

# Federal Railroad Administration Office of Railroad Safety Accident and Analysis Branch

Accident Investigation Report HQ-2015-1102

Union Pacific Railroad Company (UP)
Union, OR
December 29, 2015

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report, including this one, made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

| U.S. Department of Transportation<br>Federal Railroad Administration | FRA FACTUAL RAILROAD ACCIDENT REPORT |           |                             |       |                       |             |           |              | A File #HQ-2015-1102     |  |
|--|--------------------------------------|-----------|-----------------------------|-------|-----------------------|-------------|-----------|--------------|--------------------------|--|
|  |                                      |           | TRAIN SU                    | JMN   | MARY                  |             |           |              |                          |  |
| 1. Name of Railroad Operating  | Train #1                             |           |                             | 1a. A | Alphabetic Code       | 1           | b. Rail   | road Accider | nt/Incident No.          |  |
| Union Pacific Railroad Compa   | ny                                   |           |                             | UP    |                       | 1215PD015   |           |              |                          |  |
|  |                                      |           | GENERAL IN                  | FOI   | RMATION               |             |           |              |                          |  |
| 1. Name of Railroad or Other F                                       | Entity Responsible for               | Frack Ma  | intenance                   |       | 1a. Alphabetic Code   | ;           | 1b.       | Railroad Acc | ident/Incident No.       |  |
| Union Pacific Railroad Compa   | nny                                  |           |                             |       | UP                    |             | 121:      | 5PD015       |                          |  |
| 2. U.S. DOT Grade Crossing Io  | lentification Number                 |           |                             |       | 3. Date of Accident/I | Incident    | 4.        | Time of Acci | ident/Incident           |  |
| 809372G  |                                      |           |                             |       | 12/29/2015            | 2:50 PM     |           |              |                          |  |
| 5. Type of Accident/Incident   |                                      |           |                             |       |                       |             |           |              |                          |  |
| Hwy-Rail Crossing  |                                      |           |                             |       |                       |             |           |              |                          |  |
| 1  | 7. HAZMAT Cars                       | _         | 8. Cars Releasing           | _     | 9. People             | _           |           | 10. Subdivis | sion                     |  |
| HAZMAT 12  | Damaged/Derailed                     | 0         | HAZMAT                      | 0     | Evacuated             | 0           |           | Huntington   | l                        |  |
| 11. Nearest City/Town  |                                      | 12. M     | ilepost (to nearest tenth)  | 13.   | State Abbr.           | 14. Cour    | nty       |              |                          |  |
| Union Junction   |                                      |           |                             | О     | R                     | UNION       |           |              |                          |  |
| 15. Temperature (F)  | 16. Visibility                       |           | 17. Weather                 | •     |                       | 18. Type    | of Tra    | ck           |                          |  |
| 25 °F  | Day                                  |           | Cloudy                      |       |                       | Main        |           |              |                          |  |
| 19. Track Name/Number  |                                      | 20. FRA   | Track Class                 |       |                       | 1           |           | k Density    | 22. Time Table Direction |  |
| Main Line  |                                      | Freight 7 | Trains-80, Passenger Trains | s-90  |                       | (gross 53.6 | tons in i | millions)    | East                     |  |

| U.S. Department of Tra<br>Federal Railroad Admir | nsportat | tion<br>n   | FRA         | FACT           | 'UAL ]       | RAIL                          | ROAD      | A      | CCID        | ENT F                        | REPO          | RT F        | RA File #H | Q-2015-1   | 102     |
|--|----------|---|-------------|----------------|--------------|-------------------------------|-----------|--------|-------------|------------------------------|---------------|-------------|------------|------------|---------|
|  |          | l l   |             |                | 0            | PERA                          | TING T    | RA     | IN #1       |                              |               |             |            |            |         |
| 1. Type of Equipment Co                          | nsist:   |   |             |                |              |                               |           |        |             | 2. Wa                        | as Equipmen   | t Attended? | 3. Train   | Number/Sy  | ymbol   |
| Freight Train                                    |          |   |             |                |              |                               |           | Yes    |             |                              | ZBRG1 29      |             |            |            |         |
| 4. Speed (recorded speed,                        |          |   |             |                |              |                               |           |        |             |                              |               | Code        |            |            |         |
| R - Recorded<br>E - Estimated                    |          | 66 MPH R 2726 0=Not a remotely controlled operation 1 = Remote control portable transmitter 2 = Remote control tower operation 3 = Remote control portable transmitter - more than one remote control transmitter |             |                |              |                               | 0         |        |             |                              |               |             |            |            |         |
| 6. Type of Territory                             |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Signalization:                                   |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Signaled   | 41       | C . M   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Method of Operation/Au                           | itnority | for Moveme  | ent:        |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Signal Indication                                |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Supplemental/Adjunct C                           | odes:    |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| Q  |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| 5 D : 1 C #1 :                                   |          | T + 1.1   | 1 137       | 1 1 5          |              | 1 .                           | 1.16      | `      | 0.10.1      |                              | () 10         | 1 /         | A 1 1 1    |            | D       |
| 7. Principal Car/Unit (1) First Involved         |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| (derailed, struck, et                            | c.)      | U   | JP 7911     |                | 1            |                               | yes       |        | positi      | tive in the appropriate box. |               |             |            | 0          |         |
| (2) Causing (if mecha<br>cause reported)         | ınical,  |   |             |                |              |                               |           |        | 9. Was th   | nis consist tra              | insporting pa | ssengers?   |            |            | No      |
| 10. Locomotive Units                             |          | a. Head   | Mi          | d Train        | Reat         | End                           | 11. Cars  |        |             | Loa                          | ıded          | Fm          | npty       |            |         |
| (Exclude EMU, DMU, and Car Locomotives.)         | d Cab    | End   |             |                |              | e. Remote                     |           |        | MU, and Cab | a. Freight                   |               |             |            | - 6        | 7-h     |
| ,  |          |   |             | l c. Remote    |              |                               | (1) Total |        |             |                              |               | c. Freight  |            | е. С       | Caboose |
| (1) Total in Train                               |          | 3   | 0           | 0              | 0            | 0                             | Consist   |        |             | 56 0                         |               | 0           | 0          |            | 0       |
| (2) Total Derailed                               |          | 0   | 0           | 0              | 0            | 0                             | (2) Total | Derail | led         | 0                            | 0             | 0           | 0          |            | 0       |
| 12. Equipment Damage T                           | his Co   | nsist   | 1           | 3. Track, Sign | al, Way & St | ructure Dam                   | nage      |        |             |                              |               |             |            |            |         |
| 113  | 35       |   | ı           |                | 0            |                               |           |        |             |                              |               |             |            |            |         |
| 14. Primary Cause Code                           |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| M302 - Highway user                              | r inatte | entiveness  |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| 15. Contributing Cause C                         | Code     |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
|  |          |   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
|  |          | Nur   | nber of Cre | w Members      |              |                               |           |        |             |                              | Length o      | f Time on D |            |            |         |
| 16. Engineers/Operators                          | 17.      | 17. Firemen18. Conductors19. Brakemen20. Engineer/Operator21. Conductor   |             |                |              |                               |           |        |             |                              |               |             |            |            |         |
| 1  |          | 1   |             |                | 1            |                               | 0         | Hı     | rs:         | 1 M                          | ins: 19       | Hrs:        | 1          | Mii        | ns: 19  |
| Casualties to:                                   | 22.      | Railroad E  | nployees    | 23. Traii      | n Passengers | 24.                           | Others    | _      | . EOT Devic | e?                           |               |             | EOT Device | Properly A | rmed?   |
|  |          |   |             |                |              |                               |           | -      |             |                              | Yes           |             |            |            | Yes     |
| Fatal  | atal 0   |   |             |                | 3            | 27. Caboose Occupied by Crew? |           |        |             |                              |               |             |            |            |         |

0

No

Nonfatal

28. Latitude

45.223850000

0

0

29. Longitude

-117.930010000

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|-----------------------------------|
| Federal Railroad Administration   |

### FRA FACTUAL RAILROAD ACCIDENT REPORT

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|   |                      |             | CF                               | ROSSING II                               | NFORMATIC                               | N                                  |              |  |  |  |  |  |
|---|----------------------|-------------|----------------------------------|--|---|------------------------------------|--------------|--|--|--|--|--|
|   |                      |             | Rail Equipment Involved          |  |   |                                    |              |  |  |  |  |  |
| . Туре  |                      |             |                                  |  | 5. Equipment                            |                                    |              |  |  |  |  |  |
| Auto  |                      |             | Train (Units Pulling)            |  |   |                                    |              |  |  |  |  |  |
| 2. Vehicle Speed (est. mph at impa  | graphical)           |             | 6. Position of Car Unit in Train |  |   |                                    |              |  |  |  |  |  |
| 10  | No                   | rth         |                                  |  | 1                                       |                                    |              |  |  |  |  |  |
| I. Position of Involved Highway U   | ser                  |             |                                  |  | 7. Circumstance                         |                                    |              |  |  |  |  |  |
| Moved over Crossing   |                      |             |                                  |  | Rail Equipment                          | Rail Equipment Struck Highway User |              |  |  |  |  |  |
| Ba. Was the highway user and/or ra<br>in the impact transporting ha   |                      | d           |                                  |  | 8b. Was there a hazard                  | ous materials                      | s release by |  |  |  |  |  |
| Rail Equipment  |                      |             |                                  |  | Neither                                 |                                    |              |  |  |  |  |  |
| Bc. State here the name and quantit   | y of the hazardous m | aterial rel | eased, if any.                   |  |   |                                    |              |  |  |  |  |  |
| N/A   |                      |             |                                  |  |   |                                    |              |  |  |  |  |  |
| O. Type of Crossing Warning   |                      |             |                                  | 10. Signaled 0                           | Crossing Warning                        |                                    |              | 11. Roadway Conditions                                 |  |  |  |  |
| 1. Gates     4. Wig wags     7. Crossbucks     10. Flagged by crew       2. Cantilever FLS     5. Hwy. traffic signals 8. Stop signs     11. Other (spec. in narr., spec)       3. Standard FLS     6. Audible     9. Watchman     12. None |                      |             |                                  |  | Sand, Mud, Dirt, Oil, Gravel            |                                    |              |  |  |  |  |  |
| 7, 8  |                      |             |                                  |  |   |                                    |              |  |  |  |  |  |
| 2. Location of Warning  |                      |             | 13. Cross                        | sing Warning Interco                     | nnected with Highway Si                 | gnals                              | 14. Crossing | rossing Illuminated by Street Lights or Special Lights |  |  |  |  |
| Both Sides  |                      |             | No                               |  |   |                                    |              |  |  |  |  |  |
| 5. Highway User's Age   | 16. Highway User's   | Gender      |                                  | y User Went Behind ouck or was Struck by |   |                                    |              |  |  |  |  |  |
| 40 Female No  |                      |             |                                  |  | Did not stop                            |                                    |              |  |  |  |  |  |
| 9. Driver Passed Standing Highwa  | ay Vehicle           | 20. Viev    | w of Track Ob                    | scured by (primary                       | obstruction)                            |                                    |              |  |  |  |  |  |
| No Not Obstructed   |                      |             |                                  |  |   |                                    |              |  |  |  |  |  |
|   |                      |             |                                  | 21. Driver was                           |   |                                    | 22. Was      | Driver in the Vehicle?                                 |  |  |  |  |
| Casualties to: Killed Injured   |                      |             | injurea                          | Killed                                   |   |                                    | Yes          |  |  |  |  |  |
| 3 0 (est. dollar  |                      |             |                                  | 24. Highway Vehicl (est. dollar dam      | ge) 15000 (including driver)            |                                    |              |  |  |  |  |  |
| 26. Locomotive Auxiliary Lights?  |                      |             |                                  |  | 27. Locomotive Auxilia                  | ary Lights O                       | perational?  |  |  |  |  |  |
| Yes   |                      |             |                                  |  | Yes                                     |                                    |              |  |  |  |  |  |
| 28. Locomotive Headlight Illuminated?   |                      |             |                                  |  | 29. Locomotive Audible Warning Sounded? |                                    |              |  |  |  |  |  |
| Yes   |                      |             |                                  |  | Yes                                     |                                    |              |  |  |  |  |  |

### 10. Signaled Crossing Warning

- 1 Provided minimum 20-second warning
- 2 Alleged warning time greater than 60 seconds
- 3 Alleged warning time less than 20 seconds
- 4 Alleged no warning
- 5 Confirmed warning time greater than 60 seconds
- ${\bf 6}$  Confirmed warning time less than  ${\bf 20}$  seconds
- 7 Confirmed no warning

N/A - N/A

### Explanation Code

- A Insulated rail vehicle
- B Storm/lightning damage
- C Vandalism
- D No power/batteries dead
- E Devices down for repair
- F Devices out of service
- G Warning time greater than 60 seconds attributed to accident-involved train stopping short of the crossing, but within track circuit limits, while warning devices remain continuously active with no other in-motion train present
- $\bar{\rm H}$  Warning time greater than 60 seconds attributed to track circuit failure (e.g., insulated rail joint or rail bonding failure, track or ballast fouled)
- J Warning time greater than 60 seconds attributed to other train/equipment within track circuit limits
- K Warning time less than 20 seconds attributed to signals timing out before train's arrival at the crossing/island circuit
- $L\hbox{ Warning time less than 20 seconds attributed to train operating counter to track circuit design direction}\\$
- M Warning time less than 20 seconds attributed to train speed in excess of track circuit's design speed
- N Warning time less than 20 seconds attributed to signal system's failure to detect train approach
- O Warning time less than 20 seconds attributed to violation of special train operating instructions
- P No warning attributed to signal systems failure to detect the train
- R Other cause(s). Explain in Narrative Description

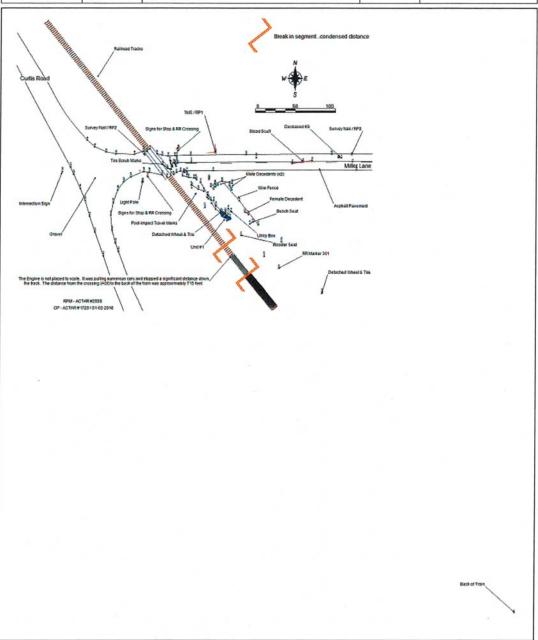
# FRA FACTUAL RAILROAD ACCIDENT REPORT

FRA File #HQ-2015-1102

## **SKETCHES**

Sketch

|      |            | Oregon State Police             | Case Name     | DIAGRAM W POINTS      |  |  |
|------|------------|---------------------------------|---------------|-----------------------|--|--|
|      |            | Oregon State Police             | Case Number   | SP15-402596           |  |  |
|      | Drawn By   | Senior Trooper Ryan P. Morehead | Incident Date | 12/29/2015 2:54 PM    |  |  |
| 45   | Date Drawn | December 30, 2015               | Location      | Curtis RD & Miller LN |  |  |
| 38,1 | Scale      | NA NA                           |               | UNION COUNTY, OR      |  |  |



U.S. Department of Transportation Federal Railroad Administration

## FRA FACTUAL RAILROAD ACCIDENT REPORT

FRA File #HQ-2015-1102

### **SYNOPSIS**

An eastbound Union Pacific Railroad (UP) freight train, ZBRG1-29, collided with a sport utility vehicle (SUV) at a public highway-rail grade crossing on December 29, 2015, at approximately 2:50 p.m. PST. The accident occurred just east of La Grande, Oregon on the Huntington Subdivision, Portland Service Unit, at Milepost 300.96, near Union Junction, Oregon, which is located about 13 miles east of

Union Junction, Oregon, which is located about 13 miles east of La Grande. The SUV driver, two passengers, and a dog were killed. The SUV sustained about \$15,000 in damage. There were no injuries to the train crew. The leading locomotive sustained minor damage of about \$1,135. There was no derailment and no release of hazardous materials. This was not an Amtrak route and this was not PTC-preventable.

At the time of the accident, it was daylight and cloudy. The temperature was 25 degrees F.

The Federal Railroad Administration's (FRA) investigation determined the probable cause of the accident was due to the SUV driver's failure to stop at the stop sign and yield to the train; FRA Accident/Incident code (M302) Highway user inattentiveness.

### FRA FACTUAL RAILROAD ACCIDENT REPORT

FRA File #HQ-2015-1102

### **NARRATIVE**

#### Circumstances Prior to the Accident

The crew of Freight Train ZBRG1-29, with leading Locomotive UP 7911, included a Locomotive Engineer, Conductor, and a Student Engineer/Fireman-in-Training (FIT). They went on-duty at 1:31 p.m. PST on December 29, 2015, at UP's La Grande Yard in La Grande, Oregon. This is the home terminal for all crewmembers, and all received more than the statutory off duty period prior to reporting for duty.

Their assigned freight train consisted of 3 locomotives, 38 loaded rail cars, 78 loaded articulated containers and trailers on flat cars (56 platforms). It was 3,908 feet in length, and weighed 2,726 tons. The crew inspected the equipment prior to departure with no exceptions noted. They departed La Grande and were scheduled to travel to Nampa, Idaho, with no work planned en route.

As the eastbound train approached the accident area, the Locomotive Engineer was seated in the center seat observing the FIT. The FIT was seated at the controls on the south side of the lead locomotive and the conductor was seated on the north side of the lead locomotive.

According to the images viewed by a Federal Railroad Administration (FRA) Investigator from the on-board video camera of the lead locomotive, an SUV containing a driver, two passengers, and a dog, was traveling east on Curtis Road, a gravel road, and turned left southeast onto Miller Lane traveling over a public highway-rail grade crossing (DOT #809372G). The SUV traveling in the same direction and parallel with the train slowed by brake light indications during the turn and drove past the crossbuck and stop signs into the path of the oncoming train just seconds before impact. The SUV driver was visible through the door's window, sitting in an upright position in the driver's seat. The train hit the vehicle driver's side rear quarter panel.

This is single main track with Automatic Block Signal, Traffic Control System (CTC) as indicated by the railroad timetable. The railroad timetable direction of the freight train was east. Timetable directions are used throughout this report.

#### The Accident

Eastbound train ZRBG1-29 was being operated at 66 mph approaching the accident location. The train crews' view of the crossing was unobstructed. The FIT stated the train had just come around a right hand curve and noticed the SUV on the adjacent road next to the tracks. The FIT stated that he began the whistling sequence prior to the whistle board in attempt to let the driver know the train was approaching the crossing. The FIT stated he "laid" on the train horn when he noticed the vehicle's brake lights come on to make the left hand turn onto Miller Road. The FIT placed the trains brakes into emergency while simultaneously telling the conductor to place the train's brakes into emergency from the conductor's brake handle. The event recorder of the controlling locomotive recorded the speed. The maximum authorized speed for this train was 70 mph as designated in the current Huntington Subdivision Timetable 0810 S1-02. The recorded speed of the train at the time of impact was 66 mph.

#### Highway Vehicle

The SUV was traveling east on Curtis Road turning north onto Miller Land. According to the Locomotive Engineer, the SUV slowed, but failed to stop for the stop sign of the public crossing (DOT Crossing Number 809372G) on Miller Lane when the collision occurred.

The train struck the left rear side of the SUV. The vehicle rotated and slid approximately 50 feet down the railroad right-of-way and came to a stop facing west. The lead locomotive came to a stop approximately 4,224 feet east of the point of collision.

Emergency Services' personnel, made up of both Union County Sheriff's Office and Oregon State Police, arrived on the scene at approximately 3:00 p.m. and immediately found two fatalities. At approximately 3:07 p.m., the first medical crew arrived and they were advised of the situation. An extended search of the area was conducted and a third person was located. All three occupants, as well as a dog, were ejected and pronounced deceased at the scene. The deceased were two males, ages 43 and 20, and one female age 40.

A Risk Management person from the Law Department of the Union Pacific Railroad arrived on scene to assist with the collision and information gathering. The train crew was removed from the train by railroad management personnel, transported back to La Grande for debriefing, and then placed on trauma incident leave in accordance with Title 49 Code of Federal Regulations Part 272.

### Analysis and Conclusions

Analysis - Toxicological Testing: Toxicological testing was not performed on the SUV occupants. There were no toxicological tests performed on the train crew.

Analysis - The lead locomotive was equipped with all locomotive safety devices required by Federal regulations, including a headlight, auxiliary lights, and an audible warning device.

Conclusion: Locomotive safety devices were in full compliance and working per Federal requirements.

Analysis - Locomotive Engineer Operating Performance: The locomotive was equipped with a speed indicator and an event recorder, as required. The event recorder data was downloaded by a road foreman at the site and data was analyzed by FRA personnel.

Conclusion: The Locomotive Engineer was in compliance with all applicable railroad operating and train handling rules.

Analysis - Public highway-rail grade crossing: DOT # 809372G

The crossing is a Union County road and has had no reported accidents since January 1, 2010.

This is not an Amtrak route and UP is the only regularly scheduled service that operates over this crossing.

FRA conducted a sight distance evaluation and found no safety discrepancies. The crossing is concrete pads. There are crossbucks and stop signs on each side of the crossing. The south side crossbuck/stop sign is approximately 21 feet from the nearest rail. The north side crossbuck/stop is approximately 15 feet from the nearest rail. Curtis Road is a gravel road which runs adjacent to the rails and Miller Lane turns to pavement immediately crossing southeast over the crossing. The Emergency Notification System signs are posted on the crossbuck/stop signs. All signs are in good condition and standard sizes.

Conclusion: The state of Oregon determined that signage at Crossing Number DOT 809372G is in full compliance with Oregon Department of Transportation, Order Number 50623, dated August 17, 2007.

Analysis - According to the on-board camera from locomotive UP 7911, an SUV with a driver and two passengers turned left from Curtis Road onto Miller Lane, approached the crossing, and failed to stop and yield to the train.

Conclusion: FRA's Investigator viewed the on-board camera from UP 7911 and noted the driver did not stop at the crossbuck/stop sign on the south side of the crossing. Railroad whistle signs are located 1200 feet from the crossing.

### Probable Cause:

FRA's investigation determined the probable cause of the accident was due to the SUV driver's failure to stop at the stop sign and yield to the train. (FRA cause code M302 Highway user inattentiveness).