

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2008-08

Burlington Northern Santa Fe (BNSF) Melrose, IA January 15, 2008

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

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DEPARTMENT FEDERAL RAILF	OF TRA ROAD A	ANSPORT DMINIST	TATIO RATIO	ON ON	FRAFA	ACTU.	AL RA	ILR	ROAD AG	CCI	DENT R	EPORT	Γ	H	FRA Fi	le #	<u>HQ-200</u>	<u>18-8</u>	
1.Name of Railroad Operating Train #1									1a. Alphabetic Code					1b. Railroad Accident/Incident No.					
2.Name of Railroad Operating Train #2									. Alphabetic	Code	e		2b. I	2b. Railroad Accident/Incident No.					
N/A 3.Name of Railroad (Operating	Train #3						3a	Alphabetic	N/A	<u></u>		3h 1	N/A 3h Railroad Accident/Incident No					
N/A	-1 - 2							- Ju.	mphabette	N/A			50.1	N/A					
4.Name of Railroad H BNSF Rwy Co. [B]	Responsit NSF1	ble for Trac	k Main	itenano	ce:			4a.	Alphabetic	Code BNSI	e F		4b. 1	4b. Railroad Accident/Incident No.					
5. U.S. DOT_AAR C	Grade Cro	ssing Ident	ificatio	n Nun	nber			6. I	Date of Acc	ident	/Incident	2008	7.1	Time of Ac	cident/	Incide	ent	Прм	
8. Type of Accident/I	ndicent	1. Derailı	nent		4. Side c	ollision		7.	Hwy-rail c	rossin	ng 10. H	ar 2008 Explosion-	deton	$\frac{12:30}{\text{ation} 13.}$	Other	v		Code	
(<i>single entry in code box</i>) 2. Head on collision 5. Raking collision								8. RR grade crossing 11. Fire/violent					t rupt	pture (describe in narrative)					
9. Cars Carrying		3. Rear en	nd colli MAT C	sion Cars	6. Broke	n Train c	collision Cars Rel	9. easin	. Obstruction	n	12. Other impac				13. Div	ision		01	
HAZMAT	0	Damaged/Derailed N/A					ZMAT	cusii	N/A		Evacuated			0		101011	Nebraska	1	
14. Nearest City/Town						15. Milepost			16. State Abbr Cod		Code	17. County							
	N	Melrose				(10	neuresi i	314.2	2		N/A IA		М		MC	IONROE			
18. Temperature (F))	19. Visit	ility Dawn	(sing 3.D	<i>le entry)</i> usk	Code	20. V	Veath	ather (single entry		try) Code			21. Type of Tra		rack		Code	
(<i>speegy y minus</i>) 6	γ ₅ F	2.1	Day	4.D	Dark	4	2	. Clo	oudy 4. Fog 6.Snow		1		2. Yard 4. Industry		stry	1			
22. Track Name/Nu	mber					23. FR Cla	A Track ass (1-9, X	Code 24. Annual Track Density (gross tons in						25. Time Table Direction Coo 1. North 3. East				Code	
		М	ain Tra	ack No	o. 2				4	1	millions)	57.9	96		2. South	h 4.	West	3	
							OPER	AT	ING TRA	IN #	1	07.111							
26. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Spec. MoW Equip. Code 27. Was Equipm Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s) Attended?										28. Irain Num			nber/Symbol						
3. Commuter train 6. Cut of cars 9. Maint./inspect.ca											1	1.	Yes	s 2. No 1 CBTMCGW003					
29. Speed (recorded speed, if available) Code 31. Method(s) of Operation (r code(s) t	that c m.Sp	<i>upply)</i> ecial instruc	tions		0 = Not a remotely controlled					
E - Estimated 43 MPH R b. Auto train control h. Curren								nt of t	raffic	n. Otl	her than mai	n track		1 = Remo	ote cont	rol po	ortable		
30. Trailing Tons (gross tonnage,								able/t	rain orders	o. Po p. Ot	sitive train o her (Snecifi	control	tive)	2 = Remo 3 = Remo	ote cont	rol to rol	wer		
excluding power units) e. Traffic k. Direc								traffi	ic control		Code(s)		transmi	tter - m	ore th	an one		
		18512		f.	Interlocking	3	1.Yard lir	nits		g	j N/.	A N/A	N/A	remote c	control	transi	nitter	0	
32. Principal Car/Unit a. Initial and Number b. Position in (1) First involved							in c.	Load	ed(yes/no)	33.	If railroad enter the nu	mployee(s umber that	i) teste t were	ed for drug positive in	/alcoho n	l use,	Alcohol	Drugs	
(derailed, struck, etc) FSTX5712 97									yes		the appropr	riate box.					0	0	
(2) Causing (if mea cause reported	chanicai ')	l	0			0		ľ	N/A	34	. Was this c	onsist tran	isporti	ing passen	gers? (Y	(/N)		N	
35. Locomotive Unit	ts	a. Head End	h Mar	Mid T	rain c Remote	R d. Manu	ear End al c. Re	mote	36. Cars			a. Fr	Lo eight	aded b. Pass.	c. Frei	Emp	ty d. Pass.	e. Caboose	
(1) Total in Train	n	2	(0	0	0	1		(1) Total	in Eq	uipment Cor	nsist]	130	0	0		0	0	
(2) Total Deraile	d	0	(D	0	0	0		(2) Total	Derai	led		22	0	0		0	0	
37. Equipment Dama	age		3	8. Tra	ck, Signal, V	Way,			39. Prima	ry Ca	use	!		40 Cont	ributing	Caus	se		
This Consist	\$	1,240,865.0	0 0	& Stru	cture Dama	ge	\$159,321	.00	Code	,		M507	4 6	Code		ouu		N/A	
41 Engineer/	42 Fin	emen		ew Members 43. Conductors 44. Brake				Leng			Leng	th of	1 11me on Duty 46. Conductor						
Operators 1		42. Firemen 45. Condictor				0			Hrs 2 Mi 30					Н	rs	2	Mi 30		
Casualties to:	47. Railı	road Emplo	yees 4	8. Trai	in Passenger	rs 49. Other			50. EOT Device?				51. Was	EOT D	evice	Properly	Armed?		
Fatal		0			0	0			1. Yes 2. No 1				1. Yes 2. No 1						
Nonfatal		0		0			0		_ 52. Caboose Occupied by Crew? 1. Yes 2. No									N/A	
						0	DPERA	ΓIN	G TRAIN	#2									
53. Type of Equipme	ent 1.	Freight tra	in train	4. Wo 5. Sin	rk train 7. gle.car 8	Yard/sw	vitching	А.	Spec. MoW	V Equ	iip. Code	54. Was I	Equip ded?	ment C	ode	55. T	`rain Nun	nber/Symbol	
Consist (single en	ury) 2. 3.	Commuter	train	6. Cut	of cars 9.	Maint./i	nspect.ca	r			N/A	1. Y	Yes	2. No 1	N/A		N/	/A	
56. Speed (recorded	speed, if	available)	Code	58.	Method(s)	of Opera	tion (ente	enter code(s) that apply)					58a. Remotely Controlled Locomotive?					
R - Recorded E - Estimated	N/A	MPH	N/A	a. b.	Auto train	control	 g. Autom h. Currer 	nt of t	raffic	m.Sp n. Otl	ecial instruc her than mai	tions n track		0 = Not a 1 = Remo	ote cont	rol p	ntrolled ortable		

DEPARTMENT FEDERAL RAILF	OF TRAI ROAD AI	NSPORT DMINIST	TATIO RATI	ON ION	FRA FA	CTUAI	L RAILR	OAD AC	CIDENT REP	ORT	F	RA File	# <u>HQ-200</u>	8-8	
57. Trailing Tons (gross tonnage, excluding power units) N/A					c. Auto train stop i. Time table/tr d. Cab j.Track warrant e. Traffic k. Direct traffic				orders o. Positive train control p. tother (<i>Specify in narrative</i>) code(s)			2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter			
50 Principal Con/Un		o Initial	and M	f.	Interlocking	l.Y	ard limits	ad(()	N/A N/A N/A	N/A N/A				IN/A	
(1) First involved			umber	D. POSILIO	n in Train	c. Load	ed(yes/no)	enter the numb	ber that were	e positive in Alcohol			Drugs		
(derailed, struck,	etc)		N/A		N/.	A	N	J/A	the appropriate	e box.	N/A			N/A	
(2) Causing (<i>if mechanical</i> <i>cause reported</i>) N/A			N/A		N/.	A]	N/A	61. Was this consist transport			ing passengers? (Y/N)			
62. Locomotive Units a. Head End b. I		b. Ma	Mid Train nual c. Remote		Rea 1. Manual	r End c. Remote	63. Cars a.]		Lo a. Freight	aded b. Pass.	E c. Freigh	mpty 1t d. Pass.	e. Caboos		
(1) Total in Train		N/A	1	N/A	N/A	N/A	N/A	(1) Total in	n Equipment Consist	N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	d	N/A	N	/A	N/A	N/A	N/A	(2) Total Derailed N/.		N/A	N/A	N/A	N/A	N/A	
64. Equipment Dama	age			65. Tra	ck, Signal, W	⁷ ay,	N/A	66. Prima Code	ry Cause		67. Contr	ibuting C	ause	X 7.1	
This Consist		N/A Numbe	r of Cr	& St ew Me	& Structure Damage w Members			coue		N/A Length of '	Time on D	N/A			
68. Engineer/	69. Fire	men		70. Co	nductors	71. Bra	kemen	72. Engin	eer/Operator	Lengui or	73. Conc	luctor			
Operators N/	1	N/A			N/A		N/A		Hrs N/A M	i N/A		Hrs N/		Mi N/A	
Casualties to:	74. Railro	oad Emplo	oyees 7	75. Trai	in Passengers	76. Oth	er	77. EOT Device?			78. Was I	Armed?			
Fatal		N/A			N/A		N/A	1. Yes 2. No N/A			1.	N/A			
Nonfatal		N/A	_		N/A		N/A	79. Caboose Occupied by Crew?				I N/A			
		10/21			10/11	0	OPERATIN		G TRAIN #3						
80. Type of Equipme Consist (single en	nt 1. H try) 2. H 3. C	Freight tra Passenger Commuter	in train train	 4. Wor 5. Sing 6. Cut 	rk train 7. N gle car 8. I of cars 9. N	Yard/switc Light loco Maint./insj	ching A. (s).	Spec. MoW	Equip. Code 81.	Was Equipn Attended? 1. Yes 2	nent Co 2. No $ $ N	ode 82 7/A	. Train Nun N/A	nber/Symbol	
Bot. Speed (recorded speed, if available) Code R - Recorded E - Estimated N/A B4. Trailing Tons (gross tonnage, excluding power units) Excluding power units)					ATCS Auto train co Auto train Cab Traffic	g. ontrol h. stop i. ' j.T k.	Automatic b Current of ti Time table/ti Track warran Direct traffic	lock afficm.Special instructions n. Other than main track $0 = Not a remotely controlled1 = Remote control portable2 = Remote control tower3 = Remote controlain orderso. Positive train controlp. Otherc control0 = Not a remotely controlled1 = Remote control portable2 = Remote control tower3 = Remote controltransmitter - more than oneremote control transmitter$							
		IN/A		I.	Interlocking	1.1			N/A N/A N/A	N/A N/A	Tennote e	ond of du		IN/A	
86. Principal Car/Unit a. Initial and Nu					b. Positio	n in Train	c. Load	ed(yes/no)	87. If railroad empl	oyee(s) test over that were	ed for drug	/alcohol ı n	use,	Druge	
(1) First involved (derailed, struck,	etc)		N/A		N	A		N/A	the appropriate	e box.	N/A			N/A	
(2) Causing (if mechanical N/A cause reported)				N	A]	N/A	88. Was this cons	ist transport	ing passen	gers? (Y/	N)	N/A		
89. Locomotive Uni	ts	a. Head End	b. Ma	Mid T mual 1	rain c. Remote	Rea 1. Manual	ur End c. Remote	90. Cars	·	Lo a. Freight	aded b. Pass.	E c. Freigh	mpty nt d. Pass.	e. Caboose	
(1) Total in Train	n	N/A	N	I/A	N/A	N/A	N/A	(1) Total in	equipment Consist	N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	d	N/A	N	/A	N/A	N/A	N/A	(2) Total D	Derailed	N/A	N/A	N/A	N/A	N/A	
91. Equipment Dama This Consist	N/A		92. Tra & Sti	ck, Signal, W ructure Dama	Vay, 1ge	N/A	93. Primary Cause Code 94. Contributing Cause Code N/A								
95 Engineer/	06 Fire	Numbe	rorCr	97 C	onductors	98 Bra	kemen	99 Engin	eer/Operator	Length of	11me on D	uty			
Operators N/A	95. Engineer/ 96. Firemen Operators N/A N/A			/// 0	N/A		N/A	yyı Digili	Hrs N/A M	Hrs N/A Mi			Mi N/A		
Casualties to:	101. Railroad Employees			102.	Train	103. Ot	her	104. EOT			105. Was	EOT De	vice Proper	ly	
Fatal		N/A			N/A]	N/A	1. Yes 2. No N/A 1. Yes 2. No N/A 106. Caboose Occupied by Crew?						N/A	
Nonfatal N/A					N/A		N/A	1. Yes 2. No N/A							
Highway User Involved									Rail Equipment Involved						
107. C. Truck-7	Frailer.	. Bus	Ţ	. Other	Motor Vehic	le	Code	111. Equij	oment 3.Train	(standing)	6.Light I	Loco(s)	moving)	Code	
A. Auto D. Pick-U B. Truck E. Van	p Truck C	3. School 1 H. Motorcy	Bus k vcle N	K. Pede A. Othe	Pedestrian Other (spec in parrative) N/A				1.Train(units pulling) 4.Car(s)(moving) 7.Light(s) (standing) N/A						
108. Vehicle Speed	ang get l	N/A	109.	th ne-	geographic	al) 1 West	Code	112. Position of Car Unit in						1	
(est. MPH at in	ираст)		1.INOF	ui 2.50	ouu 5.East 4	+. west	1.011								

DEPARTMENT OF TRANSPORTATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-8 FEDERAL RAILROAD ADMINISTRATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-8												<u>8</u>		
110. Position							Code	113. Circu	mstance				Code	
1.Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing N/A 1. Kall Equipment Struck Highway User 4. Trapped N/A 2. Rail Equipment Struck by Highway User													N/A	
114a. Was the	highway user a	and/or ra	il equi	pment	involved		Code	114b. Wa	is there a haza	rdous materials	release		Code	
In the impact transporting hazardous materials? Hichway User 2 Rail Equipment 3 Both 4 Neither N/A 1. Highway User 2. Rail Equipment 3. Both 4. Neither											4. Neither	N/A		
1. riignway User 2. Kall Equipment 3. Both 4. Neither												<u> </u>		
114c. State nere the name and quantity of the hazardous materials released, if any. N/A														
115. Type 1. Gates 4. Wig Wags 7. Crossbucks 10. Flagged by crew 116. Signaled Crossing Code 117. Whistle												Code		
Crossing 2.Cantilever FLS 5.Hwy. traffic signals 8.Stop signs 11.Other (spec. in narr.) (See instructions for codes) 1. Yes Warning 3.Standard FLS 6.Audible 9.Watchman 12.None 2. No														
Code(s)	N/A	N/A	N	I/A	N/A	N/A	N/A	N/A	N/A 3. Unknown					
118. Location of Warning Code 119. Crossing Warning Code 120. Crossing Illuminated by Street											by Street	Code		
1. Both Sid	les					with	with Highway Signals			Lights or Special Lights				
2. Side of					1. Yes		1. Yes 2 No							
3. Opposite Side of Vehicle Approach N/A							3. Unknown N/A 3. Unknown					N/A		
121.	Code	123.	Driver Drov	e Behind o	ind or in Front of Code 124. Driver					Code				
Age	1. Male				and Struck o	r was Struc	k by Second	Frain	1. Drov	e around or thr	u the Gate	4. Stopped on Crossing		
N/A	2. Female	; 	N/A		1. Yes	2. No	3. Unknowi	n N/A	2. Stop	ot Stop	oceeded	5. Other (specify in narrative)	N/A	
125. Driver Pa	ssed		. 12	6. Vie	w of Track C	bscured by	(primary ob	struction)		_			Code	
Highway V	ehicle	Lod	e	1. P	ermanent Str	ucture	3. Passi	ng Train 5.	Vegetation	7. Other	(specify in a	narrative)	Louic	
1. Yes 2. No	3. Unknown	N/.	A	2. S	tanding Railı	oad Equipr	nent 4. Topo	graphy 6.	Highway Vehi	cle 8. Not ob	structed		N/A	
Casualties to: Killed Injured 127. Driver Code 128. Was Driver in th								ne Vehicle?	Code					
Casualities to. Kineu Injuleu 1.						1. Killed	d 2.Injured 3.	Uninjured	N/2	A 1	. Yes	2. No	N/A	
129. Highway-Rail Crossing Users N/A N/A 130.							hway Vehicle dollar damag	Property Da	mage N/A	f Highway-Rail Crossin N/A	g Users			
132. Locomot	ive Auxiliary Li	ights?					Code	133. Locor	notive Auxilia	ry Lights Oper	ational?		Code	
1. Y	No				N/A	1. Yes 2. No				N/A				
134. Locomot	ive Headlight Il	luminate	ed?				Code	135. Locor	notive Audibl	e Warning Sou	nded?		Code	
1. Yes 2. No N/A 1. Yes 2. No											N/A			

136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



137. SYNOPSIS OF THE ACCIDENT

Eastbound Burlington Northern Santa Fe Railway Company (BNSF) loaded coal train C-BTMCGW0-30 derailed on January 15, 2008, at 00:30 a.m., CST. The derailment occurred 4.4 miles east of the town of Melrose, Iowa, at BNSF Milepost (MP) 314.2, on Main Track No. 2 of the Nebraska Division, Ottumwa Subdivision, in Monroe County. The crew reported an undesired emergency application of the train air brake system while traveling at a recorded speed of 43 miles per hour (mph). Inspection of the train revealed 22 cars derailed, the 97th through 118th rail cars from the head end of the train consist. No injuries were reported; no hazardous materials were involved.

Damage estimates to equipment totaled \$1,240,865 and estimated damages to track totaled \$150,000 with damage estimates to signal equipment totaled \$9,321.

At the time of the derailment, the conditions were dark and clear with a temperature of 6 °F and wind was WNW at 6 mph.

The cause of the derailment was not determined. A broken rail was suspected because of contributing factors that were identified during the investigation. A dip in the track and two cars with Association of American Railroads (AAR) condemnable wheels, for high impacts, may have contributed to the cause of the derailment. However, approximately 467 feet of rail, along with 8 wheel sets were not recovered at the derailment site. The absence of this physical evidence did not allow a positive cause determination to be identified. Therefore, the investigation was closed with the cause noted as undetermined due to absence of substantive physical evidence.

138. NARRATIVE

CIRCUMSTANCES PRIOR TO THE ACCIDENT

The crew of BNSF Train C-BTMCGW0-03 consisted of an engineer and conductor. The crew went on duty at 10:00 p.m, CST, on January 14, 2008, at Creston, Iowa, which was the crews away from home terminal. Both crew members received more than the required statutory off-duty rest period prior to reporting for duty.

The assigned train consisted of 3 locomotives, 2 at the head-end and 1 at the rear, coupled to 130 loaded coal hopper cars. The train was 7,166 feet in length with 18,512 gross trailing tons, excluding the locomotives. The train was scheduled to travel from Creston en route to Galesburg, Illinois, with no pick ups or set outs scheduled while en route. The initial terminal air brake test and inspection had been performed on the train at Alliance, Nebraska, on January 12, 2008, as empty coal Train CGWBTM0-53. Loaded coal Train C-BTMCGW0 03 received a 1,000 mile inspection at Lincoln, Nebraska, on January 14, 2008. Both inspections were performed by BNSF mechanical personnel. The locomotives had a current daily inspection so an inspection was not performed by the crew prior to departing. BNSF Train C-BTMCGW0-03 departed Creston at 10:10 p.m., on January 14, en route to Galesburg. The maximum authorized speed for the train is 50 mph as outlined in the Nebraska Division Timetable No. 6, effective December 13, 2006.

As the eastbound train approached the location where the derailment occurred, the engineer was seated at the controls on the south side of the lead locomotive. The conductor was seated on the north side of the lead locomotive. The timetable and geographic direction for the train is east. Timetable directions are used throughout this report.

BNSF Main Track No. 2 in the area of the derailment at MP 314.2, is 132-lb welded rail attached to wood ties. The track at the accident site is tangent for 50 feet to the west; then a 1-degree right-hand curve; and again tangent for approximately 3,700 feet; followed by a 2-degree 22-minute left-hand curve; and again tangent for approximately 4,200 feet. The grade for this segment of track is ascending varying from 0.33 percent at the derailment site to 0.23 percent at MP 316.4. The track east of the derailment site is tangent for approximately 3,160 feet; then a 1-degree10-minute, right-hand curve; followed by a 0-degree 57-minute left-hand curve;

and then again tangent for approximately 2,600 feet. The grade for this segment of track is descending at varying rates from 0.33 percent at the derailment site to 0.18 percent at MP 311.

The Accident:

BNSF Train C-BTMCGW0-03 was traveling eastward on BNSF Main Track No. 2 with operating at a speed of 44 mph with the throttle in the idle position and the air brakes fully released as they approached the accident area. The speed at the time of the derailment was 43 mph. Both speeds were recorded by the event recorder in Locomotive No. BNSF 6192 which was the lead locomotive in the consist.

The event recorder indicates that the engineer began dynamic braking approximately 63 seconds after the head-end of the train passed over the area where the derailment occurred. According to the engineer, this was in anticipation of a slow order ahead at MP 307.3. Approximately 29 seconds later, the crew experienced an undesired emergency application of the train air brakes. The crew immediately notified the dispatcher.

Inspection of the train revealed that the west end of Car No. FSTX 5712 derailed with a broken coupler knuckle; a large gap of approximately 2,300 feet; then 4 cars derailed and on their sides on the south side of Main Track No. 2; followed by 15 cars in a pile blocking Main Track Nos. 1 and 2; and 2 additional cars derailed but upright at the west end of the pile, located at approximately MP 314.2. A total of 22 cars were derailed with 19 cars destroyed. The position of the derailed cars from the head end counting the locomotives was No. 97 through 118 of the consist.

ANALYSIS AND CONCLUSIONS:

ANALYSIS - TOXICOLOGICAL TESTING:

Both crew members received the required FRA Post-Accident Toxicological Testing per 49 CFR Part 219.

CONCLUSION:

The results were negative for both the engineer and conductor.

ANALYSIS - FRA TRACK INSPECTION:

FRA inspected the track at 15-feet 6-inch intervals for 15 stations west of the derailment site.

CONCLUSION:

The track was tangent; there were no alignment irregularities and no notable surface defects.

ANALYSIS - BNSF TRACK INSPECTION RECORDS:

BNSF track inspection records indicate that BNSF Main Track No. 2 at MP 314.2 had received 22 inspections by a qualified track inspector in the period from December 15, 2007 through January 14, 2008.

Conclusion: No track defects were noted at this location.

Analysis - Detector Car Records: On January 7, 2008, just 8 days prior to the accident, Detector Car No. SRS863 made an inspection of this portion of the Ottumwa Subdivision on Main Track No. 2.

Conclusion:

No defects were noted at, or near MP 314.2.

ANALYSIS - GEOMETRY CAR RECORD:

On December 11, 2007, BNSF Geometry Car No. 085 made an inspection of this portion of the Ottumwa Subdivision on BNSF Main Track No. 2.

CONCLUSION:

BNSF Geometry Car No. 085 did identify a yellow tag Dip 31 defect of 1 11/16 inches verticle by 9 feet horizontal at MP 314.13. Although this is not an FRA defect, it is a fact of interest since it is in the area where the derailment occurred. Additional forces on the rail would have been experienced as the train passed over the dip. A decision was made by the BNSF Technical Research and Development Laboratory to perform a train simulation across that track perturbation to better understand the vehicle dynamics.

ANALYSIS - TRAIN SIMULATION:

A New and Untried Car Analytic Regime Simulation (NUCARS) of a loaded coal car traveling 43 mph was performed using the track geometry from December 11, 2007. In terms of derailment risk, the numbers as follows were calculated from the simulation results:

Maximum single wheel lateral/vertical forces (L/V): 0.15 Minimum vertical wheel load: 21,850 lb (61 percent of nominal) Maximum vertical wheel load: 50,000 lb (140 percent of nominal) Maximum lateral wheel load: 6,000 lb

All the peak values noted above occurred at the 1-11/16 inch deep by 9-feet long, yellow tag dip 31 defect at MP 314.13. None of the values are indicative of derailment level forces with regard to vehicle dynamics. Critical single-wheel L/V values would typically be around 0.8 to 1.0. A minimum vertical wheel load is considered unsafe at levels around 10 percent or below, much lower than the minimum values found in the simulation.

CONCLUSION:

The results of the vehicle dynamics simulation do not indicate derailment due to wheel climb, wheel lift, or rail rollover based on the measured geometry of December 11, 2007, with nominal car conditions. However, significant vertical forces of 140 percent above nominal were being produced at the yellow tag dip defect. Elevated forces would have been produced at the same point in the track by every loaded car passing the dip. These elevated vertical loads may well have accelerated defect growth in rail, welds, or joints. Additionally, vertical forces may well have been higher on the day of the derailment as the geometry car run was 34 days prior to the derailment. Engineering personnel indicated no surfacing was done at the yellow tag dip defect in the period of time between the geometry car run and the derailment.

ANALYSIS - HIGH WHEEL IMPACTS:

Additional facts of interest are two cars that had AAR condemnable wheels for high impact readings. Per AAR standards a high impact wheel is one producing greater than 90 kip vertical force. Car No. FSTX 5536 was located at position No. 21 of the consist with L1 wheel having a peak impact reading of 98.0 as recorded by the Bingham East Wheel Impact Load Detector (WILD) on January 13, 2008. Car No. FSTX 5837 was located at position No. 64 of the consist with R3 wheel having a peak impact reading of 93.6 as recorded by the Bingham East WILD on January 13, 2008. Both cars were ahead of the first car derailed and the cars were oriented so that both high impact wheels were on the south rail of Main Track No. 2. Coincidentally, the first few cars derailed in the derailment derailed to the south suggesting some catastrophic failure of either a rail or car component on the south side. Although these were high impact wheels, BNSF reports that they were below the lowest alarm level limit for the Bingham East WILD of 112 kips.

CONCLUSION:

The wheel impacts would also have contributed to additional forces put on the rail. These forces would have been increased in the area of the dip defect in the track.

ANALYSIS - LOCOMOTIVE ENGINEER OPERATING PERFORMANCE:

The lead Locomotive No. BNSF 6192 was equipped with a speed indicator and an event recorder as required. The event recorder was downloaded by the BNSF road foreman at the accident site, and analyzed by the BNSF Technical Research and Development Laboratory in Topeka, Kansas.

CONCLUSION:

The event recorder data from lead Locomotive No. BNSF 6192 does not suggest anything unusual that could contribute to the cause of the derailment. The locomotive engineer was in full compliance with all applicable railroad operating and train handling requirements.

ANALYSIS - BROKEN WHEEL:

A freight car wheel set with a circumferential break completely around the wheel plate was found in the pileup and sent to the BNSF Technical Research and Development Laboratory in Topeka for further analysis.

CONCLUSION:

The analysis showed that the fracture face contained no fatigue; only 100 percent new brittle fracture. The analysis confirmed that the wheel broke as a result of the derailment and was not the cause.

ANALYSIS - BRAKEN RAIL:

All rail, welds, and rail joints recovered at the derailment site were inspected for the presence of batter and defect; two requirements necessary for a rail, weld, or joint to be identified as a cause of a derailment. Two (2) pieces of rail were found with head batter and both were shipped to the Topeka Physical Test Laboratory for further analysis.

CONCLUSION:

Upon further inspection neither of these rails were found to have defects or the type of straight base break normally attributed to a broken rail caused derailment. Neither broken rail was identified as the cause of the derailment.

ANALYSIS - MISSING RAIL AND WHEEL SETS:

As of April 3, 2008, it has been determined that approximately 467 feet of rail has not been accounted for, as well as, eight wheel sets. Cleanup of the site has been completed without turning up any additional rail or wheel sets.

CONCLUSION:

Further analysis of these components would be needed to determine or eliminate them as a cause of the derailment. The large amount of rail not recovered leaves the possibility that the derailment was caused by a broken rail, weld, or joint. The un-recovered wheel sets leaves the possibility that the derailment was caused by a broken wheel or axle.

ANALYSIS: - FATIGUE

FRA obtained fatigue related information, for the 10-day period preceding this incident including the 10-day work history (on duty/off duty cycles) for all of the employees involved.

CONCLUSION:

Upon analysis of that information FRA concluded fatigue was not probable for any of the employees.

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OVERALL CONCLUSIONS:

The railroad has been found to be in compliance with its own requirements and all Federal Regulations to date in this investigation. Nothing was found with the train makeup, train handling, track profile, and event recorder analysis to suggest any train handling, train makeup, or train dynamic issues caused this derailment. The characteristics of the derailment suggest a catastrophic car or track component failure. However, no broken car components were found other than the wheel set with the broken wheel plate. The broken wheel was determined to be the result of the derailment and not the cause. The two pieces of broken rail that were analyzed were also determined not to be the cause of the derailment.

The investigation revealed two potential causes/contributors to the derailment. The first was the yellow tag dip defect that was identified on December 11, 2007, and not repaired by the date of the derailment. The vicinity of this geometry defect to the POD suggests this defect as a possible cause. The second possible cause/contributor to the derailment was the high impact wheels in Car Nos. FSTX 5536 and FSTX 5837.

Due to the large amount of material that remains unaccounted for, a root cause of the derailment has not been positively identified.

The railroad suggests that the derailment be closed out with cause code M507 - investigation completed, cause could not be determined due to the absence of substantive physical evidence. FRA agrees.

PROBABLE CAUSE AND CONTRIBUTING FACTORS:

M507 - Investigation complete, cause could not be determined. Despite the investigative efforts of the FRA and BNSF, evidence required for proper cause determination was never recovered from the accident site.