2 tank car next to open car with lading beyond car ends		7,000	NA	7,000	NA	7,000	NA
174.85 PG2 tank car next to loaded flat car		6,000	NA	6,000	NA	6,000	NA
174.85 PG2 tank car next to temperature controlled equipment		7,000	NA	7,000	NA	7,000	NA
174.85 PG2 tank car next to placarded car or combustible		7,000	NA	7,000	NA	7,000	NA
			NA		NA		NA
174.85 PG2 rail car next to placarded car or combustible		5,000	NA	5,000	NA	5,000	NA
			NA		NA		NA
			NA		NA		NA
174.85 PG4 car next to engine		8,000	NA	8,000	NA	8,000	NA
174.85 PG4 car next to placarded car		5,000	NA	5,000	NA	5,000	NA
174.85 PG4 car next to undeveloped film		3,000	NA	3,000	NA	3,000	NA
174.86	174.86	3,000	NA	3,000	NA	3,000	NA
	Class 1 (Explosive) Materials:		NA		NA		NA
	174.101		NA		NA		NA
174.101 general failure		5,000	NA	5,000	NA	5,000	NA
174.101 inadequate loading		2,500	NA	2,500	NA	2,500	NA
	174.101(o)(4)		NA		NA		NA
174.101(o)(4) complete failure to placard		7,500	NA	7,500	NA	7,500	NA
174.101(o)(4) one placard missing		1,000	NA	1,000	NA	1,000	NA
174.102	174.102	5,000	NA	5,000	NA	5,000	NA
174.103	174.103	5,000	NA	5,000	NA	5,000	NA
174.104(b)	174.104(b)	5,000	NA	5,000	NA	5,000	NA
174.104(c)	174.104(c)	7,500	NA	7,500	NA	7,500	NA
174.104(e)	174.104(e)	5,000	NA	5,000	NA	5,000	NA
174.104(f)	174.104(f)	1,000	NA	1,000	NA	1,000	NA
		1,000	NA	1,000	NA	1,000	NA
174.105	174.105	2,500	NA	2,500	NA	2,500	NA
174.106	174.106	1,000	NA	1,000	NA	1,000	NA
174.110	174.110	1,000	NA	1,000	NA	1,000	NA
174.112	174.112	5,000	NA	5,000	NA	5,000	NA
174.114	174.114	2,500	NA	2,500	NA	2,500	NA
174.115	174.115	5,000	NA	5,000	NA	5,000	NA
	Detailed Requirements for Class 2 (Gases) Materials:		NA		NA		NA
174.200	174.200	5,000	NA	5,000	NA	5,000	NA
174.201	174.201	5,000	NA	5,000	NA	5,000	NA
174.204	174.204	3,000	NA	3,000	NA	3,000	NA
174.290	174.290	7,500	NA	7,500	NA	7,500	NA
	Detailed Requirements for Class 3 (Flammable Liquid) Materials:		NA		NA		NA
174.300	174.300	5,000	NA	5,000	NA	5,000	NA
174.304	174.304	3,000	NA	3,000	NA	3,000	NA
174.310	174.310	5,000	NA	5,000	NA	5,000	NA
174.312(a)	174.312(a)	10,000	NA	10,000	NA	10,000	NA
174.312(b)	174.312(b)	2,500	NA	2,500	NA	2,500	NA
173.312(c)	173.312(c)	2,500	NA	2,500	NA	2,500	NA
	Detailed Requirements for Division 6.1 (Poisonous) Materials:		NA		NA		NA
174.600	174.600	5,000	NA	5,000	NA	5,000	NA
174.615	174.615	5,000	NA	5,000	NA	5,000	NA
174.680	174.680	5,000	NA	5,000	NA	5,000	NA
	Detailed Requirements for Class 7 (Radioactive) Materials:		NA		NA		NA
174.700	174.700	5,000	NA	5,000	NA	5,000	NA
174.715	174.715	5,000	NA	5,000	NA	5,000	NA
174.750	174.750	5,000	NA	5,000	NA	5,000	NA
	PART 178—SPECIFICATIONS FOR PACKAGINGS		NA		NA		NA
	178.2(b)		NA		NA		NA
178.2(b) bulk packages		8,000	NA	8,000	NA	8,000	NA
178.2(b) 55-gallon drum		2,500	NA	2,500	NA	2,500	NA
178.2(b) smaller package		1,000	NA	1,000	NA	1,000	NA
	PART 179—SPECIFICATIONS FOR TANK CARS		NA		NA		NA
179.1(e)	179.1(e)	8,000	NA	8,000	NA	8,000	NA
179.3	179.3	10,000	NA	10,000	NA	10,000	NA
179.5(a)	179.5(a)	7,500	NA	7,500	NA	7,500	NA
179.6	179.6	10,000	NA	10,000	NA	10,000	NA

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	179.7		NA		NA		NA
179.7 total failure to have QA program		15,000	NA	15,000	NA	15,000	NA
179.7 fail to perform activities as tank car facility		10,000	NA	10,000	NA	10,000	NA
179.7 QA program missing elements		7,500	NA	7,500	NA	7,500	NA
179.7 fail to ensure AAR specifications apply		10,000	NA	10,000	NA	10,000	NA
179.7 fail to provide written procedures to employees		7,500	NA	7,500	NA	7,500	NA
179.7 use of unqualified employee to do testing		10,000	NA	10,000	NA	10,000	NA
179.13	179.13	Varies. See 173.26 for overloaded cars.	NA	Varies. See 173.26 for overloaded cars.	NA	Varies. See 173.26 for overloaded cars.	NA
179.15	179.15	5,000	NA	5,000	NA	5,000	NA
179.201-3(a)	179.201-3(a)	7,500	NA	7,500	NA	7,500	NA
179.201-3(b)	179.201-3(b)	5,000	NA	5,000	NA	5,000	NA
		5,000	NA	5,000	NA	5,000	NA
		5,000	NA	5,000	NA	5,000	NA
		5,000	NA	5,000	NA	5,000	NA
	PART 180 — CONTINUING QUALIFICATION AND MAINTENANCE OF PACKAGINGS		NA		NA		NA
	180.501(a)		NA		NA		NA
180.501(b)	180.501(b)	7,500	NA	7,500	NA	7,500	NA
		7,500	NA	7,500	NA	7,500	NA
180.501(c)	180.501(c)		NA		NA		NA
	180.505		NA		NA		NA
	180.509(a)		NA		NA		NA
180.509(a)(1)	180.509(a)(1)	10,000	NA	10,000	NA	10,000	NA
180.509(a)(2)	180.509(a)(2)	See § 180.511.	NA	See § 180.511.	NA	See § 180.511.	NA
180.509(a)(3)	180.509(a)(3)	See § 180.515	NA	See § 180.515	NA	See § 180.515	NA
180.509(a)(4)	180.509(a)(4)	See § 180.517	NA	See § 180.517	NA	See § 180.517	NA
180.509(b)	180.509(b)	5,000	NA	5,000	NA	5,000	NA
180.509(c)	180.509(c)	5,000	NA	5,000	NA	5,000	NA
180.509(d)	180.509(d)	7,500	NA	7,500	NA	7,500	NA
180.509(e)	180.509(e)	10,000	NA	10,000	NA	10,000	NA
180.509(f)	180.509(f)	10,000	NA	10,000	NA	10,000	NA
180.509(h)	180.509(h)	7,500	NA	7,500	NA	7,500	NA
180.509(i)	180.509(i)	7,500	NA	7,500	NA	7,500	NA
180.509(i)(2)	180.509(i)(2)	7,500	NA	7,500	NA	7,500	NA
180.509(j)	180.509(j)	7,500	NA	7,500	NA	7,500	NA
180.509(k)(1)	180.509(k)(1)	7,500	NA	7,500	NA	7,500	NA
		7,500	NA	7,500	NA	7,500	NA
180.509(k)(2)	180.509(k)(2)	7,500	NA	7,500	NA	7,500	NA
180.509(l)	180.509(l)	10,000	NA	10,000	NA	10,000	NA
180.511	180.511	10,000	NA	10,000	NA	10,000	NA
			NA		NA		NA

