

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2008-21

> Union Pacific (UP) Alpine, TX February 27, 2008

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF TH FEDERAL RAILROAD	ANSPORT ADMINIST	TATION RATIO	N FRAF	ACTUA	AL RAI	LROAD A	CCIDENT	REPO	ORT]	FRA Fi	le # <u>H</u>	IQ-200	8-21	
1.Name of Railroad Operati		1a. Alphabetic	1b.	1b. Railroad Accident/Incident No.											
2.Name of Railroad Operatin		2a. Alphabetic	2b.	2b. Railroad Accident/Incident No.											
N/A 3.Name of Railroad Operati	ng Train #3				3a. Alphabetic	N/A c Code		3b.	N/A 3b. Railroad Accident/Incident No.						
N/A	sible for Tree	le Mointe			4. Alphabatic	N/A		46	N/A						
Union Pacific RR Co. [UI	2]	K Wante	enance.		4a. Aiphabetic	UP		40.	0208SA024						
5. U.S. DOT_AAR Grade C	brossing Ident	ification	Number		6. Date of Acc Month 02	cident/Inciden Day 26	t Year 2	2008 7.	Time of Ac 11:5	ccident/ 0:		nt AM	V PM		
8. Type of Accident/Indicent	t 1. Derailr	nent	4. Side o		7. Hwy-rail c	crossing	10. Explo	sion-detor	nation 13.	Other			Code		
(single entry in code box)	(single entry in code box) 2. Head on collision 5. Raking collision 3. Rear and collision 6. Broken Train collision								8. RR grade crossing 11. Fire/violent rupt 9. Obstruction 12. Other impacts						
9. Cars Carrying	10. HAZ	MAT Ca	rs	11.	Cars Relea	asing	ing 12. People				13. Division				
HAZMAT 12	Damaged	/Derailed	d 02	HA	ZMAT	N/A	Evac	Evacuated		6		San Antoni		io	
14. Nearest City/Town				15. Mil	epost	ath)	16. State At	6. State Abbr Code			17. County				
	Alpine			(10)	<i>neurest ler</i> 60	9.3	N/A	N/A TX		В		REWSTER			
18. Temperature (F)	19. Visib	ility ((single entry)	Code	20. We	eather (single	entry) Cod		Code	21. Type of Track				Code	
(specify if minus) 19 F	2.1	Dawn Day	4.Dark	4	2.	Cloudy 4. Fo	og 6.Snov	a 5.Sleet g 6.Snow		1. Main 2. Yard		3. Siding 4. Industry		1	
22. Track Name/Number				23. FRA	A Track	Code	Code 24. Annual Track Do		Density 25. 7		Fime Table Direction			Code	
		Single r	main	Cla	Class (1-9, X) (gross tons in 4 millions) 27.1						1. North3. East2. South4. West4				
					OPER A	ATING TRA	IN #1			-				<u>.</u>	
26. Type of Equipment	1. Freight tra	un 4	. Work train 7	. Yard/sw	itching	A. Spec. Mo	W Equip. Co	de 27.	Was Equi	pment (Code	28. Tr	ain Nur	nber/Symbol	
Consist (single entry)	 Passenger Commuter 	train 5 r train 6	5. Single car 8	8. Light loo Maint /ii	co(s). nspect car		1		1. Yes	2. No 1 IAVLBX 25				3X 25	
29. Speed (recorded speed,			31a. Rem	otely C	ontrolle	ed Loco	motive?								
R - Recorded	1	_	a. ATCS	g. Automa	tic block	m.Special ins	structions	1.	0 = Not a remotely controlled						
E - Estimated 30	MPH	ĸ	b. Auto train	h. Current i. Time tab	of traffic le/train orders	o. Positive tr	ain contro	ol	1 = Rem 2 = Rem	ote cont ote cont	rol por rol tow	table ver			
30. Trailing Tons (gross	j.Track wa	rrant control	p. Other (Sp	ecify in n	arrative)	3 = Rem	ote con	trol							
excluding power units	, 7632		e. Traffic f. Interlockin	k. Direct ti I Yard limi	raffic control		de(s)		remote	tter - m control	ore that transmi	n one itter			
32. Principal Car/Unit	a. Initial a	and Num	iber b. Positi	on in Trai	n c. L	oaded(ves/no)	33 If railro	ad emplo	vee(s) test	ted for drug	r/alcoho	1 1150		0	
(1) First involved	SD A	512409			(yes/no)	enter t	he numbe	r that wer	e positive i	n	A ase,	lcohol	Drugs		
(derailed, struck, etc)	SPA	515408		3		yes	the app	propriate	box.				N/A	N/A	
(2) Causing (if mechanic cause reported)	ral	0		0		N/A	34. Was t	his consis	t transpor	ting passen	gers? (Υ/N)		N	
35. Locomotive Units	a. Head	N h Manu	Aid Train	Re d Manua	ear End	36. Cars	3		L a Freight	oaded	c Frei	Empty oht d	/ Pass	e Caboose	
(1) Total in Train	4	0. Manu		0	0	(1) Total	in Equipment	Consist	124	0	0.110	, and a	0	0	
(2) Total Derailed	0	0	0	0	0	(2) Total	Derailed			0			0	0	
37. Equipment Damage	0	0		0	0	(_)			23	0		'	0	0	
This Consist	\$666,367.00) 88.	. Track, Signal, Structure Dama	way, 1ge ₁	\$54,680.0	0 39. Prima Code	39. Primary Cause 40. Contributing Cause Code T207 Code N/4							N/A	
				Length of	f Time on Duty										
41. Engineer/ 42. F	teer/ 42. Firemen 43. Conductors 44. Brakeme					45. Engin		46. Conductor Hrs 0 Mi 35				Mi 35			
Casualties to: 47 Ra	0 ilroad Emplo	Wees 10	1 Train Dessence	0 Other	50 FOT	35	51 Was FOT Device Properly Armed?								
Eastal		40.	0	15 49.		- 1. Y	1	1. Yes 2. No 1							
						52. Cabo	?								
Nonfatal 0 0 0 1. Yes 2. No										N/A					
				0	PERAT	ING TRAIN	ſ #2								
53. Type of Equipment	1. Freight tra	in 4. train 5	. Work train 7	. Yard/swi	itching	A. Spec. MoV	V Equip. Co	de 54.	Was Equip	oment C	Code	55. Tra	ain Nun	iber/Symbol	
Consist (single entry)	ispect.car		N/.	A	1. Yes	2. No	N/A		N	'A					
56. Speed (recorded speed,	if available)	Code	58. Method(s)	of Operat	ion (e	nter code(s)	that apply)			58a. Rem	otely C	ontrolle	ed Loco	motive?	
R - Recorded E - Estimated N/A	MPH	N/A	a. ATCS b. Auto train	tic block of traffic	f traffic n. Other than main track					0 = Not a remotely controlled 1 = Remote control portable					

DEPARTMENT FEDERAL RAILF	OF TRAI ROAD AI	NSPORT OMINIST	ΓΑΤΙ(ΓRATI	ON ION	FRA FA	CTUAL	RAILR	OAD AC	CIDENT REP	ORT	F	RA File	# <u>HQ-200</u>	8-21		
57. Trailing Tons (gross tonnage, excluding power units) N/A					c. Auto train stop i. Time table/tr d. Cab j.Track warrant e. Traffic k. Direct traffic				b. Positive train contr b. Other (<i>Specify in r</i> Code(s)	ol narrative)	2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter					
50 Dringing Con/Unit				f.	Interlocking	1.Y	ard limits	1	N/A N/A N/A	N/A N/A						
59. Principal Car/Unit a. Initial and Nu			umber				ed(yes/no)	60. If railroad emp enter the numb	loyee(s) tes er that were	e positive in Alcohol Dru						
(derailed, struck, etc) N/A				N/A			J/A	the appropriate	box.	N/A			N/A			
(2) Causing (if mechanical cause reported) N/A				N/.	A]	N/A	61. Was this const	ist transport	ting passengers? (Y/N)						
62. Locomotive Units a. Head End b. Mar			Mid T anual	rain c. Remote	Rear 1. Manual	End c. Remote	63. Cars Lo a. Freight			aded b. Pass.	E c. Freigl	mpty nt d. Pass.	e. Caboose			
(1) Total in Train N/A N			N/A	N/A N/A		N/A	(1) Total in Equipment Consist N/A			N/A	N/A	N/A	N/A			
(2) Total Deraile	ed	N/A	N	/A	N/A	N/A	N/A	(2) Total D	erailed	N/A	N/A	N/A	N/A	N/A		
64. Equipment Dama	age	NT / A		65. Tra	ck, Signal, W	⁷ ay,	N/A	66. Primar Code	y Cause	NT / A	67. Contributing Cause					
		N/A Numbe	er of Cr	& St ew Me	ructure Dam	age	10/21	coue		N/A Length of	Time on D	Time on Duty				
68. Engineer/	69. Fire	men		70. Co	nductors	71. Brak	emen	72. Engin	eer/Operator		73. Con	ductor				
Operators N/	1	N/A			N/A	N/A		Hrs N/A Mi N/A			Hrs N/A Mi N/					
Casualties to:	74. Railro	oad Empl	oyees	75. Trai	n Passengers	76. Othe	76. Other		77. EOT Device? 1 Yes 2 No μ N/A			78. Was EOT Device Properly A				
Fatal		N/A			N/A	N	N/A		se Occupied by Crev	v?	1.	IN/A				
Nonfatal		N/A			N/A	1	N/A		1. Yes	2. No				N/A		
						OI	PERATIN	IG TRAIN	#3							
80. Type of Equipme Consist <i>(single en</i>	80. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s). 2. Commutativitie 2. Commutativitie 5. Single car 8. Light loco(s).								. Spec. MoW Equip. Code 81. Was Equipment Code 82. Train Number/Symbol Attended? 82. N/A 1. Yes 2. No N/A N/A							
83. Speed (recorded	speed, if a	vailable)	Code	e 85.	Method(s) of	Operation	(enter	r code(s) th	nat apply)		85a. Remo	otely Con	trolled Loco	motive?		
R - Recorded a. ATCS g. Automatic								olock n	n.Special instructions Other than main tra	ck	0 = Not a	remotely	controlled			
E - Estimated	N/A	MPH	N/A	b.	Auto train co	ontrol h. (stop i. T	Current of ti ime table/ti	affic "ain orders	. Positive train contr	ol	1 = Remo 2 = Remo	ote contro	l portable			
84. Trailing Tons (gross tonnage, 								t control I	o. Other (Specify in r	arrative)	3 = Remo	ote contro	1			
excluding powe	N/A		e. f	Traffic Interlocking	k. I 1 Y	Direct traffio ard limits	c control	Code(s)		transmit remote c	ter - more ontrol tra	e than one nsmitter	N/A			
96 Dringing Con/Un	:.	o Initial	and N		h Desitio	n in Tasia	a Lood	ad (1.6 1			1011		
(1) First involved	and IN	umber	D. POSILIO	n in Train	C. Load	ed(yes/no)	enter the numb	oyee(s) test er that were	positive in Alcohol			Drugs				
(derailed, struck, etc) N/A					N	A		N/A	the appropriate	box.	N/A			N/A		
(2) Causing (<i>if mechanical</i> <i>cause reported</i>) N/A					N	A]	N/A	88. Was this const	ist transport	ing passen	gers? (Y/	N)	N/A		
89. Locomotive Uni	its	a. Head		Mid T	rain	Rear	End	90. Cars			baded		mpty			
(1) Total in Train	n	End N/A	b. Ma	anual I/A	c. Remote of N/A	1. Manual N/A	c. Remote N/A	(1) Total in	Equipment Consist	a. Freight	b. Pass.	c. Freigh	nt d. Pass.	e. Caboose N/A		
(2) Total Deraile	ed	N/A	N	/A	N/A	N/A	N/A	(2) Total E	erailed	N/A	N/A	N/A	N/A	N/A		
91. Equipment Damage 9					. Track, Signal, Way,			93. Primar	y Cause Code	N/A	94. Contributing Cause					
	r of Cr	ew Me	mbers	ige	IN/A	Length of Time on Duty										
95. Engineer/	96. Fire	men		97. C	97. Conductors 98. Brakemen				eer/Operator	0	100. Coi	nductor				
Operators N/A		N/A			N/A	N/A N/A		Hrs N/A Mi N/A Hrs N/A						Mi N/A		
Casualties to: 101. Railroad Employees				102.	Train	103. Other		104. EOT			105. Wa	s EOT De	vice Proper	ly		
Fatal		N/A			N/A N		I/A	1. Y	Yes 2. No N/A 1. Yes 2. No N/							
Nonfatal N/A					N/A N/A			1. Yes 2. No N/A								
Highway User Involved									Rail Equipment Involved							
107. C. Truck 1	Frailer -	D		04	Motor V-1.	10	Code	111. Equip	oment	(ata: P	6 Light	Loco(s)	(Code		
A. Auto D. Pick-Up Truck G. School Bus K. Pedestrian							N/A	1.Train(units pulling) 4.Car(s) (moving) 7.Light(s) (standing) 2.Train(units pulling) 5.Car(s) (moving) 8.Other (standing) N/A								
108. Vehicle Speed		109.		geographic	al)	Code	112. Position of Car Unit in									
(est. MPH at impact) N/A 1.North 2.South 3.East 4.West N/A									N/A							

DEPARTMENT OF TRANSPORTATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-21 FEDERAL RAILROAD ADMINISTRATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2008-21												-21		
110. Position Code 113. Circumstance													Code	
1.Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing 1. Rail Equipment Struck Highway User 4. Trapped N/A													N/A	
114a. Was the highway user and/or rail equipment involved Code 114b. Was there a hazardous materials release												Code		
in the impact transporting hazardous materials?											N/A			
1. Highway User 2. Rail Equipment 3. Both 4. Neither												1		
114c. State here the name and quantity of the hazardous materials released, if any.														
115. Type 1.Gates 4 Wig Wags 7 Crossbucks 10 Flagged by crew 116 Signaled Crossing Code 117 Whistle Ban												Code		
Crossing 2.Cantilever FLS 5.Hwy. traffic signals 8.Stop signs 10.Ingged by crow 110. Signaled crossing Code 111. While Bail Warning 3.Standard FLS 6.Audible 9.Watchman 12.None 2. No														
Code(s)	N/A	A N/A N/A N/A N/A N/A N/A A N/A A A A A								3. Unknown	N/A			
I I I I 118. Location of Warning Code 119. Crossing Warning Code 1 Both Sides with Highway Signals Lights or Special Lights												Code		
2. Side of Vehicle Approach 1. Yes 1. Yes														
3. Opposite Side of Vehicle Approach N/A 2. No									N/A	N/A 2. No 3. Unknown				N/A
121.	122. Driver's	Gender	Code	123.	Driver Drov	ve Behind o	or in Front of	Code	124. Driv	er				Code
Age	1. Male				and Struck o	r was Struc	k by Second	Frain	1. Drov	e around c	r thru th	ne Gate	4. Stopped on Crossing	
N/A	N/A 2. Female N/A 1. Yes 2. No 3. Unknown 2. Stopped and then Proceeded 5. Other (specify in narrative)										5. Other (specify in narrative)	N/A		
125. Driver Pa	ssed	Cod	e 12	6. Viev	w of Track C	bscured by	(primary ob	struction)						Code
Highway V	ehicle			1. Pe	ermanent Str	ucture	Passi	ng Train 5. '	Vegetation	7. O	ther (specify in r	uarrative)	1
1. Yes 2. No	3. Unknown	N/.	A	2. St	tanding Railı	oad Equipr	ment 4. Topo	graphy 6. l	Highway Veh	icle 8. N	ot obstru	ucted		N/A
Casualties to: Killed Injured I 27. Driver Code 128. Was Driver in the Vehicle?									e Vehicle?	Code N/A				
129. Highway-Rail Crossing Users N/A N/A							130. Highway Vehicle Property Damage 131. Total Number of Highway-F (set dollar dmaga) N/A					f Highway-Rail Crossin	g Users	
132. Locomotive Auxiliary Lights? Code 133. Locomotive Auxiliary Lights Operational?										Code				
1. Yes 2. No N/A 1.								Yes 2. No						
134. Locomotive Headlight Illuminated? Code 135. Locomotive Audible Warning Sounded?												Code		
1. Yes 2. No N/A 1. Yes 2. No											N/A			

136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



137. SYNOPSIS OF THE ACCIDENT

On February 26, 2008, at 11:50 p.m. CST westbound Union Pacific (UP) Freight Train, # IAVLBX-25 derailed at UP Milepost 609.30 on the UP Sanderson Subdivision of the San Antonio Service Unit. This is a rural area located immediately west of Alpine, TX. Twenty-five cars of the 124 car train derailed. Two hazardous cars were included in the derailed cars however there was no release of product. There was a precautionary evacuation ordered by fire officials affecting six people. There were no injuries reported. The 64th thru the 88th loaded head cars behind the four locomotives derailed.

At the time of the accident it was dark and clear. The temperature was 19 degrees F.

The damages were listed at \$ 721,047 (\$ 666,367 to equipment and \$ 54,680 to track)

PROBABLE CAUSE

The cause of the derailment was due to a broken rail – a detail fracture from shelling or head check, FRA cause code T207.

138. NARRATIVE

CIRCUMSTANCES PRIOR TO THE ACCIDENT:

The crew of UP Freight Train # IAVLBX-25 included a locomotive engineer and a conductor. The crew went on duty at 11:15 p.m. CST on February 26, 2008 at Alpine Texas. The train was scheduled to travel from Alpine to El Paso. El Paso is the home terminal for both crew members. Both crew members had received more than the required statutory off duty rest period prior to reporting for duty.

The train consisted of four locomotives, 124 platform cars. It was 7,632 gross tons and 7,636 feet long and was scheduled to travel from Avondale, LA to Long Beach, CA. The train received a Train Air Brake test at Avondale, LA at 8:20 p.m. on February 24, 2008 by qualified mechanical inspectors. No exceptions were noted as a result of the inspection. The train's End of Train Device (EOTD identified as Number UPRQ 061 808) was also inspected at Avondale at 6:45 p.m. on February 24, 2008.

As the Westbound train approached the accident area, the locomotive engineer was seated at the controls on the north side of the leading locomotive. The conductor was seated on the south side of the leading locomotive.

The grade of the railroad at the point of derailment is a 1.00 percent ascending grade as you approach this area from the east. The track is in a 3-degree 37- minute curve to the left.

The railroad time table direction of the train was west. The geographic direction of the train was southwest. The Timetable directions will be used throughout the report.

As indicated by Union Pacific RR Co. San Antonio Area Timetable No.3, the method of operation at milepost 609.3 of the Sanderson Subdivision was Centralized Traffic Control (CTC). The weather was reported as dark and clear. The temperature was 19 degrees F.

THE ACCIDENT:

The crew proceeded westward from the crew change point in Alpine, Texas and gradually increased the speed of the train to 30 mph. The speed was recorded by the event recorder of the lead locomotive. The maximum authorized speed for this segment of track is 50 mph governed by the Sanderson Subdivision General Order No. 2. There were no temporary slow orders in effect for this segment of track.

The engineer commented that the train handled smoothly, having worked the throttle to position eight, when he felt a tug on the train. The engineer then went to throttle position five and the train went into an emergency brake application. When the air would not build up on the rear of the train, the conductor began to walk back to inspect the train. He discovered the derailed cars. He continued to walk back toward the rear of the train and found 25 additional cars derailed, lines 64 through 88 of the train consist list. ANALYSIS:

The train was equipped with a speed indicator and an event recorder as required. The relevant event recorder data was downloaded and analyzed by the UP Manager Road Operations at the accident site. The train crew was administered a Post Accident Toxicology Test. A broken rail was found at the site which was determined to be the result of a detail fracture. The rail which was manufactured in 1986 had originally been laid on the high side of the curve at milepost 609.3. In 2004 that rail was transposed to the low side of the same curve. The rail, which had previously been surface ground in July of 2007, showed considerable evidence of head wear and shelling on the rail surface. On February 12, 2008 the rail in the area of the derailment had been tested by an ultra-sonic rail detector car with no internal defects noted.

ANALYSIS:

FRA obtained fatigue related information, for the 10-day period preceding this incident including the 10-day work history (on duty/off duty cycles) for all of the employees involved.

CONCLUSION:

Upon analysis of that information FRA concluded fatigue was not probable for any of the employees.

CONCLUSIONS:

Toxicology Tests for both crew members revealed negative test results. Track geometry measurements were within FRA Standards. The locomotive engineer was in compliance with all applicable railroad operating and train handling requirements, which was confirmed by the relevant event recorder data. Rail flaw detector reports showed three internal defects had been found in the low rail of this curve in 2007. The service failure report shows three broken rails occurring in this same curve, also in the low rail, on November 5, 2007, December 16, 2007, and February 10, 2008. Based on the rail found at the point of derailment and the defect history of the rail in this curve, the cause of the derailment was determined to be a broken rail - detail fracture.

PROBABLE CAUSE:

The cause of the derailment was due to a broken rail-detail fracture from shelling or head check, FRA cause code T207.