

Work Schedules and Sleep Patterns of Railroad Dispatchers

Survey Data and Description Associated with Report DOT/FRA/ORD-07/11



Survey Data and Description Made Available via FRA Web March, 2008

DATA FILES:

Work Schedules and Sleep Patterns of Railroad Dispatchers

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Description of the Survey Data

The Federal Railroad Administration (FRA) sponsored a study of the work schedules and sleep patterns of railroad dispatchers. The purpose of this document is to describe the study's data files that are available at www.fra.dot.gov. A separate technical report describes the study methods and findings in detail (see reference below). The Office of Management and Budget (OMB) approved this collection of information under OMB control number 2130-0570 on February 23, 2006. Data collection for this study occurred in April 2006.

Survey Methodology

The study collected data from a random sample of actively working U.S. railroad dispatchers. The study used two survey instruments, a background survey and a daily log. Copies of both instruments are a part of this document. The background survey gathered demographic information, descriptive data for the dispatcher's job type and work schedule, and a self-assessment of overall health. Study participants used the daily log to record sleep and work periods on both regular workdays and non-workdays for a 2-week period.

The response rate for the survey was 46 percent. The accompanying files contain data for the 443 usable responses.

Data Files

Data from each participant's background survey and daily log are available in two files. The background survey data is in one Excel file, and the daily log data is in a separate file. A unique participant identification number appears in both files. Each of these data sets is provided in Excel files.

Adjustments to the Data

Protecting the identity of the survey participants necessitated some modifications to the original dataset. A few categories of data are reported as ranges rather than as the raw reported data. For example, this is the case with the age data. For others, such as years of experience, top coding was employed to prevent identification of respondents with over 35 years of experience. Comparison of the survey instruments, which appear on the following pages, with the data file, will reveal where these adjustments were made. Blank fields indicate missing data. To differentiate between missing data for a main sleep period and an instance where the individual did not sleep, a sleep period of 00:00 duration (i.e., same start and end time) was entered to indicate no sleep.

Description of the data items in each file

Each Excel file contains two tabs, one with the data and one with a description of each of the data items in that file.

Use of the Data

These data files are the property of the FRA. The data is being made available for researchers and others who are interested in the safety and health of the study population

and in the relationship between work schedules and fatigue. Use of the data in books, journal articles, dissertations, theses, and other publications (print or electronic) is authorized provided that the data is cited as "Federal Railroad Administration. (2008). *Data Files: Work schedules and sleep patterns of railroad dispatchers*. Washington, DC: U.S. Department of Transportation.," and that FRA is notified of the publication (ATTN: Thomas G. Raslear, Federal Railroad Administration, Mail Stop 20, 1200 New Jersey Avenue, SE, Washington, DC 20590).

Reference

Gertler, J., & Viale, A. (2007). *Work Schedules and Sleep Patterns of Railroad Dispatchers*. (DOT/FRA/ORD-07/11). Washington, DC: Federal Railroad Administration. Available at http://www.fra.dot.gov/downloads/Research/ord0711.pdf

ID Number:_____

Railroad Dispatcher Background Survey



The Federal Railroad Administration (FRA) is conducting a study of the work schedules and sleep patterns of railroad dispatchers. The purpose of the study is to develop an understanding of the issue of work schedule-related fatigue of railroad dispatchers. The study results will inform possible future FRA policy and regulatory actions, will assist the railroad industry in addressing any work-schedule related fatigue issues of railroad dispatchers, and, in general, will contribute to overall railroad operational safety.

The data collected from this study will be used primarily for statistical purposes, and is authorized by law (49 U.S.C. 20901). Your participation in this study is completely voluntary. Your personal information will be kept strictly confidential, and will not be disclosed to anyone other than employees and contractors who work on this study.

Public reporting burden for this information collection is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Please note that an agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number. The OMB control number for this information collection is 2130-0570.

Form FRA 6180.122 (07/05)

1.	Age: years
2.	Sex: male female
3.	How long have you been a dispatcher? years and months
4.	How long have you been a dispatcher at your current railroad?
	years and months
5.	What type of dispatcher job do you currently work?
	regular relief
	extraboard
	assistant chief
	other (please explain)
6.	What is your marital status?
	single divorced other
	married widowed
7.	How many children or other dependents do you have (not including your spouse)?
8.	How many of your dependents are under the age of 2 years?
9.	a) Do you drink caffeinated beverages?
	yes no
	b) On average, how many cups and cans of these beverages do you drink per day?

Your	Health
1.	How many times have you marked off sick in the last year? days
2.	In general, how would you rate your health? Circle one: Excellent Good Fair Poor
3.	Some people feel younger or older than their biological age. How old do you feel? years
4.	Have you been diagnosed as having a sleep disorder?
5	yes no (skip questions 5 and 6)
3.	Do you have sleep apnea? yes no
6.	Are you receiving medical treatment for your condition?
	yes no

Your Work Schedule

 Please describe your job characteristics using this table. Leave rest days blank. If you work a job that varies from week to week, just write "extraboard" across the table.

	S	M	T	W	Th	F	S
Start time							
End time							

2.	On average,	how	many	hours	do you	work per	
	week?					_	

3.	Is your position covered by the Hours of Service
	Law?

4. How often do you feel well rested and alert over the course of your work period? Circle one:

Never Occasionally Frequently Always

5. How often do you feel *mentally* drained at the end of your work period? Circle one:

Never Occasionally Frequently Always

6. How often do you feel *physically* drained at the end of your work period? Circle one:

Never Occasionally Frequently Always

3

Please assign a rating to each of the following items: Responding to emergencies Lack of control over work schedule Loss of sleep Coordination with other departments Pressure to finish a task Ambiguous operating rules or procedures Management policies and decisions Job security Surges in workload Communication problems Inadequate staffing Responsibility for safety of others Lack of break time Inadequate time off	Use the following scale to rabelow contributes to your str		each factor
Responding to emergenciesLack of control over work scheduleLoss of sleepCoordination with other departmentsPressure to finish a taskAmbiguous operating rules or proceduresManagement policies and decisionsJob securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	No Stress A Little Stress	Stressful	
Loss of sleepCoordination with other departmentsPressure to finish a taskAmbiguous operating rules or proceduresManagement policies and decisionsJob securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off			wing items:
Coordination with other departments Pressure to finish a task Ambiguous operating rules or procedures Management policies and decisions Job security Surges in workload Communication problems Inadequate staffing Responsibility for safety of others Lack of break time Inadequate time off	Lack of control over wo	rk schedule	
Pressure to finish a taskAmbiguous operating rules or proceduresManagement policies and decisionsJob securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Loss of sleep		
Ambiguous operating rules or proceduresManagement policies and decisionsJob securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Coordination with other	departments	
Management policies and decisionsJob securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Pressure to finish a task		
Job securitySurges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Ambiguous operating ru	iles or proced	lures
Surges in workloadCommunication problemsInadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Management policies an	d decisions	
Communication problems Inadequate staffing Responsibility for safety of others Lack of break time Inadequate time off	Job security		
Inadequate staffingResponsibility for safety of othersLack of break timeInadequate time off	Surges in workload		
Responsibility for safety of othersLack of break timeInadequate time off	Communication problem	ns	
Lack of break timeInadequate time off	Inadequate staffing		
Inadequate time off		of others	
	Lack of break time		
Other (please specify)	Inadequate time off		
	Other (please specify) _		

Life Events	
	a ✓ whether any of the events liste o you in the last 6 months:
Personal illn	ess or injury
Marital diffi	culties
Birth of a ch	ild
Death of a sp	oouse
Change in sl	eeping habits
Difficulty w	ith the law
Illness/injury	y of family member or friend
Financial dif	ficulties
	ving conditions
	ocial activities
Death of a cl	lose family member



Welcome and thank you for participating in this project. The purpose of this study is to assemble data on both work and sleep patterns of railroad dispatchers. The data that you record will serve as a history of your work and sleep patterns and how you feel throughout the day. The study will examine the relationship between dispatchers' work schedules and their level of alertness/fatigue.	Your participation is appreciated. Please contact us if you have any questions or comments.	
ID Number	781-684-3966 smcdonough@foster-miller.com	FRA F6180.123 (07/05)

Date//2006 Today I: worked did not work
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Instructions

This log is divided into 14 sections, one for each day that you will be recording data. Each section contains both a Sleep and Nap Log and a Work Log.

important that you provide data for 14 consecutive days. divider page, write the date and indicate whether or not you worked this day. Please start with Day 1. It is Start a new section for each new day. On the section

When recording time, use the 2400 clock system. For example, 4:30 p.m. is 1630.

Complete the Sleep and Nap Log for every day of the study. Complete the Work Log for those days that you work.

If for any reason you do not record data at the appointed time, fill out your log as soon as possible to the best of your ability.

Sleep and Nap Log

Make entries on this log *upon awakening* and *at bedtime*every day. Record your sleep on the day you awoke.

In addition, if you took any naps, enter this information in the log. If you work third shift, record sleep after a work period on the day the sleep occurs.

Work Log

Make entries on the work log at the start of your workday when you arrive at your workplace, midtout through your shift and at the end of the workday when you arrive home.

If you work third shift, enter information about your work period on the day that you begin your shift.

Study Compensation

Complete the last page of this log book to indicate your preference for the study compensation.

Complete if you took any naps, or had subsequent sleep after a nighttime interruption. Nap 1 Time tell asleep Time awoke Comments on today's sleep experience: Comments on today's sleep experience:

8 8 Away from home					the night			3 4 5	Very easy		3 4 5	Very easy		3 4 5	More than sufficient		3 4 5	Very good		3 4 5	Very alert
Upon Awakening Sleep Location Home □	Time you went to bed	Time you fell asleep	Time you woke up	Time you got up	Number of awakenings during the night	Rate your sleep	Ease of falling asleep	1 2	Very difficult	Ease of getting up	1 2	Very difficult	Length of sleep	1 2	Wholly insufficient	Quality of sleep	1 2	Very poor	Indicate how you feel now	1 2	Very sleepy

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Comments on today's work experience:	