

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2008-09

Union Pacific Railroad Company (UP) Carroll, IA January 15, 2008

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

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DEPARTMENT FEDERAL RAILF	OF TRA ROAD A	ANSPORT DMINIST	TATIC RATI	ON ON	FRAFA	ACTU.	AL RA	ILR	ROAD AG	CCI	DENT R	EPORT		I	FRA Fi	le #	HQ-200	<u>18-9</u>
1.Name of Railroad Operating Train #1									1a. Alphabetic Code					1b. Railroad Accident/Incident No.				
2.Name of Railroad Operating Train #2									. Alphabetic	UP Code	<u>,</u>		2b. F	0108CB010 2b. Railroad Accident/Incident No.				
N/A		TD : #C						N/A						N/A				
3.Name of Railroad ( N/A	Operating	g Train #3						3a.	. Alphabetic	: Code N/A	•		3b. 1	3b. Railroad Accident/Incident No. N/A				
4.Name of Railroad I	4a	4a. Alphabetic Code UP					4b. Railroad Accident/Incident No.											
5. U.S. DOT_AAR C	Grade Cro	ssing Ident	ificatio	on Nun	nber			6.	Date of Acc	ident/	Incident		7. 1	. Time of Accident/Incident				
0		1 Derail	nent		4 6:1			Mo	onth 01	Da	ay 15 Yea	ar 2008	datan	06:01: V AM PM				
(single entry in code box) 2. Head on collision 5. Raking collision								8	8. RR grade crossing 11. Fire/violent rupture (describe in								Code	
		3. Rear e	nd colli	ision	6. Broke	n Train c	ollision	9	. Obstructio	struction 12. Other impac			cts		narra	tive)		01
9. Cars Carrying HAZMAT		10. HAZ Damaged		11.   HA	Cars Rel ZMAT	leasir	sing		12. People Evacuated				13. Div	ision				
	0   N/A						lepost		N/A			0		Council B		uncil Blu	ıffs	
14. Nearest City/Tow	'n	Carroll				(to nearest te				Abbr Code N/A   IA		Code IA	CARROLL			LL		
18. Temperature (F)		19. Visit	oility	(sing	le entry)	Code 20. W			ather (single er		ntry) Code		21. Type of T		e of Tra	rack		Code
(specify if minus)	) 5 F	1.1	Dawn Day	3.D 4.E	usk Dark	1	1	Cle	Clear 3. Rain 5. Sleet			et		1. Main 3. Siding 2 Yard 4 Industry			g trv	1
22. Track Name/Nu	mber					23. FR	A Track	Cit	Code	ь 24. А	annual Track	Density		25. Time Table Direction			Code	
			Track	c No 2		Cla	ss (1-9, X) (gross tons in millions) 133						6	1. North 3. East				3
							OPER	AT	ING TRA	IN#	1		-		2. 30ut	1 4.	west	
26. Type of Equipme	ent 1.	. Freight tra	uin	4. Wo	ork train 7	. Yard/sv	itching	A	. Spec. MoV	W Equ	ip. Code	27. Was I	Equip	ment (	Code	28. T	'rain Nur	nber/Symbol
Consist (single en	ntry) 2.	. Passenger	train	5. Sin	igle car 8	Light lo	co(s).		-	-	1.	Atten	ded?	1			G 4 77	
29 Speed (manual 1	3.	. Commute	r train	6. Cu	t of cars 9	Maint./i	nspect.ca	ur Conto	r and a ( a ) t	that		1. \	res	2. No	I	ontrol	CATI	XII3
R - Recorded a speed, if available) Code 31. Method(s) of Operation ( R - Recorded Autom									block	m.Sp	ecial instruct	ions		0 = Not a remotely controlled				
E - Estimated 48 MPH R b. Auto train control h. Currer									traffic	n. Otl	her than main	n track		1 = Remo	ote cont	rol po	ortable	
30. Trailing Tons (gross tonnage,								able/t	train orders	o. Po p. Ot	sitive train c her (Specify	ontrol in narrat	ive)	2 = Remo 3 = Remo	ote cont	rol to rol	wer	
excluding power units) d. Cab J. Frack ( e. Traffic k. Direct								traff	ic control		Code(s)	)		transmi	tter - m	ore th	an one	
		19305	i	f.	Interlocking	g	l.Yard lir	nits		b	e i	N/A	N/A	remote of	control	transn	nitter	0
32. Principal Car/Uni	t	a. Initial a	and Nu	mber	b. Positio	on in Tra	in c.	Load	ed(yes/no)	33.	If railroad er	nployee(s	) teste	d for drug	g/alcoho	l use,	A 1 1 - 1	Dimos
(1) First involved (derailed, struck, etc) EXEX5282						95			yes		the appropr	iate box.	were	positive i			0	Drugs 0
(2) Causing (if med	chanical	l	0			0		1	N/A	34	. Was this co	onsist tran	sporti	ng passen	gers? (	//N)		l N
35. Locomotive Uni	ts	a. Head		Mid T	`rain	R	ear End		36. Cars				Lo	aded		Emp	ty	
		End	b. Ma	nual	c. Remote	d. Manu	al c. Re	mote				a. Fr	eight	b. Pass.	c. Frei	ght o	1. Pass.	e. Caboose
(1) Total in Train	n	2		0	0	0	1		(1) Total	in Eq	uipment Con	isist 1	35	0	0		0	0
(2) Total Deraile	d	0		0	0	0	0		(2) Total	Derai	led		33	0	C		0	0
37. Equipment Dama	age	2 0 4 2 6 5 2 0	3	8. Tra	ck, Signal, V	Way,	\$124 365	00	39. Prima	ıry Ca	use			40. Cont	ributing	Caus	e	
This Consist	<b>3</b> .	2,045,055.0		& Stru	toture Dama	ge	\$124,505	.00	Code			M507	th of '	Code	Duty		1	N/A
41. Engineer/	42. Fir	remen		43. Co	onductors	44. Brakemen			45. Engineer/Operator				46. Conductor					
Operators 1		0			1	0			Hrs <sub>6</sub> Mi <sub>8</sub>				Hrs 6 Mi 8			Mi 8		
Casualties to:	47. Railı	road Emplo	d Employees 48. Train Passenge				Other		50. EOT Device?				51. Was EOT Device Properly Armed?				Armed?	
Fatal		0			0	0			1. Yes 2. No 1			1. Yes 2. No 1						
Nonfatal		0			0		0		52. Caboose Occupied by Crew? 1. Yes 2. No									N/A
	1					C	PERA	ΓIN	G TRAIN	#2								•
53. Type of Equipme	ent 1.	Freight tra	in	4. Wo	ork train 7.	Yard/sw	itching	A.	Spec. MoV	V Equ	ip. Code	54. Was I	Equip	ment C	ode	55. T	rain Nun	nber/Symbol
Consist (single en	<i>utry</i> ) 2.	Passenger	train train	5. Sin	gle car 8.	Light lo	co(s).	r			NI/A	Attend	ied?	No N/A N/A			A	
56. Speed (recorded	speed. if	available)	Code	58.	Method(s)	of Opera	ion (	ente	er code(s) t	that a	upply)	1. 1	es	2. 1NO 1 58a. Rem	otely C	ontrol	led Loco	omotive?
R - Recorded	1	1		a.	ATCS		g. Auton	natic	block	m.Sp	ecial instruct	ions		0 = Not a remotely controlled				
E - Estimated	N/A	MPH	N/A	b.	. Auto train	control	h. Currer	nt of t	traffic	n. Otl	ner than main	n track		1 = Rem	ote con	rol po	ortable	

DEPARTMENT FEDERAL RAILF	OF TRAI ROAD AI	NSPORT DMINIST	TATIO RATI	ON ION	FRA FA	CTUAI	L RAILR	OAD AC	CIDENT REP	ORT	F	RA File	# <u>HQ-200</u>	<u>18-9</u>	
57. Trailing Tons (gross tonnage, excluding power units) N/A					c. Auto train stop i. Time table/tr d. Cab j.Track warrant e. Traffic k. Direct traffic				orders o. Positive train control ntrol p. Other (Specify in narrative) ntrol Code(s)			2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter			
50 Principal Con/Un	:.	o Initial	and M	f.	Interlocking	l.Y	ard limits	N/A   N/A   N/A   N/A   N/A   N/A							
(1) First involved			umber	b. Positio	n in Train	c. Load	ed(yes/no)	60. If railroad emp enter the numb	loyee(s) tes oer that were	e positive in Alcohol			Drugs		
(derailed, struck,	etc)		N/A		N/2	A	N	J∕A	the appropriate	e box.	N/			N/A	
(2) Causing (if mechanical cause reported) N/A			N/A		N/2	A	]	N/A	61. Was this cons	ing passengers? (Y/N)			N/A		
62. Locomotive Units a. Head End		a. Head End	b. Ma	Mid T mual	rain c. Remote	Rear End d. Manual c. Remot		63. Cars a. Freig			aded b. Pass.	E: c. Freigh	mpty it d. Pass.	e. Caboos	
(1) Total in Train		N/A	1	N/A	N/A	N/A	N/A	(1) Total in	n Equipment Consist	N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	ed	N/A	N	/A	N/A	N/A	N/A	(2) Total Derailed N		N/A	N/A	N/A	N/A	N/A	
64. Equipment Dama	age			65. Tra	ck, Signal, W	<sup>7</sup> ay,	N/A	66. Prima Code	y Cause		67. Contr	ibuting C	ause	<b>X</b> 7.1	
This Consist		N/A Numbe	r of Cr	& St ew Me	& Structure Damage   w Members			code		N/A Length of '	Time on D	N/A			
68. Engineer/	69. Fire	men		70. Co	nductors	71. Bra	kemen	72. Engin	eer/Operator	Longui or	73. Conductor				
Operators N/	1	N/A			N/A		N/A		Hrs N/A M	i N/A		Hrs N/A		Mi N/A	
Casualties to:	74. Railro	oad Emplo	oyees 7	75. Trai	in Passengers	engers 76. Other		77. EOT I	Device?		78. Was 1	EOT Devi	ice Properly	Armed?	
Fatal		N/A			N/A		N/A	1. Yes 2. No N/A			1.	N/A			
Nonfatal		N/A	_		N/A		N/A	79. Caboose Occupied by Crew?				I N/A			
		10/21			10/11	0	OPERATIN		[#3		14/21				
80. Type of Equipme Consist (single en	<ul> <li>80. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s).</li> <li>3. Commuter train 6. Cut of cars 9. Maint (inspect car)</li> </ul>								Spec. MoW Equip.     Code     81. Was Equipment     Code     82. Train Number/Symbol       Attended?     N/A     1. Yes     2. No     N/A     N/A						
80. Speed (recorded speed, if available)       Code         R - Recorded       E         E - Estimated       N/A         84. Trailing Tons       (gross tonnage, excluding power units)					ATCS Auto train co Auto train Cab Traffic	g. ontrol h. stop i. ' j.T k.	Automatic b Current of ti Time table/ti Track warran Direct traffic	lockm.Special instructions $0 = Not a remotely controlledafficn. Other than main track1 = Remote control portableain orderso. Positive train control2 = Remote control towert controlp. Other(Specify in narrative)c controlCode(s)transmitter - more than oneN(A = N(A = N(A$							
N/A					Interlocking	1.1			N/A N/A N/A	N/A N/A	Temote e	ond of da		IN/A	
86. Principal Car/Unit a. Initial and Nu					b. Positio	n in Train	c. Load	ed(yes/no)	87. If railroad empl	oyee(s) test or that were	ed for drug	/alcohol ı n	ise,	Druge	
(1) First involved (derailed, struck, etc)			N/A		N	A		N/A	the appropriate	e box.	· · · · · ·	-	N/A	N/A	
(2) Causing (if mechanical N/A cause reported)					N/	A	]	N/A	88. Was this cons	ist transport	ing passengers? (Y/N) N/A				
89. Locomotive Uni	its	a. Head End	b. Ma	Mid T mual 1	rain c. Remote	Rea 1. Manual	ur End c. Remote	90. Cars		Lo a. Freight	aded b. Pass.	Ei c. Freigh	mpty it   d. Pass.	e. Caboose	
(1) Total in Train	n	N/A	N	[/A	N/A	N/A	N/A	(1) Total ir	Equipment Consist	N/A	N/A	N/A	N/A	N/A	
(2) Total Deraile	ed	N/A	N	/A	N/A	N/A	N/A	(2) Total E	Derailed	N/A	N/A	N/A	N/A	N/A	
91. Equipment Dama This Consist	N/A		92. Tra & Sti	ck, Signal, W ructure Dama	Vay, 1ge	N/A	93. Primary Cause Code 94. Contributing Cause Code N/A								
95 Engineer/	06 Fire	Numbe	rorCr	97 C	onductors	98 Bra	kemen	99 Engin	eer/Operator	Length of	Time on Duty				
Operators N/A	95. Engineer/ 96. Firemen Operators N/A N/A			/// 0	N/A		N/A	>>: Digit	Hrs N/A M	i N/A	Hrs N/A Mi N/A				
Casualties to:	101. Railroad Employees				Train	103. Ot	her	104. EOT 105. Was EOT Device Properly						ly	
Fatal		N/A			N/A	]	N/A		1. Yes         2. No         N/A         1. Yes         2. No         N           106. Caboose Occupied by Crew?						
Nonfatal N/A					N/A		N/A	1. Yes 2. No N/A							
Highway User Involved									Rail Equipment Involved						
107. C. Truck-7	Frailer. F	. Bus	Ţ	. Other	Motor Vehic	le	Code	111. Equij	oment 3.Train	(standing)	6.Light l	Loco(s)	moving)	Code	
A. Auto D. Pick-U B. Truck E. Van	p Truck C	3. School 1 H. Motorcy	Bus k vcle N	K. Pede A. Othe	Pedestrian Other (spec in parrative)   N/A				1.Train(units pulling)     4.Car(s) (moving)     7.Light(s) (standing)       2.Train(units pulling)     5.Car(s) (ctonding)     8.Other (croacies in promotion)						
108. Vehicle Speed		N/A	109.	thore	geographic	al) 1 West	Code N/A	112. Position of Car Unit in							
(est. MPH at in	праст)		1.INOF	ui 2.50	Juli 3.East 4	+. west	1.011								

DEPARTMENT OF TRANSPORTATION       FRA FACTUAL RAILROAD ACCIDENT REPORT       FRA File # HQ-2008-9         FEDERAL RAILROAD ADMINISTRATION       FRA FACTUAL RAILROAD ACCIDENT REPORT       FRA File # HQ-2008-9												9		
110. Position							Code	113. Circu	mstance				Code	
1.Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing       1. Kail Equipment Struck Highway User         4. Trapped       N/A         2. Rail Equipment Struck by Highway User													N/A	
114a. Was the	highway user a	and/or ra	il equi	pment	involved		Code	114b. Wa	is there a haza	rdous materials	release		Code	
In the impact transporting hazardous materials? Highway User 2 Rail Equipment 3 Both 4 Neither   N/A 1. Highway User 2. Rail Equipment 3. Both 4. Neither											4. Neither	N/A		
1. riignway User 2. Kall Equipment 3. Both 4. Neither												<u> </u>		
114c. State nere the name and quantity of the hazardous materials released, if any. N/A														
115. Type 1.Gates 4.Wig Wags 7.Crossbucks 10.Flagged by crew 116. Signaled Crossing Code 117. Whistle												Code		
Crossing       2.Cantilever FLS       5.Hwy. traffic signals       8.Stop signs       11.Other (spec. in narr.)       (See instructions for codes)       1. Yes         Warning       3.Standard FLS       6.Audible       9.Watchman       12.None       2. No														
Code(s)	N/A	N/A	N	I/A	N/A	N/A	N/A	N/A	N/A 3. Unknown					
118. Location of Warning     Code     119. Crossing Warning     Code     120. Crossing Illuminated by Street												Code		
1. Both Sid	les					with	n Highway Si	gnals	Lights or Special Lights					
2. Side of					1. Yes		1. Yes 2. No							
3. Opposite Side of Vehicle Approach N/A							3. Unknown N/A 3. Unknown					N/A		
121.	Code	123.	Driver Drov	e Behind o	ind or in Front of Code 124. Driver					Code				
Age	1. Male				and Struck o	r was Struc	k by Second	Frain	1. Drov	e around or thr	u the Gate	4. Stopped on Crossing		
N/A	2. Female	; 	N/A		1. Yes	2. No	3. Unknowi		2. Stop	ot Stop	oceeded	5. Other (specify in narrative)	N/A	
125 Driver Pa	ssed		12	6 Vie	w of Track C	bscured by	(			1				
Highway V	ehicle	Cod	e 12	1. P	ermanent Str	ucture	(primary ob 3. Passi	<i>struction)</i> ng Train 5.	Vegetation	7. Other	(specify in	narrative)	Code	
1. Yes 2. No	3. Unknown	N/.	4	2. S	tanding Railı	oad Equipr	nent 4. Topo	graphy 6.1	Highway Veh	icle 8. Not ob	structed		N/A	
Compliants Code 128. Was Driver in the V								ne Vehicle?	Code					
Casualities to: Killed Injured 1.						1. Kille	d 2.Injured 3.	Uninjured	N/2	A 1	. Yes	2. No	N/A	
129. Highway-Rail Crossing Users N/A N/A 130.							hway Vehicle dollar damag	Property Da	Damage N/A 131. Total Number of Highway-Rail Crossir (include driver) N/A					
132. Locomot	ive Auxiliary L	ights?					Code	133. Locor	notive Auxilia	ry Lights Oper	ational?		Code	
1. Y	No				N/A	1. Yes 2. No				N/A				
134. Locomot	ive Headlight Il	luminate	ed?				Code	135. Locor	notive Audibl	e Warning Sou	nded?		Code	
1. Yes 2. No N/A 1. Yes 2. No											N/A			

#### 136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



#### 137. SYNOPSIS OF THE ACCIDENT

On January 15, 2008, at approximately 6:01 a.m., CST, eastbound Union Pacific Railroad Company (UP) Unit Coal Train CATKI-13, derailed 33 loaded coal hopper cars (lines 95 through 127) at milepost (MP) 255.5 while crossing over from Main Track No. 2 to Main Track No. 1. The maximum turnout speed is 50 mph. Both main tracks were blocked as a result of the derailment. The derailment occurred approximately 3.4 miles east of Carroll, Iowa. There were no injuries to the crew members or hazardous materials release. The damages to equipment were \$2,043,653. The damages to track were \$124,365. There was no signal damage. The damage total equals \$2,168,018.

At the time of the derailment it was clear and dark. The temperature was 25 °F.

Suspect rail and car components recovered from the derailment were sent to Rail Sciences Laboratory in Omaha, Nebraska for analysis. The lab findings determined that the rail and car components analyzed were not causative to the derailment. The railroad has entered T299 -other rail and joint bar defects. The Federal Railroad Administration (FRA) has determined the cause to be M507-Investigation complete, cause could not be determined.

#### 138. NARRATIVE

CIRCUMSTANCES PRIOR TO THE ACCIDENT

The crewmembers of UP Train CATKI-13 consisted of a locomotive engineer and a conductor. The crew went on duty at their away-from-home terminal at Fremont, Nebraska on January 15, 2008 at 1:15 a.m. after receiving more than the required statutory off-duty rest period.

The assigned freight train consisted of two locomotives on the head end of the train and one Distributive Power Unit (DPU) operating on the rear of the train, 135 loaded coal hopper cars. The train was 7,512 feet long and weighed 19,305 tons. The train was scheduled to travel from Fremont, Nebraska to Boone, Iowa, where another crew change would occur. The train had received an initial terminal freight train air brake inspection and a Class 1-A 1,000 mile brake test and inspection at South Morrill, Nebraska, on January 14, 2008, at approximately 8:30 a.m. No exceptions were taken to the brake functionality. The engineer completed the daily inspection of the head-end locomotives and the conductor, a set-back engineer, was transported to the rear of the train to complete the daily inspection on the DPU before departing Fremont. The train departed at 1:50 a.m, with no assigned work en route.

UP Unit Coal Train CATKI-13 was operating in Traffic Control System / Two Main Tracks / and Automatic Train Control (TCS/2MT/ATC) signaled territory, on tangent track. The maximum authorized speed for freight trains is 70 mph, freight trains with 100 tons per operative brake are restricted to 60 mph, as designated in the current UP Timetable No. 3 effective Monday, December 17, 2007. The train was being operated at 48 mph approaching the accident area. The accident area has No. 24 turnouts which require speed to be reduced to 50 mph. At the time the accident occurred, the train was being operated at 48 mph as recorded by the event recorder of the controlling locomotive. The train was traveling timetable direction east on Main Track No. 2.

At MP 257.6, the train received a reading from the track side HotBox / Dragging Equipment Detector (HBD) indicating no defects.

The engineer was seated on the south (right) side of the locomotive, operating the train with the throttle in the

idle position, with no brakes applied. The conductor was seated on the north (left) side of the locomotive.

In this area of the railroad there is 141-lb Continuous-Welded Rail (CWR) set on concrete ties. When traveling from west to east, it is a descending 0.9-percent grade. At the accident site, the grade is river grade which is practically level. The two switches located at MPs 255.57 and 255.45 are power-operated switches with No. 24 power-operated turnouts equipped with a moveable point frog.

#### THE ACCIDENT

At Control Point (CP) A256, the train began diverging through the crossover from Main Track No. 2 to Main Track No. 1. The switch turnout speed is 50 mph as indicated by the current UP Iowa Timetable No. 3. The train was traveling at a recorded speed of 48 mph as indicated by the locomotive event recorder with the throttle in the idle position.

As the train was making the crossover movement, the crew felt a jolt. The train went into an undesired emergency application of the train air brake system. The controlling locomotive was at MP 254.7 at that time. After the emergency air brake application, the lead locomotive and trailing unit, along with the head 94 cars, continued to travel east on the rail before coming to a complete stop at MP 254.7. Thirty-three loads, the 95th through 127th cars, had derailed at MP 255.5 east of the east switch in the crossover.

ANALYSIS AND CONCLUSIONS

ANALYSIS: - TOXICOLOGY TEST RESULTS:

The crewmembers were tested under FRA post-accident testing guidelines.

#### CONCLUSIONS:

The post-accident forensic toxicology results received from the FRA Alcohol and Drug Control Program manager indicate the two employees tested with negative test results.

ANALYSIS: - FATIGUE

FRA obtained fatigue related information, for the 10-day period preceding this incident including the 10-day work history (on duty/off duty cycles) for all of the employees involved.

# CONCLUSIONS:

Upon analysis of that information FRA concluded that one or more of the employees may have been working at a diminished level of safety (effectiveness) due to mental and/or physical attributes associated with fatigue; however this condition would not have contributed to the cause of the accident.

ANALYSIS: - LOCOMOTIVE ENGINEER PERFORMANCE:

The locomotive engineer had received 56 operational tests between April 10 and December 18, 2007, with zero failures noted.

The locomotives were equipped with speed and event recorders as required. The relevant event recorder data was downloaded by the UP Road Foreman at the accident site. The event recorder indicated the locomotive engineer was operating the throttle in idle position at the time of the derailment. The recorded speed was consistent with Timetable Special Instructions requirements for CP A256, which requires a 50 mph speed through the turnouts. The event recorder indicates a recorded speed of 48 mph.

# CONCLUSIONS:

The locomotive engineer was in full compliance with carrier operating rules and applicable Federal standards prior to and at the time of the derailment.

ANALYSIS: - SIGNAL:

UP recorded TCS dispatcher records were examined. Recorded data from the track side HBD located at MP 257.4, less than 2 miles prior to the accident site, indicated no defects or dragging equipment. The conductor's report indicates a "no defects" reading from the detector.

### CONCLUSIONS:

The TCS dispatcher records indicated nothing out of the ordinary prior to the incident. The TCS records indicate out of correspondence post-derailment. No signal trouble tickets had been generated at this location in the last 30 days prior to the accident. The data download from the HBD indicated no dragging equipment or hot boxes in the train. Signal appliances were not a causal factor to the derailment

### ANALYSIS: - TRACK:

An FRA track inspection report for the 80 days prior to the incident period shows that on October 24, 2007, fouled ballast defect was noted just west of the point of derailment (POD). A 25 mph slow order was placed on the track until remedial action was taken and the repairs were made. Remedial action was the repair of the track.

A UP geometry car report, run on September 29, 2007, noted one defect located at the derailment site, which was not out of compliance with FRA Standards. Track inspection records were examined for a 10-mile segment of track between MPs' 253.50 and 257.50 dated December 15, 2007, through January 15, 2008.

An FRA Track Inspector took post-accident track measurements leading up to the POD. An interview was conducted with the UP track inspector. The track inspection records for 30-days prior to the accident were reviewed. No defects were noted in this area and all records were found to be in compliance with Federal Regulations. The last hi-rail track inspection over the accident site had been conducted on January 14, 2008. Control points similar to the one involved in the accident were inspected to determine if there were any characteristics such as welds and/or joint bar locations which would indicate an abnormality in the configuration at the accident site; none were detected. There was an absence of marks on the wheels of freight cars and an absence of gouge marks on the rail.

Post-accident measurements taken by the UP were reviewed. Stationing occurred at the required intervals. String lining measurements were taken. The post-accident measurements indicate that the railroad was in full compliance with their own and applicable Federal Standards. Rail recovered from the site was sent to Rail Sciences Laboratory in Omaha, Nebraska.

# CONCLUSIONS:

Rail Sciences Laboratory concluded that all rail received was non-causative to the derailment. All fractures detected were a result of stress overload during the derailment. Not all rail components were recovered from the derailment site.

#### ANALYSIS: - EQUIPMENT:

All wheel sets and rail car components suspected were sent to Rail Sciences Laboratory. All car components were accounted for on the portion of the train that derailed. No exception was noted for locomotive equipment performance.

# CONCLUSIONS:

Rail Sciences Labratory determined that all wheel sets and rail car component damages were non-causative to the derailment. Locomotive event recorder downloads from all locomotives in the consist were reviewed for equipment performance and determined to be in full compliance.

# OVERALL CONCLUSIONS:

The railroad was in full compliance with their own and all applicable Federal standards. The train crewmembers were in compliance with carrier operating rules and Federal Regulations, ruling out train

handling as a probable cause. Signal appliances were in compliance with carrier operating rules and Federal Standards.

#### PROBABLE CAUSE AND CONTRIBUTING FACTORS

The derailment cause, based on the evidence collected and analyzed, suggests that the UP primary cause code of T299 - other rail or joint bar defects, is inconclusive. Based on the evidence collected and analyzed, the FRA finds there is no clear indication as to what may have been the probable cause of the derailment.

The FRA track inspector's initial inspection at the scene of the derailment and the catastrophic nature of how the derailed cars laid indicated that the cause was sudden and severe. It was determined that the suspected Point of Derailment (POD) was under the massive pile of cars, and somewhere within the first 100 feet ahead of the trailing point crossover movement through the turnout. There were no marks leading up to the derailment to indicate wheels or dragging equipment as verified by the UP's findings. The FRA track inspector participated in the post-derailment track measurements and found them to be within the FRA requirements for the track classification. The three most eastward cars in the derailment were pulled off the track and were not actually part of the initial derailment. The wheels were inspected and there was no indication that they may have struck something blunt prior to the derailment, such as a broken rail or joint, nor were there any visual markings on the wheels to suggest such. No marks on the prior wheels passing over the suspected POD is a very strong indication that a broken rail or joint bars is not responsible for this derailment. It is unlikely that track constructed with this size of rail on concrete ties would have a rail or joint fail and derail the very first wheel.

The FRA has determined the primary cause to be M 507- investigation complete, cause could not be determined. The FRA does not see anything in the evidence collected that clearly indicates any other probable cause or contributing factors.