



U.S. Department
of Transportation

**Federal Railroad
Administration**

Memorandum

Date: AUG 13 2007

Reply to Attn of: G-07-03

Subject: ~~Technical Bulletin~~ G-07-03 - Revised Inspection Source Codes

From: 
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Director, Office of Safety Assurance and Compliance

To: All Office of Safety Employees, Including Participating State Employees

This technical bulletin revises the RRS Inspection Source Code listing and annuls any previous listings published in the General Manual, the inspection discipline compliance manuals, and the RISPC program. The source code listing was developed using the consensus process by a team which, among others, included the five inspection discipline staff directors.

When applying the appropriate source code on inspection reports, all concerned should remember FRA must, at all times, focus on the quality of inspection efforts. For many years, our estimates have indicated we have the ability to actively monitor about two-tenths of one percent of railroad operations (including track, roadbed, and associated devices and structures; equipment; and human factors). Current data shows a similar relationship between railroad operations and FRA inspection capacity.

Source Codes Revised - June 2007

- A:** Regular Inspection (All) - A periodic inspection activity conducted by Federal and State railroad safety inspectors, in accordance with established procedures, to determine railroad, shipper, consignee, contractor, and manufacturing facility compliance with Federal statutes, rules, regulations, orders, and standards within the jurisdiction of FRA.
- B:** Complaint Investigation (All) - Any inspection initiated for the purpose of performing a complaint investigation. A complaint file number must be assigned and indicated on the inspection report when this code is used.

- C:** Accident Investigation (All) - Any inspection resulting from an accident/incident investigation. An accident file number must be assigned and indicated on the inspection report when this code is used.
- D:** Special Inspections or Investigations (All) - Inspections initiated for a specific reason or purpose not otherwise identified. A file number must be assigned and indicated on the inspection report when this code is used.
- E:** Waiver Investigation (All) - Inspections resulting from investigating requests for temporary relief from Federal regulations. A waiver investigation file number must be assigned and indicated on the inspection report when this code is used.
- H:** Nuclear Route Shipment (HM, OP, MP&E) - Inspections of nuclear routes or shipments as specified in the Safety Compliance Oversight Program (SCOP) Plan.
- I:** ATIP Survey (OP, Track) - Survey while onboard specialized FRA track geometry vehicles.
- J:** ATIP Followup (Track) - Field inspections to determine railroad remedial action resulting from noncompliance identified by specialized FRA track geometry vehicles.
- L:** Regular Inspection of a STRACNET Segment (Track).
- M:** Special Investigation or Assessment on STRACNET Segments (Track).
- N:** ATIP Survey (Using Test Car) on STRACNET Segments (Track).
- O:** RS&I Investigation (S&TC) - Inspections performed while investigating a request for relief from the requirements of the Rules, Standards, and Instructions contained in 49 CFR Part 236. A file number must be assigned and indicated on the inspection report when this code is used.
- P:** BS-AP Investigation (S&TC) - Inspections performed while investigating a request for discontinuance or material modification of a signal or train control system. A file number must be assigned and indicated on the inspection report when this code is used.
- Q:** False Proceed Investigation (S&TC) - Inspections performed while investigating a false proceed signal occurrence. A file number must be assigned and indicated on the inspection report when this code is used.
- R:** Reinspection (All) - Inspection activity carried out to examine, monitor, or further develop previously conducted work. Inspectors must use good judgment when deciding the appropriate interval for the reinspection, taking into consideration factors such as the inherent seriousness of the noncompliance and the railroad's general level of current compliance as revealed by the original inspection as a whole. S&TC and Track inspectors must also be

governed by discipline-specific guidelines. The File Number field must contain the Inspector ID and previous Inspection Report Number.

V: Inspection of or at Manufacturer's Facility (MP&E/Hazmat).

X: Activation Failure (Signal) - Inspections performed while investigating an activation failure occurrence. A file number must be assigned and indicated on the inspection report when this code is used.

Z: Outbound Extended Haul Trains (MP&E).