

**FEDERAL RAILROAD ADMINISTRATION
OFFICE OF CHIEF COUNSEL
Safety Law Division**

FEB 21 2008

**RAILROAD SAFETY CIVIL PENALTY CASES
CLOSED DURING FISCAL YEAR 2007**

This report summarizes the disposition of all civil penalty cases on which the Federal Railroad Administration (FRA) reached an agreement to settle, or issued an order requiring the payment of, a civil penalty during fiscal year 2007 under the Federal railroad safety statutes, regulations and orders. Cases were closed under most of these statutes by agreements of settlement, and under the hazardous materials transportation laws (HMT) generally by final orders of assessment. Total penalty settlements and final assessments against companies, summarized in this report, were as follows:

Railroad safety statutes (except HMT)	\$ 9,364,015
HMT	<u>\$ 3,889,333</u>
Total Civil Penalties	\$13,253,348

The Federal Railroad Safety Statutes and Regulations

In the 1890s, Congress began regulating the railroad industry for safety purposes by enacting narrowly drawn laws to deal with discrete rail safety issues such as safety appliances and locomotive inspection. Having determined the need for more comprehensive regulation, Congress enacted the Federal Railroad Safety Act of 1970 (FRSA), which granted the Secretary of Transportation (and by delegation the Federal Railroad Administrator) rulemaking authority over "all areas of railroad safety." FRA has exercised this authority by issuing a wide variety of rail safety regulations. See Parts 209 through 244 of Title 49 of the Code of Federal Regulations. Civil penalties are an important means of enforcing those regulations and the safety statutes.

In 1975, the Hazardous Materials Transportation Act (HMTA) added civil penalties to the criminal penalties already available for hazardous materials violations. HMTA regulations, although issued by the Department of Transportation's (DOT's) Pipeline and Hazardous Materials Safety Administration, are generally enforced by the DOT administration responsible for each mode of transportation, e.g., by FRA in cases involving the transportation or shipment of hazardous materials by rail. In 1994, Congress repealed the FRSA, HMTA, and other Federal railroad safety statutes and recodified them in Title 49 of the United States Code, chapters 51 and 201-213.

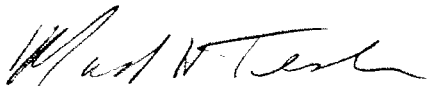
The Civil Penalty Process

In aid of their efforts to promote safety compliance, inspectors employed by FRA and participating states submit reports alleging violations of Federal safety laws and regulations to the Safety Law Division of the Office of Chief Counsel. This Division reviews the reports for legal sufficiency, aggregates those of one type containing one or more alleged violations into a single case, assesses a civil penalty for each alleged violation, and transmits the case to the railroad, shipper, individual, or other entity under a penalty demand letter or, if an HMT case, a Notice of Probable Violation. After a respondent in such a case has conducted its own investigation, and unless the respondent decides to simply pay the full amount of the initial assessment, negotiations are held, during which the respondent presents defenses or arguments in mitigation and offers of settlement or final assessment are exchanged. In these negotiations, FRA focuses on applying the statutory established assessment criteria to the facts of each case. When an agreement is reached, payment is generally due within 30 days of the full execution of the written settlement agreement. A more detailed statement of this process and FRA's enforcement policies is included in 49 CFR Part 209, Appendix A.

Where settlement cannot be reached, cases are referred to the Department of Justice for litigation. The Attorney General, with FRA's active participation, brings suit in the appropriate Federal court.

HMT cases are normally concluded by issuance of an order of assessment predicated on a finding of a knowing violation. Where an HMT case cannot be resolved informally, a respondent is entitled to a formal hearing on FRA's allegations before a hearing officer designated by the Chief Counsel and then to an appeal to the Federal Railroad Administrator prior to judicial litigation.

FRA ordinarily closes the vast majority of its civil penalty cases without need for litigation, which is consistent with the rail safety statutory scheme that promotes compromise based on specified settlement criteria. The negotiation process takes into account the strengths and weaknesses of each case and the overall goals of the safety program. We believe that collection of these penalties, while just one of many compliance tools FRA uses, contributes significantly to improving regulatory compliance and achieving safety program goals.



Mark H. Tessler
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for Safety

Abbreviations Used in this Report

The type of violation alleged in each civil penalty case can be identified using the following codes, one of which appears as a suffix to each case number:

AD	Alcohol and drug regulations
AR	Accident reports laws and regulations
BW	Bridge Worker Safety Standards
EO	Emergency Order (under 49 U.S.C. § 20104)
EP	Railroad Safety Enforcement Procedures
EQ	Engineer qualifications regulations
FCS	Railroad Freight Car Safety Standards
GC	Grade Crossing Signal System Safety
GS	Safety Glazing Standards
HMT	Hazardous Materials Regulations
HS	Hours of service laws
HSR	Hours of service record keeping regulations
LI	Locomotive inspection laws and regulations
NE	Railroad Noise Emission Compliance
PEP	Passenger Train Emergency Preparedness
PEQ	Passenger Equipment Safety Standards
REM	Rear end marking devices regulations
ROP	Railroad Operating Practices
ROR	Railroad Operating Rules
RSP	Railroad Communications
RW	Roadway Worker Protection Rules
SA	Safety appliance laws and regulations
SI	Signal inspection law and regulations
TH	Train Horn/Quiet Zone
TS	Track Safety Standards

Railroads are identified by their common industry designation (see the legend provided below), which appears as the letters at the beginning of the case number. Hazardous materials cases and emergency order cases involving shippers are identified by a case number beginning with the letter "Z." Cases involving contractors are identified by a case number beginning with the letter "X". The column labeled "POCA" meaning "potentially collectible amount," shows the initial penalty assessed in the case. The column labeled "PRCA" meaning "provable collectible amount," shows the total amount of the initial assessment for alleged violations on which FRA concluded it would probably be able to sustain its burden of proof if the case were litigated. PRCA is equal to POCA, therefore, except in two situations. First, if FRA concluded that one or more of the violations initially alleged could likely not be sustained, such violations are considered terminated. Second, if FRA decided that the violation could be sustained but that the initial penalty assessment for the violation was incorrect, the penalty amount is corrected, and the violation is described as "partially terminated". Cases against individuals (who are liable for civil penalties for willful violations) are identified by the prefix "Indpen" and are listed separately. The "comments" column shows the violation number of terminated or partially terminated violation.

**FRA Civil Penalty Cases against Individuals
Closed in Fiscal Year 2007**

<u>Case Number</u>	<u>Initial Penalty Demand</u>	<u>Settlement Amount</u>	<u>Settlement Date</u>
Indpen 2006-1	\$3,000	\$0	12-06-2006
Total	\$3,000	\$0	

RCC Code	Respondent
ACWR	ABERDEEN, CAROLINA AND WESTERN RAILROAD
AGC	ALABAMA & GULF COAST RAILWAY, LLC
AGCR	ALAMO GULF COAST RAILROAD
ALS	THE ALTON AND SOUTHERN RAILWAY CO.
APA	THE APACHE RAILWAY CO.
ARR	ALASKA RAILROAD CORPORATION
ATK	NATIONAL RAILROAD PASSENGER CORPORATION
AVR	ALLEGHENY VALLEY RAILROAD CO.
AZER	ARIZONA EASTERN RAILWAY
BAYL	BAY LINE RR
BB	BUCKINGHAM BRANCH RAILROAD COMPANY
BGEX	BIG EAGLE RAIL LLC
BLOL	BLOOMER SHIPPERS CONNECTING RAILROAD CO.
BNSF	BNSF RAILWAY COMPANY
BPRR	BUFFALO & PITTSBURGH RAILROAD, INC.
BSINC	INACTIVE: BERGHORST & SON, INC.
CARR	CARROLLTON RAILROAD
CCEX	COLORADO CENTRAL RAILROAD
CCT	CENTRAL CALIFORNIA TRACTION COMPANY
CDOT	CONN. DEPT. OF TRANSPORTATION
CFNR	CALIFORNIA NORTHERN RAILROAD
CFWR	CANEY FORK & WESTERN RAILROAD
CIC	CEDAR RAPIDS AND IOWA CITY RAILWAY COMPANY
CKIN	CHESAPEAKE AND INDIANA RAILROAD COMPANY INC.
CKP	COLORADO KANSAS AND PACIFIC RAILWAY
CN	CANADIAN NATIONAL RAILWAY COMPANY
CNUR	CONNERSVILLE AND NEW CASTLE RAILROAD
COSR	CONNECTICUT SOUTHERN RAILROAD
CP	CANADIAN PACIFIC RAILWAY
CSX	CSX TRANSPORTATION, INC.
CT	COLUMBIA TERMINALS RAILROAD
CTN	CANTON RAILROAD COMPANY
CW	COLORADO & WYOMING RAILWAY COMPANY
DH	DELAWARE AND HUDSON RAILWAY CO., INC.
DME	DAKOTA, MINNESOTA & EASTERN RR CORP.
EDW	EL DORADO & WESSON RAILWAY CO.
ELS	ESCANABA AND LAKE SUPERIOR RAILROAD COMPANY
ERAIL	ECONO-RAIL CORPORATION
ETL	ESSEX TERMINAL RAILROAD
EVWR	EVANSVILLE WESTERN RAILWAY, INCORPORATED
GETY	GETTYSBURG AND NORTHERN RAILROAD COMPANY
GFRR	GEORGIA AND FLORIDA RAILWAY, INC.
GITM	GOLDEN ISLES TERMINAL RAILROAD
GTRA	GOLDEN TRIANGLE RAILROAD COMPANY
HB	HAMPTON & BRANCHVILLE RAILROAD COMPANY
HESR	HURON & EASTERN RAILWAY
IAIS	IOWA INTERSTATE RAILROAD
ICE	IOWA, CHICAGO & EASTERN RAILROAD CORP.
INRD	INDIANA RAIL ROAD COMPANY

JEFW	JEFFERSON WARRIOR RAILROAD
KCS	THE KANSAS CITY SOUTHERN RAILWAY COMPANY
KO	KANSAS & OKLAHOMA RAILROAD, INC.
KRR	KIAMICHI RAILROAD
KWT	KENTUCKY WEST TENNESSEE RAILWAY, INC.
LC	LANCASTER AND CHESTER RAILWAY COMPANY
LI	LONG ISLAND RAIL ROAD
LICC	LINDSEY CONSTRUCTION COMPANY
LNAL	LOUISVILLE, NEW ALBANY & CORYDON RAILROAD
LSRC	LAKE STATE RAILWAY COMPANY
LXVR	LUXAPALILA VALLEY RAILROAD
MACZ	MARYLAND ASSOCIATION OF RAIL COMMUTERS
MAW	MAU MEE & WESTERN
MBAX	MASSACHUSETTS BAY COMMUTER RAILROAD
MBCR	MASSACHUSETTS BAY COMMUTER RAILROAD
MBRR	MERIDIAN & BIGBEE RAILROAD COMPANY
MBTA	MASSACHUSETTS BAY TRANSIT AUTHORITY
MBY	INACTIVE: MILLERS BREWERY
MDS	MERIDIAN SOUTHERN RAILWAY
MNA	MISSOURI & NORTHERN ARKANSAS RAILROAD
MNBR	M & B RAILROAD, LLC
MNCW	METRO NORTH COMMUTER RAILROAD COMPANY
MNRR	MODOC NORTHERN RAILROAD COMPANY
MRL	MONTANA RAIL LINK
MWRR	MONTANA WESTERN RAILWAY COMPANY
NECR	NEW ENGLAND CENTRAL RAILROAD
NIRC	NORTHEAST ILLINOIS REGIONAL COMMUTER RAIL CORP.
NOGC	NEW ORLEANS & GULF COAST RAILWAY CO., INC.
NOPB	NEW ORLEANS PUBLIC BELT RAILROAD
NSHR	NORTH SHORE RAILROAD
NTRY	NIMISHILLEN AND TUSCARAWAS RAILWAY COMPANY
PAL	PADUCAH & LOUISVILLE RAILWAY COMPANY
PNR	PANHANDLE NORTHERN
PNWR	PORTLAND AND WESTERN RAILROAD INC.
POTB	PORT OF TILLAMOOK BAY RAILROAD
PSAP	PUGET SOUND AND PACIFIC RAILROAD
PTO	PORTLAND TERMINAL (OREGON)
PTRA	PORT TERMINAL RAILROAD ASSOCIATION
PW	PROVIDENCE AND WORCESTER RAILROAD COMPANY
RSR	ROCHESTER AND SOUTHERN RAILROAD, INC.
RWSI	RAILWORKS TRACK SYSTEMS, INC.
SB	SOUTH BUFFALO RAILWAY COMPANY
SBS	SAGINAW BAY SOUTHERN RAILROAD
SCAX	SOUTHERN CALIFORNIA REGIONAL RAIL AUTHORITY
SCRT	SACRAMENTO COUNTY REGIONAL TRANSIT DISTRICT
SDNX	SAN DIEGO NORTHERN RAILWAY
SEPA	SOUTHEASTERN PENNSYLVANIA TRANSPORTATION AUTHORITY
SGLR	SEMINOLE GULF RAILROAD
SIM	SOUTHERN ILLINOIS AND MISSOURI BRIDGE LINE
SLGW	SALT LAKE, GARFIELD & WESTERN RAILWAY COMPANY
SOO	SOO LINE RAILROAD COMPANY
SOU	NORFOLK SOUTHERN RAILWAY CO.

STE	STOCKTON TERMINAL AND EASTERN RAILROAD
STMA	ST. MARIES RIVER RAILROAD COMPANY
TCRZ	TRI-CITY RAILROAD COMPANY
TIOC	TIOGA CENTRAL RAILROAD
TM	TEXAS MEXICAN RAILWAY COMPANY
TN	TEXAS & NORTHERN RAILWAY COMPANY
TNMR	TEXAS AND NEW MEXICO RAILROAD
TRE	TRINITY RAIL EXPRESS
TRRA	TERMINAL RAILROAD ASSOCIATION OF ST. LOUIS
TSRR	TENNESSEE SOUTHERN RAILROAD COMPANY INC.
TXPF	TEXAS PACIFICO TRANSPORTATION, LTD.
TZPR	TAZEWELL & PEORIA RAILROAD INC.
UCRY	UTAH CENTRAL RAILWAY COMPANY
UP	UNION PACIFIC RAILROAD COMPANY
WBCR	WABASH CENTRAL
WE	WHEELING & LAKE ERIE RAILWAY COMPANY
WNYP	WESTERN NEW YORK & PENNSYLVANIA RAILROAD, LLC
WSOR	WISCONSIN & SOUTHERN RAILROAD
WSS	WINSTON-SALEM SOUTHBOUND RAILWAY
XAME	AMAIZING ENERGY
XARD	AMERICAN RAIL DISPATCHING CENTER
XBPC	BURCZAK PAVING COMPANY
XCCOI	CANDO CONTRACTING INC.
XCVL	CITY SERVICES VALCON LLC
XHSR	HIGH SIERRA TERMINALS
XHTT	HARSCO TRACK TECHNOLOGIES
XHZC	HERZOG CONTRACTING COMPANY
XITS	ITS TECHNOLOGIES & LOGISTICS
XMPM	MOMENTIVE PERFORMANCE MATERIALS, INC.
XRIIS	ROCK ISLAND INTEGRATED SERVICES
XRWQ	RAILWORKS COMPANY
XTNT	TNT LOGISTICS NORTH AMERICA INC.
ZABEQ	ABENGOA BIOENERGY CORP.
ZABI	ABITIBI CONSOLIDATED
ZACH	ASHTA CHEMICALS INC.
ZACHI	AMBER CHEMICAL, INC.
ZACK	ALCO CHEMICAL
ZACQ	ACE ETHANOL
ZACR	ADM CORN PROCESSING
ZAGLL	AGRILIANCE LLC
ZAGP	AG PROCESSING INC.
ZAHC	AMERADA HESS CORP.
ZAIL	AIR LIQUIDE AMERICA CORP
ZAKN	AKZO NOBEL
ZALG	AMALGMATED SUGER COMPANY
ZALP	ALPHA/OWENS CORNING
ZAMC	ARCHER DANIELS MIDLAND CO.
ZAND	THE ANDERSONS
ZANE	EKA CHEMICALS INC, AN AKZO NOBEL COMPANY
ZAPC	AIR PRODUCTS AND CHEMICAL, INC.
ZAPCH	APACHE NITROGEN PRODUCTS, INC.
ZAPL	AMERICAN PRESIDENT LINES LTD.

ZAPO	ASPHALT PRODUCTS OIL CORPORATION
ZAPZ	APAC - MISSOURI, INC.
ZARE	AVENTINE RENEWABLE ENERGY, INC.
ZASC	ASHLAND CHEMICAL INC.
ZATF	ATOFINA CHEMICALS, INC.
ZAVF	AV FUELS CORPORATION
ZBAS	BASF CORPORATION
ZBCI	BRAINERD CHEMICAL COMPANY
ZBOG	BOC GASES
ZBOL	BOC - DIVISION OF LINDE GROUP
ZBORE	BORDEN & REMINGTON CORPORATION
ZBPC	BP AMOCO "FORMERLY BP CHEMICAL"
ZBPQ	BLUE RIDGE PAPER PRODUCTS, INC
ZBPW	BP WEST COAST PRODUCT LLC
ZBPWC	B.P. WEST COAST PRODUCTS
ZCACT	CELANESE ACETATE, LLC
ZCAQ	CAE INC
ZCCIC	CONTAINER-CARE INTERNATIONAL/CONGOBAL IND
ZCCM	CARGILL-CORN MILLING DIVISION
ZCCW	BP AMOCO CHEMICAL COMPANY
ZCCXI	COASTAL CHEM, INC.
ZCCY	CORAL CHEMICAL COMPANY
ZCEF	CHIEF ETHANOL FUELS
ZCEH	CENEX HARVEST STATES
ZCELA	CELANESE LTD
ZCENT	CENTER TERMINAL COMPANY
ZCENX	CENEX CORPORATION
ZCFQ	CONAGRA/CROMPMATE FERTILIZER COMPANY
ZCHEV	CHEVRON PRODUCTS
ZCHH	CH2M HILL
ZCHRI	CHRISTIE GAS CORP.
ZCHS	CLEAN HARBORS SERVICES, INC.
ZCIBR	CIBRO PERTROLEUM PRODUCTS
ZCLAR	CLARIANT CORPORATION
ZCLQ	CORN LP
ZCMC	CROWLEY MARITIME CORP.
ZCOM	CONE MILLS
ZCONT	CONTINENTAL CEMENT COMPANY
ZCORN	CORN PLUS
ZCOST	COSTCO WHOLESALE
ZCOU	COUNTRYMARK CO-OP, LLP
ZCPCL	CHEVRON PHILLIPS CHEMICAL, LP.
ZCPF	CUSTOM PACKAGING & BILLING
ZCPN	COOPER NATURALS RESOURCES
ZCSX	CSX INTERMODAL, INC.
ZCTO	CENTRAL TRANSPORT
ZCTU	CHEMTURA
ZCVO	CHEVRON ORONITE
ZCYT	CYTEC INDUSTRIES
ZCYZ	CYANCO
ZDGA	DEVON GAS SERVICES
ZDYNU	DYNEA U.S.A. INC.

ZEAS	EVERGREEN AMERICA CORP.
ZECD	EMCO CHEMICAL DISTRIBUTORS, INC.
ZECO	ETHANOL CORPORATION, THE
ZEDC	EL DORADO CHEMICAL CO.
ZELK	ELKEM METALS
ZEMCC	EXXONMOBIL CHEMICAL COMPANY
ZEMR	EXXONMOBIL REFINERY AND SUPPLY COMPANY
ZEMS	EAGLE MARINE SERVICES, INC.
ZENS	ENERSUL
ZEPC	ENTERPRISE PRODUCTS OPERATING LLC
ZEQ	EQ ENVIRONMENTAL
ZEXM	EXXON MOBIL
ZEXOL	EXOL
ZFGL	FRIENDS GLOBAL LOGISTICS, INC.
ZFRD	FRANKLIN DISTILLERY
ZGAT	GENERAL AMERICAN TRANSPORTATION
ZGATX	GATX RAIL
ZGAX	GATX TERMINAL INC.
ZGCC	GEORGIA GULF CORP.
ZGCH	GOLDSCHMIDT CHEMICAL CORPORATION
ZGDN	THE GLIDDEN COMPANY (DBA ICI PAINTS)
ZGEC	GENERAL ELECTRIC RAILCAR SERVICES CORPORATION
ZGEL	GE SILICONES
ZGEO	GEO SPECIALTY CHEMICALS
ZGIP	GIBSON ENERGY LTD.
ZGPS	GRAIN PROCESSING CORP.
ZGRC	GIANT INDUSTRIES ARIZONA, INC., DBA GIANT REFINING
ZGRE	GRANITE FALLS ENERGY
ZHAS	HANJIN SHIPPING CO., LTD.
ZHCH	HELENA CHEMICAL COMPANY
ZHERT	HERITAGE PROPANE COMPANY
ZHES	HERITAGE ENVIRONMENTAL SERVICES
ZHGF	HEARTLAND GRAIN FUELS L.P.
ZHIC	HYUNDAI SHIPPING AGENCY
ZHJH	H.J. HEINZ COMPANY
ZHLPG	HILGY'S LP GAS
ZHMI	H & M INTERNATIONAL TRANSPORTATION
ZHNI	HONEYWELL INTERNATIONAL
ZHON	HONDO CHEMICAL
ZHPC	HIGH PLAINS CORP.
ZHRC	HERCULES INCORPORATED
ZHRM	HOLLY ENERGY PARTNERS
ZHUC	HELM U.S. CORPORATION
ZHUD	HUIHSH DETERGENTS
ZHUNT	HUNT REFINING COMPANY
ZHWH	HONEYWELL HOPEWELL CHEMICAL PLANT
ZHYC	HYDRITE CHEMICAL COMPANY
ZICE	INTERNATIONAL COMMODITIES EXPORT CORP.
ZINTT	INTERNATIONAL MATEX TANK TERMINALS
ZIOL	IRVING OIL LTD.
ZIPI	INEOS PHENOL, INC.
ZISRV	INERGY SERVICES

ZITT	INTERCONTINENTAL TERMINALS COMPANY
ZIVS	INVISTA S.A.R.L.
ZJCC	JONES CHEMICAL CO.
ZJHO	JONES HAMILTON COMPANY
ZJRS	J.R. SIMPLOT COMPANY
ZKCI	KOPCOAT
ZKEL	KAAPA ETHANOL LLC
ZKFI	KENTUCKY FORWARDING INTERNATIONAL, INC.
ZKFIR	KETTLE FALLS INTERNATIONAL RAILWAY LLC
ZKMB	KINDER MORGAN
ZKML	KINDER MORGAN LIQUIDS TERMINALS LP
ZKMQ	KINDER MORGAN TERMINALS
ZKMTC	KITCHENMAN TERMINAL COMPANY
ZKPI	KOPPERS, INC.
ZKPR	KAMPS PROPANE
ZKYE	KEYERA ENERGY PARTNERSHIP
ZLAPI	LAGGETT AND PLATT, INC.
ZLGP	LINDE GAS OF PUERTO RICO, INC.
ZLIL	LAWTER INTERNATIONAL, INC.
ZLPG	LANCASTER PROPANE GAS
ZLPY	LYONDELL PETROCHEMICAL COMPANY
ZLTT	LIQUID TRANSFER TERMINAL
ZLYC	LYNX CO.
ZMAL	MALLINCKRODT CHEMICAL COMPANY
ZMAR	MARSULEX, INC.
ZMARP	MARATHON ASHLAND PETROLEUM
ZMBR	M & B RAILROAD, LLC
ZMCI	MORRAL CHEMICALS, INC.
ZMDGP	MIDWEST GRAIN PROCESSORS
ZMEA	MANAGEMENT ENGINEERING ASSOCIATES, INC.
ZMERL	MERISOL
ZMG	ZEP-MGF/ACUITY SPEC PROD
ZMGAS	MOULTRIE GRAIN ASSOC.
ZMHFQ	MHF LOGISTICAL SOLUTIONS, INC.
ZMMPL	MAGELLAN MIDSTREAM PARTNERS, LP
ZMMQ	MID-MISSOURI ENERGY, INC.
ZMNP	MARATHON PETROLEUM CO.
ZMOQ	THE MOSAIC COMPANY
ZMPA	PLAINS MARKETING CO.
ZMPE	MILLENNIUM PETROCHEMICALS
ZMPG	MGP INGREDIENTS OF ILLINOIS, INC.
ZMPP	MCPHERSON OIL PRODUCTS
ZMRD	MINERAL RESEARCH AND DEVELOPMENT
ZMRI	MILLENNIUM RAIL, INC.
ZMSCC	MONTANA SULFUR AND CHEMICAL CO.
ZMSS	MAERSK SEALAND
ZMTE	MOTIVA ENTERPRISES LLC
ZMUSK	MUSKET CORPORATION
ZMWC	MEADWESTVACO CORPORATION
ZNMT	NOVA MOLECULAR TECHNOLOGIES, INC.
ZNOGC	NEW ORLEANS GULF COAST RAILROAD
ZNPA	NEWPAGE CORPORATION

ZOES	ONYX ENVIRONMENTAL SERVICE INC.
ZOLC	OLIN CHEMICALS CORPORATION
ZOLI	OLIN CORPORATION
ZOOC	ORIENT OVERSEAS CONTAINER LINES
ZOTR	ON TRACK RAILCAR SERVICES, INC.
ZOTTI	ON TRACK TRANSPORTATION INC.
ZOWP	OSMOSE WOOD PRESERVATION CORPORATION
ZPAR	PARSEC, INCORPORATED
ZPCE	PCI CHEMICALS CANADA INC.
ZPCSS	PCS SALES (USA) INC.
ZPEL	PROVIDENT ENERGY LTD.
ZPG	PROCTER & GAMBLE
ZPGQ	GEORGIA PACIFIC CORP.
ZPHO	PCS PHOSPHATE COMPANY
ZPIL	PILOT CHEMICALS
ZPJC	CONOCOPHILLIPS
ZPMQ	PIONEER AMERICAS LLC
ZPNP	PIONEER PCI
ZPNR	PENRECO CORP
ZPPG	PPG INDUSTRIES, INC.
ZPPGI	PPG CANADA, INC.
ZPRG	PREMCOR REFINING GROUP INC.
ZPRX	PRAXAIR, INC.
ZPVT	PVS TECHNOLOGIES, INC.
ZPWC	PAN WESTERN CORPORATION
ZRAQ	ROQUETTE AMERICA INC
ZRAY	RAYONIER, INC.
ZRCH	RECOCHEM
ZRCRI	REAGENT CHEMICAL RESEARCH, INC.
ZRE	ROADWAY EXPRESS, INC.
ZRGZ	RELIANT GASES
ZROG	ROGERS CARTAGE CO.
ZRPM	RENEWABLE PRODUCTS MARKETING GROUP
ZRRDS	R.R. DONNELLEY & SONS
ZSAA	SABIC AMERICAS, INC.
ZSAF	SAFETY KLEEN OIL RECOVERY CO.
ZSASN	SASOL NORTH AMERICA INC.
ZSBP	SUBURBAN PROPANE
ZSCY	SIERRA CHEMICAL COMPANY
ZSECC	SOUTHEASTERN CHEMICAL COMPANY
ZSEM	SEM MATERIALS LP
ZSHUQ	SHINETSU
ZSIN	SINCLAIR OIL CORP.
ZSNI	STOLT NIELSEN COMPANY
ZSNOC	SUNOCO CHEMICALS
ZSOC	SHELL OIL COMPANY
ZSOLI	SOLUTIA, INC.
ZSON	SUN OIL REFINING
ZSONI	SONICTRANS SYSTEM, INC.
ZSOO	SUN OIL CO.
ZSOPU	SHELL OIL PRODUCTS US
ZSPQ	SOUTHWEST PETROLEUM PRODUCTS

ZSTE	STEPAN CHEMICAL CO.
ZSUI	SUNOCO INCORPORATED
ZSWC	SHERWIN WILLIAMS CO.
ZTCI	TRI-CAL CHEMICALS, INC.
ZTCML	TECK COMINCO METALS LTD.
ZTDW	TIDEWATER TERMINAL CO.
ZTEE	TEEPAK LLC
ZTEI	TERROCO INDUSTRIES
ZTER	TERRA INDUSTRIES
ZTFLO	TRANSFLO CORPORATION
ZTGA	TOAGOSEI AMERICA INC
ZTIL	TERRA INTERNATIONAL
ZTMI	TREMCO INC
ZTML	TEXAS MOLECULAR LLC.
ZTNW	TESORO NW COMPANY
ZTPC	TEXAS PETROCHEMICALS CORP.
ZTRX	TRX, INC.
ZUAP	UAP DISTRIBUTION, INC.
ZUASA	UNITED ARAB SHIPPING AGENCIES
ZUNIV	UNIVAR USA INC.
ZUNZ	UNITEX COMPANY
ZURC	UNITED REFINING COMPANY
ZUSAL	US ALUMINATE
ZUST	UNITED STATES STEEL CORPORATION
ZUTT	UNITED TRANSPORT TANK CONTAINERS, INC.
ZVALM	VALERO MARKETING AND SUPPLY COMPANY
ZVPK	VOPAK
ZWAC	WARRIOR ASPHALT REFINING CORP.
ZWCA	WESTLAKE CA. AND O CORPORATION
ZWEB	WEBB CHEMICAL COMPANY
ZWEQ	WESTERN POLYMER CORP.
ZWERZ	WESTERN REFINING COMPANY
ZWEY	WEYERHAEUSER COMPANY
ZWITT	WITTIG ENERGY RESOURCES, LLC
ZWMB	WORLD METAL BUYERS
ZWRRCR	WOODWARD RAIL CAR REPAIR
ZWST	WESTWAY TERMINAL COMPANY
ZWTP	WITTIG TRANSPORT INC.
ZWWE	WESTERN WISCONSIN ENERGY, LLC
ZZNC	ZINIFEX CLARKSVILLE, INC.
ZZTQ	ZINIFEX TAYLOR CHEMICAL, INC.

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Federal Railroad Administration
Safety Cases Closed in FY 2007

FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ACWR2007-2ROR	1	\$5,000	\$5,000	\$5,000	09-19-2007	
ACWR2007-3HS	1	\$1,000	\$1,000	\$1,000	06-25-2007	
AGC2005-1SA	1	\$10,000	\$10,000	\$5,000	11-29-2006	
AGCR2005-2FCS	2	\$10,000	\$10,000	\$5,500	02-22-2007	
AGCR2005-3SA	1	\$2,500	\$2,500	\$1,375	02-22-2007	
ALS2006-4AD	1	\$2,500	\$2,500	\$2,500	11-07-2006	
ALS2006-5HSR	1	\$1,000	\$1,000	\$1,000	03-30-2007	
ALS2007-1HSR	1	\$1,000	\$1,000	\$1,000	09-26-2007	
APA2006-1EQ	1	\$1,000	\$1,000	\$1,000	04-10-2007	
ARR2006-1RW	2	\$6,000	\$6,000	\$4,200	09-06-2007	
ARR2006-2TS	1	\$1,000	\$1,000	\$750	09-06-2007	
ARR2006-3SI	2	\$5,000	\$5,000	\$4,250	09-06-2007	
ARR2006-4TS	1	\$5,000	\$5,000	\$4,000	09-07-2007	
ARR2006-5SA	1	\$2,500	\$0	\$0	09-15-2007	TERMINATE D VIOLATI ON(S): 1
ARR2006-6SA	1	\$2,500	\$0	\$0	09-15-2007	TERMINATE D VIOLATI ON(S): 1
ARR2006-7GC	1	\$2,000	\$2,000	\$1,600	09-06-2007	
ATK2005-3AD	1	\$2,500	\$2,500	\$1,700	09-30-2007	
ATK2006-6TS	1	\$5,000	\$5,000	\$3,750	09-30-2007	
ATK2006-7RSP	1	\$1,000	\$1,000	\$650	09-30-2007	
ATK2006-8SA	1	\$5,000	\$5,000	\$3,500	09-30-2007	
ATK2006-9HS	5	\$5,000	\$5,000	\$3,500	09-30-2007	
ATK2006-10HSR	1	\$1,000	\$1,000	\$550	09-30-2007	
ATK2006-11AR	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2006-12AD	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2006-13HS	1	\$1,000	\$1,000	\$750	09-30-2007	
ATK2006-14HS	9	\$9,000	\$9,000	\$6,300	09-30-2007	
ATK2006-15HS	10	\$10,000	\$10,000	\$7,000	09-30-2007	
ATK2006-16GC	1	\$1,000	\$1,000	\$750	09-30-2007	
ATK2006-17PEQ	4	\$10,000	\$10,000	\$7,500	09-30-2007	
ATK2006-18GC	1	\$5,000	\$5,000	\$3,750	09-30-2007	
ATK2006-19PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-20PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-21PEQ	2	\$7,500	\$7,500	\$5,500	09-30-2007	
ATK2006-23SA	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2006-24PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-27PEQ	2	\$5,000	\$5,000	\$4,000	09-30-2007	
ATK2006-29EQ	1	\$5,000	\$5,000	\$3,500	09-30-2007	
ATK2006-30AR	2	\$5,000	\$5,000	\$3,500	09-30-2007	
ATK2006-34RW	1	\$3,000	\$3,000	\$2,700	09-30-2007	
ATK2006-35RW	1	\$3,000	\$3,000	\$2,700	09-30-2007	
ATK2006-36PEQ	2	\$7,500	\$7,500	\$5,500	09-30-2007	
ATK2006-37HS	9	\$9,000	\$9,000	\$6,300	09-30-2007	
ATK2006-38ROP	1	\$5,000	\$5,000	\$3,750	09-30-2007	
ATK2006-39PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-40HS	10	\$10,000	\$10,000	\$7,400	09-30-2007	
ATK2006-41HS	1	\$1,000	\$1,000	\$750	09-30-2007	
ATK2006-42RW	1	\$3,000	\$3,000	\$2,700	09-30-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment			Date	Comments
		POCA	PRCA	Amount		
ATK2006-43SA	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2006-44AD	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2006-45SI	1	\$2,500	\$1,000	\$1,000	09-30-2007	REDUCED P ENALTY.
ATK2006-46AD	1	\$2,500	\$2,500	\$1,700	09-30-2007	
ATK2006-47HS	8	\$8,000	\$8,000	\$5,600	09-30-2007	
ATK2006-48HS	2	\$2,000	\$2,000	\$1,500	09-30-2007	
ATK2006-49PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-50ROP	1	\$5,000	\$0	\$0	09-30-2007	TERMINATE D VIOLATI ON(S): 1
ATK2006-51PEQ	1	\$2,500	\$2,500	\$1,800	09-30-2007	
ATK2006-54AR	1	\$2,500	\$2,500	\$1,875	09-30-2007	
ATK2006-55HS	10	\$10,000	\$10,000	\$7,200	09-30-2007	
ATK2006-56HS	10	\$10,000	\$10,000	\$7,150	09-30-2007	
ATK2006-58LI	1	\$2,000	\$2,000	\$1,500	09-30-2007	
ATK2006-59SI	1	\$5,000	\$5,000	\$3,750	09-30-2007	
ATK2006-62PEP	1	\$2,500	\$2,500	\$1,875	09-30-2007	
ATK2007-2AR	1	\$2,500	\$2,500	\$1,750	09-30-2007	
ATK2007-3ROP	1	\$5,000	\$5,000	\$3,750	09-30-2007	
ATK2007-4RSP	2	\$2,000	\$2,000	\$1,300	09-30-2007	
ATK2007-5RW	1	\$1,000	\$1,000	\$650	09-30-2007	
AVR2007-1HMT	1	\$5,000	\$5,000	\$5,000	04-26-2007	
AVR2007-2RSP	1	\$2,500	\$2,500	\$2,500	07-25-2007	
AZER2006-3ROR	1	\$2,500	\$2,500	\$2,500	03-30-2007	
BAYL2006-4TS	1	\$2,500	\$2,500	\$2,500	04-05-2007	
BB2005-1RW	1	\$1,500	\$1,500	\$1,125	04-10-2007	
BB2006-1TS	1	\$5,000	\$5,000	\$3,500	04-10-2007	
BGEX2006-1LI	1	\$7,500	\$7,500	\$4,875	06-13-2007	
BL0L2005-1AD	1	\$2,500	\$2,500	\$2,500	02-01-2007	
BL0L2006-1AR	1	\$2,500	\$2,500	\$2,500	02-01-2007	
BNSF2003-71AR	3	\$7,500	\$2,500	\$1,625	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2
BNSF2003-136RW	5	\$5,500	\$5,500	\$3,520	09-28-2007	
BNSF2003-194FC	2	\$7,500	\$7,500	\$5,500	09-28-2007	
S						
BNSF2003-204LI	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
BNSF2003-244HS	10	\$10,000	\$10,000	\$6,300	09-28-2007	
R						
BNSF2004-29SI	2	\$6,000	\$6,000	\$4,860	09-28-2007	
BNSF2004-135AR	1	\$2,500	\$2,500	\$1,550	09-28-2007	
BNSF2004-150AD	4	\$20,000	\$20,000	\$14,630	09-28-2007	
BNSF2004-179LI	8	\$17,000	\$17,000	\$10,550	09-28-2007	
BNSF2005-73AR	2	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2005-82RW	2	\$6,000	\$6,000	\$3,900	09-28-2007	
BNSF2005-177FC	1	\$10,000	\$10,000	\$5,500	09-28-2007	
S						

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2005-188EP	1	\$1,500	\$1,000	\$750	09-28-2007	
BNSF2005-227TS	5	\$20,000	\$20,000	\$13,980	09-28-2007	
BNSF2005-239TS	2	\$10,000	\$10,000	\$6,500	09-28-2007	
BNSF2005-248TS	1	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2005-252RW	2	\$4,000	\$4,000	\$2,580	09-28-2007	
BNSF2005-253RO R	1	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2005-255FC S	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2005-261SA	1	\$2,500	\$2,500	\$1,750	09-28-2007	
BNSF2005-269HM T	3	\$18,000	\$18,000	\$11,950	09-28-2007	
BNSF2005-271RS P	2	\$10,000	\$10,000	\$6,000	09-28-2007	
BNSF2005-275HM T	1	\$1,000	\$1,000	\$600	09-28-2007	
BNSF2006-9AR	7	\$17,500	\$2,500	\$1,625	09-28-2007	TERMINATE D VIOLATI ON(S): 2, 3,4,5,6,7 ,8,9, 10
BNSF2006-10AR	3	\$7,500	\$2,500	\$1,500	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 3
BNSF2006-11RSP	1	\$5,000	\$5,000	\$3,900	09-28-2007	
BNSF2006-19FCS	2	\$12,500	\$12,500	\$8,750	09-28-2007	
BNSF2006-21HSR	5	\$5,000	\$5,000	\$3,170	09-28-2007	
BNSF2006-23EP	1	\$1,500	\$1,000	\$750	09-28-2007	
BNSF2006-25RSP	2	\$10,000	\$10,000	\$4,650	09-28-2007	
BNSF2006-26RSP	2	\$10,000	\$10,000	\$4,650	09-28-2007	
BNSF2006-28TS	5	\$15,000	\$15,000	\$9,850	09-28-2007	
BNSF2006-30FCS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
BNSF2006-33SA	4	\$12,500	\$12,500	\$10,680	09-28-2007	
BNSF2006-34FCS	2	\$7,500	\$7,500	\$5,250	09-28-2007	
BNSF2006-36ROP	1	\$5,000	\$5,000	\$3,200	09-28-2007	
BNSF2006-40HSR	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-41FCS	2	\$7,500	\$7,500	\$5,625	09-28-2007	
BNSF2006-43PEP	1	\$5,000	\$5,000	\$550	09-28-2007	
BNSF2006-47HMT	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-49HS	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-51HMT	1	\$5,000	\$5,000	\$3,200	09-28-2007	
BNSF2006-52TS	2	\$7,500	\$7,500	\$4,925	09-28-2007	
BNSF2006-54HMT	2	\$7,000	\$7,000	\$4,550	09-28-2007	
BNSF2006-56LI	63	\$74,500	\$74,500	\$54,375	09-28-2007	
BNSF2006-57EP	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-58SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-63SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
BNSF2006-64RW	1	\$3,000	\$3,000	\$1,800	09-28-2007	
BNSF2006-65TS	13	\$47,500	\$47,500	\$31,350	09-28-2007	
BNSF2006-66TS	2	\$10,000	\$10,000	\$6,600	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
BNSF2006-69HMT	1	\$4,000	\$4,000	\$2,400	09-28-2007	
BNSF2006-70GS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-71FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
BNSF2006-72HMT	1	\$2,500	\$2,500	\$1,650	09-28-2007	
BNSF2006-73HMT	1	\$2,500	\$2,500	\$1,650	09-28-2007	
BNSF2006-74HMT	2	\$6,000	\$6,000	\$4,050	09-28-2007	
BNSF2006-75HS	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-76SA	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-78SA	2	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-79SA	4	\$12,000	\$9,000	\$5,925	09-28-2007	REDUCED P RCA ON VI OLATION\$ 3 FROM 50 00 - 2000
BNSF2006-80SA	2	\$5,000	\$5,000	\$4,000	09-28-2007	
BNSF2006-81EP	1	\$2,000	\$1,000	\$750	09-28-2007	
BNSF2006-82HMT	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-83HMT	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-84SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-85SA	1	\$4,500	\$4,500	\$2,650	09-28-2007	
BNSF2006-86LI	3	\$5,500	\$5,500	\$3,825	09-28-2007	
BNSF2006-87EP	1	\$1,500	\$1,000	\$750	09-28-2007	
BNSF2006-88RW	1	\$1,000	\$1,000	\$630	09-28-2007	
BNSF2006-89TS	3	\$11,000	\$11,000	\$7,200	09-28-2007	
BNSF2006-90TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
BNSF2006-91SI	1	\$1,000	\$1,000	\$1,000	09-28-2007	
BNSF2006-92SA	1	\$10,000	\$10,000	\$7,500	09-28-2007	
BNSF2006-93RSP	3	\$15,000	\$15,000	\$6,150	09-28-2007	
BNSF2006-94RSP	3	\$15,000	\$15,000	\$4,500	09-28-2007	
BNSF2006-95RSP	6	\$30,000	\$30,000	\$9,000	09-28-2007	
BNSF2006-96TS	7	\$30,000	\$30,000	\$19,550	09-28-2007	
BNSF2006-98LI	3	\$7,500	\$7,500	\$5,375	09-28-2007	
BNSF2006-100SA	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-101GC	1	\$5,000	\$5,000	\$3,600	09-28-2007	
BNSF2006-104FC	1	\$2,500	\$2,500	\$1,375	09-28-2007	
BNSF2006-105SA	3	\$12,000	\$11,500	\$5,650	09-28-2007	REDUCED P OCA FOR V IOL# 3 FR OM 5000 T O 4000
BNSF2006-106SA	2	\$5,000	\$4,500	\$3,050	09-28-2007	REDUCED P OCA FROM 2500 TO 2 000 FOR V IOL# 2
BNSF2006-107SA	4	\$10,000	\$10,000	\$5,000	09-28-2007	REDUCED P OCA FROM 10000 TO 5000 FOR ALL FOUR

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2006-108SA	2	\$5,000	\$5,000	\$2,500	09-28-2007	VIOLATION S
BNSF2006-109SA	3	\$10,000	\$10,000	\$7,500	09-28-2007	
BNSF2006-110TS	3	\$7,500	\$7,500	\$4,900	09-28-2007	
BNSF2006-111LI	1	\$3,500	\$3,500	\$2,450	09-28-2007	
BNSF2006-112LI	2	\$11,500	\$11,500	\$7,800	09-28-2007	
BNSF2006-113LI	3	\$19,000	\$19,000	\$13,575	09-28-2007	
BNSF2006-114TS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
BNSF2006-115FC	1	\$2,500	\$2,500	\$1,375	09-28-2007	
BNSF2006-116SA	3	\$10,000	\$10,000	\$6,625	09-28-2007	
BNSF2006-117SA	1	\$1,500	\$1,500	\$975	09-28-2007	
BNSF2006-118SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-119SA	5	\$12,500	\$12,500	\$8,750	09-28-2007	
BNSF2006-121SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-123SI	1	\$1,000	\$1,000	\$720	09-28-2007	
BNSF2006-124TS	2	\$5,000	\$5,000	\$3,300	09-28-2007	
BNSF2006-125AR	1	\$2,500	\$0	\$0	09-28-2007	
BNSF2006-126TS	1	\$1,000	\$1,000	\$600	09-28-2007	TERMINATE D VIOLATI ON(S): 1
BNSF2006-127SA	3	\$6,500	\$6,500	\$3,950	09-28-2007	
BNSF2006-128EQ	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-129SA	2	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-130LI	2	\$3,000	\$3,000	\$2,400	09-28-2007	
BNSF2006-131FC	1	\$2,500	\$0	\$0	09-28-2007	
BNSF2006-132HMT	3	\$17,500	\$17,500	\$11,400	09-28-2007	
BNSF2006-133FC	1	\$2,500	\$2,500	\$1,875	09-28-2007	TERMINATE D VIOLATI ON(S): 1
BNSF2006-134GC	1	\$2,500	\$2,500	\$1,750	09-28-2007	
BNSF2006-135ROP	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-136GC	1	\$5,000	\$5,000	\$3,900	09-28-2007	
BNSF2006-137EP	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-138SA	2	\$7,500	\$7,500	\$5,750	09-28-2007	
BNSF2006-139SA	3	\$6,500	\$6,500	\$3,850	09-28-2007	
BNSF2006-140SA	2	\$5,000	\$5,000	\$3,125	09-28-2007	
BNSF2006-141TS	4	\$12,500	\$12,500	\$8,200	09-28-2007	
BNSF2006-142TS	2	\$10,000	\$10,000	\$6,500	09-28-2007	
BNSF2006-143TS	3	\$15,000	\$15,000	\$9,750	09-28-2007	
BNSF2006-144SA	3	\$10,000	\$10,000	\$6,750	09-28-2007	
BNSF2006-145LI	1	\$1,500	\$1,500	\$1,125	09-28-2007	
BNSF2006-146HS	3	\$3,000	\$3,000	\$1,890	09-28-2007	
BNSF2006-147HMT	1	\$7,500	\$7,500	\$5,000	09-28-2007	TERMINATE
BNSF2006-148HS	2	\$2,000	\$0	\$0	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						D VIOLATI ON(S): 1, 2
BNSF2006-149SA	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-151LI	9	\$18,500	\$18,500	\$13,875	09-28-2007	
BNSF2006-152SA	1	\$2,500	\$2,500	\$1,500	09-28-2007	
BNSF2006-153SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-154SA	4	\$20,000	\$20,000	\$13,000	09-28-2007	
BNSF2006-155FC S	1	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-156SI	2	\$3,500	\$3,500	\$2,520	09-28-2007	
BNSF2006-157GC	6	\$10,500	\$10,500	\$6,825	09-28-2007	
BNSF2006-158TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
BNSF2006-159SA	6	\$27,500	\$27,500	\$19,000	09-28-2007	
BNSF2006-160SA	5	\$14,500	\$13,500	\$9,525	09-28-2007	TERMINATE D VIOLATI ON(S) 2.2
BNSF2006-161TS	3	\$15,000	\$15,000	\$9,750	09-28-2007	
BNSF2006-162TS	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-163HM T	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-164HS R	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-165TS	3	\$7,000	\$7,000	\$4,450	09-28-2007	
BNSF2006-166TS	4	\$20,000	\$20,000	\$13,000	09-28-2007	
BNSF2006-167EQ	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-168EP	1	\$1,500	\$1,000	\$750	09-28-2007	
BNSF2006-169RO P	1	\$2,500	\$2,500	\$1,675	09-28-2007	
BNSF2006-170TS	5	\$13,000	\$13,000	\$8,350	09-28-2007	
BNSF2006-171TS	4	\$12,500	\$12,500	\$8,050	09-28-2007	
BNSF2006-172TS	3	\$8,500	\$8,500	\$5,450	09-28-2007	
BNSF2006-173TS	3	\$10,000	\$10,000	\$6,600	09-28-2007	
BNSF2006-174RS P	1	\$5,000	\$5,000	\$3,900	09-28-2007	
BNSF2006-175SA	2	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-177HM T	1	\$2,500	\$2,500	\$1,650	09-28-2007	
BNSF2006-179HM T	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-182HM T	1	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-183HM T	3	\$11,000	\$11,000	\$6,400	09-28-2007	
BNSF2006-184EO	1	\$2,500	\$2,500	\$1,675	09-28-2007	
BNSF2006-185RO P	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-186SA	1	\$2,500	\$2,500	\$1,750	09-28-2007	
BNSF2006-187RW	4	\$4,000	\$4,000	\$2,520	09-28-2007	
BNSF2006-189SA	4	\$14,500	\$14,500	\$10,900	09-28-2007	
BNSF2006-191SI	2	\$2,000	\$2,000	\$1,520	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2006-192FC S	2	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-193TS	2	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-194HM T	1	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-196EO	1	\$5,000	\$5,000	\$3,900	09-28-2007	
BNSF2006-197TS	1	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2006-198TS	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-199TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
BNSF2006-200TS	8	\$8,000	\$8,000	\$5,040	09-28-2007	
BNSF2006-201GC	2	\$10,000	\$10,000	\$7,350	09-28-2007	
BNSF2006-202TS	1	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2006-203SA	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-204TS	4	\$17,500	\$17,500	\$11,400	09-28-2007	
BNSF2006-205TS	5	\$5,000	\$5,000	\$3,150	09-28-2007	
BNSF2006-206TS	1	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2006-207RW	2	\$2,000	\$2,000	\$1,260	09-28-2007	
BNSF2006-208LI	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-209SA	4	\$25,000	\$17,500	\$12,250	09-28-2007	REDUCED P OCA FROM 10000 TO 2500 FOR VIOL.# 4
BNSF2006-210AR	2	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2,3,4
BNSF2006-211AR	3	\$7,500	\$5,000	\$3,250	09-28-2007	TERMINATE D VIOLATI ON(S): 2
BNSF2006-212AR	3	\$7,500	\$7,500	\$4,750	09-28-2007	
BNSF2006-213AR	2	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2
BNSF2006-214HS R	5	\$5,000	\$5,000	\$3,150	09-28-2007	
BNSF2006-215HS	2	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-216SI	5	\$6,500	\$6,500	\$4,930	09-28-2007	
BNSF2006-217GC	1	\$2,500	\$2,500	\$1,750	09-28-2007	
BNSF2006-218EO	2	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2006-219RW	1	\$1,000	\$1,000	\$630	09-28-2007	
BNSF2006-220TS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
BNSF2006-221TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
BNSF2006-222TS	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-224EP	3	\$4,500	\$3,000	\$2,250	09-28-2007	
BNSF2006-226TS	3	\$8,500	\$8,500	\$5,500	09-28-2007	
BNSF2006-227SA	3	\$6,000	\$6,000	\$4,000	09-28-2007	
BNSF2006-228SA	3	\$22,500	\$22,500	\$15,250	09-28-2007	
BNSF2006-229SA	4	\$40,000	\$40,000	\$28,000	09-28-2007	
BNSF2006-230SA	4	\$20,000	\$20,000	\$14,500	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
BNSF2006-231FC S	1	\$2,500	\$2,500	\$1,375	09-28-2007	
BNSF2006-232TS	4	\$20,000	\$20,000	\$13,150	09-28-2007	
BNSF2006-234TS	4	\$10,000	\$10,000	\$6,500	09-28-2007	
BNSF2006-235SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-236EP	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-237SA	2	\$10,000	\$10,000	\$7,250	09-28-2007	
BNSF2006-238RO P	1	\$5,000	\$5,000	\$3,500	09-28-2007	
BNSF2006-239SA	2	\$5,000	\$5,000	\$2,875	09-28-2007	
BNSF2006-240RW	1	\$1,000	\$1,000	\$630	09-28-2007	
BNSF2006-241GC	3	\$15,000	\$15,000	\$10,200	09-28-2007	
BNSF2006-242SI	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-243RS P	2	\$10,000	\$10,000	\$6,300	09-28-2007	
BNSF2006-244RS P	2	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-245RS P	2	\$10,000	\$10,000	\$3,000	09-28-2007	
BNSF2006-246SI	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-247GC	2	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-249GC	1	\$2,500	\$2,500	\$2,075	09-28-2007	
BNSF2006-250HM T	1	\$6,000	\$6,000	\$3,900	09-28-2007	
BNSF2006-251RS P	1	\$2,500	\$2,500	\$1,500	09-28-2007	
BNSF2006-252HM T	2	\$5,000	\$5,000	\$3,500	09-28-2007	
BNSF2006-253SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-256AR	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-257LI	2	\$2,000	\$2,000	\$1,375	09-28-2007	
BNSF2006-258EP	3	\$3,000	\$3,000	\$1,950	09-28-2007	
BNSF2006-260EP	1	\$1,500	\$1,000	\$750	09-28-2007	
BNSF2006-261SA	2	\$7,000	\$7,000	\$5,000	09-28-2007	
BNSF2006-262SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
BNSF2006-263SA	3	\$10,000	\$9,500	\$6,425	09-28-2007	REDUCED P OCA FROM 2500 TO 2 000 FOR V IOL#: 3
BNSF2006-264HM T	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-265AR	5	\$12,500	\$10,000	\$6,125	09-28-2007	TERMINATE D VIOLATI ON(S): 4
BNSF2006-266AR	6	\$15,000	\$15,000	\$9,675	09-28-2007	
BNSF2006-267AR	7	\$17,500	\$12,500	\$8,075	09-28-2007	TERMINATE D VIOLATI ON(S): 6, 9
BNSF2006-268AR	6	\$15,000	\$15,000	\$9,750	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2006-269AR	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-270HS	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-271GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-272SI	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-273RS P	2	\$7,500	\$7,500	\$3,000	09-28-2007	
BNSF2006-274RS P	1	\$5,000	\$5,000	\$1,500	09-28-2007	
BNSF2006-276TS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
BNSF2006-277SA	5	\$15,000	\$15,000	\$10,625	09-28-2007	
BNSF2006-278SI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
BNSF2006-279RS P	2	\$10,000	\$10,000	\$6,300	09-28-2007	
BNSF2006-280SA	1	\$5,000	\$5,000	\$3,500	09-28-2007	
BNSF2006-281TS	2	\$7,500	\$7,500	\$5,050	09-28-2007	
BNSF2006-282RO P	1	\$5,000	\$5,000	\$3,500	09-28-2007	
BNSF2006-283FC S	1	\$2,500	\$2,500	\$1,875	09-28-2007	
BNSF2006-284GC	2	\$10,000	\$10,000	\$7,200	09-28-2007	
BNSF2006-285LI	1	\$2,500	\$2,500	\$2,000	09-28-2007	
BNSF2006-286GC	3	\$15,000	\$15,000	\$10,800	09-28-2007	
BNSF2006-287TS	1	\$1,000	\$1,000	\$600	09-28-2007	
BNSF2006-288SA	3	\$7,500	\$7,500	\$5,250	09-28-2007	
BNSF2006-289SA	5	\$17,000	\$17,000	\$11,650	09-28-2007	
BNSF2006-290SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-291TS	8	\$23,000	\$23,000	\$15,158	09-28-2007	
BNSF2006-292TS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
BNSF2006-293SA	3	\$10,000	\$9,500	\$6,475	09-28-2007	REDUCED P OCA FROM 2500 TO 2 000 FOR V IOL#: 1
BNSF2006-294HM T	2	\$12,000	\$12,000	\$8,400	09-28-2007	
BNSF2006-295HM T	1	\$6,000	\$6,000	\$4,000	09-28-2007	
BNSF2006-296EO	3	\$15,000	\$15,000	\$10,050	09-28-2007	
BNSF2006-297SI	1	\$5,000	\$5,000	\$3,600	09-28-2007	
BNSF2006-298SA	2	\$4,500	\$4,500	\$2,925	09-28-2007	
BNSF2006-299SA	1	\$5,000	\$5,000	\$3,250	09-28-2007	
BNSF2006-304FC S	1	\$5,000	\$5,000	\$3,000	09-28-2007	
BNSF2006-305GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-306SI	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-308FC S	3	\$10,000	\$10,000	\$7,375	09-28-2007	
BNSF2006-310SA	5	\$10,500	\$10,500	\$6,650	09-28-2007	
BNSF2006-311SA	3	\$9,500	\$9,500	\$6,925	09-28-2007	
BNSF2006-312AR	5	\$12,500	\$12,500	\$8,025	09-28-2007	
BNSF2006-313TS	4	\$11,000	\$11,000	\$7,200	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2006-314TS	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2006-315TS	2	\$10,000	\$10,000	\$6,800	09-28-2007	
BNSF2006-317SA	5	\$25,000	\$25,000	\$17,250	09-28-2007	
BNSF2006-318SA	5	\$19,500	\$19,500	\$14,350	09-28-2007	
BNSF2006-319SA	5	\$22,500	\$22,500	\$15,000	09-28-2007	
BNSF2006-320HS R	1	\$1,000	\$1,000	\$640	09-28-2007	
BNSF2006-323GC	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-324SI	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-325LI	3	\$15,500	\$15,500	\$10,975	09-28-2007	
BNSF2006-328SI	1	\$1,000	\$1,000	\$600	09-28-2007	
BNSF2006-329SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2006-330AR	9	\$22,500	\$20,000	\$13,000	09-28-2007	TERMINATE D VIOLATI ON(S) : 6
BNSF2006-331AR	7	\$17,500	\$17,500	\$10,750	09-28-2007	
BNSF2006-332SA	2	\$15,000	\$15,000	\$10,750	09-28-2007	
BNSF2006-335AR	7	\$17,500	\$17,500	\$11,025	09-28-2007	
BNSF2006-336HM T	6	\$24,000	\$24,000	\$15,900	09-28-2007	
BNSF2006-337HM T	1	\$2,000	\$2,000	\$1,300	09-28-2007	
BNSF2006-339EO	1	\$2,500	\$2,500	\$1,525	09-28-2007	
BNSF2006-341RW	1	\$1,000	\$1,000	\$630	09-28-2007	
BNSF2006-342SA	4	\$14,500	\$14,500	\$9,550	09-28-2007	
BNSF2006-343HM T	1	\$6,000	\$6,000	\$3,300	09-28-2007	
BNSF2006-345TS	13	\$40,000	\$40,000	\$26,800	09-28-2007	
BNSF2006-348FC S	1	\$5,000	\$5,000	\$3,500	09-28-2007	
BNSF2006-349LI	7	\$8,500	\$8,500	\$5,480	09-28-2007	
BNSF2006-351SA	5	\$12,500	\$12,500	\$8,500	09-28-2007	
BNSF2006-352SA	3	\$10,000	\$10,000	\$7,000	09-28-2007	
BNSF2006-353SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
BNSF2006-355AR	7	\$17,500	\$15,000	\$9,425	09-28-2007	TERMINATE D VIOLATI ON(S) : 2
BNSF2006-359HS R	1	\$1,000	\$1,000	\$650	09-28-2007	
BNSF2006-361SI	1	\$1,000	\$1,000	\$1,000	09-28-2007	
BNSF2006-363SI	6	\$6,000	\$6,000	\$4,080	09-28-2007	
BNSF2006-365TS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
BNSF2006-368FC S	3	\$15,000	\$15,000	\$4,500	09-28-2007	
BNSF2007-1SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
BNSF2007-2TS	8	\$35,000	\$35,000	\$23,617	09-28-2007	
BNSF2007-3TS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
BNSF2007-5TS	1	\$5,000	\$5,000	\$3,350	09-28-2007	
BNSF2007-7GC	1	\$5,000	\$5,000	\$3,900	09-28-2007	
BNSF2007-8GC	1	\$5,000	\$5,000	\$3,600	09-28-2007	
BNSF2007-9TS	3	\$3,000	\$3,000	\$1,950	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
BNSF2007-10TS	3	\$4,500	\$4,500	\$3,000	09-28-2007	
BNSF2007-11SI	1	\$5,000	\$5,000	\$3,400	09-28-2007	
BNSF2007-12ROP	1	\$2,000	\$2,000	\$1,400	09-28-2007	
BNSF2007-13LI	2	\$5,000	\$5,000	\$3,100	09-28-2007	
BNSF2007-16SA	4	\$15,000	\$15,000	\$10,250	09-28-2007	
BNSF2007-18LI	2	\$5,000	\$5,000	\$3,125	09-28-2007	
BNSF2007-21EO	1	\$2,500	\$2,500	\$1,525	09-28-2007	
BNSF2007-25TS	3	\$11,000	\$11,000	\$7,250	09-28-2007	
BNSF2007-26RSP	1	\$5,000	\$5,000	\$3,150	09-28-2007	
BNSF2007-30LI	2	\$12,000	\$12,000	\$7,560	09-28-2007	
BNSF2007-49TS	2	\$5,000	\$5,000	\$3,300	09-28-2007	
BPRR2003-1GC	3	\$3,000	\$3,000	\$3,000	12-20-2006	
BPRR2003-2HMT	1	\$5,000	\$5,000	\$3,000	01-29-2007	
BPRR2004-1HMT	1	\$2,500	\$2,500	\$1,500	01-29-2007	
BPRR2004-2HMT	1	\$2,500	\$2,500	\$1,500	01-29-2007	
BPRR2005-1HMT	2	\$7,500	\$7,500	\$4,000	01-29-2007	
BPRR2005-2GC	2	\$5,000	\$5,000	\$3,000	01-29-2007	
BPRR2006-1SA	1	\$2,500	\$2,500	\$2,500	12-06-2006	
BPRR2006-2HMT	10	\$39,500	\$39,500	\$27,650	01-29-2007	
BSINC2004-1GC	1	\$5,000	\$5,000	\$550	01-31-2007	
CARR2005-1TS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CCEX2002-1EQ	2	\$2,000	\$0	\$0	01-18-2007	TRANSMITTED VIOLATION(S): 1,2
CCT2006-1TS	1	\$2,500	\$2,500	\$1,500	01-23-2007	
CDOT2004-1PEQ	2	\$5,000	\$5,000	\$3,250	01-03-2007	
CDOT2004-2PEQ	2	\$5,000	\$5,000	\$3,250	01-03-2007	
CFNR2005-1FCS	1	\$10,000	\$10,000	\$1,500	03-30-2007	
CFNR2006-1TS	2	\$5,000	\$2,500	\$1,600	03-30-2007	
CFWR2006-1SA	2	\$7,500	\$5,000	\$4,500	08-22-2007	
CIC2005-1GC	1	\$1,000	\$1,000	\$620	02-22-2007	
CKIN2006-1RW	1	\$2,000	\$0	\$0	09-10-2007	TERMINATED VIOLATION(S): 1
CKP2003-1SA	1	\$2,500	\$2,500	\$2,500	05-04-2007	
CKP2003-2TS	9	\$41,000	\$0	\$0	05-24-2007	TERMINATED VIOLATION(S): 1-9
CKP2003-3RW	1	\$20,000	\$0	\$0	05-24-2007	TERMINATED VIOLATION(S): 1
CN2006-11GC	2	\$2,000	\$2,000	\$1,300	09-27-2007	
CN2006-12SI	2	\$2,000	\$2,000	\$1,400	09-27-2007	
CN2006-13GC	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-14HMT	15	\$60,000	\$20,000	\$20,000	09-27-2007	TERMINATED VIOLATION(S): 1,2,3,6,7,8,11,12,13

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FRA No.	No. Viol.	POCA	PRCA	Amount	Settlement/Final Assessment Date	Comments
						,14,15
CN2006-15HMT	2	\$2,000	\$2,000	\$1,300	09-27-2007	
CN2006-16GC	1	\$1,000	\$1,000	\$750	09-27-2007	
CN2006-17SI	3	\$3,000	\$3,000	\$2,100	09-27-2007	
CN2006-18HMT	1	\$5,000	\$5,000	\$2,750	09-27-2007	
CN2006-19RW	1	\$1,000	\$1,000	\$700	09-27-2007	
CN2006-20HSR	17	\$17,000	\$17,000	\$11,900	09-27-2007	
CN2006-21HS	3	\$3,000	\$3,000	\$2,250	09-27-2007	
CN2006-22HMT	1	\$6,000	\$6,000	\$3,900	09-27-2007	
CN2006-23HMT	1	\$6,000	\$6,000	\$3,900	09-27-2007	
CN2006-24LI	1	\$2,500	\$2,500	\$1,675	09-27-2007	
CN2006-25HMT	1	\$1,000	\$1,000	\$650	09-27-2007	
CN2006-26TS	1	\$5,000	\$5,000	\$3,250	09-27-2007	
CN2006-27HS	1	\$1,000	\$1,000	\$700	09-27-2007	
CN2006-28HMT	1	\$5,000	\$5,000	\$3,750	09-27-2007	
CN2006-29HSR	1	\$1,000	\$1,000	\$670	09-27-2007	
CN2006-30HMT	1	\$7,500	\$7,500	\$5,000	09-27-2007	
CN2006-31ROP	2	\$7,000	\$7,000	\$4,900	09-27-2007	
CN2006-32SA	2	\$5,000	\$5,000	\$2,750	09-27-2007	
CN2006-33TS	3	\$10,000	\$10,000	\$7,000	09-27-2007	
CN2006-34LI	1	\$2,500	\$2,500	\$1,675	09-27-2007	
CN2006-35HMT	1	\$6,000	\$6,000	\$4,200	09-27-2007	
CN2006-36FCS	5	\$15,000	\$15,000	\$9,750	09-27-2007	
CN2006-37HMT	2	\$8,000	\$8,000	\$4,400	09-27-2007	
CN2006-38TS	1	\$2,500	\$2,500	\$1,625	09-27-2007	
CN2006-39TS	1	\$1,000	\$1,000	\$650	09-27-2007	
CN2006-40SI	38	\$38,000	\$38,000	\$26,600	09-27-2007	
CN2006-41SI	5	\$8,000	\$8,000	\$5,600	09-27-2007	
CN2006-42GC	5	\$11,000	\$11,000	\$8,800	09-27-2007	
CN2006-43SA	1	\$2,500	\$2,500	\$1,675	09-27-2007	
CN2006-44HS	2	\$2,000	\$2,000	\$1,400	09-27-2007	
CN2006-46AR	3	\$7,500	\$7,500	\$4,875	09-27-2007	
CN2006-47AR	3	\$7,500	\$7,500	\$4,875	09-27-2007	
CN2006-48HMT	1	\$6,000	\$6,000	\$4,200	09-27-2007	
CN2006-49HMT	4	\$17,000	\$17,000	\$11,500	09-27-2007	
CN2006-50FCS	1	\$2,500	\$2,500	\$1,625	09-27-2007	
CN2006-51FCS	3	\$7,500	\$7,500	\$4,875	09-27-2007	
CN2006-52SA	1	\$2,500	\$2,500	\$2,000	09-27-2007	
CN2006-53AR	7	\$17,500	\$17,500	\$11,375	09-27-2007	
CN2006-55RW	1	\$1,000	\$1,000	\$700	09-27-2007	
CN2006-56AR	10	\$25,000	\$25,000	\$16,275	09-27-2007	
CN2006-57AR	3	\$7,500	\$5,000	\$3,250	09-27-2007	TERMINATE D VIOLATI ON(S) : 2
CN2006-58FCS	2	\$5,000	\$5,000	\$3,250	09-27-2007	
CN2006-59HMT	4	\$8,000	\$8,000	\$5,200	09-27-2007	
CN2006-60GC	4	\$4,000	\$4,000	\$3,200	09-27-2007	
CN2006-61SA	2	\$10,000	\$10,000	\$8,000	09-27-2007	
CN2006-62HSR	1	\$1,000	\$1,000	\$670	09-27-2007	
CN2006-63AR	2	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-64GC	1	\$5,000	\$5,000	\$3,500	09-27-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CN2006-65GC	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-66SI	6	\$6,000	\$6,000	\$3,780	09-27-2007	
CN2006-67AR	2	\$5,000	\$5,000	\$3,350	09-27-2007	
CN2006-68HS	1	\$1,000	\$1,000	\$750	09-27-2007	
CN2006-69HSR	2	\$2,000	\$2,000	\$1,340	09-27-2007	
CN2006-71RW	1	\$3,000	\$3,000	\$2,400	09-27-2007	
CN2006-72SA	1	\$2,500	\$2,500	\$1,625	09-27-2007	
CN2006-73SA	3	\$10,000	\$10,000	\$6,500	09-27-2007	
CN2006-74HSR	2	\$2,000	\$2,000	\$1,340	09-27-2007	
CN2006-75FCS	1	\$5,000	\$5,000	\$3,250	09-27-2007	
CN2006-76GC	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-77TS	4	\$15,000	\$15,000	\$9,750	09-27-2007	
CN2006-78SA	5	\$20,000	\$20,000	\$14,000	09-27-2007	
CN2006-79AR	10	\$25,000	\$25,000	\$17,325	09-27-2007	
CN2006-80AR	10	\$25,000	\$22,500	\$14,850	09-27-2007	TERMINATE D VIOLATI ON(S) : 7
CN2006-81HSR	1	\$1,000	\$1,000	\$670	09-27-2007	
CN2006-82HMT	1	\$4,000	\$4,000	\$2,600	09-27-2007	
CN2006-83SA	1	\$5,000	\$5,000	\$3,750	09-27-2007	
CN2006-85AR	5	\$12,500	\$12,500	\$8,125	09-27-2007	
CN2006-86AR	1	\$2,500	\$2,500	\$1,750	09-27-2007	
CN2006-87HSR	8	\$8,000	\$8,000	\$5,360	09-27-2007	
CN2006-88HSR	6	\$6,000	\$6,000	\$4,020	09-27-2007	
CN2006-89HMT	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-90HMT	3	\$15,500	\$15,500	\$10,500	09-27-2007	
CN2006-91TS	1	\$1,000	\$1,000	\$650	09-27-2007	
CN2006-92SA	1	\$5,000	\$5,000	\$4,250	09-27-2007	
CN2006-93SA	2	\$5,000	\$5,000	\$3,250	09-27-2007	
CN2006-94SA	1	\$2,500	\$2,500	\$1,375	09-27-2007	
CN2006-95SA	3	\$10,000	\$10,000	\$7,000	09-27-2007	
CN2006-96SA	2	\$10,000	\$10,000	\$7,000	09-27-2007	
CN2006-97TS	1	\$5,000	\$5,000	\$4,000	09-27-2007	
CN2006-98HSR	10	\$10,000	\$10,000	\$6,700	09-27-2007	
CN2006-100SA	1	\$2,500	\$2,500	\$1,750	09-27-2007	
CN2006-101ROP	2	\$10,000	\$10,000	\$7,000	09-27-2007	
CN2006-103HSR	1	\$1,000	\$1,000	\$670	09-27-2007	
CN2006-106HMT	1	\$4,000	\$4,000	\$2,600	09-27-2007	
CN2006-107HMT	1	\$5,000	\$5,000	\$4,250	09-27-2007	
CN2006-108SA	1	\$2,500	\$2,500	\$1,750	09-27-2007	
CN2006-109ROP	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-110SI	2	\$2,000	\$2,000	\$1,400	09-27-2007	
CN2006-111LI	1	\$7,500	\$7,500	\$5,025	09-27-2007	
CN2006-112SA	2	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-113SA	2	\$10,000	\$10,000	\$7,300	09-27-2007	
CN2006-114SA	1	\$2,500	\$2,500	\$1,675	09-27-2007	
CN2006-115SA	1	\$2,500	\$2,500	\$1,675	09-27-2007	
CN2006-116SI	1	\$2,500	\$2,500	\$1,750	09-27-2007	
CN2006-117TS	2	\$2,000	\$2,000	\$1,400	09-27-2007	
CN2006-118EO	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-119HMT	1	\$6,000	\$6,000	\$3,900	09-27-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CN2006-120GC	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-121EP	2	\$2,000	\$2,000	\$1,200	09-27-2007	
CN2006-122AR	8	\$22,500	\$22,500	\$14,525	09-27-2007	
CN2006-123PEP	5	\$12,500	\$12,500	\$8,125	09-27-2007	
CN2006-124ROR	4	\$20,000	\$20,000	\$10,000	09-27-2007	
CN2006-126HSR	1	\$1,000	\$1,000	\$670	09-27-2007	
CN2006-127RW	1	\$1,000	\$1,000	\$700	09-27-2007	
CN2006-128AR	10	\$23,500	\$23,500	\$15,525	09-27-2007	
CN2006-129AR	6	\$15,000	\$15,000	\$9,750	09-27-2007	
CN2006-130PEP	5	\$25,000	\$25,000	\$16,250	09-27-2007	
CN2006-131EO	1	\$5,000	\$5,000	\$3,500	09-27-2007	
CN2006-132EO	1	\$7,500	\$7,500	\$5,250	09-27-2007	
CN2006-133HMT	2	\$12,000	\$12,000	\$7,750	09-27-2007	
CN2006-134HMT	2	\$11,000	\$11,000	\$8,250	09-27-2007	
CN2006-135ROR	1	\$5,000	\$5,000	\$2,500	09-27-2007	
CN2006-136ROR	4	\$20,000	\$20,000	\$10,000	09-27-2007	
CN2006-137HMT	2	\$9,000	\$9,000	\$6,750	09-27-2007	
CN2006-138ROR	1	\$2,500	\$2,500	\$1,250	09-27-2007	
CN2006-139GC	1	\$1,000	\$1,000	\$700	09-27-2007	
CN2006-140SA	1	\$5,000	\$5,000	\$3,350	09-27-2007	
CN2006-141SA	1	\$5,000	\$5,000	\$3,350	09-27-2007	
CN2006-142HS	1	\$1,000	\$1,000	\$750	09-27-2007	
CN2006-143HMT	1	\$5,000	\$5,000	\$3,750	09-27-2007	
CN2006-144FCS	1	\$5,000	\$5,000	\$3,250	09-27-2007	
CN2006-145HMT	1	\$4,000	\$4,000	\$2,600	09-27-2007	
CN2006-146HMT	3	\$10,000	\$10,000	\$6,500	09-27-2007	
CN2006-148SA	5	\$20,000	\$20,000	\$14,000	09-27-2007	
CN2006-149FCS	1	\$2,500	\$2,500	\$1,625	09-27-2007	
CN2006-150SA	4	\$20,000	\$20,000	\$14,000	09-27-2007	
CN2006-151SA	3	\$12,500	\$12,500	\$8,750	09-27-2007	
CN2006-152SA	1	\$10,000	\$10,000	\$7,200	09-27-2007	
CN2006-153FCS	1	\$2,500	\$2,500	\$1,625	09-27-2007	
CN2007-25RSP	1	\$1,000	\$0	\$0	08-30-2007	TERMINATE D VIOLATI ON(S): 1 - WRONG R R
CNUR2005-1AD	1	\$5,000	\$5,000	\$550	02-05-2007	
COSR2007-1AD	1	\$2,500	\$2,500	\$2,500	07-17-2007	
CP2006-16AR	1	\$1,000	\$1,000	\$700	09-21-2007	
CP2006-17TS	1	\$5,000	\$5,000	\$3,500	09-21-2007	
CP2006-18TS	1	\$5,000	\$5,000	\$3,500	09-21-2007	
CP2006-19TS	1	\$5,000	\$5,000	\$3,350	09-21-2007	
CP2006-20SI	12	\$24,000	\$24,000	\$14,400	09-21-2007	
CP2006-21GC	1	\$2,000	\$2,000	\$1,340	09-21-2007	
CP2006-22HMT	1	\$4,000	\$4,000	\$2,720	09-21-2007	
CP2006-23SA	2	\$5,000	\$5,000	\$3,675	09-21-2007	
CP2006-24HMT	2	\$3,000	\$3,000	\$2,040	09-21-2007	
CP2006-25HMT	1	\$2,000	\$2,000	\$1,360	09-21-2007	
CP2006-26TH	1	\$2,500	\$2,500	\$1,750	09-21-2007	
CP2006-27SA	2	\$5,000	\$5,000	\$3,750	09-21-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CP2007-1LI	2	\$2,500	\$2,500	\$1,750	09-21-2007	
CP2007-2TS	1	\$5,000	\$5,000	\$3,150	09-21-2007	
CP2007-3TS	1	\$1,000	\$1,000	\$630	09-21-2007	
CP2007-4HS	1	\$1,000	\$1,000	\$750	09-21-2007	
CP2007-5SA	2	\$5,000	\$5,000	\$3,750	09-21-2007	
CP2007-7HMT	1	\$1,000	\$1,000	\$650	09-21-2007	
CSX2004-184FCS	7	\$25,000	\$25,000	\$17,000	09-28-2007	
CSX2005-24HMT	1	\$7,500	\$7,500	\$4,600	09-28-2007	
CSX2005-72FCS	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2005-209TS	2	\$7,000	\$7,000	\$4,390	09-28-2007	
CSX2005-237FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2005-245FCS	2	\$10,000	\$5,000	\$3,300	09-28-2007	TERMINATE D VIOLATI ON(S): 2
CSX2005-275FCS	8	\$20,000	\$20,000	\$13,200	09-28-2007	
CSX2005-292HMT	1	\$4,000	\$4,000	\$2,500	09-28-2007	
CSX2006-10TS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-15TS	2	\$5,000	\$5,000	\$3,050	09-28-2007	
CSX2006-17HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-28HMT	9	\$35,000	\$35,000	\$21,000	09-28-2007	
CSX2006-32HMT	4	\$24,000	\$24,000	\$15,600	09-28-2007	
CSX2006-33GC	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-34HMT	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-35HMT	1	\$7,500	\$7,500	\$5,000	09-28-2007	
CSX2006-37FCS	4	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-38FCS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-39EO	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-41AR	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-42LI	4	\$10,000	\$10,000	\$7,000	09-28-2007	
CSX2006-43RW	1	\$1,000	\$1,000	\$575	09-28-2007	
CSX2006-44EQ	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2006-47SA	3	\$15,000	\$15,000	\$9,450	09-28-2007	
CSX2006-48SI	2	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-49GC	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-50RSP	1	\$1,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-51AR	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-52TS	3	\$12,500	\$12,500	\$6,500	09-28-2007	
CSX2006-53RSP	1	\$1,000	\$1,000	\$500	09-28-2007	
CSX2006-54SA	2	\$7,500	\$7,500	\$4,825	09-28-2007	
CSX2006-55HMT	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-56HMT	2	\$10,000	\$10,000	\$6,600	09-28-2007	
CSX2006-57HMT	1	\$4,000	\$4,000	\$2,700	09-28-2007	
CSX2006-58SI	4	\$4,000	\$4,000	\$2,700	09-28-2007	
CSX2006-59HMT	1	\$7,500	\$7,500	\$4,900	09-28-2007	
CSX2006-60AR	3	\$7,500	\$7,500	\$4,725	09-28-2007	
CSX2006-61SA	3	\$12,500	\$12,500	\$8,075	09-28-2007	
CSX2006-62TS	4	\$20,000	\$20,000	\$11,500	09-28-2007	
CSX2006-63TS	2	\$10,000	\$5,000	\$4,000	09-28-2007	TERMINATE D VIOLATI

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						ON(S): 1
CSX2006-64AD	2	\$4,000	\$4,000	\$2,900	09-28-2007	
CSX2006-65RW	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2006-66HS	9	\$9,000	\$9,000	\$5,600	09-28-2007	
CSX2006-67HS	10	\$10,000	\$10,000	\$6,300	09-28-2007	
CSX2006-68HS	11	\$11,000	\$11,000	\$7,000	09-28-2007	
CSX2006-69HS	7	\$7,000	\$7,000	\$4,350	09-28-2007	
CSX2006-70HSR	5	\$5,000	\$5,000	\$3,050	09-28-2007	
CSX2006-71AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2006-72SA	1	\$5,000	\$5,000	\$3,250	09-28-2007	
CSX2006-73GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
CSX2006-74HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-75LI	1	\$4,000	\$4,000	\$2,600	09-28-2007	
CSX2006-76HS	3	\$3,000	\$3,000	\$1,800	09-28-2007	
CSX2006-77HMT	1	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2006-78HMT	2	\$10,000	\$10,000	\$5,500	09-28-2007	
CSX2006-79SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-80TS	3	\$11,000	\$11,000	\$6,550	09-28-2007	
CSX2006-81TS	4	\$10,500	\$10,500	\$6,440	09-28-2007	
CSX2006-82LI	2	\$3,000	\$3,000	\$1,825	09-28-2007	
CSX2006-83AR	1	\$2,500	\$2,500	\$1,525	09-28-2007	
CSX2006-84RSP	1	\$2,500	\$2,500	\$1,250	09-28-2007	
CSX2006-85HSR	1	\$1,000	\$1,000	\$550	09-28-2007	
CSX2006-86GC	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-87SA	3	\$7,500	\$7,500	\$4,875	09-28-2007	
CSX2006-88HMT	1	\$4,000	\$4,000	\$2,400	09-28-2007	
CSX2006-89HMT	2	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2006-90SI	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-91HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-92FCS	2	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-93ROP	1	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-94ROR	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-96SA	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2006-97FCS	1	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2
CSX2006-98GC	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-99TS	12	\$32,500	\$32,500	\$20,025	09-28-2007	
CSX2006-100RW	1	\$3,000	\$3,000	\$2,040	09-28-2007	
CSX2006-101FCS	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-102FCS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-103FCS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-104AD	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-105SA	2	\$10,000	\$10,000	\$6,400	09-28-2007	
CSX2006-106HMT	1	\$4,000	\$4,000	\$2,700	09-28-2007	
CSX2006-107HMT	1	\$7,500	\$7,500	\$4,000	09-28-2007	
CSX2006-108TS	4	\$4,000	\$4,000	\$2,440	09-28-2007	
CSX2006-109RSP	2	\$2,000	\$2,000	\$1,300	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CSX2006-110TS	2	\$7,500	\$7,500	\$4,650	09-28-2007	
CSX2006-111TS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-112SI	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-113GC	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2006-114FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2006-115SI	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-116GC	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-117FCS	2	\$5,000	\$5,000	\$3,700	09-28-2007	
CSX2006-118HMT	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-119EO	1	\$7,500	\$7,500	\$5,100	09-28-2007	
CSX2006-120AR	2	\$5,000	\$5,000	\$3,150	09-28-2007	
CSX2006-122HMT	2	\$13,500	\$13,500	\$8,800	09-28-2007	
CSX2006-123SI	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-124HMT	1	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-125TS	1	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-126SI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2006-127SA	1	\$5,000	\$5,000	\$3,250	09-28-2007	
CSX2006-128HSR	7	\$7,000	\$7,000	\$4,300	09-28-2007	
CSX2006-129AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2006-130RW	1	\$1,000	\$1,000	\$660	09-28-2007	
CSX2006-131SA	2	\$7,500	\$7,500	\$4,800	09-28-2007	
CSX2006-132RSP	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2006-134EO	1	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-135TS	1	\$5,000	\$5,000	\$2,850	09-28-2007	
CSX2006-136SA	4	\$17,500	\$17,500	\$11,100	09-28-2007	
CSX2006-137HS	3	\$3,000	\$3,000	\$1,800	09-28-2007	
CSX2006-138HS	5	\$5,000	\$5,000	\$3,150	09-28-2007	
CSX2006-139HSR	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2006-140AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2006-141AD	1	\$2,500	\$2,500	\$1,450	09-28-2007	
CSX2006-142LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2006-143FCS	7	\$17,500	\$17,500	\$12,600	09-28-2007	
CSX2006-144SA	6	\$22,500	\$22,500	\$14,325	09-28-2007	
CSX2006-145HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-146HMT	9	\$32,000	\$32,000	\$19,800	09-28-2007	
CSX2006-147SI	4	\$8,500	\$8,500	\$5,900	09-28-2007	
CSX2006-148GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
CSX2006-149HSR	2	\$4,000	\$4,000	\$2,300	09-28-2007	
CSX2006-150HMT	5	\$19,500	\$19,500	\$12,800	09-28-2007	
CSX2006-151FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2006-152HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-153FCS	4	\$10,000	\$10,000	\$6,800	09-28-2007	
CSX2006-154HMT	2	\$10,000	\$10,000	\$6,000	09-28-2007	
CSX2006-155FCS	5	\$17,500	\$17,500	\$12,900	09-28-2007	
CSX2006-156TS	6	\$15,000	\$15,000	\$9,300	09-28-2007	
CSX2006-157TS	3	\$15,000	\$15,000	\$9,100	09-28-2007	
CSX2006-158TS	2	\$7,500	\$7,500	\$4,550	09-28-2007	
CSX2006-159RW	7	\$7,000	\$7,000	\$4,170	09-28-2007	
CSX2006-160AD	1	\$2,500	\$2,500	\$1,750	09-28-2007	
CSX2006-161LI	2	\$11,000	\$11,000	\$6,600	09-28-2007	
CSX2006-162SA	4	\$15,000	\$15,000	\$9,300	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CSX2006-163SA	4	\$17,500	\$12,500	\$8,000	09-28-2007	TERMINATE D VIOLATI ON(S): 4
CSX2006-164FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-165SI	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-166FCS	2	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-167FCS	4	\$12,500	\$12,500	\$8,500	09-28-2007	
CSX2006-169SA	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-170SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
CSX2006-172SI	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2006-173HSR	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2006-174AR	2	\$5,000	\$5,000	\$2,950	09-28-2007	
CSX2006-175SI	1	\$5,000	\$5,000	\$2,900	09-28-2007	
CSX2006-176HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-177ROP	1	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-178TS	2	\$4,500	\$4,500	\$2,790	09-28-2007	
CSX2006-179TS	3	\$7,500	\$7,500	\$4,300	09-28-2007	
CSX2006-181SA	3	\$10,000	\$7,500	\$4,750	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-182TS	1	\$2,500	\$2,500	\$1,675	09-28-2007	
CSX2006-185SA	4	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2006-186SA	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-187GC	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2006-188HS	1	\$1,000	\$1,000	\$700	09-28-2007	
CSX2006-189SA	1	\$5,000	\$5,000	\$2,650	09-28-2007	
CSX2006-190ROP	1	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2006-191GC	2	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-192FCS	2	\$7,500	\$7,500	\$5,400	09-28-2007	
CSX2006-193GC	3	\$10,000	\$10,000	\$6,700	09-28-2007	
CSX2006-194EQ	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2006-195TS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-196LI	2	\$5,000	\$5,000	\$3,050	09-28-2007	
CSX2006-197RW	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2006-198SA	3	\$12,500	\$12,500	\$7,350	09-28-2007	
CSX2006-199SA	3	\$12,500	\$12,500	\$7,575	09-28-2007	
CSX2006-200SA	4	\$15,000	\$15,000	\$9,275	09-28-2007	
CSX2006-202SA	3	\$12,500	\$12,500	\$7,750	09-28-2007	
CSX2006-203FCS	2	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-204FCS	3	\$7,500	\$7,500	\$5,500	09-28-2007	
CSX2006-205FCS	2	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-206EO	1	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-207GC	14	\$14,000	\$14,000	\$8,400	09-28-2007	
CSX2006-208FCS	2	\$10,000	\$10,000	\$7,000	09-28-2007	
CSX2006-209FCS	2	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-210FCS	2	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-211SA	3	\$12,500	\$12,500	\$7,875	09-28-2007	
CSX2006-212SA	2	\$5,000	\$5,000	\$3,175	09-28-2007	
CSX2006-213SA	2	\$7,500	\$7,500	\$4,825	09-28-2007	
CSX2006-214SA	3	\$7,500	\$7,500	\$4,850	09-28-2007	
CSX2006-215SA	3	\$7,500	\$7,500	\$4,725	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CSX2006-216AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2006-217FCS	3	\$7,500	\$7,500	\$4,925	09-28-2007	
CSX2006-218FCS	4	\$12,500	\$12,500	\$9,000	09-28-2007	
CSX2006-219HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-220HMT	1	\$4,000	\$4,000	\$2,600	09-28-2007	
CSX2006-221HMT	1	\$6,000	\$6,000	\$3,900	09-28-2007	
CSX2006-222HMT	4	\$28,500	\$28,500	\$16,500	09-28-2007	
CSX2006-223HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-224HMT	1	\$6,000	\$6,000	\$3,900	09-28-2007	
CSX2006-225HMT	2	\$13,500	\$13,500	\$8,800	09-28-2007	
CSX2006-226HMT	1	\$6,000	\$6,000	\$3,900	09-28-2007	
CSX2006-227HMT	2	\$13,500	\$13,500	\$8,800	09-28-2007	
CSX2006-228SI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2006-229LI	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-230HMT	1	\$6,000	\$6,000	\$4,000	09-28-2007	
CSX2006-231HS	4	\$4,000	\$4,000	\$2,400	09-28-2007	
CSX2006-232SA	4	\$17,500	\$17,500	\$10,600	09-28-2007	
CSX2006-233SA	5	\$15,000	\$15,000	\$8,150	09-28-2007	
CSX2006-234FCS	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-235FCS	2	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-236RSP	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-237TS	4	\$20,000	\$20,000	\$11,600	09-28-2007	
CSX2006-238TS	3	\$15,000	\$15,000	\$7,975	09-28-2007	
CSX2006-239TS	2	\$10,000	\$10,000	\$6,200	09-28-2007	
CSX2006-240TS	4	\$17,500	\$17,500	\$10,675	09-28-2007	
CSX2006-241LI	1	\$1,500	\$1,500	\$1,000	09-28-2007	
CSX2006-242SA	3	\$10,000	\$10,000	\$4,000	09-28-2007	
CSX2006-243SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-244TS	3	\$12,500	\$12,500	\$7,850	09-28-2007	
CSX2006-245AR	2	\$5,000	\$5,000	\$3,225	09-28-2007	
CSX2006-247HS	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2006-248LI	4	\$20,000	\$20,000	\$14,500	09-28-2007	
CSX2006-250SA	3	\$7,500	\$7,500	\$4,650	09-28-2007	
CSX2006-251SA	3	\$10,000	\$10,000	\$6,200	09-28-2007	
CSX2006-252SA	3	\$10,000	\$10,000	\$6,350	09-28-2007	
CSX2006-253EO	1	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-254HS	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2006-255HMT	1	\$6,000	\$6,000	\$4,000	09-28-2007	
CSX2006-256HMT	1	\$1,000	\$1,000	\$800	09-28-2007	
CSX2006-257FCS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-258RSP	1	\$1,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-259TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-260LI	11	\$25,000	\$25,000	\$15,100	09-28-2007	
CSX2006-262RSP	1	\$1,000	\$1,000	\$700	09-28-2007	
CSX2006-263SA	3	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2006-264SA	4	\$17,500	\$17,500	\$9,200	09-28-2007	
CSX2006-265SA	4	\$17,500	\$17,500	\$11,000	09-28-2007	
CSX2006-266SA	4	\$20,000	\$17,500	\$11,300	09-28-2007	TERMINATE D VIOLATI

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						ON(S) : 2
CSX2006-267SA	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-268SA	5	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2006-269SA	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-270SA	5	\$12,500	\$12,500	\$8,100	09-28-2007	
CSX2006-271SA	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-272SA	4	\$15,000	\$12,500	\$7,100	09-28-2007	TERMINATE D VIOLATI ON(S) : 1
CSX2006-273SA	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-274SA	2	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2006-275SA	3	\$15,000	\$15,000	\$9,500	09-28-2007	
CSX2006-276HMT	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-277HMT	2	\$15,000	\$15,000	\$9,700	09-28-2007	
CSX2006-279HMT	1	\$6,000	\$6,000	\$4,000	09-28-2007	
CSX2006-280FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-281TS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2006-282SI	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-283LI	1	\$4,000	\$4,000	\$2,400	09-28-2007	
CSX2006-284FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2006-285HMT	2	\$10,000	\$10,000	\$6,480	09-28-2007	
CSX2006-286FCS	3	\$7,500	\$7,500	\$5,300	09-28-2007	
CSX2006-287FCS	5	\$15,000	\$15,000	\$10,000	09-28-2007	
CSX2006-288FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-289HMT	1	\$5,000	\$5,000	\$3,275	09-28-2007	
CSX2006-290HMT	1	\$5,000	\$5,000	\$3,275	09-28-2007	
CSX2006-291HMT	2	\$16,000	\$16,000	\$10,600	09-28-2007	
CSX2006-292GC	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2006-293SI	2	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2006-294HMT	3	\$21,000	\$21,000	\$13,775	09-28-2007	
CSX2006-295HMT	3	\$15,500	\$15,500	\$9,400	09-28-2007	
CSX2006-296FCS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2006-297FCS	3	\$10,000	\$10,000	\$7,000	09-28-2007	
CSX2006-299FCS	4	\$17,500	\$17,500	\$10,800	09-28-2007	
CSX2006-300HMT	5	\$34,000	\$34,000	\$20,400	09-28-2007	
CSX2006-301HMT	2	\$10,000	\$10,000	\$6,360	09-28-2007	
CSX2006-303HS	7	\$7,000	\$7,000	\$4,400	09-28-2007	
CSX2006-305HMT	1	\$6,000	\$6,000	\$3,925	09-28-2007	
CSX2006-306HMT	1	\$4,000	\$4,000	\$2,500	09-28-2007	
CSX2006-307SI	1	\$1,000	\$1,000	\$675	09-28-2007	
CSX2006-308SI	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2006-309TS	1	\$1,000	\$1,000	\$670	09-28-2007	
CSX2006-310TS	3	\$12,500	\$12,500	\$7,600	09-28-2007	
CSX2006-311HMT	1	\$4,000	\$4,000	\$2,700	09-28-2007	
CSX2006-312SA	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-313SA	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-314SA	3	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2006-315SA	3	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2006-316TS	2	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-317HMT	2	\$13,500	\$13,500	\$8,470	09-28-2007	
CSX2006-318HMT	4	\$28,500	\$28,500	\$18,525	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CSX2006-319HMT	1	\$1,000	\$1,000	\$750	09-28-2007	
CSX2006-320HS	2	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2006-321GC	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2006-322SI	1	\$1,000	\$1,000	\$550	09-28-2007	
CSX2006-323HMT	1	\$4,000	\$4,000	\$2,575	09-28-2007	
CSX2006-324HMT	1	\$1,000	\$1,000	\$750	09-28-2007	
CSX2006-325SA	2	\$10,000	\$10,000	\$6,600	09-28-2007	
CSX2006-326SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-327RW	3	\$3,000	\$3,000	\$1,820	09-28-2007	
CSX2006-328LI	3	\$7,500	\$7,500	\$4,700	09-28-2007	
CSX2006-329HMT	1	\$6,000	\$6,000	\$3,925	09-28-2007	
CSX2006-330HMT	1	\$2,000	\$2,000	\$1,450	09-28-2007	
CSX2006-331HMT	1	\$6,000	\$6,000	\$3,925	09-28-2007	
CSX2006-332FCS	2	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-333FCS	3	\$7,500	\$7,500	\$5,000	09-28-2007	
CSX2006-334SA	1	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-335FCS	1	\$5,000	\$5,000	\$3,700	09-28-2007	
CSX2006-336FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2006-337TS	3	\$6,000	\$6,000	\$3,900	09-28-2007	
CSX2006-338FCS	1	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-339LI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2006-340HSR	3	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2006-341SA	5	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2006-342SA	5	\$17,500	\$17,500	\$11,000	09-28-2007	
CSX2006-343SA	5	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2006-344SA	5	\$17,500	\$17,500	\$11,400	09-28-2007	
CSX2006-345SA	5	\$12,500	\$7,500	\$4,900	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2006-346SA	4	\$15,000	\$15,000	\$9,700	09-28-2007	
CSX2006-347SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2006-348FCS	3	\$7,500	\$7,500	\$4,600	09-28-2007	
CSX2006-349FCS	2	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2006-350SI	1	\$2,500	\$2,500	\$1,500	09-28-2007	
CSX2006-351LI	6	\$15,000	\$15,000	\$10,200	09-28-2007	
CSX2006-352FCS	3	\$10,000	\$10,000	\$7,400	09-28-2007	
CSX2006-353FCS	2	\$7,500	\$7,500	\$5,500	09-28-2007	
CSX2006-354FCS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2006-355HS	5	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2006-356HSR	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2006-357GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2006-358AR	1	\$2,500	\$2,500	\$1,625	09-28-2007	
CSX2006-359SI	1	\$2,500	\$2,500	\$1,000	09-28-2007	
CSX2006-360HMT	1	\$1,000	\$1,000	\$750	09-28-2007	
CSX2006-361HMT	3	\$13,000	\$13,000	\$8,550	09-28-2007	
CSX2006-362HMT	1	\$4,000	\$4,000	\$2,640	09-28-2007	
CSX2006-363HMT	2	\$6,000	\$6,000	\$4,020	09-28-2007	
CSX2006-364SI	1	\$1,000	\$1,000	\$675	09-28-2007	
CSX2006-365FCS	1	\$2,500	\$2,500	\$1,600	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
CSX2006-366FCS	2	\$7,500	\$7,500	\$4,750	09-28-2007	
CSX2006-367FCS	2	\$7,500	\$2,500	\$1,700	09-28-2007	TERMINATE D VIOLATI ON(S): 2
CSX2006-368TS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2006-369RSP	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2006-370TS	2	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2006-371TS	4	\$16,000	\$16,000	\$10,500	09-28-2007	
CSX2006-372TS	4	\$16,000	\$16,000	\$10,500	09-28-2007	
CSX2006-373TS	11	\$27,500	\$27,500	\$18,000	09-28-2007	
CSX2006-374TS	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2006-376HMT	1	\$6,000	\$6,000	\$3,960	09-28-2007	
CSX2006-377HMT	1	\$4,000	\$4,000	\$2,640	09-28-2007	
CSX2006-378FCS	4	\$12,500	\$12,500	\$9,200	09-28-2007	
CSX2006-380HS	2	\$2,000	\$2,000	\$1,500	09-28-2007	
CSX2007-2AD	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2007-3SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
CSX2007-4SA	4	\$15,000	\$15,000	\$9,600	09-28-2007	
CSX2007-5SI	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2007-6SI	1	\$2,500	\$2,500	\$1,525	09-28-2007	
CSX2007-7TS	1	\$5,000	\$5,000	\$3,250	09-28-2007	
CSX2007-8TS	1	\$5,000	\$5,000	\$2,500	09-28-2007	
CSX2007-9GC	2	\$3,500	\$3,500	\$2,300	09-28-2007	
CSX2007-10GC	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2007-11GC	16	\$40,000	\$25,000	\$17,400	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2,3,4,5,6
CSX2007-12LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2007-13HS	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2007-14HSR	2	\$2,000	\$2,000	\$1,200	09-28-2007	
CSX2007-15AR	2	\$5,000	\$5,000	\$2,700	09-28-2007	
CSX2007-16HMT	2	\$7,000	\$7,000	\$4,970	09-28-2007	
CSX2007-17HMT	2	\$13,000	\$13,000	\$8,850	09-28-2007	
CSX2007-18GC	1	\$1,000	\$1,000	\$1,000	09-28-2007	
CSX2007-19HMT	1	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2007-20HMT	1	\$7,500	\$7,500	\$5,350	09-28-2007	
CSX2007-22FCS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2007-23FCS	2	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2007-24HMT	4	\$18,000	\$18,000	\$11,900	09-28-2007	
CSX2007-25HMT	2	\$11,500	\$11,500	\$7,550	09-28-2007	
CSX2007-26LI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2007-27SA	2	\$10,000	\$10,000	\$6,400	09-28-2007	
CSX2007-28TS	2	\$10,000	\$10,000	\$6,700	09-28-2007	
CSX2007-29SA	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2007-30SA	4	\$17,500	\$17,500	\$11,750	09-28-2007	
CSX2007-31SA	4	\$12,500	\$12,500	\$6,600	09-28-2007	TERMINATE D VIOLATI ON(S): 2
CSX2007-32GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
CSX2007-33GC	1	\$5,000	\$5,000	\$3,350	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CSX2007-34LI	3	\$4,500	\$4,500	\$2,950	09-28-2007	
CSX2007-35AD	1	\$2,500	\$2,500	\$1,800	09-28-2007	
CSX2007-36SA	1	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2007-37TS	15	\$37,500	\$37,500	\$24,500	09-28-2007	
CSX2007-38TS	1	\$5,000	\$5,000	\$3,000	09-28-2007	
CSX2007-39SI	9	\$9,000	\$9,000	\$5,400	09-28-2007	
CSX2007-40TS	3	\$10,000	\$10,000	\$6,600	09-28-2007	
CSX2007-41LI	3	\$7,500	\$7,500	\$4,900	09-28-2007	
CSX2007-42EQ	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2007-43RW	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2007-44RSP	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2007-45AD	2	\$10,000	\$10,000	\$6,250	09-28-2007	
CSX2007-46HMT	2	\$10,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2
CSX2007-47SI	5	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2007-48FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2007-49HMT	1	\$4,000	\$4,000	\$2,640	09-28-2007	
CSX2007-50HMT	1	\$4,000	\$4,000	\$2,880	09-28-2007	
CSX2007-52HMT	1	\$6,000	\$6,000	\$4,320	09-28-2007	
CSX2007-54HMT	1	\$2,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
CSX2007-55HMT	4	\$17,000	\$17,000	\$11,200	09-28-2007	
CSX2007-56HMT	4	\$19,000	\$19,000	\$12,550	09-28-2007	
CSX2007-57HMT	1	\$6,000	\$6,000	\$4,080	09-28-2007	
CSX2007-58SI	1	\$5,000	\$5,000	\$3,500	09-28-2007	
CSX2007-59GC	1	\$5,000	\$5,000	\$3,350	09-28-2007	
CSX2007-62SI	4	\$10,000	\$10,000	\$6,300	09-28-2007	
CSX2007-65RSP	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2007-66SI	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2007-67ROP	1	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2007-68GC	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2007-69SI	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2007-70GC	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2007-72SA	2	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2007-73SA	2	\$7,500	\$7,500	\$5,000	09-28-2007	
CSX2007-74SA	4	\$12,500	\$12,500	\$8,300	09-28-2007	
CSX2007-75SA	4	\$20,000	\$17,500	\$13,100	09-28-2007	
CSX2007-76TS	6	\$6,000	\$6,000	\$3,900	09-28-2007	
CSX2007-77TS	2	\$10,000	\$10,000	\$6,800	09-28-2007	
CSX2007-78LI	2	\$5,000	\$5,000	\$3,400	09-28-2007	
CSX2007-79TS	3	\$7,500	\$7,500	\$4,900	09-28-2007	
CSX2007-81SA	5	\$20,000	\$20,000	\$9,800	09-28-2007	
CSX2007-82SA	4	\$20,000	\$15,000	\$10,000	09-28-2007	TERMINATE D VIOLATI ON(S): 3
CSX2007-84AR	9	\$22,500	\$22,500	\$13,100	09-28-2007	
CSX2007-87AR	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						ON(S): 1
CSX2007-88SA	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2007-89SA	5	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2007-90SA	4	\$15,000	\$12,500	\$8,200	09-28-2007	VIOL# 2 P ARTIAL TE RMINATION TO \$2500
CSX2007-91SA	4	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2007-92SA	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2007-93SA	1	\$2,500	\$2,500	\$1,500	09-28-2007	
CSX2007-94FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
CSX2007-95TS	4	\$20,000	\$20,000	\$12,000	09-28-2007	
CSX2007-97TS	1	\$5,000	\$5,000	\$2,500	09-28-2007	
CSX2007-98AR	1	\$2,500	\$2,500	\$1,625	09-28-2007	
CSX2007-99TS	4	\$17,500	\$10,000	\$6,700	09-28-2007	TERMINATE D VIOLATI ON(S): 3, 4
CSX2007-100TS	3	\$12,500	\$12,500	\$6,900	09-28-2007	
CSX2007-101SA	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2007-102SA	5	\$22,500	\$22,500	\$14,500	09-28-2007	
CSX2007-104SA	3	\$10,000	\$10,000	\$6,000	09-28-2007	
CSX2007-105SA	4	\$15,000	\$15,000	\$9,600	09-28-2007	
CSX2007-106TS	1	\$1,000	\$1,000	\$670	09-28-2007	
CSX2007-107RSP	1	\$2,500	\$2,500	\$550	09-28-2007	
CSX2007-109RSP	1	\$1,000	\$1,000	\$600	09-28-2007	
CSX2007-111SA	2	\$7,500	\$7,500	\$4,900	09-28-2007	
CSX2007-112SA	4	\$17,500	\$15,000	\$9,700	09-28-2007	TERMINATE D VIOLATI ON(S): 2
CSX2007-113SA	4	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2007-114SA	5	\$12,500	\$12,500	\$8,000	09-28-2007	
CSX2007-115SA	4	\$10,000	\$10,000	\$6,450	09-28-2007	
CSX2007-117LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
CSX2007-118LI	7	\$20,000	\$20,000	\$13,400	09-28-2007	
CSX2007-119EQ	1	\$1,000	\$1,000	\$625	09-28-2007	
CSX2007-123LI	2	\$4,500	\$4,500	\$2,900	09-28-2007	
CSX2007-124SA	4	\$20,000	\$20,000	\$13,350	09-28-2007	
CSX2007-125SA	3	\$15,000	\$15,000	\$9,600	09-28-2007	
CSX2007-126SI	1	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2007-127GC	1	\$1,000	\$1,000	\$650	09-28-2007	
CSX2007-129LI	4	\$10,000	\$10,000	\$6,800	09-28-2007	
CSX2007-130FCS	1	\$2,000	\$2,000	\$1,400	09-28-2007	
CSX2007-131SA	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2007-132FCS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2007-133SI	1	\$2,500	\$2,500	\$1,500	09-28-2007	
CSX2007-134FCS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
CSX2007-137HMT	1	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2007-138SI	1	\$5,000	\$5,000	\$3,600	09-28-2007	
CSX2007-141SA	4	\$15,000	\$15,000	\$9,800	09-28-2007	
CSX2007-142FCS	4	\$17,500	\$17,500	\$11,600	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
CSX2007-143FCS	3	\$8,500	\$8,500	\$5,500	09-28-2007	
CSX2007-144FCS	1	\$2,500	\$2,500	\$1,500	09-28-2007	
CSX2007-145FCS	3	\$7,500	\$7,500	\$4,800	09-28-2007	
CSX2007-146FCS	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2007-150AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
CSX2007-155HMT	3	\$14,000	\$14,000	\$9,400	09-28-2007	
CSX2007-156HMT	10	\$73,500	\$73,500	\$42,500	09-28-2007	
CSX2007-158FCS	1	\$5,000	\$5,000	\$3,200	09-28-2007	
CSX2007-162FCS	1	\$2,500	\$2,500	\$1,500	09-28-2007	
CSX2007-164SA	2	\$7,500	\$7,500	\$4,900	09-28-2007	
CSX2007-166FCS	3	\$7,500	\$7,500	\$4,500	09-28-2007	
CSX2007-167ROP	1	\$2,000	\$2,000	\$1,300	09-28-2007	
CSX2007-168HMT	14	\$96,000	\$96,000	\$47,500	09-28-2007	
CSX2007-169FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
CSX2007-173FCS	2	\$5,000	\$5,000	\$3,100	09-28-2007	
CSX2007-174FCS	3	\$10,000	\$10,000	\$6,700	09-28-2007	
CSX2007-176FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
CSX2007-177REM	1	\$5,000	\$5,000	\$3,300	09-28-2007	
CSX2007-180LI	1	\$2,500	\$2,500	\$1,600	09-28-2007	
CSX2007-181SA	1	\$5,000	\$5,000	\$3,350	09-28-2007	
CT2007-1HSR	1	\$1,000	\$1,000	\$1,000	06-14-2007	
CTN2005-1LI	1	\$2,500	\$2,500	\$1,500	11-06-2006	
CW2007-1HSR	1	\$1,000	\$1,000	\$1,000	09-11-2007	
DH2006-1SI	1	\$2,500	\$2,500	\$1,875	09-21-2007	
DH2006-3FCS	1	\$5,000	\$5,000	\$3,750	09-21-2007	
DME2006-1GC	2	\$7,500	\$7,500	\$5,725	01-17-2007	
DME2006-2TS	1	\$5,000	\$5,000	\$3,750	01-17-2007	
DME2006-3GC	3	\$12,500	\$12,500	\$9,050	01-17-2007	
DME2006-5TS	3	\$3,000	\$3,000	\$2,100	01-17-2007	
DME2006-6TS	1	\$2,500	\$2,500	\$1,875	01-17-2007	
DME2006-7TS	2	\$10,000	\$10,000	\$7,675	01-17-2007	
DME2006-8TS	1	\$1,000	\$1,000	\$750	01-17-2007	
DME2006-9RW	1	\$3,000	\$3,000	\$2,100	01-17-2007	
DME2006-10GC	3	\$7,500	\$7,500	\$5,500	01-17-2007	
DME2006-11GC	1	\$2,500	\$2,500	\$1,750	01-17-2007	
DME2006-12TS	1	\$1,000	\$1,000	\$800	01-17-2007	
DME2006-13ROR	1	\$5,000	\$5,000	\$3,750	01-17-2007	
DME2006-14TS	2	\$2,000	\$1,000	\$600	01-17-2007	
DME2006-15RSP	1	\$5,000	\$5,000	\$3,250	01-17-2007	
EDW2007-1SA	1	\$7,500	\$7,500	\$1,500	05-15-2007	
ELS2006-1LI	1	\$2,500	\$2,500	\$2,500	10-25-2006	
ELS2006-2LI	3	\$6,000	\$6,000	\$6,000	06-13-2007	
ELS2007-1LI	1	\$5,000	\$5,000	\$5,000	08-03-2007	
ERAIL2007-1ROP	1	\$5,000	\$5,000	\$5,000	08-29-2007	
ETL2005-1HMT	1	\$2,500	\$0	\$0	12-01-2006	TERMINATE D VIOLATI ON(S): 1
EVWR2006-1TS	2	\$3,500	\$3,500	\$3,500	05-08-2007	
GETY2002-1HSR	1	\$1,000	\$1,000	\$500	01-26-2007	
GFRR2006-1LI	1	\$1,000	\$1,000	\$650	12-19-2006	
GITM2006-1SA	1	\$2,500	\$2,500	\$2,500	03-16-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
GTRA2006-1SA	1	\$5,000	\$5,000	\$5,000	10-04-2006	
HB2006-1LI	1	\$2,500	\$2,500	\$2,500	03-07-2007	
HESR2003-1SA	3	\$7,500	\$7,500	\$4,875	04-13-2007	
HESR2004-1SA	3	\$12,500	\$12,500	\$7,500	04-13-2007	
HESR2005-1TS	1	\$5,000	\$5,000	\$3,250	04-13-2007	
IAIS2006-1GC	2	\$10,000	\$10,000	\$7,500	10-26-2006	
IAIS2006-4TS	1	\$5,000	\$5,000	\$2,500	06-21-2007	
IAIS2006-5GC	5	\$12,500	\$12,500	\$7,500	06-21-2007	
IAIS2006-6EO	1	\$5,000	\$5,000	\$3,500	01-24-2007	
IAIS2007-1EQ	1	\$2,000	\$2,000	\$1,500	06-21-2007	
IAIS2007-2EQ	1	\$2,000	\$2,000	\$1,500	06-21-2007	
IAIS2007-4GC	1	\$5,000	\$5,000	\$5,000	09-28-2007	
ICE2005-8TS	2	\$6,000	\$6,000	\$4,300	02-01-2007	
ICE2006-1GC	2	\$10,000	\$10,000	\$8,000	02-01-2007	
ICE2006-2LI	1	\$3,500	\$3,500	\$2,075	02-01-2007	
ICE2006-3GC	1	\$2,500	\$2,500	\$1,875	03-01-2007	
ICE2006-4HMT	1	\$4,000	\$4,000	\$2,850	01-17-2007	
ICE2006-5HMT	1	\$4,000	\$4,000	\$2,850	01-17-2007	
ICE2006-6TS	1	\$5,000	\$5,000	\$3,500	02-01-2007	
ICE2006-7TS	1	\$5,000	\$5,000	\$3,250	02-01-2007	
ICE2006-9RW	1	\$1,000	\$1,000	\$775	02-01-2007	
ICE2006-10GC	1	\$2,500	\$2,500	\$1,875	02-01-2007	
ICE2006-11SI	1	\$2,500	\$2,500	\$2,000	02-01-2007	
INRD2005-1TS	1	\$2,500	\$2,500	\$1,750	04-12-2007	
INRD2007-2HS	1	\$1,000	\$1,000	\$1,000	07-11-2007	
JEFW2005-4HSR	7	\$7,000	\$7,000	\$3,850	01-17-2007	
KCS2005-42TS	2	\$3,500	\$3,500	\$2,200	09-28-2007	
KCS2006-1SA	6	\$15,000	\$15,000	\$9,050	09-28-2007	
KCS2006-2AD	1	\$2,000	\$2,000	\$1,300	09-28-2007	
KCS2006-3LI	2	\$4,500	\$4,500	\$2,800	09-28-2007	
KCS2006-5TS	5	\$11,000	\$11,000	\$7,680	09-28-2007	
KCS2006-6TS	8	\$13,500	\$13,500	\$8,300	09-28-2007	
KCS2006-7TS	11	\$27,000	\$27,000	\$16,950	09-28-2007	
KCS2006-8GC	1	\$5,000	\$5,000	\$3,250	09-28-2007	
KCS2006-9TS	2	\$10,000	\$10,000	\$7,000	09-28-2007	
KCS2006-10TS	2	\$2,000	\$2,000	\$1,200	09-28-2007	
KCS2006-11TS	7	\$25,000	\$25,000	\$18,000	09-28-2007	
KCS2006-12TS	5	\$17,000	\$17,000	\$12,000	09-28-2007	
KCS2006-13TS	15	\$132,000	\$132,000	\$87,150	09-28-2007	
KCS2006-15TS	1	\$5,000	\$5,000	\$3,500	09-28-2007	
KCS2006-17RW	1	\$1,000	\$1,000	\$620	09-28-2007	
KCS2006-18SA	1	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-19SA	1	\$5,000	\$2,500	\$1,750	09-28-2007	INCORRECT CITATION - REDUCE D POCA TO \$2500
KCS2006-20HMT	2	\$9,000	\$9,000	\$5,750	09-28-2007	
KCS2006-21RW	1	\$1,000	\$1,000	\$620	09-28-2007	
KCS2006-22AD	1	\$2,500	\$2,500	\$1,500	09-28-2007	
KCS2006-23TS	2	\$3,500	\$3,500	\$2,450	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
KCS2006-24TS	2	\$3,500	\$3,500	\$2,500	09-28-2007	
KCS2006-25RW	1	\$1,000	\$1,000	\$620	09-28-2007	
KCS2006-26LI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-29GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
KCS2006-30TS	3	\$7,500	\$7,500	\$5,400	09-28-2007	
KCS2006-31SA	1	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-32RW	1	\$3,000	\$3,000	\$1,900	09-28-2007	
KCS2006-33LI	4	\$18,000	\$18,000	\$11,200	09-28-2007	
KCS2006-34TS	2	\$5,000	\$5,000	\$3,600	09-28-2007	
KCS2006-36GC	2	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-37TS	3	\$12,500	\$12,500	\$8,750	09-28-2007	
KCS2006-38SA	2	\$7,500	\$7,500	\$4,600	09-28-2007	
KCS2006-39FCS	1	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-40FCS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-41TS	2	\$2,000	\$2,000	\$1,450	09-28-2007	
KCS2006-42LI	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S) : 1
KCS2006-43RW	1	\$4,000	\$4,000	\$2,600	09-28-2007	
KCS2006-45REM	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-46SA	2	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-47SA	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-48EQ	1	\$1,000	\$1,000	\$620	09-28-2007	
KCS2006-49TS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
KCS2006-51EO	1	\$5,000	\$5,000	\$3,000	09-28-2007	
KCS2006-52SA	2	\$7,500	\$7,500	\$4,600	09-28-2007	
KCS2006-53ROP	1	\$5,000	\$5,000	\$3,100	09-28-2007	
KCS2006-54LI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-55HMT	2	\$4,000	\$4,000	\$2,500	09-28-2007	
KCS2006-56FCS	2	\$5,000	\$5,000	\$3,250	09-28-2007	
KCS2006-57SA	3	\$7,500	\$7,500	\$4,600	09-28-2007	
KCS2006-58TS	3	\$3,000	\$3,000	\$1,800	09-28-2007	
KCS2006-59ROP	1	\$5,000	\$5,000	\$2,500	09-28-2007	
KCS2006-60TS	1	\$1,000	\$1,000	\$700	09-28-2007	
KCS2006-61AR	1	\$2,500	\$2,500	\$1,500	09-28-2007	
KCS2006-62AD	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-63HMT	5	\$5,000	\$5,000	\$3,150	09-28-2007	
KCS2006-64HMT	3	\$12,000	\$12,000	\$7,470	09-28-2007	
KCS2006-65SA	3	\$10,000	\$10,000	\$6,200	09-28-2007	
KCS2006-68SA	3	\$12,500	\$12,500	\$7,700	09-28-2007	
KCS2006-69HMT	3	\$14,000	\$14,000	\$8,750	09-28-2007	
KCS2006-70HMT	4	\$16,000	\$16,000	\$9,800	09-28-2007	
KCS2006-71SA	5	\$25,000	\$25,000	\$15,950	09-28-2007	
KCS2006-72SA	5	\$20,000	\$20,000	\$12,350	09-28-2007	
KCS2006-73AR	1	\$2,500	\$2,500	\$1,550	09-28-2007	
KCS2006-74LI	4	\$7,500	\$7,500	\$4,725	09-28-2007	
KCS2006-75HSR	1	\$1,000	\$1,000	\$630	09-28-2007	
KCS2006-76GC	1	\$5,000	\$5,000	\$3,250	09-28-2007	
KCS2006-78GC	5	\$25,000	\$25,000	\$16,200	09-28-2007	
KCS2007-6HMT	3	\$12,500	\$12,500	\$7,550	09-28-2007	
KO2006-12GC	2	\$10,000	\$10,000	\$6,000	05-04-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
KRR2003-1TS	1	\$5,000	\$5,000	\$3,250	04-13-2007	
KRR2004-1TS	1	\$5,000	\$5,000	\$3,000	04-13-2007	
KWT2005-1LI	1	\$10,000	\$10,000	\$8,000	02-02-2007	
KWT2006-1GC	1	\$2,500	\$2,500	\$1,500	03-29-2007	
LC2004-1LI	1	\$2,500	\$2,500	\$1,300	12-21-2006	
LI2004-5SA	1	\$22,000	\$22,000	\$12,100	05-16-2007	
LI2005-2HSR	2	\$2,000	\$2,000	\$1,300	05-16-2007	
LI2005-3ROR	2	\$7,500	\$7,500	\$4,500	05-16-2007	
LI2005-6EP	1	\$1,500	\$1,500	\$1,000	05-16-2007	
LI2005-7RSP	1	\$5,000	\$5,000	\$3,000	05-16-2007	
LI2005-8SA	1	\$2,500	\$2,500	\$1,700	05-16-2007	
LI2005-9AR	1	\$1,000	\$1,000	\$750	05-16-2007	
LI2005-11GC	1	\$5,000	\$5,000	\$3,750	05-16-2007	
LI2006-2AD	2	\$10,000	\$10,000	\$7,000	05-16-2007	
LI2006-3GC	1	\$5,000	\$5,000	\$3,750	05-16-2007	
LI2006-4SI	1	\$5,000	\$5,000	\$3,750	05-16-2007	
LI2006-6RW	1	\$5,000	\$5,000	\$3,750	05-16-2007	
LICC2001-1BW	1	\$5,000	\$0	\$0	02-13-2007	TERMINATE D VIOLATI ON(S): 1
LNAL2005-1AD	1	\$5,000	\$5,000	\$2,500	02-13-2007	
LSRC2006-1GC	1	\$5,000	\$5,000	\$5,000	04-20-2007	
LXVR2006-1LI	1	\$7,500	\$7,500	\$3,700	01-23-2007	
MACZ2006-2SI	14	\$14,000	\$14,000	\$14,000	03-15-2007	
MACZ2007-1ROP	1	\$5,000	\$5,000	\$5,000	06-05-2007	
MAW2005-1AD	1	\$5,000	\$5,000	\$2,500	02-26-2007	
MBAX2005-1SA	1	\$2,500	\$2,500	\$1,400	11-01-2006	
MBAX2005-2RW	1	\$5,000	\$5,000	\$2,500	02-26-2007	
MBAX2006-1RW	1	\$2,000	\$2,000	\$1,000	11-01-2006	
MBAX2006-2AR	6	\$15,000	\$15,000	\$8,000	02-26-2007	
MBAX2006-3SI	1	\$5,000	\$5,000	\$3,000	02-26-2007	
MBAX2006-4PEQ	1	\$10,000	\$10,000	\$6,500	02-26-2007	
MBAX2006-5RW	1	\$2,000	\$2,000	\$1,250	02-26-2007	
MBAX2007-1RW	1	\$27,000	\$27,000	\$27,000	09-18-2007	
MBCR2005-5SI	2	\$2,000	\$2,000	\$1,200	11-01-2006	
MBRR2004-1LI	2	\$9,000	\$9,000	\$6,750	10-12-2006	
MBRR2005-1SA	1	\$5,000	\$5,000	\$3,750	10-12-2006	
MBRR2005-2LI	1	\$2,500	\$2,500	\$2,000	10-12-2006	
MBRR2006-1TS	1	\$2,500	\$5,000	\$5,000	05-31-2007	
MBRR2006-2TS	2	\$10,000	\$10,000	\$8,000	10-12-2006	
MBRR2006-3TS	4	\$15,000	\$15,000	\$12,000	10-12-2006	
MBRR2006-4TS	2	\$2,000	\$2,000	\$2,000	02-07-2007	
MBTA2001-1SA	1	\$5,000	\$5,000	\$1,250	02-13-2007	
MBTA2003-1PEQ	8	\$15,000	\$15,000	\$3,750	01-13-2007	
MBTA2003-2ROP	5	\$25,000	\$25,000	\$6,250	01-13-2007	
MBTA2006-1PEQ	1	\$5,000	\$5,000	\$2,600	11-01-2006	
MBTA2006-2PEQ	1	\$5,000	\$5,000	\$2,600	11-01-2006	
MBTA2006-3RSP	1	\$1,000	\$1,000	\$600	11-01-2006	
MBTA2006-5RW	1	\$1,000	\$1,000	\$600	11-01-2006	
MBTA2006-6PEQ	1	\$10,000	\$10,000	\$6,500	02-26-2007	
MBTA2006-7ROP	1	\$5,000	\$5,000	\$3,000	02-26-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
MBY2005-1HMT	1	\$2,000	\$2,000	\$1,500	01-19-2007	
MDS2002-1TS	1	\$2,500	\$2,500	\$1,500	12-27-2006	
MDS2002-2TS	1	\$5,000	\$5,000	\$3,000	12-27-2006	
MDS2004-1TS	1	\$2,500	\$2,500	\$1,500	12-27-2006	
MDS2004-2LI	1	\$10,000	\$10,000	\$6,000	12-27-2006	
MDS2005-1TS	2	\$5,000	\$5,000	\$3,000	12-27-2006	
MDS2005-2TS	1	\$5,000	\$5,000	\$3,000	12-27-2006	
MNA2005-1HSR	1	\$1,000	\$1,000	\$750	01-29-2007	
MNA2005-2AR	3	\$7,500	\$7,500	\$4,500	01-29-2007	
MNA2005-3HMT	1	\$2,500	\$2,500	\$1,875	01-29-2007	
MNA2005-4AR	1	\$2,500	\$2,500	\$1,250	01-29-2007	
MNA2005-5SA	1	\$2,500	\$0	\$0	01-29-2007	TERMINATE D VIOLATI ON(S): 1
MNA2005-6LI	1	\$6,500	\$6,500	\$4,500	01-29-2007	
MNA2005-7FCS	1	\$5,000	\$0	\$0	01-29-2007	TERMINATE D VIOLATI ON(S): 1
MNA2005-8FCS	1	\$5,000	\$5,000	\$3,000	01-29-2007	
MNA2005-9HS	1	\$1,000	\$1,000	\$750	01-29-2007	
MNA2005-11EQ	1	\$5,000	\$5,000	\$3,500	01-29-2007	
MNA2005-12HS	1	\$1,000	\$0	\$0	01-29-2007	TERMINATE D VIOLATI ON(S): 1
MNA2005-13BW	1	\$3,000	\$3,000	\$2,000	01-29-2007	
MNA2005-14RW	1	\$1,000	\$1,000	\$750	01-29-2007	
MNA2005-15SA	1	\$2,500	\$2,500	\$1,750	01-29-2007	
MNA2005-16LI	2	\$5,000	\$5,000	\$3,750	01-29-2007	
MNA2005-17LI	1	\$5,000	\$5,000	\$3,750	01-29-2007	
MNA2006-1TS	5	\$8,000	\$8,000	\$5,600	01-29-2007	
MNA2006-2FCS	3	\$33,000	\$33,000	\$15,000	01-29-2007	
MNA2006-3LI	2	\$15,000	\$15,000	\$9,000	01-29-2007	
MNA2006-4FCS	1	\$5,000	\$5,000	\$3,750	01-29-2007	
MNA2006-5LI	1	\$5,000	\$5,000	\$2,500	01-29-2007	
MNA2006-6LI	1	\$6,000	\$6,000	\$4,500	01-29-2007	
MNA2006-7FCS	1	\$5,000	\$5,000	\$3,250	01-29-2007	
MNBR2006-1TS	1	\$2,500	\$2,500	\$2,500	02-21-2007	
MNCW2005-1RW	1	\$3,000	\$3,000	\$2,400	12-11-2006	
MNCW2007-1AD	1	\$2,500	\$2,500	\$2,500	07-30-2007	
MNRR2006-1LI	1	\$2,500	\$2,500	\$2,000	05-21-2007	
MNRR2006-2EO	1	\$5,000	\$5,000	\$4,500	05-21-2007	
MNRR2007-1AD	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
MRL2006-1HMT	1	\$4,000	\$4,000	\$2,500	05-21-2007	
MRL2006-2EQ	1	\$2,500	\$2,500	\$1,500	05-21-2007	
MRL2006-3GC	1	\$5,000	\$5,000	\$3,000	05-21-2007	
MRL2006-4RW	1	\$3,000	\$3,000	\$1,500	05-21-2007	
MRL2006-5RW	1	\$1,000	\$1,000	\$650	05-21-2007	
MRL2006-6RW	1	\$3,000	\$3,000	\$1,500	05-21-2007	
MRL2006-7EQ	1	\$5,000	\$5,000	\$2,500	05-21-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
MRL2006-8LI	1	\$1,000	\$1,000	\$550	05-21-2007	
MRL2006-9HSR	1	\$1,000	\$1,000	\$550	05-21-2007	
MRL2006-10RSP	1	\$2,500	\$2,500	\$1,500	05-21-2007	
MRL2006-11RSP	1	\$2,500	\$2,500	\$1,500	05-21-2007	
MRL2006-12RSP	2	\$6,000	\$6,000	\$3,700	05-21-2007	
MRL2006-13SA	1	\$2,500	\$2,500	\$1,350	05-21-2007	
MRL2006-14AR	1	\$2,500	\$2,500	\$1,500	05-21-2007	
MRL2007-1RSP	1	\$5,000	\$5,000	\$2,500	05-21-2007	
MWRR2003-1GC	1	\$2,500	\$0	\$0	01-15-2007	TERMINATE D VIOLATI ON(S): 1
NECR2003-1TS	1	\$5,000	\$5,000	\$3,250	04-13-2007	
NECR2004-1TS	2	\$10,000	\$10,000	\$6,500	04-13-2007	
NIRC2005-9SI	79	\$79,000	\$79,000	\$39,500	11-16-2006	
NIRC2005-10SI	9	\$9,000	\$9,000	\$4,500	11-16-2006	
NIRC2005-12SI	32	\$32,000	\$32,000	\$16,000	11-16-2006	
NIRC2006-1PEP	2	\$5,000	\$5,000	\$2,500	11-16-2006	
NIRC2006-2SI	22	\$32,500	\$32,500	\$16,250	11-16-2006	
NIRC2006-3GC	28	\$28,000	\$28,000	\$14,000	11-16-2006	
NIRC2006-4SI	28	\$34,000	\$34,000	\$17,000	11-16-2006	
NIRC2006-5SI	19	\$41,500	\$41,500	\$20,750	11-16-2006	
NIRC2006-6GC	66	\$79,500	\$79,500	\$39,750	11-16-2006	
NIRC2006-7SI	7	\$7,000	\$7,000	\$3,500	11-16-2006	
NIRC2006-8SI	2	\$2,000	\$2,000	\$1,000	11-16-2006	
NIRC2006-9SI	1	\$1,000	\$1,000	\$500	11-16-2006	
NIRC2006-10GC	12	\$15,000	\$15,000	\$7,500	11-16-2006	
NIRC2006-11SI	46	\$55,000	\$55,000	\$27,500	11-16-2006	
NIRC2006-12GC	1	\$1,000	\$1,000	\$500	11-16-2006	
NIRC2006-13GC	23	\$23,000	\$23,000	\$11,500	11-16-2006	
NIRC2006-14PEP	3	\$7,500	\$7,500	\$3,750	11-16-2006	
NOGC2007-1AR	1	\$2,500	\$2,500	\$2,500	09-04-2007	
NOPB2005-1AD	1	\$2,500	\$2,500	\$1,500	01-18-2007	
NSHR2005-1EQ	1	\$5,000	\$5,000	\$550	01-18-2007	
NTRY2007-1SA	1	\$5,000	\$5,000	\$5,000	09-11-2007	
PAL2005-1SA	3	\$15,000	\$15,000	\$11,500	01-22-2007	
PAL2005-2FCS	9	\$35,000	\$35,000	\$25,000	01-22-2007	
PAL2005-4RW	2	\$5,000	\$5,000	\$3,000	01-22-2007	
PAL2005-5SA	2	\$7,500	\$7,500	\$5,000	01-22-2007	
PAL2005-6FCS	2	\$7,500	\$7,500	\$5,250	01-22-2007	
PAL2006-1SA	1	\$2,500	\$2,500	\$2,500	11-28-2006	
PAL2006-2FCS	3	\$7,500	\$7,500	\$6,700	01-22-2007	
PAL2006-3SA	1	\$2,500	\$2,500	\$2,100	01-22-2007	
PAL2006-4FCS	1	\$2,500	\$2,500	\$2,150	01-22-2007	
PAL2006-5EQ	1	\$2,000	\$2,000	\$2,000	03-27-2007	
PAL2006-6AR	2	\$5,000	\$5,000	\$5,000	03-01-2007	
PAL2006-8LI	1	\$2,500	\$2,500	\$2,500	03-27-2007	
PAL2006-9HMT	1	\$1,000	\$1,000	\$1,000	03-27-2007	
PAL2007-1SA	1	\$2,500	\$2,500	\$2,500	05-25-2007	
PAL2007-2TS	1	\$5,000	\$5,000	\$5,000	08-23-2007	
PNR2006-1SA	1	\$7,500	\$7,500	\$7,500	05-25-2007	
PNWR2003-7REM	1	\$5,000	\$5,000	\$3,100	08-10-2007	

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		POCA	PRCA			
PNWR2004-1ROP	1	\$7,500	\$7,500	\$5,000	08-10-2007	
PNWR2004-3SA	2	\$5,000	\$5,000	\$3,400	08-10-2007	
PNWR2005-1SA	1	\$2,500	\$5,000	\$3,400	08-10-2007	
PNWR2005-2SA	3	\$10,000	\$10,000	\$7,400	08-10-2007	
PNWR2005-3TS	2	\$2,000	\$2,000	\$1,400	08-10-2007	
PNWR2005-4TS	2	\$10,000	\$10,000	\$4,000	08-10-2007	
PNWR2006-1SA	5	\$25,000	\$25,000	\$16,000	08-10-2007	
PNWR2006-2GC	1	\$2,500	\$2,500	\$1,850	08-10-2007	
PNWR2006-3EP	2	\$2,000	\$2,000	\$1,400	08-10-2007	
PNWR2006-4TS	2	\$7,500	\$7,500	\$5,000	08-10-2007	
PNWR2006-5SA	1	\$2,500	\$2,500	\$1,750	08-10-2007	
POTB2005-3LI	1	\$2,500	\$2,500	\$1,750	03-01-2007	
POTB2006-1SA	1	\$2,500	\$2,500	\$1,700	03-01-2007	
POTB2006-2LI	1	\$2,500	\$2,500	\$1,750	05-17-2007	
POTB2006-3AD	3	\$7,500	\$7,500	\$4,750	01-26-2007	
POTB2006-4LI	2	\$5,000	\$5,000	\$3,500	05-17-2007	
POTB2006-5SA	1	\$5,000	\$5,000	\$3,500	05-17-2007	
PSAP2002-1EQ	1	\$11,000	\$11,000	\$7,150	03-23-2007	
PSAP2003-1SA	1	\$2,500	\$2,500	\$1,625	03-23-2007	
PSAP2003-2SA	1	\$2,500	\$2,500	\$1,625	03-23-2007	
PSAP2004-1SA	2	\$10,000	\$5,000	\$2,500	03-23-2007	TERMINATE D VIOLATI ON(S) : 1
PSAP2004-2TS	1	\$2,500	\$2,500	\$1,750	03-23-2007	
PSAP2004-3RSP	2	\$10,000	\$10,000	\$5,350	03-23-2007	
PTRA2005-3HMT	1	\$5,000	\$5,000	\$4,100	03-28-2007	
PTRA2005-5AR	1	\$2,500	\$2,500	\$2,500	03-28-2007	
PTRA2005-6HSR	1	\$1,000	\$1,000	\$850	03-28-2007	
PTRA2005-7HS	2	\$2,000	\$2,000	\$1,500	03-28-2007	
PTRA2006-1SA	1	\$5,000	\$5,000	\$3,900	03-28-2007	
PTRA2006-2LI	1	\$1,000	\$1,000	\$1,000	03-28-2007	
PW2003-2FCS	1	\$2,500	\$2,500	\$1,500	10-10-2006	
PW2004-1HMT	2	\$12,500	\$12,500	\$8,750	10-10-2006	
PW2006-1AD	4	\$12,500	\$12,500	\$9,440	10-10-2006	
PW2006-2TS	1	\$5,000	\$5,000	\$3,300	10-10-2006	
PW2006-3HSR	1	\$1,000	\$1,000	\$650	10-10-2006	
PW2006-4SA	1	\$5,000	\$5,000	\$3,400	10-10-2006	
PW2006-5FCS	1	\$5,000	\$5,000	\$3,500	10-10-2006	
PW2006-6TS	3	\$3,000	\$3,000	\$2,010	10-10-2006	
RSR2005-1HMT	1	\$3,000	\$3,000	\$1,500	01-29-2007	
RWSI2005-1RW	2	\$5,000	\$5,000	\$5,000	03-20-2007	
SB2006-1SA	1	\$5,000	\$5,000	\$3,250	03-22-2007	
SBS2006-2HMT	1	\$4,000	\$4,000	\$4,000	08-16-2007	
SCAX2004-1GC	1	\$5,000	\$5,000	\$4,000	08-29-2007	
SCAX2004-2GC	1	\$5,000	\$5,000	\$4,000	08-29-2007	
SCRT2003-1GC	1	\$2,500	\$2,500	\$2,500	01-31-2007	
SDNX2006-1AD	1	\$2,000	\$2,000	\$2,000	01-31-2007	
SDNX2006-2RSP	1	\$2,500	\$2,500	\$2,500	01-31-2007	
SEPA2002-2AD	3	\$7,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S) : 1,

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
SEPA2002-3AD	3	\$15,000	\$0	\$0	09-28-2007	2,3 TERMINATE D VIOLATI ON(S): 1, 2,3
SEPA2005-5AR	4	\$10,000	\$7,500	\$5,425	09-28-2007	TERMINATE D VIOLATI ON(S): 4
SEPA2005-9AR	1	\$2,500	\$2,500	\$1,750	09-28-2007	
SEPA2006-1RSP	1	\$5,000	\$5,000	\$3,500	09-28-2007	
SEPA2006-2PEQ	1	\$5,000	\$5,000	\$3,500	09-28-2007	
SEPA2006-3EO	1	\$5,000	\$5,000	\$3,500	09-28-2007	
SGLR2001-1LI	2	\$13,500	\$13,500	\$10,000	12-19-2006	
SGLR2002-1TS	4	\$15,000	\$15,000	\$11,250	12-19-2006	
SGLR2002-2TS	5	\$25,000	\$0	\$0	01-03-2007	TERMINATE D VIOLATI ON(S): 1, 2,3,4,5
SGLR2002-3TS	5	\$5,000	\$5,000	\$4,000	12-19-2006	
SGLR2003-1RW	1	\$2,500	\$2,500	\$2,250	01-03-2007	
SGLR2004-1TS	3	\$7,500	\$7,500	\$6,750	01-03-2007	
SIM2004-1TS	1	\$5,000	\$5,000	\$3,000	09-28-2007	
SLGW2004-1LI	2	\$8,500	\$8,500	\$5,400	05-10-2007	
SOO2006-3AR	1	\$2,500	\$2,500	\$1,625	09-21-2007	
SOO2006-6AR	3	\$7,500	\$7,500	\$4,750	09-21-2007	
SOO2007-1AR	2	\$5,000	\$5,000	\$3,325	09-21-2007	
SOU2006-3HS	7	\$7,000	\$7,000	\$4,950	09-28-2007	
SOU2006-10HMT	2	\$4,500	\$4,500	\$3,000	09-28-2007	
SOU2006-11SA	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-16HSR	9	\$9,000	\$9,000	\$6,300	09-28-2007	
SOU2006-17LI	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-18HMT	1	\$3,000	\$3,000	\$1,200	09-28-2007	
SOU2006-20FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-21SA	5	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-22SA	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-23HSR	2	\$2,000	\$2,000	\$1,350	09-28-2007	
SOU2006-24HS	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-25SA	3	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-26HMT	1	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
SOU2006-27LI	5	\$11,500	\$11,500	\$7,600	09-28-2007	
SOU2006-28AR	2	\$2,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
SOU2006-29AD	3	\$7,500	\$7,500	\$4,500	09-28-2007	
SOU2006-30HMT	1	\$5,000	\$5,000	\$4,000	09-28-2007	
SOU2006-31LI	4	\$15,000	\$15,000	\$10,400	09-28-2007	
SOU2006-32HMT	1	\$2,000	\$2,000	\$1,370	09-28-2007	
SOU2006-33LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-34ROP	1	\$2,000	\$2,000	\$1,300	09-28-2007	

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FRA No.	No. Viol.			Settlement/Final Assessment		Comments
		POCA	PRCA	Amount	Date	
SOU2006-35SA	2	\$10,000	\$10,000	\$6,950	09-28-2007	
SOU2006-37SA	4	\$10,000	\$10,000	\$6,850	09-28-2007	
SOU2006-38SI	2	\$2,000	\$2,000	\$1,400	09-28-2007	
SOU2006-39FCS	4	\$10,000	\$10,000	\$6,850	09-28-2007	
SOU2006-40FCS	1	\$2,500	\$2,500	\$1,725	09-28-2007	
SOU2006-41FCS	1	\$5,000	\$5,000	\$3,450	09-28-2007	
SOU2006-42GC	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-43GC	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-44SA	1	\$5,000	\$5,000	\$3,300	09-28-2007	
SOU2006-45HMT	1	\$2,000	\$2,000	\$1,370	09-28-2007	
SOU2006-46HMT	2	\$9,000	\$9,000	\$6,300	09-28-2007	
SOU2006-47HMT	2	\$9,000	\$9,000	\$6,000	09-28-2007	
SOU2006-48FCS	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-49FCS	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-50SI	1	\$5,000	\$5,000	\$3,520	09-28-2007	
SOU2006-51FCS	2	\$5,000	\$5,000	\$3,350	09-28-2007	
SOU2006-52EO	1	\$5,000	\$5,000	\$3,700	09-28-2007	
SOU2006-53AR	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-54SA	2	\$10,000	\$10,000	\$6,800	09-28-2007	
SOU2006-55EO	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-56HMT	1	\$5,000	\$5,000	\$3,000	09-28-2007	
SOU2006-57LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-58HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	
SOU2006-59HS	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-60HSR	1	\$1,000	\$1,000	\$660	09-28-2007	
SOU2006-61SA	6	\$15,000	\$15,000	\$10,000	09-28-2007	
SOU2006-62RW	2	\$2,000	\$2,000	\$1,300	09-28-2007	
SOU2006-63SI	1	\$5,000	\$5,000	\$3,450	09-28-2007	
SOU2006-64SA	5	\$15,000	\$15,000	\$10,000	09-28-2007	
SOU2006-65SA	6	\$17,500	\$17,500	\$11,600	09-28-2007	
SOU2006-66HMT	1	\$5,000	\$5,000	\$3,500	09-28-2007	
SOU2006-67LI	4	\$14,500	\$14,500	\$9,700	09-28-2007	
SOU2006-68SA	3	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-69SA	3	\$15,000	\$15,000	\$10,800	09-28-2007	
SOU2006-70RW	2	\$2,000	\$2,000	\$1,300	09-28-2007	
SOU2006-71AR	2	\$5,000	\$5,000	\$2,900	09-28-2007	
SOU2006-72FCS	5	\$12,500	\$12,500	\$8,850	09-28-2007	
SOU2006-73GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-74ROP	1	\$2,000	\$2,000	\$1,250	09-28-2007	
SOU2006-75AR	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S):1
SOU2006-76LI	4	\$15,000	\$15,000	\$9,900	09-28-2007	
SOU2006-77SA	3	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-78SI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-79FCS	1	\$5,000	\$5,000	\$3,500	09-28-2007	
SOU2006-80FCS	2	\$7,500	\$7,500	\$5,275	09-28-2007	
SOU2006-81FCS	4	\$10,000	\$10,000	\$6,900	09-28-2007	
SOU2006-82TS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-83HSR	1	\$1,000	\$1,000	\$700	09-28-2007	
SOU2006-84AR	2	\$5,000	\$2,500	\$1,750	09-28-2007	TERMINATE

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						D VIOLATI ON(S): 1
SOU2006-85FCS	2	\$5,000	\$5,000	\$3,375	09-28-2007	
SOU2006-86SI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-88AD	4	\$20,000	\$20,000	\$15,000	09-28-2007	
SOU2006-89RSP	1	\$2,500	\$5,000	\$3,450	09-28-2007	
SOU2006-90AR	1	\$1,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON: 1
SOU2006-91SA	2	\$10,000	\$10,000	\$7,000	09-28-2007	
SOU2006-92SA	3	\$12,500	\$12,500	\$8,750	09-28-2007	
SOU2006-93SA	2	\$10,000	\$10,000	\$6,600	09-28-2007	
SOU2006-94FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-95FCS	3	\$7,500	\$7,500	\$5,050	09-28-2007	
SOU2006-96FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-97ROP	1	\$5,000	\$5,000	\$3,650	09-28-2007	
SOU2006-98HMT	1	\$4,000	\$4,000	\$2,600	09-28-2007	
SOU2006-99LI	5	\$20,000	\$20,000	\$13,650	09-28-2007	
SOU2006-100SA	1	\$2,500	\$2,500	\$1,750	09-28-2007	
SOU2006-101LI	1	\$5,000	\$5,000	\$3,350	09-28-2007	
SOU2006-102SA	5	\$20,000	\$20,000	\$13,400	09-28-2007	
SOU2006-103SA	6	\$30,000	\$30,000	\$20,400	09-28-2007	
SOU2006-104FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-105FCS	1	\$2,500	\$2,500	\$1,600	09-28-2007	
SOU2006-106FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-107FCS	2	\$5,000	\$5,000	\$3,300	09-28-2007	
SOU2006-108FCS	2	\$5,000	\$5,000	\$3,450	09-28-2007	
SOU2006-109SA	4	\$12,500	\$12,500	\$8,350	09-28-2007	
SOU2006-110SA	2	\$15,000	\$15,000	\$10,200	09-28-2007	
SOU2006-111HMT	3	\$15,000	\$15,000	\$9,660	09-28-2007	
SOU2006-112LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-113SA	4	\$10,000	\$10,000	\$6,800	09-28-2007	
SOU2006-114FCS	1	\$2,000	\$2,000	\$1,350	09-28-2007	
SOU2006-115FCS	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-116GC	3	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-117HMT	3	\$14,000	\$14,000	\$9,500	09-28-2007	
SOU2006-118HMT	4	\$14,000	\$14,000	\$9,700	09-28-2007	
SOU2006-119HMT	3	\$13,000	\$13,000	\$9,200	09-28-2007	
SOU2006-120LI	1	\$2,500	\$2,500	\$2,050	09-28-2007	
SOU2006-121SA	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-122TS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
SOU2006-124AR	2	\$2,500	\$2,500	\$1,750	09-28-2007	
SOU2006-125AD	4	\$11,000	\$11,000	\$8,700	09-28-2007	
SOU2006-126SA	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-127HSR	1	\$1,000	\$1,000	\$680	09-28-2007	
SOU2006-129EO	1	\$7,500	\$7,500	\$5,150	09-28-2007	
SOU2006-130SA	3	\$10,000	\$10,000	\$6,850	09-28-2007	
SOU2006-131SA	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-132SA	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-133LI	1	\$3,500	\$3,500	\$2,300	09-28-2007	
SOU2006-134HMT	13	\$42,000	\$42,000	\$24,500	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
SOU2006-135TS	6	\$20,000	\$20,000	\$12,350	09-28-2007	
SOU2006-138LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-139RW	6	\$6,000	\$6,000	\$4,420	09-28-2007	
SOU2006-140HMT	8	\$35,500	\$35,500	\$21,000	09-28-2007	
SOU2006-141GC	1	\$5,000	\$5,000	\$3,350	09-28-2007	
SOU2006-142FCS	2	\$5,000	\$5,000	\$3,450	09-28-2007	
SOU2006-143AD	2	\$10,000	\$10,000	\$8,200	09-28-2007	
SOU2006-144AD	3	\$12,000	\$12,000	\$5,050	09-28-2007	TERMINATE D VIOLATI ON(S): 2, 3
SOU2006-145HMT	1	\$2,500	\$2,500	\$1,750	09-28-2007	
SOU2006-146FCS	4	\$10,000	\$10,000	\$6,900	09-28-2007	
SOU2006-147GC	1	\$5,000	\$5,000	\$3,350	09-28-2007	
SOU2006-149REM	2	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-150GC	1	\$5,000	\$5,000	\$3,350	09-28-2007	
SOU2006-151SA	3	\$10,000	\$10,000	\$6,850	09-28-2007	
SOU2006-152SA	5	\$22,500	\$22,500	\$15,200	09-28-2007	
SOU2006-153SA	2	\$5,000	\$5,000	\$3,500	09-28-2007	
SOU2006-154HMT	2	\$11,000	\$11,000	\$7,700	09-28-2007	
SOU2006-155LI	1	\$7,500	\$7,500	\$5,200	09-28-2007	
SOU2006-156SA	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2006-157SA	4	\$15,000	\$15,000	\$10,200	09-28-2007	
SOU2006-158ROP	1	\$5,000	\$5,000	\$3,200	09-28-2007	
SOU2006-159GC	1	\$5,000	\$5,000	\$3,300	09-28-2007	
SOU2006-160SA	4	\$17,500	\$17,500	\$11,750	09-28-2007	
SOU2006-161SA	5	\$17,500	\$17,500	\$11,750	09-28-2007	
SOU2006-162SA	4	\$15,000	\$15,000	\$10,200	09-28-2007	
SOU2006-163HMT	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-164RW	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-165HMT	1	\$6,000	\$6,000	\$4,200	09-28-2007	
SOU2006-166HMT	1	\$4,000	\$4,000	\$2,850	09-28-2007	
SOU2006-167HMT	3	\$15,000	\$15,000	\$10,400	09-28-2007	
SOU2006-169EQ	1	\$2,500	\$2,500	\$1,720	09-28-2007	
SOU2006-170FCS	2	\$5,000	\$5,000	\$3,500	09-28-2007	
SOU2006-171FCS	3	\$7,500	\$7,500	\$5,200	09-28-2007	
SOU2006-172LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-173SA	1	\$7,500	\$7,500	\$5,050	09-28-2007	
SOU2006-174HSR	1	\$1,000	\$1,000	\$680	09-28-2007	
SOU2006-175SA	5	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2006-176SA	5	\$20,000	\$20,000	\$13,750	09-28-2007	
SOU2006-178SA	5	\$12,500	\$12,500	\$8,400	09-28-2007	
SOU2006-179SA	5	\$12,500	\$12,500	\$8,450	09-28-2007	
SOU2006-182SA	5	\$15,000	\$15,000	\$10,400	09-28-2007	
SOU2006-183SA	4	\$12,500	\$12,500	\$8,800	09-28-2007	
SOU2006-185RW	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2006-186FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-187FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-190FCS	2	\$10,000	\$10,000	\$6,800	09-28-2007	
SOU2006-191HMT	2	\$9,000	\$9,000	\$6,100	09-28-2007	
SOU2006-192HSR	1	\$1,000	\$1,000	\$680	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
SOU2006-194ROP	1	\$7,500	\$7,500	\$5,450	09-28-2007	
SOU2006-195GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
SOU2006-196FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-197FCS	5	\$12,500	\$12,500	\$8,600	09-28-2007	
SOU2006-198FCS	2	\$5,000	\$5,000	\$3,475	09-28-2007	
SOU2006-199FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2006-200TS	6	\$10,000	\$10,000	\$7,000	09-28-2007	
SOU2006-201ROP	1	\$7,500	\$7,500	\$5,150	09-28-2007	
SOU2006-203FCS	1	\$2,000	\$2,000	\$1,300	09-28-2007	
SOU2006-204FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2007-3LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2007-4HMT	8	\$32,500	\$32,500	\$20,200	09-28-2007	
SOU2007-5SA	4	\$10,000	\$10,000	\$6,800	09-28-2007	
SOU2007-6SA	3	\$7,500	\$7,500	\$5,100	09-28-2007	
SOU2007-9EP	1	\$1,000	\$1,000	\$690	09-28-2007	
SOU2007-11SI	1	\$1,000	\$1,000	\$680	09-28-2007	
SOU2007-13SA	4	\$10,000	\$10,000	\$6,900	09-28-2007	
SOU2007-14SA	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2007-15FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2007-17HS	1	\$1,000	\$1,000	\$650	09-28-2007	
SOU2007-19SA	3	\$10,000	\$10,000	\$6,850	09-28-2007	
SOU2007-20SA	3	\$10,000	\$10,000	\$7,000	09-28-2007	
SOU2007-22LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2007-23SA	1	\$2,500	\$2,500	\$1,650	09-28-2007	
SOU2007-24LI	1	\$2,500	\$2,500	\$1,700	09-28-2007	
SOU2007-25EQ	2	\$2,000	\$2,000	\$1,260	09-28-2007	
STE2004-1AR	2	\$5,000	\$5,000	\$3,250	12-20-2006	
STMA2006-2TS	3	\$15,000	\$15,000	\$15,000	01-31-2007	
TIOC2005-1AD	1	\$1,250	\$1,250	\$550	02-20-2007	
TM2006-2TS	2	\$5,000	\$5,000	\$3,000	09-28-2007	
TM2006-4LI	1	\$2,500	\$2,500	\$1,550	09-28-2007	
TM2006-5FCS	1	\$2,500	\$2,500	\$1,550	09-28-2007	
TM2006-6SA	1	\$2,500	\$2,500	\$1,550	09-28-2007	
TM2006-7SA	1	\$2,500	\$2,500	\$1,550	09-28-2007	
TM2006-8TS	1	\$5,000	\$5,000	\$3,500	09-28-2007	
TM2006-9TS	1	\$5,000	\$5,000	\$3,000	09-28-2007	
TN2006-1SA	1	\$7,500	\$7,500	\$5,000	02-01-2007	
TNMR2004-1TS	1	\$2,500	\$2,500	\$1,500	04-23-2007	
TNMR2004-2TS	1	\$2,500	\$2,500	\$1,500	04-23-2007	
TNMR2004-3TS	1	\$2,500	\$2,500	\$1,500	04-23-2007	
TNMR2004-4TS	2	\$5,000	\$5,000	\$3,000	04-23-2007	
TNMR2005-1TS	3	\$10,000	\$10,000	\$6,000	04-23-2007	
TNMR2005-2TS	1	\$2,500	\$2,500	\$1,500	04-23-2007	
TNMR2006-1SA	1	\$7,500	\$7,500	\$4,800	04-23-2007	
TRE2005-1GC	1	\$5,000	\$5,000	\$5,000	01-19-2007	
TRRA2006-1TS	1	\$5,000	\$5,000	\$4,000	09-13-2007	
TRRA2006-2ROR	1	\$5,000	\$5,000	\$2,500	05-14-2007	
TRRA2006-3ROR	1	\$5,000	\$5,000	\$2,500	05-14-2007	
TSRR2004-1TS	1	\$5,000	\$5,000	\$2,500	01-08-2007	
TXPF2003-1TS	1	\$5,000	\$4,000	\$4,000	09-20-2007	
TXPF2005-1TS	7	\$7,000	\$7,000	\$5,600	09-26-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
TXPF2007-1GC	1	\$2,500	\$2,500	\$2,500	05-23-2007	
TZPR2006-2FCS	2	\$10,000	\$7,500	\$7,500	09-20-2007	
UCRY2005-1TS	2	\$6,000	\$6,000	\$6,000	01-25-2007	
UP2001-15TS	1	\$2,500	\$0	\$0	07-03-2007	TERMINATE D VIOLATI ON(S): 1
UP2005-6FCS	4	\$12,500	\$7,500	\$5,625	09-28-2007	TERMINATE D VIOLATI ON(S): 4
UP2005-70EQ	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2005-75LI	9	\$26,000	\$26,000	\$17,700	09-28-2007	
UP2005-87SA	3	\$6,000	\$6,000	\$4,000	09-28-2007	
UP2005-133FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2005-134SA	1	\$2,500	\$2,500	\$1,825	09-28-2007	
UP2005-149TS	4	\$4,000	\$0	\$0	08-21-2007	TERMINATE D VIOLATI ONS: 1,2, 3,4
UP2005-175TS	4	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2005-185HMT	4	\$16,500	\$16,500	\$5,000	09-28-2007	
UP2005-222SI	1	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2005-258AR	7	\$17,500	\$17,500	\$10,000	09-28-2007	
UP2005-261TS	4	\$15,000	\$15,000	\$9,750	09-28-2007	
UP2005-262LI	5	\$16,500	\$16,500	\$11,000	09-28-2007	
UP2005-269ROP	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2005-281FCS	4	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2005-293TS	3	\$15,000	\$15,000	\$10,500	09-28-2007	
UP2005-299HMT	5	\$23,000	\$23,000	\$12,000	09-28-2007	
UP2005-303HMT	1	\$4,000	\$4,000	\$3,000	09-28-2007	
UP2005-311EP	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2005-313RW	2	\$2,000	\$2,000	\$1,225	09-28-2007	
UP2005-321HMT	1	\$2,000	\$2,000	\$1,600	09-28-2007	
UP2005-323FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2005-324HMT	2	\$7,000	\$7,000	\$5,250	09-28-2007	
UP2005-326AR	4	\$10,000	\$10,000	\$6,600	09-28-2007	
UP2005-327HS	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2005-328TS	18	\$41,500	\$41,500	\$24,900	09-28-2007	
UP2005-330TS	5	\$17,500	\$17,500	\$12,250	09-28-2007	
UP2005-331ROP	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2005-334TS	5	\$17,000	\$17,000	\$10,500	09-28-2007	
UP2005-338HMT	1	\$6,000	\$6,000	\$4,500	09-28-2007	
UP2005-343LI	14	\$40,500	\$40,500	\$26,925	09-28-2007	
UP2005-345HMT	2	\$9,500	\$9,500	\$7,125	09-28-2007	
UP2005-350SA	3	\$12,500	\$12,500	\$8,825	09-28-2007	
UP2005-364SA	7	\$32,500	\$32,500	\$22,750	09-28-2007	
UP2005-371PEP	1	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2005-387PEP	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2005-389FCS	2	\$7,500	\$5,000	\$3,800	09-28-2007	TERMINATE D VIOLSTI ON(S): PA RTIAL TER

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment Amount	Date	Comments
UP2005-393SA	8	\$30,000	\$30,000	\$18,900	09-28-2007	MINATION ON 2.
UP2005-398SA	1	\$5,000	\$5,000	\$3,625	09-28-2007	
UP2005-404SA	6	\$15,000	\$15,000	\$9,500	09-28-2007	
UP2005-406SA	4	\$15,000	\$15,000	\$9,400	09-28-2007	
UP2005-408SA	4	\$14,500	\$14,500	\$9,600	09-28-2007	
UP2005-409LI	3	\$12,500	\$12,500	\$8,500	09-28-2007	
UP2005-411TS	2	\$6,000	\$6,000	\$3,800	09-28-2007	
UP2005-412AR	6	\$15,000	\$15,000	\$7,000	09-28-2007	
UP2005-413FCS	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2005-424HMT	1	\$2,000	\$2,000	\$1,600	09-28-2007	
UP2005-426FCS	1	\$2,500	\$2,500	\$1,000	09-28-2007	
UP2005-427FCS	1	\$2,000	\$2,000	\$1,600	09-28-2007	
UP2005-430TS	1	\$1,000	\$1,000	\$550	09-28-2007	
UP2005-431LI	3	\$7,000	\$7,000	\$4,000	09-28-2007	
UP2005-432LI	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-1SA	7	\$35,000	\$35,000	\$23,250	09-28-2007	
UP2006-2SA	2	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2006-4LI	3	\$4,500	\$4,500	\$3,000	09-28-2007	
UP2006-6RW	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-8HMT	8	\$8,000	\$8,000	\$6,000	09-28-2007	
UP2006-11HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	
UP2006-13SA	4	\$12,500	\$12,500	\$8,450	09-28-2007	
UP2006-14LI	8	\$20,000	\$20,000	\$13,400	09-28-2007	
UP2006-17SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-18SA	3	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-19FCS	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-20AR	7	\$17,500	\$17,500	\$12,250	09-28-2007	
UP2006-21TS	3	\$8,500	\$8,500	\$5,950	09-28-2007	
UP2006-22TS	3	\$10,000	\$10,000	\$6,000	09-28-2007	
UP2006-23TS	2	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2006-24HMT	4	\$11,000	\$11,000	\$8,250	09-28-2007	
UP2006-25TS	6	\$25,000	\$25,000	\$17,000	09-28-2007	
UP2006-26HMT	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-28SA	7	\$17,500	\$17,500	\$11,750	09-28-2007	
UP2006-32GC	1	\$5,000	\$5,000	\$3,800	09-28-2007	
UP2006-33SI	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-34GC	2	\$7,500	\$7,500	\$3,800	09-28-2007	
UP2006-35SI	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-36AR	7	\$17,500	\$17,500	\$8,750	09-28-2007	
UP2006-38AR	8	\$20,000	\$20,000	\$10,000	09-28-2007	
UP2006-39AR	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-41FCS	4	\$10,000	\$10,000	\$7,200	09-28-2007	
UP2006-42FCS	3	\$7,500	\$7,500	\$5,250	09-28-2007	
UP2006-43ROP	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-44GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-45FCS	4	\$12,500	\$12,500	\$8,500	09-28-2007	
UP2006-46FCS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-47HMT	3	\$22,500	\$22,500	\$18,000	09-28-2007	
UP2006-48HMT	1	\$1,000	\$1,000	\$750	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
UP2006-49HSR	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-50AR	4	\$10,000	\$7,500	\$3,500	09-28-2007	TERMINATE D VIOLATI ON(S): 2
UP2006-51SA	5	\$25,000	\$25,000	\$16,550	09-28-2007	
UP2006-52SA	6	\$22,500	\$22,500	\$14,625	09-28-2007	
UP2006-53SA	7	\$20,000	\$20,000	\$13,500	09-28-2007	
UP2006-54LI	8	\$22,000	\$22,000	\$15,850	09-28-2007	
UP2006-55LI	8	\$21,500	\$21,500	\$14,475	09-28-2007	
UP2006-56EP	2	\$2,000	\$2,000	\$1,400	09-28-2007	
UP2006-57TS	7	\$17,500	\$17,500	\$13,000	09-28-2007	
UP2006-58HMT	5	\$9,500	\$9,500	\$7,125	09-28-2007	
UP2006-59TS	2	\$7,500	\$7,500	\$4,625	09-28-2007	
UP2006-60HMT	12	\$90,000	\$90,000	\$67,500	09-28-2007	
UP2006-61HMT	4	\$16,000	\$16,000	\$12,000	09-28-2007	
UP2006-62SA	4	\$11,000	\$11,000	\$8,350	09-28-2007	
UP2006-63SA	3	\$12,500	\$12,500	\$8,000	09-28-2007	
UP2006-64SA	7	\$25,000	\$25,000	\$16,000	09-28-2007	
UP2006-65SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-66RW	2	\$2,000	\$2,000	\$1,225	09-28-2007	
UP2006-67HMT	2	\$3,000	\$3,000	\$2,250	09-28-2007	
UP2006-68TS	3	\$15,000	\$15,000	\$10,000	09-28-2007	
UP2006-69TS	2	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2006-70SA	6	\$20,000	\$20,000	\$12,475	09-28-2007	
UP2006-71ROP	2	\$10,000	\$10,000	\$5,000	09-28-2007	
UP2006-72SI	1	\$5,000	\$5,000	\$5,000	09-28-2007	
UP2006-73SI	1	\$5,000	\$5,000	\$3,800	09-28-2007	
UP2006-74ROP	1	\$2,000	\$2,000	\$1,440	09-28-2007	
UP2006-75GC	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-76HSR	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-77HS	1	\$1,000	\$1,000	\$550	09-28-2007	
UP2006-78SA	4	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2006-79RSP	1	\$5,000	\$5,000	\$3,550	09-28-2007	
UP2006-80HSR	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-81SA	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-82SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-83SA	3	\$12,500	\$10,000	\$6,800	09-28-2007	ALLEGED V IOLATION #3 WS OVE R ASSESSE D.
UP2006-85FCS	3	\$7,500	\$7,500	\$5,175	09-28-2007	
UP2006-86FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-87LI	10	\$42,500	\$42,500	\$28,325	09-28-2007	
UP2006-88LI	5	\$20,000	\$20,000	\$12,100	09-28-2007	
UP2006-89RSP	4	\$20,000	\$20,000	\$13,400	09-28-2007	
UP2006-90RSP	3	\$7,000	\$7,000	\$4,550	09-28-2007	
UP2006-91HS	2	\$2,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
UP2006-92HMT	1	\$7,500	\$7,500	\$6,000	09-28-2007	
UP2006-95TS	5	\$17,500	\$17,500	\$10,000	09-28-2007	
UP2006-97EP	1	\$1,000	\$1,000	\$750	09-28-2007	
UP2006-98RW	3	\$7,000	\$4,000	\$3,200	09-28-2007	TERMINATE D VIOLATI ON(S): 2
UP2006-99FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-100HSR	4	\$4,000	\$4,000	\$3,000	09-28-2007	
UP2006-101SI	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-102SI	2	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2006-103SI	1	\$5,000	\$5,000	\$3,800	09-28-2007	
UP2006-104EQ	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-105SA	2	\$7,500	\$7,500	\$5,625	09-28-2007	
UP2006-106SA	4	\$22,500	\$22,500	\$15,000	09-28-2007	
UP2006-107SA	4	\$10,000	\$10,000	\$6,400	09-28-2007	
UP2006-108SA	4	\$10,000	\$10,000	\$6,375	09-28-2007	
UP2006-109SI	1	\$1,000	\$1,000	\$750	09-28-2007	
UP2006-110TS	5	\$5,000	\$5,000	\$2,700	09-28-2007	
UP2006-111HMT	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-112GC	4	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-113TS	4	\$9,500	\$9,500	\$6,175	09-28-2007	
UP2006-114TS	4	\$16,000	\$16,000	\$10,400	09-28-2007	
UP2006-115TS	4	\$11,000	\$11,000	\$6,600	09-28-2007	
UP2006-116RSP	1	\$5,000	\$5,000	\$3,450	09-28-2007	
UP2006-117HMT	1	\$4,000	\$4,000	\$3,000	09-28-2007	
UP2006-118HMT	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-119GC	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-120AD	3	\$15,000	\$15,000	\$10,800	09-28-2007	
UP2006-121SI	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-122SI	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-123SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-124SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-125SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-126SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-127FCS	2	\$7,500	\$7,500	\$6,000	09-28-2007	
UP2006-128FCS	3	\$7,500	\$7,500	\$5,400	09-28-2007	
UP2006-129FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-130ROR	1	\$5,000	\$5,000	\$550	09-28-2007	
UP2006-131SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-132FCS	1	\$2,500	\$2,500	\$1,675	09-28-2007	
UP2006-133FCS	1	\$2,500	\$2,500	\$1,675	09-28-2007	
UP2006-134FCS	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-135REM	1	\$5,000	\$5,000	\$3,650	09-28-2007	
UP2006-136SA	1	\$5,000	\$2,500	\$1,875	09-28-2007	ALLEGED V IOLATION #1 WAS OV ER ASSESS ED.
UP2006-137SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-138FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-139FCS	1	\$5,000	\$5,000	\$3,600	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-140FCS	1	\$5,000	\$5,000	\$3,850	09-28-2007	
UP2006-141SA	7	\$17,500	\$17,500	\$12,175	09-28-2007	
UP2006-142SA	2	\$7,500	\$7,500	\$4,900	09-28-2007	
UP2006-143SA	5	\$17,500	\$17,500	\$12,000	09-28-2007	
UP2006-144SA	4	\$10,000	\$10,000	\$6,250	09-28-2007	
UP2006-145SA	4	\$8,500	\$8,500	\$5,695	09-28-2007	
UP2006-146SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-147SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-148SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-149SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-150SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-151SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-152RW	1	\$2,000	\$2,000	\$1,225	09-28-2007	
UP2006-153LI	6	\$23,500	\$23,500	\$15,600	09-28-2007	
UP2006-155ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-156TS	2	\$5,000	\$5,000	\$3,500	09-28-2007	
UP2006-157HMT	4	\$8,000	\$8,000	\$5,440	09-28-2007	
UP2006-158FCS	1	\$2,500	\$2,500	\$1,575	09-28-2007	
UP2006-159FCS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-160SA	3	\$12,500	\$12,500	\$8,600	09-28-2007	
UP2006-161SA	4	\$12,500	\$12,500	\$10,000	09-28-2007	
UP2006-162SA	4	\$12,500	\$12,500	\$8,125	09-28-2007	
UP2006-163TS	2	\$7,500	\$7,500	\$5,625	09-28-2007	
UP2006-164RSP	1	\$5,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
UP2006-165HMT	2	\$8,500	\$8,500	\$5,865	09-28-2007	
UP2006-166LI	6	\$14,500	\$14,500	\$9,000	09-28-2007	
UP2006-167LI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-168LI	1	\$1,500	\$1,500	\$1,000	09-28-2007	
UP2006-169TS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-170LI	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-171LI	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-172LI	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-173RW	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-174LI	1	\$1,000	\$1,000	\$775	09-28-2007	
UP2006-175LI	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-176SA	3	\$7,500	\$7,500	\$4,875	09-28-2007	
UP2006-177SA	4	\$12,500	\$10,000	\$6,300	09-28-2007	PARTIAL T ERMINATIO N VIOLATI ON # 1
UP2006-178SI	7	\$17,500	\$17,500	\$8,750	09-28-2007	
UP2006-179SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-180SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-181ROP	1	\$5,000	\$5,000	\$3,400	09-28-2007	
UP2006-182FCS	1	\$2,500	\$2,500	\$1,825	09-28-2007	
UP2006-183EQ	2	\$10,000	\$10,000	\$1,100	09-28-2007	
UP2006-184AR	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-185SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-186FCS	1	\$2,500	\$2,500	\$1,750	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-187FCS	1	\$2,500	\$2,500	\$1,675	09-28-2007	
UP2006-188FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-189FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-190FCS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-191SI	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-192FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-193SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-194SI	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-195SI	6	\$15,000	\$15,000	\$7,500	09-28-2007	
UP2006-196FCS	1	\$2,500	\$2,500	\$1,725	09-28-2007	
UP2006-198SA	2	\$7,500	\$5,000	\$3,700	09-28-2007	PARTIAL T ERMINATIO N VIOLATI ON#1
UP2006-199SA	7	\$32,500	\$32,500	\$22,000	09-28-2007	
UP2006-200SA	3	\$7,500	\$7,500	\$5,775	09-28-2007	
UP2006-201SA	4	\$12,500	\$12,500	\$10,000	09-28-2007	
UP2006-202SA	4	\$12,500	\$7,500	\$6,000	09-28-2007	TERMINATE D VIOLATI ON(S): 4
UP2006-203FCS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-204SI	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-205HSR	14	\$14,000	\$14,000	\$9,500	09-28-2007	
UP2006-206AR	6	\$13,500	\$12,500	\$6,000	09-28-2007	TERMINATE D VIOLATI ON(S): 5
UP2006-207SA	4	\$17,500	\$17,500	\$11,800	09-28-2007	
UP2006-208SA	2	\$7,500	\$5,000	\$4,000	09-28-2007	VIOLATION #2 PARTI AL TERMIN ATION.
UP2006-209HS	2	\$2,000	\$2,000	\$1,360	09-28-2007	
UP2006-210SA	3	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2006-211SA	4	\$10,000	\$10,000	\$7,200	09-28-2007	
UP2006-212FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-213RW	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-214FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-215FCS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-216FCS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-217SA	1	\$5,000	\$5,000	\$3,500	09-28-2007	
UP2006-218FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-219FCS	1	\$2,500	\$2,500	\$1,795	09-28-2007	
UP2006-220FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-221FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-222FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-223FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-224FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-225FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-226FCS	1	\$2,500	\$2,500	\$1,575	09-28-2007	
UP2006-227FCS	1	\$2,500	\$2,500	\$1,575	09-28-2007	
UP2006-228FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
UP2006-229FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-230FCS	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-231FCS	1	\$5,000	\$5,000	\$3,150	09-28-2007	
UP2006-233TS	4	\$10,000	\$10,000	\$6,000	09-28-2007	
UP2006-234TS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-236SA	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-237HMT	1	\$7,500	\$7,500	\$4,500	09-28-2007	
UP2006-238HMT	3	\$22,500	\$22,500	\$16,875	09-28-2007	
UP2006-239EO	1	\$5,000	\$5,000	\$550	09-28-2007	
UP2006-240LI	8	\$48,000	\$48,000	\$32,175	09-28-2007	
UP2006-241LI	11	\$28,000	\$26,500	\$17,800	09-28-2007	ALLEGED VI IOLATION OVER-ASSE SSED: #8
UP2006-242LI	6	\$17,500	\$17,500	\$11,700	09-28-2007	
UP2006-243SA	1	\$5,000	\$2,500	\$2,000	09-28-2007	VIOLATION # 1 PARTI AL TERMIN ATION
UP2006-244SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-245SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-246SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-247SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-248SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-249SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-250SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-251SA	2	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-252SA	2	\$5,000	\$5,000	\$3,300	09-28-2007	
UP2006-253HMT	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-254SA	3	\$7,500	\$7,500	\$4,950	09-28-2007	
UP2006-255SA	5	\$12,500	\$12,500	\$8,250	09-28-2007	
UP2006-256SA	4	\$17,500	\$15,000	\$11,375	09-28-2007	VIOLATION \$ 4 PARTI AL TERMIN ATION.
UP2006-257SA	2	\$7,500	\$7,500	\$5,100	09-28-2007	
UP2006-258SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-259SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-261SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-262SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-263SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-264SA	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
UP2006-265SA	3	\$10,000	\$7,500	\$5,675	09-28-2007	VIOLATION # 1 PARTI IAL TERMIN ATION.
UP2006-266SA	2	\$5,000	\$5,000	\$3,450	09-28-2007	
UP2006-267SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
UP2006-268SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-269LI	12	\$28,000	\$28,000	\$17,900	09-28-2007	
UP2006-270RW	1	\$3,000	\$3,000	\$1,850	09-28-2007	
UP2006-271HMT	2	\$10,500	\$8,000	\$5,000	09-28-2007	TERMINATE D VIOLATI ONS: 1
UP2006-272HMT	2	\$3,500	\$1,000	\$550	09-28-2007	TERMINATE D VIOLATI ONS: 1
UP2006-273HMT	3	\$7,500	\$7,500	\$2,000	09-28-2007	
UP2006-274FCS	2	\$5,000	\$5,000	\$3,400	09-28-2007	
UP2006-275SA	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-276SA	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-278TS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-279HMT	1	\$2,000	\$2,000	\$1,360	09-28-2007	
UP2006-280TS	5	\$11,000	\$11,000	\$6,300	09-28-2007	
UP2006-281RSP	2	\$10,000	\$10,000	\$7,400	09-28-2007	
UP2006-282SA	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-283SA	5	\$22,500	\$22,500	\$16,875	09-28-2007	
UP2006-284SA	3	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2006-285TS	2	\$3,500	\$3,500	\$2,275	09-28-2007	
UP2006-286TS	6	\$19,500	\$19,500	\$11,700	09-28-2007	
UP2006-287TS	1	\$2,500	\$2,500	\$1,750	09-28-2007	
UP2006-288HMT	2	\$15,000	\$15,000	\$10,500	09-28-2007	
UP2006-289HMT	1	\$7,500	\$7,500	\$6,000	09-28-2007	
UP2006-290SA	4	\$10,000	\$10,000	\$6,700	09-28-2007	
UP2006-291AR	1	\$2,500	\$2,500	\$1,650	09-28-2007	
UP2006-292TS	5	\$22,500	\$22,500	\$13,500	09-28-2007	
UP2006-293TS	3	\$8,500	\$8,500	\$5,525	09-28-2007	
UP2006-294TS	5	\$13,500	\$13,500	\$9,000	09-28-2007	
UP2006-295TS	1	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-296SA	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-297LI	8	\$19,500	\$19,500	\$12,675	09-28-2007	
UP2006-298RW	2	\$4,000	\$4,000	\$3,000	09-28-2007	
UP2006-299HMT	3	\$22,500	\$22,500	\$13,000	09-28-2007	
UP2006-300SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-301SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-302FCS	2	\$3,500	\$3,500	\$2,310	09-28-2007	
UP2006-303ROP	1	\$7,500	\$2,500	\$1,775	09-28-2007	TERMINATE D VIOLATI ON(S): PA RTIAL TER MINATION 1
UP2006-305FCS	2	\$7,500	\$7,500	\$4,950	09-28-2007	
UP2006-306TS	8	\$25,000	\$25,000	\$15,000	09-28-2007	
UP2006-307TS	4	\$17,500	\$17,500	\$12,250	09-28-2007	
UP2006-308HMT	3	\$10,000	\$5,000	\$5,000	09-28-2007	
UP2006-309SA	3	\$10,000	\$10,000	\$6,500	09-28-2007	
UP2006-310SA	3	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-311SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-312SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-313SA	5	\$17,500	\$17,500	\$13,750	09-28-2007	
UP2006-314SA	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-316HMT	1	\$2,500	\$2,500	\$550	09-28-2007	
UP2006-317EQ	2	\$22,000	\$22,000	\$17,600	09-28-2007	
UP2006-318AR	7	\$17,500	\$17,500	\$8,750	09-28-2007	
UP2006-319HSR	1	\$1,000	\$1,000	\$550	09-28-2007	
UP2006-320SA	3	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2006-321SA	3	\$12,500	\$12,500	\$10,000	09-28-2007	
UP2006-322FCS	2	\$5,000	\$5,000	\$3,250	09-28-2007	
UP2006-323LI	3	\$5,500	\$5,500	\$3,960	09-28-2007	
UP2006-324SI	1	\$5,000	\$5,000	\$4,500	09-28-2007	
UP2006-325AD	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-326RW	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-327RSP	1	\$1,000	\$1,000	\$550	09-28-2007	
UP2006-328HMT	2	\$11,000	\$11,000	\$7,700	09-28-2007	
UP2006-329SA	4	\$10,000	\$10,000	\$7,625	09-28-2007	
UP2006-330SA	4	\$17,500	\$15,000	\$11,750	09-28-2007	VIOLATION # 4 PARTI AL TERMIN ATION
UP2006-331LI	5	\$12,500	\$10,000	\$5,800	09-28-2007	TERMINATE D VIOLATI ON(S) : #5
UP2006-332LI	6	\$33,000	\$30,500	\$18,300	09-28-2007	TERMINATE D VIOLATI ON(S) : 5
UP2006-333FCS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-334SA	3	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2006-335SA	3	\$12,500	\$12,500	\$9,750	09-28-2007	
UP2006-336AR	10	\$25,000	\$25,000	\$12,000	09-28-2007	
UP2006-337AR	4	\$10,000	\$10,000	\$6,200	09-28-2007	
UP2006-338HS	3	\$3,000	\$3,000	\$1,750	09-28-2007	
UP2006-339LI	5	\$9,500	\$9,500	\$6,500	09-28-2007	
UP2006-340FCS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-341SI	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-342FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-343TS	5	\$13,500	\$13,500	\$9,450	09-28-2007	
UP2006-344FCS	2	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-346FCS	2	\$5,000	\$5,000	\$3,250	09-28-2007	
UP2006-347ROP	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-348ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-349FCS	2	\$5,000	\$5,000	\$3,350	09-28-2007	
UP2006-350SA	2	\$5,000	\$2,500	\$2,000	09-28-2007	TERMINATE D VIOLATI ON(S) : 2
UP2006-351HMT	1	\$2,000	\$2,000	\$1,260	09-28-2007	
UP2006-352SA	2	\$5,000	\$5,000	\$3,500	09-28-2007	
UP2006-353SA	1	\$5,000	\$5,000	\$2,750	09-28-2007	
UP2006-354FCS	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-355FCS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-357TS	2	\$7,500	\$5,000	\$3,250	09-28-2007	TERMINATE

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-358HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	D VIOLATI ON(S): 1
UP2006-359SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-360EO	1	\$1,500	\$1,500	\$550	09-28-2007	
UP2006-361FCS	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-362SA	1	\$2,500	\$2,500	\$1,375	09-28-2007	
UP2006-363RSP	2	\$2,000	\$2,000	\$1,200	09-28-2007	
UP2006-364TS	2	\$5,000	\$5,000	\$3,500	09-28-2007	
UP2006-365HS	3	\$3,000	\$3,000	\$1,900	09-28-2007	
UP2006-366TS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-367SA	1	\$2,500	\$2,500	\$1,375	09-28-2007	
UP2006-368GC	2	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2006-369SI	1	\$2,500	\$2,500	\$1,925	09-28-2007	
UP2006-370LI	2	\$3,500	\$3,500	\$2,500	09-28-2007	
UP2006-371LI	2	\$3,000	\$3,000	\$2,100	09-28-2007	
UP2006-372TS	5	\$12,500	\$12,500	\$7,500	09-28-2007	
UP2006-373AR	2	\$5,000	\$5,000	\$3,100	09-28-2007	
UP2006-374TS	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-375RW	1	\$3,000	\$3,000	\$2,400	09-28-2007	
UP2006-376EP	1	\$1,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
UP2006-377FCS	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-378FCS	1	\$2,500	\$2,500	\$1,675	09-28-2007	
UP2006-379SI	1	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2006-380SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-382TS	2	\$7,500	\$7,500	\$5,250	09-28-2007	
UP2006-383LI	2	\$11,000	\$11,000	\$7,500	09-28-2007	
UP2006-384LI	2	\$6,000	\$6,000	\$3,900	09-28-2007	
UP2006-385HMT	1	\$7,500	\$7,500	\$2,500	09-28-2007	
UP2006-387HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	
UP2006-389HMT	2	\$15,000	\$15,000	\$10,500	09-28-2007	
UP2006-390TS	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-391HMT	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-392RW	1	\$2,000	\$2,000	\$1,225	09-28-2007	
UP2006-393LI	3	\$9,000	\$9,000	\$5,500	09-28-2007	
UP2006-394SI	1	\$1,000	\$1,000	\$625	09-28-2007	
UP2006-395SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-396SA	3	\$7,500	\$7,500	\$6,000	09-28-2007	
UP2006-397HSR	5	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-398HS	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-399SA	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-400SA	1	\$2,500	\$2,500	\$1,500	09-28-2007	
UP2006-401HMT	2	\$8,000	\$8,000	\$6,000	09-28-2007	
UP2006-402TS	3	\$7,500	\$7,500	\$5,200	09-28-2007	
UP2006-405SI	2	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2006-407GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-408TS	2	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-410EQ	1	\$5,000	\$5,000	\$4,500	09-28-2007	
UP2006-411LI	1	\$1,000	\$1,000	\$550	09-28-2007	

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		POCA	PRCA			
UP2006-412TS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-413LI	1	\$1,500	\$1,500	\$950	09-28-2007	
UP2006-414HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	
UP2006-415SA	3	\$7,500	\$7,500	\$5,625	09-28-2007	
UP2006-416TS	2	\$7,500	\$7,500	\$5,250	09-28-2007	
UP2006-417TS	3	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-418TS	9	\$23,000	\$23,000	\$12,650	09-28-2007	
UP2006-419TS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-420HMT	2	\$8,500	\$8,500	\$5,950	09-28-2007	
UP2006-421GC	1	\$5,000	\$5,000	\$3,200	09-28-2007	
UP2006-422ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-423SA	1	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-424HMT	1	\$2,000	\$2,000	\$1,340	09-28-2007	
UP2006-425SA	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-426SA	3	\$7,500	\$7,500	\$5,625	09-28-2007	
UP2006-427SA	2	\$5,000	\$5,000	\$3,050	09-28-2007	
UP2006-428LI	1	\$2,500	\$2,500	\$1,500	09-28-2007	
UP2006-429HMT	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-430HMT	1	\$10,000	\$10,000	\$2,500	09-28-2007	
UP2006-431HMT	4	\$28,500	\$28,500	\$21,375	09-28-2007	
UP2006-432HMT	1	\$2,000	\$2,000	\$1,500	09-28-2007	
UP2006-433HSR	3	\$3,000	\$3,000	\$1,650	09-28-2007	
UP2006-434HMT	2	\$7,500	\$7,500	\$6,000	09-28-2007	
UP2006-435HMT	1	\$4,000	\$4,000	\$2,800	09-28-2007	
UP2006-436SA	3	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-437SA	1	\$7,500	\$7,500	\$5,625	09-28-2007	
UP2006-438SA	2	\$4,500	\$4,500	\$3,000	09-28-2007	
UP2006-439SA	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-440HMT	2	\$8,000	\$8,000	\$6,000	09-28-2007	
UP2006-441TS	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-442TS	3	\$7,000	\$7,000	\$5,600	09-28-2007	
UP2006-443SA	1	\$5,000	\$2,500	\$2,000	09-28-2007	VIOLATION # 1 PART IAL TERMI NATION
UP2006-444ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-446LI	2	\$7,500	\$7,500	\$5,250	09-28-2007	
UP2006-447LI	2	\$13,500	\$13,500	\$6,180	09-28-2007	
UP2006-448SA	2	\$5,000	\$5,000	\$3,000	09-28-2007	
UP2006-449SA	2	\$7,500	\$7,500	\$4,500	09-28-2007	
UP2006-450SA	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-451SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-452LI	5	\$13,500	\$13,500	\$8,500	09-28-2007	
UP2006-453SA	5	\$15,000	\$15,000	\$10,000	09-28-2007	
UP2006-455SI	7	\$8,500	\$8,500	\$4,500	09-28-2007	
UP2006-456GC	3	\$12,500	\$12,500	\$7,375	09-28-2007	
UP2006-457HSR	10	\$10,000	\$10,000	\$6,500	09-28-2007	
UP2006-458AR	1	\$2,500	\$2,500	\$1,575	09-28-2007	
UP2006-459GC	15	\$37,500	\$37,500	\$18,750	09-28-2007	
UP2006-460GC	1	\$5,000	\$5,000	\$3,700	09-28-2007	
UP2006-461SA	3	\$12,500	\$12,500	\$9,000	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-462TS	7	\$7,000	\$7,000	\$5,600	09-28-2007	
UP2006-463HMT	2	\$8,000	\$8,000	\$6,000	09-28-2007	
UP2006-464RSP	2	\$10,000	\$10,000	\$6,500	09-28-2007	
UP2006-465SA	2	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2006-466SA	3	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2006-468EQ	1	\$2,000	\$2,000	\$550	09-28-2007	
UP2006-470SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-471GC	1	\$5,000	\$5,000	\$3,250	09-28-2007	
UP2006-472RSP	2	\$5,000	\$5,000	\$3,325	09-28-2007	
UP2006-473SI	1	\$2,500	\$2,500	\$1,500	09-28-2007	
UP2006-474RW	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-475FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-476TS	2	\$5,000	\$5,000	\$3,300	09-28-2007	
UP2006-477SA	3	\$15,000	\$15,000	\$11,250	09-28-2007	
UP2006-478SA	4	\$12,500	\$12,500	\$9,375	09-28-2007	
UP2006-479SA	2	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-480HMT	2	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2006-481HMT	2	\$12,000	\$12,000	\$9,240	09-28-2007	
UP2006-482TS	2	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-483TS	2	\$7,500	\$7,500	\$5,200	09-28-2007	
UP2006-484TS	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-485SA	4	\$15,000	\$12,500	\$10,000	09-28-2007	TERMINATE D VIOLATI ON(S): 4
UP2006-486EQ	1	\$2,000	\$2,000	\$550	09-28-2007	
UP2006-488EP	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-489AD	1	\$5,000	\$5,000	\$3,000	09-28-2007	
UP2006-490HMT	1	\$7,500	\$7,500	\$6,225	09-28-2007	
UP2006-492HSR	18	\$18,000	\$18,000	\$12,600	09-28-2007	
UP2006-493FCS	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-494SI	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-495GC	1	\$2,500	\$2,500	\$1,700	09-28-2007	
UP2006-496SI	3	\$6,000	\$6,000	\$3,000	09-28-2007	
UP2006-497SI	2	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2006-498GC	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-499SA	3	\$17,500	\$15,000	\$11,550	09-28-2007	TERMINATE D VIOLATI ON(S): 1
UP2006-500HMT	3	\$10,000	\$10,000	\$7,500	09-28-2007	
UP2006-501HMT	3	\$8,500	\$8,500	\$5,500	09-28-2007	
UP2006-502HMT	4	\$15,000	\$15,000	\$6,500	09-28-2007	
UP2006-503RSP	1	\$1,000	\$1,000	\$680	09-28-2007	
UP2006-504SA	5	\$20,000	\$20,000	\$15,000	09-28-2007	
UP2006-505SA	5	\$22,500	\$22,500	\$16,875	09-28-2007	
UP2006-506RSP	1	\$2,500	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1
UP2006-507HMT	2	\$11,000	\$11,000	\$7,700	09-28-2007	
UP2006-508SA	5	\$22,500	\$22,500	\$16,875	09-28-2007	
UP2006-509SA	5	\$17,500	\$17,500	\$12,250	09-28-2007	
UP2006-510RW	1	\$6,000	\$6,000	\$4,500	09-28-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-511HSR	2	\$2,000	\$2,000	\$1,100	09-28-2007	
UP2006-512AR	3	\$7,500	\$7,500	\$4,875	09-28-2007	
UP2006-513HS	1	\$1,000	\$1,000	\$750	09-28-2007	
UP2006-514SA	4	\$20,000	\$20,000	\$15,000	09-28-2007	
UP2006-515SA	2	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-517SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2006-518LI	5	\$16,000	\$16,000	\$10,880	09-28-2007	
UP2006-519SA	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-520FCS	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-521GC	1	\$5,000	\$5,000	\$3,400	09-28-2007	
UP2006-522REM	1	\$5,000	\$5,000	\$3,650	09-28-2007	
UP2006-523RW	1	\$1,000	\$1,000	\$700	09-28-2007	
UP2006-525SA	2	\$10,000	\$10,000	\$6,400	09-28-2007	
UP2006-526FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-527FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-529SA	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-530ROP	1	\$5,000	\$5,000	\$3,550	09-28-2007	
UP2006-532LI	6	\$15,000	\$15,000	\$9,900	09-28-2007	
UP2006-533TS	2	\$7,500	\$7,500	\$5,500	09-28-2007	
UP2006-534HMT	1	\$5,000	\$5,000	\$3,200	09-28-2007	
UP2006-535SA	4	\$15,000	\$15,000	\$9,000	09-28-2007	
UP2006-536SA	3	\$7,500	\$7,500	\$4,500	09-28-2007	
UP2006-537SA	5	\$12,500	\$12,500	\$8,000	09-28-2007	
UP2006-539SA	4	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2006-540SA	5	\$20,000	\$17,500	\$13,125	09-28-2007	
UP2006-541SA	5	\$22,500	\$22,500	\$16,875	09-28-2007	
UP2006-542SA	5	\$25,000	\$25,000	\$17,000	09-28-2007	
UP2006-543SA	5	\$12,500	\$12,500	\$8,000	09-28-2007	
UP2006-544HMT	2	\$9,500	\$9,500	\$5,000	09-28-2007	
UP2006-545HMT	2	\$10,000	\$10,000	\$7,000	09-28-2007	
UP2006-546HMT	2	\$8,000	\$8,000	\$6,080	09-28-2007	
UP2006-548TS	2	\$2,000	\$2,000	\$1,600	09-28-2007	
UP2006-551SA	1	\$2,500	\$2,500	\$1,875	09-28-2007	
UP2006-552FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-553ROR	2	\$10,000	\$10,000	\$3,500	09-28-2007	
UP2006-554SI	3	\$6,000	\$6,000	\$3,300	09-28-2007	
UP2006-555EQ	1	\$5,000	\$5,000	\$550	09-28-2007	
UP2006-558LI	5	\$16,500	\$16,500	\$11,000	09-28-2007	
UP2006-561SI	3	\$7,500	\$7,500	\$3,750	09-28-2007	
UP2006-562ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-563GC	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2006-566SA	2	\$7,500	\$7,500	\$5,250	09-28-2007	
UP2006-568HS	10	\$10,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1- 10
UP2006-569HS	9	\$9,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1 -9
UP2006-570SA	4	\$10,000	\$7,500	\$6,500	09-28-2007	REDUCED P

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
UP2006-571SA	5	\$15,000	\$15,000	\$6,500	09-28-2007	OCA ON VI OLATION(S))#: 3,4
UP2006-572AR	2	\$5,000	\$5,000	\$3,000	09-28-2007	
UP2006-573HS	1	\$1,000	\$1,000	\$600	09-28-2007	
UP2006-575LI	5	\$10,000	\$10,000	\$6,200	09-28-2007	
UP2006-576HS	3	\$3,000	\$0	\$0	09-28-2007	TERMINATE D VIOLATI ON(S): 1, 2,3
UP2006-577GC	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-578FCS	2	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-579FCS	3	\$7,500	\$7,500	\$5,550	09-28-2007	
UP2006-580FCS	1	\$2,500	\$2,500	\$1,800	09-28-2007	
UP2006-581LI	1	\$2,500	\$2,500	\$1,625	09-28-2007	
UP2006-583SA	2	\$10,000	\$10,000	\$6,300	09-28-2007	
UP2006-584EQ	1	\$5,000	\$5,000	\$550	09-28-2007	
UP2006-586HMT	7	\$18,000	\$18,000	\$13,680	09-28-2007	
UP2006-589FCS	5	\$12,500	\$12,500	\$8,000	09-28-2007	
UP2006-590FCS	2	\$7,500	\$7,500	\$5,000	09-28-2007	
UP2006-591ROP	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2006-593SA	5	\$12,500	\$12,500	\$7,875	09-28-2007	
UP2006-594SA	5	\$17,500	\$17,500	\$11,900	09-28-2007	
UP2006-595SA	5	\$17,500	\$15,000	\$10,000	09-28-2007	PARTIALLY TERMINAT ED VIOLAT ION(S): # 5
UP2006-596SA	4	\$12,500	\$12,500	\$7,750	09-28-2007	
UP2006-597SA	3	\$7,500	\$7,500	\$5,850	09-28-2007	
UP2006-599HS	1	\$1,000	\$1,000	\$800	09-28-2007	
UP2006-600HSR	3	\$3,000	\$3,000	\$2,250	09-28-2007	
UP2006-602SI	2	\$5,000	\$5,000	\$2,500	09-28-2007	
UP2006-603TS	1	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-604TS	1	\$1,000	\$1,000	\$730	09-28-2007	
UP2006-606ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2006-608FCS	2	\$5,000	\$5,000	\$4,000	09-28-2007	
UP2006-609FCS	2	\$5,000	\$5,000	\$3,450	09-28-2007	
UP2006-611TS	1	\$1,000	\$1,000	\$730	09-28-2007	
UP2007-1SA	5	\$15,000	\$15,000	\$10,050	09-28-2007	
UP2007-2SA	5	\$20,000	\$17,500	\$11,000	09-28-2007	PARTIALLY TERMINAT ED VIOLAT ION(S): 1 SENT OUT AS \$5000 , BUT WER E \$2,500
UP2007-3SA	5	\$22,500	\$17,500	\$11,725	09-28-2007	PARTIALLY TERMINAT

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						ED VIOLAT ION(S): 3 , 5 SENT OUT AS \$5 000 INSTE AD OF \$25 00
UP2007-4SA	4	\$12,500	\$12,500	\$8,375	09-28-2007	
UP2007-5SA	7	\$30,000	\$25,000	\$15,000	09-28-2007	TERMINATE D VIOLATI ON(S): 2
UP2007-6LI	4	\$9,000	\$9,000	\$5,850	09-28-2007	
UP2007-7TS	2	\$2,000	\$2,000	\$1,500	09-28-2007	
UP2007-9HMT	1	\$2,500	\$2,500	\$550	09-28-2007	
UP2007-10HMT	1	\$2,000	\$2,000	\$550	09-28-2007	
UP2007-12HMT	1	\$5,000	\$5,000	\$4,150	09-28-2007	
UP2007-13SA	4	\$10,000	\$10,000	\$8,000	09-28-2007	
UP2007-14LI	7	\$16,000	\$16,000	\$10,560	09-28-2007	
UP2007-15HMT	19	\$96,000	\$96,000	\$82,560	09-28-2007	
UP2007-19TS	3	\$8,500	\$8,500	\$6,000	09-28-2007	
UP2007-20TS	4	\$10,000	\$10,000	\$6,000	09-28-2007	
UP2007-23TS	6	\$21,000	\$21,000	\$14,700	09-28-2007	
UP2007-27SA	7	\$27,500	\$27,500	\$18,000	09-28-2007	
UP2007-28LI	4	\$20,500	\$18,000	\$11,400	09-28-2007	PARTIALLY TERMINAT ED VIOLAT ION: #3
UP2007-29LI	9	\$21,500	\$21,500	\$12,470	09-28-2007	
UP2007-33HMT	2	\$9,000	\$9,000	\$6,750	09-28-2007	
UP2007-34HMT	4	\$18,000	\$18,000	\$12,600	09-28-2007	
UP2007-35TS	4	\$12,500	\$12,500	\$8,000	09-28-2007	
UP2007-36FCS	3	\$7,500	\$7,500	\$5,175	09-28-2007	
UP2007-37FCS	1	\$2,500	\$2,500	\$2,000	09-28-2007	
UP2007-39SA	4	\$17,500	\$17,500	\$11,000	09-28-2007	
UP2007-40SA	4	\$15,000	\$15,000	\$9,800	09-28-2007	
UP2007-41SA	4	\$15,000	\$15,000	\$9,600	09-28-2007	
UP2007-42SA	4	\$12,500	\$12,500	\$9,375	09-28-2007	
UP2007-43SA	4	\$17,500	\$17,500	\$10,000	09-28-2007	
UP2007-45SA	1	\$5,000	\$5,000	\$3,400	09-28-2007	
UP2007-46SI	1	\$2,500	\$2,500	\$1,250	09-28-2007	
UP2007-47ROP	1	\$5,000	\$5,000	\$3,600	09-28-2007	
UP2007-51GC	1	\$2,500	\$0	\$0	07-05-2007	TERMINATE D VIOLATI ON IS AGA INST BNSF NOT UP.
UP2007-52GC	1	\$5,000	\$5,000	\$3,750	09-28-2007	
UP2007-56LI	8	\$22,500	\$22,500	\$14,625	09-28-2007	
UP2007-62SA	1	\$5,000	\$5,000	\$4,000	09-28-2007	
WBCR2005-1AD	1	\$5,000	\$5,000	\$1,000	02-05-2007	
WE2002-2AD	2	\$5,000	\$0	\$0	11-29-2006	TERMINATE

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
						D VIOLATI ON(S) #: 1,2
WE2005-3SA	1	\$5,000	\$5,000	\$3,000	12-15-2006	
WE2006-1TS	1	\$5,000	\$5,000	\$2,500	12-15-2006	
WNYP2006-1EQ	1	\$1,000	\$1,000	\$1,000	04-09-2007	
WNYP2006-2HS	5	\$5,000	\$5,000	\$3,000	04-09-2007	
WSOR2005-1ROP	1	\$5,000	\$5,000	\$3,200	11-02-2006	
WSOR2006-2AR	1	\$1,000	\$1,000	\$1,000	12-15-2006	
WSS2006-1AR	1	\$2,500	\$2,500	\$2,500	08-28-2007	
XAME2006-1HMT	1	\$5,000	\$5,000	\$2,750	10-11-2006	
XARD2007-2AD	2	\$5,000	\$5,000	\$5,000	08-30-2007	
XBPC2007-1RW	1	\$2,500	\$2,500	\$2,500	08-28-2007	
XCCOI2004-1RW	1	\$3,000	\$3,000	\$1,500	03-14-2007	
XCVL2007-1HMT	1	\$2,000	\$2,000	\$2,000	09-11-2007	
XHSR2007-1HMT	1	\$5,000	\$5,000	\$3,825	05-01-2007	
XHTT2006-1RW	1	\$3,000	\$3,000	\$3,000	02-05-2007	
XHZC2007-1RW	1	\$3,000	\$3,000	\$3,000	08-22-2007	
XITS2006-1HMT	1	\$5,000	\$5,000	\$5,000	12-06-2006	
XITS2006-2HMT	1	\$5,000	\$5,000	\$5,000	10-31-2006	
XMPM2007-1HMT	1	\$2,500	\$2,500	\$2,500	09-24-2007	
XRIIS2003-1HSR	1	\$1,000	\$0	\$0	02-28-2007	TERMINATE D VIOLATI ON(S) : 1
XRWQ2004-1RW	1	\$2,500	\$2,500	\$2,500	03-20-2007	
ZABEQ2005-1HMT	1	\$5,000	\$5,000	\$3,500	11-07-2006	
ZABEQ2005-2HMT	1	\$7,500	\$7,500	\$6,000	11-07-2006	
ZABEQ2006-1HMT	1	\$10,000	\$10,000	\$8,000	11-29-2006	
ZABI2006-1HMT	1	\$15,000	\$15,000	\$10,500	01-04-2007	
ZACH2007-1HMT	1	\$10,000	\$10,000	\$10,000	08-24-2007	
ZACHI2005-1HMT	1	\$2,000	\$2,000	\$2,000	11-08-2006	
ZACK2005-1HMT	1	\$2,000	\$2,000	\$1,500	02-22-2007	
ZACQ2006-1HMT	3	\$7,500	\$7,500	\$7,500	05-03-2007	
ZACR2005-1HMT	1	\$10,000	\$10,000	\$6,000	02-13-2007	
ZAGLL2005-1HMT	3	\$6,000	\$6,000	\$4,500	11-29-2006	
ZAGP2006-1HMT	1	\$5,000	\$5,000	\$5,000	02-13-2007	
ZAHC2006-1HMT	1	\$2,500	\$2,500	\$2,500	04-10-2007	
ZAIL2005-1HMT	6	\$20,000	\$0	\$0	11-07-2006	TERMINATE D VIOLATI ON(S) : 1, 2,3,4,5,6
ZAIL2006-3HMT	2	\$9,000	\$9,000	\$9,000	05-02-2007	
ZAIL2006-4HMT	2	\$8,000	\$8,000	\$8,000	05-02-2007	
ZAKN2005-1HMT	1	\$5,000	\$5,000	\$4,000	01-22-2007	
ZAKN2005-2HMT	1	\$10,000	\$10,000	\$8,000	01-22-2007	
ZAKN2005-3HMT	1	\$2,500	\$2,500	\$2,000	01-22-2007	
ZAKN2006-1HMT	1	\$2,000	\$2,000	\$1,000	01-22-2007	
ZALP2006-1HMT	2	\$4,000	\$4,000	\$4,000	05-03-2007	
ZAMC2005-1HMT	2	\$10,000	\$10,000	\$6,000	02-13-2007	
ZAND2006-1HMT	5	\$25,000	\$25,000	\$13,750	04-17-2007	
ZANE2005-1HMT	5	\$30,000	\$30,000	\$20,250	02-22-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZANE2005-2HMT	1	\$10,000	\$10,000	\$6,750	02-22-2007	
ZAPC2007-1HMT	1	\$5,000	\$5,000	\$5,000	04-05-2007	
ZAPCH2006-1HMT	1	\$7,500	\$7,500	\$5,550	01-31-2007	
ZAPCH2006-2HMT	1	\$7,500	\$7,500	\$5,500	01-31-2007	
ZAPCH2007-1HMT	1	\$7,500	\$7,500	\$7,500	06-28-2007	
ZAPL2006-1HMT	1	\$1,000	\$0	\$0	01-31-2007	TERMINATE D VIOLATI ON(S): 1
ZAPL2006-3HMT	1	\$5,000	\$0	\$0	01-22-2007	TERMINATE D VIOLATI ON(S): 1
ZAPL2007-1HMT	1	\$7,500	\$7,500	\$7,500	08-14-2007	
ZAPL2007-2HMT	1	\$2,000	\$2,000	\$2,000	08-14-2007	
ZAPO2007-1HMT	1	\$2,000	\$2,000	\$2,000	03-30-2007	
ZAPZ2007-1HMT	1	\$5,000	\$5,000	\$3,500	08-15-2007	
ZARE2005-1HMT	1	\$7,500	\$0	\$0	01-31-2007	TERMINATE D VIOLATI ON(S): 1
ZARE2007-1HMT	2	\$10,000	\$0	\$0	05-08-2007	TERMINATE D VIOLATI ON(S): 1, 2
ZASC2005-1HMT	1	\$5,000	\$5,000	\$4,000	01-30-2007	
ZASC2005-2HMT	1	\$2,000	\$2,000	\$1,600	01-30-2007	
ZATF2006-1HMT	1	\$5,000	\$5,000	\$4,000	01-30-2007	
ZAVF2007-1HMT	1	\$10,000	\$10,000	\$10,000	09-04-2007	
ZBAS2005-1HMT	1	\$5,000	\$5,000	\$3,500	01-31-2007	
ZBAS2007-1HMT	1	\$5,000	\$5,000	\$5,000	06-01-2007	
ZBAS2007-2HMT	2	\$4,000	\$4,000	\$4,000	09-20-2007	
ZBCI2007-1HMT	1	\$2,000	\$2,000	\$2,000	04-05-2007	
ZBOG2003-1HMT	1	\$2,500	\$2,500	\$1,500	02-02-2007	
ZBORE2002-1HMT	3	\$5,000	\$5,000	\$2,500	01-31-2007	
ZBPC2006-1HMT	2	\$4,000	\$4,000	\$3,200	03-30-2007	
ZBPQ2007-1HMT	2	\$4,000	\$4,000	\$4,000	09-20-2007	
ZBPW2006-1HMT	2	\$4,000	\$4,000	\$4,000	12-21-2006	
ZBPWC2006-3HMT	1	\$2,500	\$2,500	\$2,500	04-05-2007	
ZCACT2004-2HMT	3	\$7,000	\$7,000	\$7,000	08-27-2007	
ZCAQ2007-1HMT	2	\$11,500	\$11,500	\$7,130	08-08-2007	
ZCCIC2005-1HMT	1	\$2,000	\$2,000	\$1,400	09-26-2007	
ZCCM2004-1HMT	1	\$5,000	\$5,000	\$5,000	01-09-2007	
ZCCM2005-1HMT	1	\$5,000	\$5,000	\$5,000	03-05-2007	
ZCCM2007-1HMT	1	\$7,500	\$7,500	\$7,500	07-06-2007	
ZCCW2006-1HMT	2	\$20,000	\$20,000	\$12,350	03-30-2007	
ZCCW2006-2HMT	1	\$5,000	\$5,000	\$3,088	03-30-2007	
ZCCW2006-3HMT	2	\$4,000	\$4,000	\$2,375	03-30-2007	
ZCCY2006-1HMT	2	\$5,000	\$2,500	\$2,500	12-21-2006	
ZCEF2006-1HMT	1	\$10,000	\$0	\$0	08-22-2007	TERMINATE D VIOLATI ON(S): 1
ZCEF2006-2HMT	2	\$20,000	\$20,000	\$20,000	10-30-2006	
ZCEF2006-3HMT	1	\$12,500	\$12,500	\$7,500	08-22-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZCEF2007-1HMT	1	\$5,000	\$5,000	\$5,000	05-23-2007	
ZCEF2007-2HMT	3	\$15,000	\$15,000	\$9,000	08-22-2007	
ZCEH2006-1HMT	2	\$15,000	\$15,000	\$9,000	07-06-2007	
ZCELA2006-1HMT	1	\$5,000	\$0	\$0	08-31-2007	TERMINATE D VIOLATI ON(S): 1
ZCENT2005-1HMT	2	\$10,000	\$6,000	\$6,000	08-22-2007	
ZCENT2005-2HMT	3	\$6,000	\$6,000	\$3,600	06-04-2007	
ZCENX2006-1HMT	1	\$2,500	\$2,500	\$2,500	08-14-2007	
ZCFQ2005-1HMT	1	\$2,000	\$2,000	\$2,000	02-22-2007	
ZCHEV2005-1HMT	1	\$2,500	\$2,500	\$1,750	12-06-2006	
ZCHEV2005-2HMT	1	\$2,500	\$2,500	\$1,500	12-06-2006	
ZCHEV2006-1HMT	1	\$2,500	\$2,500	\$1,750	12-06-2006	
ZCHH2006-1HMT	1	\$5,000	\$5,000	\$3,100	01-30-2007	
ZCHH2007-1HMT	1	\$7,500	\$7,500	\$5,100	08-14-2007	
ZCHRI2002-1HMT	1	\$10,000	\$10,000	\$275	01-22-2007	
ZCHS2005-1HMT	3	\$20,000	\$0	\$0	06-27-2007	TERMINATE D VIOLATI ON(S): 1, 2,3
ZCHS2006-1HMT	2	\$15,000	\$15,000	\$9,500	06-08-2007	
ZCIBR2005-1HMT	1	\$10,000	\$20,000	\$5,000	04-13-2007	COMPANY I S IN BANK RUPTCY.
ZCIBR2005-2HMT	1	\$10,000	\$10,000	\$2,500	04-13-2007	COMPANY I S IN BANK RUPTCY.
ZCIBR2006-1HMT	4	\$20,000	\$10,000	\$2,500	04-13-2007	COMPANY I S IN BANK RUPTCY.
ZCIBR2006-2HMT	2	\$10,000	\$10,000	\$10,000	07-30-2007	
ZCIBR2006-3HMT	6	\$12,000	\$12,000	\$12,000	07-30-2007	
ZCLAR2002-1HMT	1	\$15,000	\$0	\$0	10-30-2006	TERMINATE D VIOLATI ON(S): 1
ZCLQ2007-1HMT	3	\$15,000	\$15,000	\$15,000	07-30-2007	
ZCLQ2007-3HMT	1	\$5,000	\$5,000	\$5,000	09-20-2007	
ZCMC2004-1HMT	2	\$4,000	\$0	\$0	01-26-2007	TERMINATE D VIOLATI ON(S): 1, 2
ZCOM2003-1HMT	1	\$2,000	\$2,000	\$100	04-24-2007	COMPANY F ILED BANK RUPTCY - POC FILED ON 1/31/ 05
ZCONT2002-1HMT	1	\$2,000	\$2,000	\$250	05-03-2007	
ZCONT2003-1HMT	2	\$10,000	\$10,000	\$2,000	05-03-2007	
ZCORN2005-1HMT	3	\$15,000	\$15,000	\$9,000	03-29-2007	
ZCOST2003-1HMT	1	\$2,000	\$0	\$0	10-30-2006	TERMINATE

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
ZCOU2006-1HMT	1	\$5,000	\$0	\$0	01-18-2007	D VIOLATI ON(S): 1 TERMINATE D VIOLATI ON(S): 1
ZCPF2005-1HMT	1	\$2,000	\$2,000	\$2,000	12-04-2006	
ZCSX2005-1HMT	1	\$2,500	\$2,500	\$1,875	01-09-2007	
ZCSX2006-1HMT	2	\$9,500	\$9,500	\$7,125	01-09-2007	
ZCSX2006-2HMT	1	\$5,000	\$5,000	\$3,750	01-09-2007	
ZCTO2006-1HMT	2	\$12,500	\$12,500	\$8,125	04-10-2007	
ZCTO2006-2HMT	1	\$7,500	\$7,500	\$4,875	04-10-2007	
ZCTO2006-3HMT	3	\$7,500	\$7,500	\$4,875	04-10-2007	
ZCTU2006-1HMT	3	\$6,000	\$6,000	\$6,000	03-21-2007	
ZCTU2007-1HMT	2	\$10,000	\$10,000	\$7,500	04-17-2007	
ZCVO2007-1HMT	1	\$2,000	\$2,000	\$2,000	08-29-2007	
ZCYT2005-1HMT	1	\$5,000	\$5,000	\$3,500	12-13-2006	
ZCYT2006-1HMT	4	\$13,000	\$0	\$0	12-13-2006	TERMINATE D VIOLATI ON(S): 1, 2,3,4
ZCYZ2006-1HMT	1	\$5,000	\$5,000	\$3,750	03-05-2007	
ZDGA2006-1HMT	1	\$10,000	\$10,000	\$7,000	02-27-2007	
ZDGA2006-2HMT	2	\$4,500	\$4,500	\$4,500	02-27-2007	
ZDYNU2005-1HMT	1	\$7,500	\$7,500	\$5,000	12-05-2006	
ZDYNU2005-2HMT	1	\$10,000	\$10,000	\$7,000	12-05-2006	
ZEAS2004-3HMT	2	\$7,000	\$7,000	\$4,500	01-26-2007	
ZEAS2004-5HMT	2	\$7,000	\$7,000	\$4,500	01-26-2007	
ZECD2006-1HMT	1	\$2,000	\$2,000	\$1,500	04-05-2007	
ZECO2005-1HMT	1	\$2,000	\$0	\$0	05-04-2007	TERMINATE D VIOLATI ON(S): 1
ZELK2003-1HMT	2	\$4,500	\$2,500	\$2,500	01-31-2007	TERMINATE D VIOLATI ON(S): 1
ZELK2005-1HMT	2	\$4,000	\$4,000	\$2,200	01-31-2007	
ZEMCC2005-1HMT	2	\$4,000	\$4,000	\$2,760	04-27-2007	
ZEMCC2006-1HMT	1	\$10,000	\$10,000	\$6,500	04-27-2007	
ZEMR2006-1HMT	1	\$5,000	\$5,000	\$3,000	04-26-2007	
ZEMR2006-2HMT	1	\$5,000	\$5,000	\$2,500	04-26-2007	
ZEMR2006-3HMT	1	\$5,000	\$5,000	\$3,000	04-26-2007	
ZEMR2006-4HMT	1	\$5,000	\$5,000	\$2,500	04-26-2007	
ZEMS2006-1HMT	3	\$15,000	\$15,000	\$15,000	12-08-2006	
ZENS2007-1HMT	3	\$3,000	\$3,000	\$3,000	04-17-2007	
ZEPC2006-1HMT	2	\$7,500	\$7,500	\$7,500	02-07-2007	
ZEPC2007-1HMT	1	\$5,000	\$5,000	\$5,000	07-26-2007	
ZEXM2006-1HMT	1	\$2,000	\$2,000	\$2,000	12-01-2006	
ZEXM2006-2HMT	2	\$4,000	\$3,600	\$3,600	09-13-2007	
ZEXM2006-3HMT	3	\$15,000	\$15,000	\$10,000	05-01-2007	
ZEXOL2007-1HMT	1	\$10,000	\$7,500	\$7,500	09-25-2007	
ZFGL2005-1HMT	1	\$2,500	\$0	\$0	01-30-2007	TERMINATE D VIOLATI

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
ZFRD2005-1HMT	1	\$5,000	\$5,000	\$3,000	04-09-2007	ON(S): 1
ZFRD2005-2HMT	1	\$5,000	\$3,500	\$5,000	04-09-2007	
ZFRD2005-3HMT	2	\$2,000	\$2,000	\$1,000	04-09-2007	
ZGAT2001-1HMT	1	\$2,000	\$2,000	\$1,000	01-31-2007	
ZGAT2003-1HMT	1	\$5,000	\$5,000	\$3,100	01-31-2007	
ZGAT2004-1HMT	1	\$8,000	\$8,000	\$4,800	01-31-2007	
ZGATX2003-1HMT	2	\$4,000	\$4,000	\$2,400	01-31-2007	
ZGATX2003-2HMT	3	\$17,500	\$2,500	\$2,000	01-31-2007	
ZGATX2003-3HMT	1	\$10,000	\$10,000	\$6,200	01-31-2007	TERMINATE D VIOLATI ON(S): 1, 2
ZGATX2004-1HMT	2	\$25,000	\$6,750	\$15,000	03-30-2007	
ZGATX2006-1HMT	3	\$15,000	\$15,000	\$9,300	01-31-2007	TERMINATE D VIOLATI ON(S): 2
ZGATX2006-2HMT	1	\$2,000	\$2,000	\$1,300	01-31-2007	
ZGAX2002-1HMT	1	\$2,000	\$2,000	\$1,000	01-31-2007	
ZGCC2007-1HMT	1	\$5,000	\$5,000	\$5,000	08-23-2007	
ZGCH2006-1HMT	1	\$10,000	\$10,000	\$6,800	08-01-2007	
ZGDN2002-1HMT	1	\$7,500	\$7,500	\$2,000	01-29-2007	
ZGEC2006-1HMT	1	\$10,000	\$10,000	\$10,000	09-18-2007	
ZGEC2006-2HMT	2	\$9,000	\$9,000	\$6,100	12-13-2006	
ZGEL2006-1HMT	1	\$6,000	\$6,000	\$3,750	03-19-2007	
ZGEO2006-1HMT	1	\$2,000	\$2,000	\$1,000	07-11-2007	
ZGEO2006-2HMT	1	\$2,000	\$2,000	\$1,400	07-11-2007	
ZGIP2002-1HMT	1	\$5,000	\$5,000	\$3,100	01-30-2007	
ZGIP2002-2HMT	3	\$15,000	\$15,000	\$9,300	01-30-2007	
ZGIP2002-3HMT	1	\$5,000	\$5,000	\$2,500	01-30-2007	
ZGIP2003-1HMT	1	\$10,000	\$10,000	\$6,800	04-30-2007	
ZGIP2006-1HMT	3	\$6,000	\$6,000	\$3,600	01-30-2007	
ZGIP2006-2HMT	1	\$5,000	\$5,000	\$3,500	01-30-2007	
ZGPS2003-1HMT	2	\$12,500	\$12,500	\$7,850	01-31-2007	
ZGPS2003-2HMT	1	\$5,000	\$5,000	\$2,900	01-31-2007	
ZGPS2003-3HMT	1	\$10,000	\$10,000	\$6,500	01-31-2007	
ZGPS2004-1HMT	1	\$5,000	\$5,000	\$2,950	01-31-2007	
ZGPS2005-1HMT	1	\$5,000	\$5,000	\$2,750	01-31-2007	
ZGPS2006-1HMT	1	\$10,000	\$10,000	\$6,800	01-31-2007	
ZGPS2006-2HMT	3	\$15,000	\$15,000	\$9,000	01-31-2007	
ZGRC2006-1HMT	1	\$2,000	\$2,000	\$2,000	08-23-2007	
ZGRE2006-1HMT	1	\$5,000	\$5,000	\$5,000	11-30-2006	
ZGRE2007-1HMT	1	\$10,000	\$10,000	\$6,800	08-23-2007	
ZHAS2005-1HMT	1	\$2,500	\$2,500	\$2,000	12-19-2006	
ZHAS2005-2HMT	1	\$2,000	\$2,000	\$1,500	12-19-2006	
ZHCH2004-1HMT	3	\$5,000	\$5,000	\$4,000	01-18-2007	
ZHERT2006-2HMT	1	\$2,500	\$2,500	\$2,500	03-02-2007	
ZHES2003-1HMT	2	\$17,000	\$17,000	\$13,500	01-16-2007	
ZHES2003-2HMT	2	\$4,000	\$4,000	\$2,900	01-16-2007	
ZHES2004-1HMT	2	\$15,000	\$15,000	\$10,950	01-16-2007	
ZHES2006-1HMT	2	\$17,500	\$17,500	\$17,500	04-30-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZHGF2007-2HMT	1	\$10,000	\$10,000	\$10,000	08-09-2007	
ZHIC2001-1HMT	1	\$7,500	\$0	\$0	01-31-2007	TERMINATE D VIOLATI ON(S): 1
ZHJH2006-1HMT	1	\$2,000	\$2,000	\$2,000	10-20-2006	
ZHLP2005-1HMT	3	\$9,000	\$9,000	\$6,300	11-14-2006	
ZHMI2004-1HMT	1	\$3,000	\$3,000	\$3,000	10-18-2006	
ZHNI2004-1HMT	1	\$10,000	\$10,000	\$7,500	01-10-2007	
ZHNI2006-1HMT	1	\$10,000	\$10,000	\$8,000	01-10-2007	
ZHNI2006-3HMT	1	\$10,000	\$10,000	\$7,200	01-10-2007	
ZHON2004-1HMT	1	\$1,000	\$1,000	\$500	12-28-2006	
ZHPC2003-1HMT	1	\$5,000	\$5,000	\$3,500	01-09-2007	
ZHPC2003-2HMT	6	\$12,000	\$12,000	\$9,000	01-09-2007	
ZHPC2003-3HMT	3	\$12,000	\$12,000	\$9,000	01-09-2007	
ZHRC2004-1HMT	1	\$2,000	\$2,000	\$1,700	04-03-2007	
ZHRM2005-1HMT	4	\$17,000	\$17,000	\$12,750	01-16-2007	
ZHRM2006-1HMT	2	\$4,000	\$4,000	\$3,200	01-16-2007	
ZHUC2006-1HMT	3	\$10,500	\$10,500	\$8,475	04-03-2007	
ZHUC2006-2HMT	1	\$10,000	\$10,000	\$8,525	04-03-2007	
ZHUD2006-1HMT	1	\$5,000	\$5,000	\$3,800	12-12-2006	
ZHUNT2005-1HMT	2	\$10,000	\$10,000	\$8,000	01-11-2007	
ZHUNT2005-3HMT	1	\$5,000	\$5,000	\$5,000	10-16-2006	
ZHUNT2006-1HMT	1	\$5,000	\$5,000	\$5,000	10-18-2006	
ZHWH2006-1HMT	1	\$5,000	\$5,000	\$3,000	01-10-2007	
ZHYC2003-1HMT	1	\$5,000	\$5,000	\$4,500	12-15-2006	
ZHYC2005-1HMT	1	\$2,000	\$2,000	\$1,800	12-15-2006	
ZICE2007-1HMT	2	\$5,000	\$5,000	\$5,000	04-05-2007	
ZINTT2005-1HMT	1	\$5,000	\$5,000	\$3,000	06-21-2007	
ZINTT2006-2HMT	1	\$7,500	\$7,500	\$5,250	06-21-2007	
ZINTT2007-1HMT	2	\$20,000	\$20,000	\$14,000	06-21-2007	
ZIOL2007-1HMT	1	\$2,500	\$2,500	\$2,500	04-05-2007	
ZIPI2006-1HMT	1	\$5,000	\$5,000	\$5,000	07-11-2007	
ZIPI2007-1HMT	1	\$5,000	\$5,000	\$5,000	07-11-2007	
ZISRV2004-1HMT	1	\$2,500	\$2,500	\$1,850	02-26-2007	
ZISRV2004-2HMT	1	\$5,000	\$5,000	\$3,500	02-26-2007	
ZISRV2005-1HMT	3	\$14,000	\$14,000	\$9,800	02-26-2007	
ZISRV2005-2HMT	2	\$5,000	\$5,000	\$3,200	02-26-2007	
ZISRV2005-3HMT	1	\$2,500	\$2,500	\$1,850	02-26-2007	
ZISRV2005-4HMT	1	\$2,500	\$2,500	\$1,850	02-26-2007	
ZISRV2006-1HMT	1	\$2,000	\$2,000	\$1,500	02-26-2007	
ZISRV2006-2HMT	1	\$2,000	\$2,000	\$1,300	02-26-2007	
ZITT2006-1HMT	1	\$5,000	\$5,000	\$5,000	10-18-2006	
ZITT2006-2HMT	1	\$2,000	\$2,000	\$2,000	10-18-2006	
ZIVS2007-1HMT	1	\$2,000	\$2,000	\$2,000	09-12-2007	
ZJCC2007-1HMT	3	\$7,500	\$7,500	\$2,000	06-26-2007	
ZJHO2006-1HMT	1	\$1,000	\$0	\$0	04-10-2007	TERMINATE D VIOLATI ON(S): 1
ZJRS2004-2HMT	1	\$5,000	\$5,000	\$2,000	01-18-2007	
ZJRS2005-1HMT	1	\$5,000	\$5,000	\$5,000	01-18-2007	
ZJRS2005-2HMT	1	\$2,000	\$2,000	\$1,300	01-18-2007	

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		POCA	PRCA			
ZJRS2006-1HMT	1	\$5,000	\$5,000	\$5,000	01-18-2007	
ZJRS2006-2HMT	2	\$15,000	\$15,000	\$9,750	01-18-2007	
ZKCI2004-1HMT	1	\$10,000	\$10,000	\$6,000	12-15-2006	
ZKEL2004-1HMT	6	\$27,500	\$27,500	\$18,000	12-12-2006	
ZKFI2003-1HMT	4	\$10,000	\$10,000	\$6,500	01-22-2007	
ZKFIR2005-1TS	1	\$5,000	\$5,000	\$4,000	12-20-2006	
ZKMB2005-1HMT	1	\$5,000	\$5,000	\$4,000	03-06-2007	
ZKMB2006-1HMT	3	\$6,000	\$6,000	\$5,000	03-06-2007	
ZKMB2006-2HMT	1	\$2,000	\$2,000	\$1,500	03-06-2007	
ZKMB2006-3HMT	1	\$15,000	\$15,000	\$12,000	04-05-2007	
ZKMB2007-1HMT	5	\$6,000	\$6,000	\$5,000	03-06-2007	
ZKML2006-1HMT	1	\$5,000	\$5,000	\$5,000	04-05-2007	
ZKML2007-1HMT	1	\$10,000	\$10,000	\$10,000	05-31-2007	
ZKMQ2006-1HMT	1	\$2,000	\$2,000	\$2,000	04-05-2007	
ZKMQ2006-2HMT	1	\$5,000	\$5,000	\$3,200	04-05-2007	
ZKMQ2006-3HMT	2	\$7,000	\$7,000	\$4,500	03-06-2007	
ZKMTC2005-1HMT	1	\$2,000	\$2,000	\$1,325	12-15-2006	
ZKPI2006-1HMT	1	\$10,000	\$10,000	\$10,000	10-16-2006	
ZKPI2007-1HMT	2	\$8,000	\$8,000	\$8,000	04-18-2007	
ZKPR2005-1HMT	2	\$8,000	\$8,000	\$4,000	02-01-2007	
ZKPR2005-2HMT	1	\$5,000	\$5,000	\$3,500	02-02-2007	
ZKYE2006-1HMT	1	\$2,500	\$2,500	\$2,500	02-13-2007	
ZKYE2006-2HMT	1	\$4,000	\$4,000	\$4,000	02-13-2007	
ZKYE2007-1HMT	1	\$5,000	\$5,000	\$5,000	06-13-2007	
ZLAPI2005-1HMT	1	\$10,000	\$10,000	\$7,500	01-30-2007	
ZLGP2005-1HMT	4	\$19,500	\$19,500	\$10,500	12-13-2006	TERMINATE D VIOLATI ON(S): 1, 3
ZLIL2006-1HMT	1	\$7,500	\$7,500	\$7,500	11-14-2006	
ZLPG2004-1HMT	1	\$7,500	\$7,500	\$250	12-13-2006	
ZLPG2006-1HMT	5	\$16,000	\$4,000	\$500	12-13-2006	TERMINATE D VIOLATI ON(S): 2, 4,5
ZLPY2006-1HMT	2	\$9,000	\$0	\$0	10-16-2006	TERMINATE D VIOLATI ON(S): 1, 2
ZLTT2003-2HMT	1	\$10,000	\$10,000	\$1,000	12-08-2006	
ZLYC2006-1HMT	1	\$15,000	\$0	\$0	10-24-2006	TERMINATE D VIOLATI ON(S): 1
ZMAL2007-1HMT	2	\$10,000	\$10,000	\$10,000	07-20-2007	
ZMAR2005-1HMT	1	\$32,500	\$15,000	\$15,000	01-03-2007	PRCA REDU CED TO \$1 5,000 BEC AUSE PENA LTY GUIDE LINE THEN IN EFFEC

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FRA No.	No. Viol.	POCA	PRCA	Settlement/Final Assessment		Comments
				Amount	Date	
						T PROVIDE FOR MAX. OF \$15,0 00. SEE 49 CFR 17 3.31 (B) (
ZMAR2006-1HMT	2	\$10,000	\$10,000	\$8,000	12-27-2006	1).
ZMAR2007-1HMT	1	\$5,000	\$5,000	\$4,000	03-01-2007	
ZMAR2007-1HMT	1	\$5,000	\$5,000	\$4,000	09-17-2007	
ZMBR2006-1TS	1	\$5,000	\$5,000	\$4,000	10-12-2006	
ZMCI2007-1HMT	1	\$2,000	\$2,000	\$1,400	05-17-2007	
ZMCI2007-2HMT	1	\$2,000	\$2,000	\$1,400	08-16-2007	
ZMDGP2006-2HMT	2	\$10,000	\$10,000	\$7,500	01-03-2007	
ZMEA2002-1HMT	2	\$20,000	\$0	\$0	12-28-2006	TERMINATE D VIOLATI ON(S): 1
ZMEA2003-1HMT	1	\$7,500	\$0	\$0	12-28-2006	TERMINATE D VIOLATI ON(S): 1
ZMERL2007-1HMT	1	\$10,000	\$10,000	\$10,000	07-20-2007	
ZMG2005-1HMT	1	\$5,000	\$5,000	\$3,000	11-20-2006	
ZMGAS2004-1HMT	2	\$10,000	\$10,000	\$500	02-12-2007	
ZMHFQ2004-1HMT	5	\$10,000	\$10,000	\$10,000	10-19-2006	
ZMMPL2006-1HMT	1	\$10,000	\$10,000	\$7,500	10-25-2006	
ZMMQ2006-1HMT	1	\$10,000	\$10,000	\$7,500	10-11-2006	
ZMMQ2007-1HMT	1	\$10,000	\$10,000	\$10,000	08-24-2007	
ZMNP2006-1HMT	1	\$10,000	\$10,000	\$8,000	11-15-2006	
ZMNP2006-2HMT	2	\$12,500	\$12,500	\$8,000	01-10-2007	
ZMNP2007-1HMT	2	\$20,000	\$20,000	\$16,000	04-18-2007	
ZMOQ2006-1HMT	4	\$8,000	\$8,000	\$5,000	11-29-2006	
ZMOQ2006-2HMT	1	\$15,000	\$15,000	\$9,000	05-29-2007	
ZMPA2006-1HMT	7	\$28,000	\$28,000	\$19,000	07-31-2007	
ZMPE2000-1HMT	2	\$12,000	\$12,000	\$6,000	12-14-2006	
ZMPE2000-2HMT	2	\$15,000	\$15,000	\$7,500	12-14-2006	
ZMPE2002-1HMT	1	\$7,500	\$7,500	\$4,000	12-14-2006	
ZMPE2006-1HMT	1	\$5,000	\$5,000	\$4,000	12-20-2006	
ZMPG2003-1HMT	1	\$7,500	\$7,500	\$4,500	02-15-2007	
ZMPP2007-2HMT	2	\$10,000	\$0	\$0	08-24-2007	TERMINATE D CASE DU E TO SEND ING TO WR ONG RESPO NDENT.
ZMRD2006-1HMT	2	\$10,000	\$10,000	\$6,000	12-27-2006	
ZMRI2006-1HMT	1	\$7,500	\$7,500	\$7,500	01-05-2007	
ZMSCC2006-1HMT	1	\$2,000	\$2,000	\$1,200	02-15-2007	
ZMSS2006-2HMT	1	\$2,000	\$2,000	\$1,400	05-24-2007	
ZMTE2006-1HMT	4	\$8,000	\$8,000	\$6,000	02-15-2007	
ZMTE2006-2HMT	4	\$25,000	\$25,000	\$20,000	02-15-2007	
ZMUSK2003-1HMT	2	\$7,000	\$7,000	\$4,550	01-31-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZMUSK2005-2HMT	2	\$4,000	\$4,000	\$4,000	01-31-2007	
ZMUSK2006-1HMT	2	\$4,000	\$4,000	\$4,000	11-29-2006	
ZMUSK2007-1HMT	3	\$5,000	\$5,000	\$5,000	06-25-2007	
ZMWC2005-1HMT	7	\$36,500	\$12,500	\$3,000	02-26-2007	TERMINATE D VIOLATI ON(S): 3, 4
ZMWC2006-1HMT	2	\$12,500	\$36,500	\$23,000	02-26-2007	
ZMWC2007-1HMT	1	\$10,000	\$10,000	\$7,500	04-18-2007	
ZNMT2007-1HMT	1	\$5,000	\$5,000	\$5,000	07-25-2007	
ZNOGC2004-1HMT	1	\$2,500	\$2,500	\$2,000	01-22-2007	
ZNPA2006-1HMT	3	\$15,000	\$15,000	\$15,000	01-12-2007	
ZNPA2006-2HMT	1	\$5,000	\$5,000	\$5,000	01-12-2007	
ZOES2003-1HMT	1	\$7,500	\$7,500	\$3,000	12-28-2006	
ZOES2003-2HMT	1	\$5,000	\$5,000	\$2,500	12-28-2006	
ZOES2003-3HMT	1	\$10,000	\$10,000	\$5,800	12-28-2006	
ZOES2005-1HMT	1	\$10,000	\$10,000	\$6,000	12-28-2006	
ZOES2005-2HMT	1	\$5,000	\$5,000	\$3,000	12-28-2006	
ZOLC2004-1HMT	2	\$12,500	\$12,500	\$9,125	01-18-2007	
ZOLI2003-1HMT	1	\$10,000	\$10,000	\$6,000	12-20-2006	
ZOLI2005-1HMT	1	\$7,500	\$7,500	\$5,000	12-20-2006	
ZOLI2005-2HMT	2	\$15,000	\$5,000	\$3,500	12-20-2006	TERMINATE D VIOLATI ON(S): 1
ZOOC2004-1HMT	1	\$4,000	\$0	\$0	01-29-2007	TERMINATE D VIOLATI ON(S)#: 1
ZOTR2003-1HMT	3	\$19,000	\$1,500	\$1,500	02-28-2007	TERMINATE D VIOLATI ON(S): 1, 3
ZOTTI2004-1HMT	1	\$7,500	\$7,500	\$4,200	02-14-2007	
ZOWP2004-1HMT	1	\$5,000	\$5,000	\$2,500	01-03-2007	
ZPAR2006-1HMT	1	\$2,000	\$2,000	\$2,000	05-25-2007	
ZPCE2006-2HMT	1	\$5,000	\$5,000	\$3,750	08-31-2007	
ZPCE2007-1HMT	1	\$5,000	\$5,000	\$5,000	08-23-2007	
ZPCSS2006-1HMT	2	\$7,000	\$7,000	\$7,000	10-17-2006	
ZPCSS2006-2HMT	3	\$15,000	\$15,000	\$10,200	10-17-2006	
ZPCSS2006-3HMT	1	\$5,000	\$5,000	\$5,000	10-17-2006	
ZPCSS2007-1HMT	1	\$5,000	\$5,000	\$5,000	08-23-2007	
ZPEL2006-1HMT	4	\$16,000	\$16,000	\$16,000	12-07-2006	
ZPEL2006-2HMT	1	\$5,000	\$5,000	\$5,000	01-31-2007	
ZPEL2006-3HMT	1	\$5,000	\$5,000	\$5,000	01-31-2007	
ZPG2007-1HMT	1	\$5,000	\$5,000	\$5,000	04-03-2007	
ZPGQ2006-1HMT	1	\$5,000	\$5,000	\$5,000	10-10-2006	
ZPHO2007-1HMT	3	\$15,000	\$15,000	\$11,750	09-12-2007	
ZPIL2007-1HMT	1	\$5,000	\$5,000	\$3,550	08-13-2007	
ZPJC2006-6HMT	1	\$5,000	\$5,000	\$5,000	03-27-2007	
ZPJC2006-7HMT	1	\$7,500	\$7,500	\$7,500	05-21-2007	
ZPJC2007-2HMT	1	\$10,000	\$10,000	\$10,000	05-21-2007	
ZPJC2007-3HMT	1	\$2,500	\$2,500	\$2,500	05-21-2007	

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		POCA	PRCA			
ZPJC2007-4HMT	1	\$5,000	\$5,000	\$5,000	05-21-2007	
ZPJC2007-5HMT	2	\$7,500	\$7,500	\$7,500	05-21-2007	
ZPJC2007-7HMT	1	\$2,000	\$2,000	\$2,000	08-23-2007	
ZPMQ2007-1HMT	1	\$5,000	\$5,000	\$5,000	08-23-2007	
ZPNP2007-1HMT	1	\$5,000	\$5,000	\$5,000	08-23-2007	
ZPNR2006-1HMT	1	\$10,000	\$10,000	\$10,000	01-31-2007	
ZPPG2005-3HMT	1	\$2,500	\$2,500	\$1,650	11-01-2006	
ZPPG2005-4HMT	1	\$5,000	\$5,000	\$3,200	11-01-2006	
ZPPG2006-1HMT	2	\$20,000	\$20,000	\$14,000	11-01-2006	
ZPPGI2003-1HMT	1	\$10,000	\$10,000	\$6,000	11-01-2006	
ZPRX2006-1HMT	2	\$10,000	\$10,000	\$10,000	10-12-2006	
ZPVT2005-1HMT	2	\$20,000	\$20,000	\$12,500	12-06-2006	
ZPWC2004-1HMT	2	\$7,000	\$7,000	\$4,340	11-28-2006	
ZPWC2004-2HMT	2	\$7,000	\$7,000	\$4,340	11-28-2006	
ZPWC2006-1HMT	3	\$7,000	\$7,000	\$4,400	11-29-2006	
ZRAQ2006-1HMT	1	\$5,000	\$5,000	\$5,000	01-29-2007	
ZRAY2003-1HMT	1	\$2,000	\$2,000	\$1,150	02-22-2007	
ZRAY2003-2HMT	2	\$4,000	\$4,000	\$2,300	02-22-2007	
ZRAY2004-1HMT	1	\$3,000	\$3,000	\$1,725	02-22-2007	
ZRCH2003-1HMT	2	\$7,000	\$2,000	\$1,000	01-04-2007	TERMINATE D VIOLATI ON(S): 1
ZRCH2006-1HMT	1	\$2,000	\$2,000	\$1,000	08-02-2007	
ZRCRI2003-2HMT	1	\$5,000	\$5,000	\$5,000	01-17-2007	
ZRCRI2005-1HMT	1	\$1,000	\$1,000	\$1,000	01-17-2007	
ZRE2006-1HMT	3	\$6,500	\$6,500	\$6,500	12-13-2006	
ZRGZ2007-1HMT	2	\$4,000	\$4,000	\$4,000	06-12-2007	
ZROG2002-1HMT	1	\$2,000	\$2,000	\$800	01-26-2007	
ZRPM2007-1HMT	1	\$7,500	\$7,500	\$7,500	08-22-2007	
ZRRDS2003-1HMT	1	\$5,000	\$5,000	\$3,150	01-09-2007	
ZSAA2002-1HMT	1	\$10,000	\$0	\$0	05-23-2007	TERMINATE D VIOLATI ON(S): 1
ZSAA2003-1HMT	3	\$16,500	\$1,500	\$1,500	02-14-2007	TERMINATE D VIOLATI ON(S): 1, 2
ZSAF2006-1HMT	1	\$10,000	\$10,000	\$10,000	01-31-2007	
ZSASN2007-1HMT	1	\$5,000	\$5,000	\$5,000	03-22-2007	
ZSBP2005-1HMT	1	\$2,500	\$2,500	\$1,250	05-04-2007	
ZSBP2007-1HMT	1	\$4,000	\$4,000	\$2,200	08-29-2007	
ZSCY2005-1HMT	1	\$5,000	\$5,000	\$4,000	01-04-2007	
ZSECC2004-1HMT	1	\$10,000	\$10,000	\$8,000	01-17-2007	
ZSEM2005-1HMT	1	\$2,000	\$2,000	\$1,500	01-17-2007	
ZSHUQ2004-1HMT	2	\$5,000	\$5,000	\$3,750	01-22-2007	
ZSHUQ2005-1HMT	1	\$5,000	\$5,000	\$4,200	01-22-2007	
ZSIN2006-2HMT	2	\$20,000	\$20,000	\$16,000	11-15-2006	
ZSIN2006-3HMT	1	\$10,000	\$10,000	\$7,800	03-23-2007	
ZSNI2002-3HMT	4	\$22,500	\$22,500	\$12,500	01-31-2007	
ZSNOC2004-1HMT	2	\$5,000	\$5,000	\$3,500	01-24-2007	
ZSNOC2005-2HMT	2	\$4,000	\$4,000	\$3,000	01-24-2007	

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FRA No.	No. Viol.	Settlement/Final Assessment		Amount	Date	Comments
		POCA	PRCA			
ZSNOC2005-3HMT	1	\$5,000	\$0	\$0	01-24-2007	TERMINATE D VIOLATI ON(S): 1
ZSOC2007-1HMT	1	\$10,000	\$10,000	\$10,000	08-23-2007	
ZSOLI2005-1HMT	1	\$10,000	\$10,000	\$6,750	01-18-2007	
ZSON2004-1HMT	1	\$5,000	\$5,000	\$3,500	01-31-2007	
ZSOO2004-1HMT	3	\$22,500	\$22,500	\$17,750	01-24-2007	
ZSOO2004-2HMT	1	\$10,000	\$10,000	\$8,000	01-24-2007	
ZSOPU2004-1HMT	1	\$2,000	\$2,000	\$1,350	05-03-2007	
ZSPQ2005-1HMT	1	\$2,000	\$2,000	\$1,750	05-03-2007	
ZSTE2006-1HMT	1	\$2,000	\$2,000	\$1,500	10-05-2006	
ZSUI2005-1HMT	1	\$10,000	\$10,000	\$8,000	01-24-2007	
ZSUI2005-3HMT	1	\$10,000	\$10,000	\$8,500	01-24-2007	
ZSUI2006-1HMT	3	\$21,500	\$21,500	\$8,050	01-24-2007	TERMINATE D VIOLATI ON(S): 1
ZSWC2007-1HMT	1	\$4,000	\$4,000	\$2,200	08-29-2007	
ZTDW2006-1HMT	4	\$20,000	\$20,000	\$10,000	03-07-2007	
ZTEE2006-1HMT	2	\$10,000	\$10,000	\$6,000	11-13-2006	
ZTEI2005-1HMT	1	\$3,000	\$3,000	\$3,000	03-07-2007	
ZTER2004-1HMT	1	\$5,000	\$5,000	\$5,000	02-26-2007	
ZTER2006-1HMT	2	\$10,000	\$10,000	\$5,000	02-23-2007	
ZTFLO2004-1HMT	2	\$4,000	\$4,000	\$4,000	03-07-2007	
ZTGA2006-1HMT	1	\$5,000	\$5,000	\$5,000	10-30-2006	
ZTIL2005-1HMT	2	\$10,000	\$10,000	\$5,000	04-24-2007	
ZTMI2006-1HMT	1	\$5,000	\$5,000	\$4,000	12-13-2006	
ZTML2004-1HMT	3	\$6,000	\$6,000	\$3,900	03-26-2007	
ZTML2004-2HMT	1	\$2,000	\$2,000	\$1,500	03-29-2007	
ZTML2006-1HMT	2	\$4,000	\$4,000	\$2,600	03-26-2007	
ZTNW2005-1HMT	1	\$2,000	\$2,000	\$2,000	01-08-2007	
ZTPC2003-1HMT	1	\$3,000	\$3,000	\$3,000	05-08-2007	PROOF OF CLAIM FIL ED ON JAN -07-2004
ZTRX2006-1HMT	1	\$5,000	\$5,000	\$5,000	10-11-2006	
ZUAP2006-1HMT	1	\$2,000	\$2,000	\$2,000	03-05-2007	
ZUASA2003-1HMT	6	\$30,000	\$30,000	\$13,500	05-04-2007	
ZUNIV2004-4HMT	1	\$2,000	\$2,000	\$1,500	01-30-2007	
ZUNIV2005-1HMT	2	\$4,000	\$4,000	\$3,200	01-30-2007	
ZUNIV2007-1HMT	2	\$7,000	\$7,000	\$7,000	08-23-2007	
ZUNZ2006-1HMT	1	\$7,500	\$7,500	\$4,875	01-29-2007	
ZURC2003-1HMT	1	\$10,000	\$10,000	\$2,500	02-07-2007	
ZURC2004-1HMT	1	\$10,000	\$10,000	\$500	02-07-2007	
ZUSAL2004-1HMT	5	\$9,000	\$5,000	\$5,000	01-31-2007	
ZUST2006-1HMT	1	\$10,000	\$10,000	\$6,800	04-30-2007	
ZUST2007-1HMT	1	\$10,000	\$10,000	\$7,200	08-28-2007	
ZUTT2004-1HMT	1	\$2,500	\$2,500	\$1,700	01-22-2007	
ZVALM2006-3HMT	1	\$5,000	\$5,000	\$3,600	05-04-2007	
ZVALM2006-5HMT	1	\$7,500	\$7,500	\$5,400	05-04-2007	
ZVALM2006-6HMT	3	\$7,500	\$7,500	\$4,875	05-04-2007	
ZVALM2007-1HMT	1	\$2,500	\$2,500	\$1,625	05-04-2007	

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		POCA	PRCA			
ZWAC2005-1HMT	1	\$2,000	\$2,000	\$1,400	10-23-2006	
ZWCA2006-1HMT	1	\$5,000	\$5,000	\$3,000	11-06-2006	
ZWEB2005-1HMT	1	\$5,000	\$5,000	\$2,500	01-12-2007	
ZWEQ2005-1HMT	2	\$11,000	\$11,000	\$7,000	11-08-2006	
ZWERZ2005-3HMT	1	\$10,000	\$10,000	\$7,000	10-05-2006	
ZWERZ2005-4HMT	1	\$10,000	\$10,000	\$7,000	10-05-2006	
ZWERZ2005-5HMT	1	\$10,000	\$10,000	\$7,000	10-05-2006	
ZWERZ2006-2HMT	1	\$10,000	\$10,000	\$10,000	08-21-2007	
ZWERZ2006-3HMT	1	\$7,500	\$7,500	\$7,500	08-21-2007	
ZWERZ2007-1HMT	1	\$7,500	\$7,500	\$7,500	08-21-2007	
ZWEY2006-1HMT	2	\$4,000	\$0	\$0	10-19-2006	TERMINATE D VIOLATI ON(S): 1, 2
ZWEY2006-2HMT	1	\$2,000	\$2,000	\$2,000	03-15-2007	
ZWITT2005-1HMT	1	\$10,000	\$0	\$0	10-10-2006	TERMINATE D VIOLATI ON(S): 1
ZWMB2001-1HMT	1	\$7,500	\$0	\$0	11-28-2006	TERMINATE D VIOLATI ON(S) #: 1
ZWRRCR2005-1HMT	1	\$2,500	\$2,500	\$1,000	10-24-2006	
ZWST2005-1HMT	1	\$10,000	\$10,000	\$7,000	10-18-2006	
ZWTP2007-4HMT	1	\$5,000	\$5,000	\$5,000	08-22-2007	
ZWWE2007-1HMT	1	\$5,000	\$5,000	\$3,000	09-18-2007	
ZWWE2007-2HMT	1	\$1,500	\$1,500	\$1,500	08-21-2007	
ZZNC2005-1HMT	1	\$10,000	\$10,000	\$7,000	11-13-2006	
ZZTQ2005-1HMT	1	\$10,000	\$10,000	\$6,500	10-19-2006	
		\$20,633,250	\$19,732,600	\$13,253,348		

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MAJOR RAILROAD SETTLEMENTS, SEPTEMBER 2007

RR	POCA	PRCA	Settlement	% POCA	% PRCA
ATK	\$ 209,500.00	\$ 203,000.00	\$ 148,150.00	70.72	72.98
BNSF	\$ 2,464,500.00	\$ 2,386,500.00	\$ 1,565,500.00	63.52	65.60
CN/GTW/IC/ DWP	\$ 1,012,500.00	\$ 966,500.00	\$ 656,690.00	64.86	67.95
CP/SOO/DH	\$ 101,500.00	\$ 101,500.00	\$ 68,090.00	67.08	67.08
CSX	\$ 3,780,500.00	\$ 3,684,000.00	\$ 2,344,875.00	62.03	63.65
KCS	\$ 648,000.00	\$ 643,000.00	\$ 414,785.00	64.01	64.51
NS	\$ 1,431,000.00	\$ 1,420,500.00	\$ 958,190.00	66.96	67.45
UP	\$ 5,339,000.00	\$ 5,206,000.00	\$ 3,507,965.00	65.70	67.38
					??
TOTALS	\$ 14,986,500.00	\$ 14,611,000.00	\$ 9,664,245.00	64.49	66.14
Other FY 07 Closed Cases	\$ 5,637,750.00	\$ 5,121,600.00	\$ 3,589,103.00	63.66	69.79
Grand Total	\$ 20,624,250.00	\$ 19,732,600.00	\$ 13,253,348.00	64.26	67.16