

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2008-76

Norfolk Southern (NS) McIntosh, AL September 30, 2008

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

FEDERAL RAILE					FRA FA	ACTUA	L RAI	LRC	OAD AC	CCID	ENT l	REPORT	Γ	I	FRA Fi	ile#	HQ-200	8-76	
1.Name of Railroad (Operating	Train #1						1a. A	Alphabetic	Code			1b.	Railroad A	cciden	t/Inci	dent No.		
Norfolk Southern Corp. [NS]									NS						034399				
2.Name of Railroad C N/A		N/A						b. Railroad Accident/Incident No. N/A											
3.Name of Railroad (N/A		3a. Alphabetic Code N/A						b. Railroad Accident/Incident No. N/A											
4.Name of Railroad I Norfolk Southern (4a. Alphabetic Code NS					4b. 1	o. Railroad Accident/Incident No. 034399											
5. U.S. DOT_AAR Grade Crossing Identification Number 727760C														Time of Accident/Incident 03:30: AM V PM					
8. Type of Accident/I	ndicent	1. Deraili	nent		4. Side c	ollision		7. H	Hwy-rail ci	rossing	10	. Explosion-	deton	ation 13.	Other			<u> </u>	ode
(single entry in cod		2. Head of 3. Rear er			`	g collision n Train co			RR grade c Obstruction	_	sing 11. Fire/violent rupt 12. Other impacts			ure	(desc.			ı	07
9. Cars Carrying HAZMAT		10. HAZI Damaged	MAT Ca	ars		11. 0	Cars Rele				12. People Evacuated				13. Div	ision			
	0				0	15. Mile			0				1	0			Alabama	ı	
14. Nearest City/Tow		IcIntosh					earest tei	nth) 12.5	/		Code AL	17	17. County WASHINGTON						
18. Temperature (F)		19. Visib	ility	(sing	le entry)	Code	20. W	eather	(single	entry)		Code		21. Typ	e of Tra	ack		(Code
(specify if minus) 1. Dawn 3.Dusk 2. Day 4.Dark						2		Clear Cloud	Clear 3. Rain Cloudy 4. Fog		5.Sleet 6.Snow 1			1. Main 3. S 2. Yard 4. I			Industry		1
22. Track Name/Nu	mber		single	main		23. FRA Clas	Track s (1-9, X		(I. Annual Track Density (gross tons in			25. Time Table 1. North			. East		Code
			single	1114111			OPER	ATIN	4 IG TRAI		llions)	3.8	3		2. Sout	h 4.	West		1
26. Type of Equipme	ent 1	Freight tra	in 4	4 Wo	rk train 7.	Yard/swi			Spec. MoV		Code	27. Was	Equip	ment (Code	28	Train Nur	nber/9	Symbol
Consist (single er	ntry) 2.	. Passenger	train 5	5. Sin	gle car 8.	Light loc	o(s).		эрсс. 1110 т	, Equi		Atten	ided?	1		20.			3,111001
					of cars 9.						1	1.	Yes	2. No	1		182A		
 Speed (recorded) R - Recorded 	speed, if	available)	Code		Method(s)	-			code(s) t	-	<i>ply)</i> ial instrı	actions		31a. Rem				motiv	ve?
E - Estimated	30	MPH	R		ATCS Auto train		. Automa . Current		OCK	•		ain track		0 = Not a 1 = Remo		-			
					Auto trair	ı stop i.	Time tal	ble/tra	in orders					2 = Remo			ower		
30. Trailing Tons excluding powe				e.	Cab Traffic	k. Direct traffic control Code(s)					3 = Remote control transmitter - more than one remote control transmitter								
		3481			Interlocking		Yard lim			j	N/A N								0
32. Principal Car/Uni (1) First involved	t	a. Initial a	and Nun	nber	b. Positio	on in Train	c. L	Loaded	(yes/no)	4		employee(s number that		_		ol use	Alcohol		rugs
(derailed, struck, e	etc)	N	S6557			1		N/.	'A	1		priate box.		•			N/A		N/A
(2) Causing (if med cause reported	chanicai	l	0			0		N/A	A	34.	Was this	consist tran	sporti	ing passen	gers? (Y/N)			N
35. Locomotive Uni	ts	a. Head End	b. Man	Mid T ual ₁	rain c. Remote	Rear End d. Manual c. Remo			36. Cars a. F			a. Fr	Loaded reight b. Pass. c. Fre			Emp ight	pty d. Pass.	e. C	aboose
(1) Total in Train	(1) Total in Train 3 0 0				0	0	0		(1) Total i	n Equi	pment C	onsist	19	0	3-	4	0		0
(2) Total Deraile		0	0		0	0	0		(2) Total I	Deraile	d		0	0	()	0		0
37. Equipment Dama This Consist	age '	\$0.00			ck, Signal, V cture Dama		\$0.00		39. Prima	ry Caus	se I	M303		40. Cont	ributing	g Cau		N/A	
	<u> </u>	Number				-							th of	Time on D	Outy		1 '	. N/ /A	
41. Engineer/	42. Fir	emen	4	3. Co	nductors	44. Bra	kemen		45. Engin	eer/Op	erator			46. Con	ductor				
Operators 1		0			1	()			Hrs	3	Mi 6			Н	Irs	3	Mi	6
Casualties to:	47. Railı	road Emplo	yees 48	3. Trai	n Passenger	s 49. C	Other		50. EOT I								Properly	Arm	
Fatal		0			0		0	-	1. Yes 2. No 1 52. Caboose Occupied by Crew?					1. Yes 2. No 1					
Nonfatal		0			0		0			1. Y			. No					1	N/A
								ING	TRAIN	#2									
53. Type of Equipme Consist (single en	atry) 2.	Freight tra Passenger	train 5	5. Sing	gle car 8.	Yard/swit Light loce	o(s).		pec. MoW	Equip		Atten	ded?		ode	55.	Гrain Nun		Symbol
		Commuter		_		Maint./ins	•				N/A	1. 3	Yes	2.110	N/A		N/		
56. Speed (recorded	speed, if	available)	Code	ı	Method(s) of ATCS	•	on $(\epsilon$. Automa		code(s) to	-		actions		58a. Rem	-			motiv	ve?
R - Recorded E - Estimated	N/A	MPH	N/A		Auto train	_				•	ial instru r than m	ain track		0 = Not a 1 = Rem					

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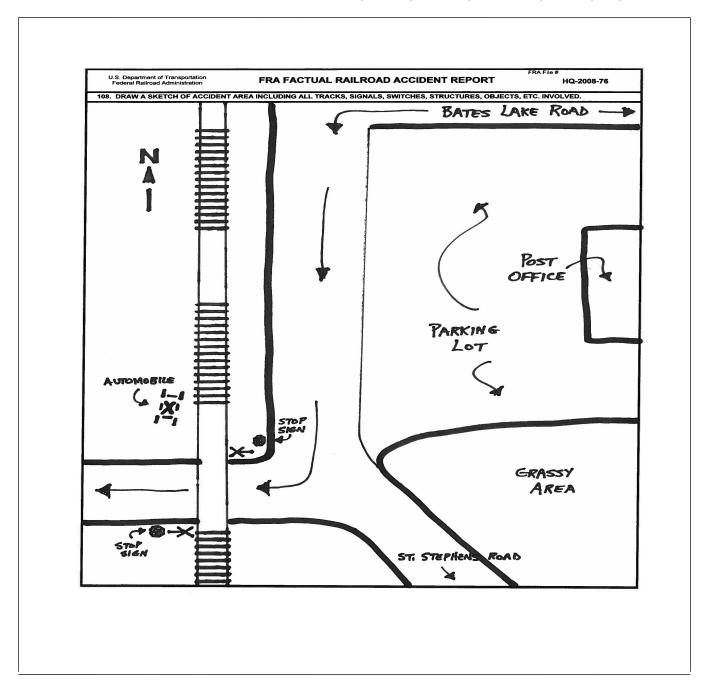
FEDERAL RAILR					FRA F	ACTUAI	RAILR	OAD AC	CIDENT RE	PORT	F	RA File #	HQ-200	<u>8-76</u>
57. Trailing Tons (groes) excluding power		nge, N/A		d. e.	Auto train Cab Traffic Interlocking	j.T k.	Γime table/tr rack warran Direct traffic rard limits	t control p	. Positive train con . Other (Specify i. Code(s)	n narrative)	3 = Remo transmit	te control to te control ter - more to ontrol trans	han one	N/A
59. Principal Car/Uni	t	a. Initial	and N	lumber	b. Posit	ion in Train	c. Load	ed(yes/no)	60. If railroad er	nployee(s) tes	ted for dru	g/alcohol u	se,	
(1) First involved (derailed, struck,	etc)		N/A		N	Ī/A	N	J/A	enter the nur the appropri	nber that were ate box.	e positive in Alcohol N/A			Drugs N/A
(2) Causing (if medicause reported		ul	N/A		N	I/A	1	N/A	61. Was this co	nsist transpor	ting passen	g passengers? (Y/N)		
62. Locomotive Unit	ts	a. Head End	b. Ma	Mid Ti		ain Rear I		63. Cars			Loaded Endanger Loaded a. Freight b. Pass. c. Freight			e. Caboose
(1) Total in Train		N/A	1	N/A	N/A	N/A	N/A	(1) Total in	Equipment Consi	N/A	N/A	N/A	N/A	
(2) Total Derailed		N/A	N	I/A	N/A	N/A	N/A	(2) Total D	erailed	N/A	N/A	N/A	N/A	
64. Equipment Dama	ige			65. Trac	k, Signal,	Way,		66. Primar	y Cause			ributing Ca	use	
This Consist		N/A			ructure Dai	nage	N/A	Code		N/A	Code			N/A
			r of C	rew Mei		171 D 1				Length of	Time on D			
68. Engineer/ Operators N/							_	eer/Operator Hrs N/A	Mi N/A	73. Con	Hrs	N/A	Mi _{N/A}	
Casualties to:	74. Rail	road Emplo	oyees '	75. Trai	n Passenge	rs 76. Oth	er	77. EOT D	evice?		78. Was	EOT Devic	e Properly	Armed?
Fatal					N/A		N/A	1. Yes 2. No		N/A	1.	1. Yes		N/A
		1071			1 1/2 1			79. Caboo	se Occupied by Ci	ew?	1			
Nonfatal		N/A			N/A		N/A		1. Yes	2. No				N/A
						0	PERATIN	G TRAIN	#3					
80. Type of Equipment Consist (single end	try) 2.	Freight tra Passenger Commuter	train	-	le car 8.	Yard/switc Light loco(Maint./insp	(s).	Spec. MoW	Equip. Code 81	. Was Equip Attended? 1. Yes	Lx	ode 82.	Train Nun N/A	nber/Symbol
83. Speed (recorded R - Recorded E - Estimated 84. Trailing Tons (excluding power	N/A gross to	МРН	N/A	a. A b. c. d. e.	ATCS Auto train Auto train Cab Traffic	control h. n stop i. 7 j.T k.	Automatic b	raffic n rain orders of t control p	Special instruction. Other than main special Positive train conduction. Other (Specify in Code(s))	rack atrol a narrative)	0 = Not a 1 = Remo 2 = Remo 3 = Remo transmit	remotely control particles on the control to the control to the control terror more to control trans	ontrolled portable ower han one	N/A
		_			,					N/A N/A				14/21
86. Principal Car/Uni	t	a. Initial	and N	lumber	b. Positi	ion in Train	c. Load	ed(yes/no)	87. If railroad em	ployee(s) test nber that wer	_			Denicos
(1) First involved (derailed, struck, etc) N/A				1	N/A		N/A	the appropri		e positive i	"	Alcohol N/A	Drugs N/A	
(2) Causing (if med	chanica	ul	N/A		1	N/A]	N/A			ting passengers? (Y/N) N/A			
89. Locomotive Unit		a. Head End	h M	Mid Ti		Rea	r End	90. Cars		aded b. Pass.	Em	pty	e. Caboose	
(1) Total in Train	ı	N/A		N/A	N/A	N/A	N/A	(1) Total in	Equipment Consi	a. Freight	N/A	N/A	N/A	N/A
(2) Total Deraile	d	N/A	N	I/A	N/A	N/A	N/A	(2) Total D	erailed	N/A	N/A	N/A	N/A	N/A
91. Equipment Dama This Consist	ige	N/A			ck, Signal, ucture Dan		N/A	93. Primary	Cause Code	N/A	94. Control Code Time on D	ributing Ca	use	N/A
05 E : /	06 E		r or C		onductors	98. Bral	zaman	00 Engine	eer/Operator	Length of				
95. Engineer/ Operators N/A	96. Fii	N/A			N/A		N/A	"	•	Mi N/A	100. Cor	Hrs	N/A	Mi N/A
Casualties to:	101. Ra	ilroad Emp	loyees	102. 7	Train .	103. Ot	her	104. EOT			105. Was	EOT Dev	ice Proper	ly
Fatal		N/A			N/A	1	N/A	1. Y 106. Cabo	1.	Yes	2. No	N/A		
Nonfatal		N/A			N/A		N/A	100. 0400	1. Yes	2. No				N/A
		Highw	ay Us	er Invo	lved				Ra	l Equipmen	t Involved	1		
107. C. Truck-T A. Auto D. Pick-Up	railer.	F. Bus			Motor Veh	icle	Code	111. Equipment 3.Train (standing) 1.Train(units pulling) 4.Car(s) (moving) 7.Light(s) (standing) Code 7.Light(s) (standing)						
B. Truck E. Van		H. Motorcy				narrative)	A		ts putting) 4.Car ts pushing) 5.Car			') (standing (specify in		1
108. Vehicle Speed			109.		geograph		Code		on of Car Unit in	. 87				
(est MPH at im	mact)	0	1 Not	rth 2.So	uth 3 Fast	,	4				1			

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110. Position							Code	113. Circu						Code	
1.Stalled of 4. Trapped	n Crossing 2.S	topped o	n Cross	sing 3.Mo	oving Ove	er Crossing	3				t Highway User t by Highway Us	er		1	
	e highway user				olved		Code	114b. W	as the	ere a hazar	dous materials re	lease		Code	
in the impact transporting hazardous materials? 1. Highway User 2. Rail Equipment 3. Both 4. Neither 1. Highway User 2. Rail Equipment 3. Both 4. Neither												4			
	ere the name an					ls released	, if any.	1						1	
115. Type 1. Gates 4.Wig Wags 7. Crossbucks 10. Flagged by crew 116. Signaled Crossing Code 117. Whistle Ban Crossing 2. Cantilever FLS 5. Hwy. traffic signals 8. Stop signs 11. Other (spec. in narr.) (See instructions for codes) 1. Yes Warning 3. Standard FLS 6. Audible 9. Watchman 12. None												Code			
Code(s)	07	08	N/	A	N/A	N/A	N/A	N/A				3. Unknown	2		
118. Location of Warning Code 119. Crossing Warning Code 120. Crossing Illuminated by Street Lights or Special Lights or											•	Code			
3. Opposite Side of Vehicle Approach							2. No 3. Unknown			2	2. No 3. Unki	2. No 3. Unknown			
121. Age 54	122. Driver's 1. Male 2. Female		Struck or	e Behind or in Front of Code was Struck by Second Train 2. No 3. Unknown 2				1. Driver 1. Drove around or thru the Gate 2. Stopped and then Proceeded 3. Did not Stop 4. Stopped on Crossing 5. Other (specify in narrative)							
125. Driver Pa Highway V		Code	e 126		f Track O anent Stru		(primary ob 3. Passi	struction) ng Train 5.	Vege	etation		specify in r	narrative)	Code	
1. Yes 2. No	3. Unknown	2		2. Stand	ling Railr		nent 4. Topo	graphy 6.	High					8 Code	
Casualties to: Killed Injured							127. Driver1. Killed 2.Injured 3. Uninjured			Code		128. Was Driver in the Vehicle? 1. Yes 2. No			
129. Highway-Rail Crossing Users 2 1							130. Highway Vehicle Property Dar (est. dollar damage)			nage 5000 131. Total Number of Highway-Rail Crossin; (include driver) 3					
132. Locomot	ive Auxiliary L	ights?		•			Code	133. Loco	motiv	e Auxiliar	y Lights Operation	onal?		Code	
1. Y	es	2. 1	No				1 1. Yes 2. No			2. No	No				
134. Locomot	ive Headlight I	lluminate	d?				Code	135. Loco	motiv	e Audible	Warning Sounde	ed?		Code	
1. Y	es	2. 1	No				1	1.	Yes		2. No			1 1	

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136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.



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137. SYNOPSIS OF THE ACCIDENT

A northbound Norfolk Southern (NS) freight train collided with an automobile at a highway-rail grade crossing on September 30, 2008, at 3.30 p.m. central standard time (CST). The accident occurred at Malcolm, Alabama (AL), at NS Milepost (MP) 112.5 with the nearest station at McIntosh, AL, NS MP 106.0, on the 3-B South District of the Alabama Division.

The motor vehicle driver and one passenger were fatally injured, and another passenger seriously injured. The automobile was completely destroyed. There were no injuries to the train crew, no damage to the locomotives, no derailment, and no release of hazardous materials. This is not an Amtrak route.

At the time of the accident, it was daylight, sunny and clear with a temperature of 88 °F.

The accident occurred because the driver of the automobile failed to stop at the highway-rail grade crossing, as required by the Code of Alabama, Section 32-5A-150, which states in part, "(a) Whenever any person driving a vehicle approaches a railroad grade crossing ..., the driver of such vehicle shall stop within 50 feet but not less than 15 feet from the nearest rail of such railroad, and shall not proceed until safe to do so."

138. NARRATIVE

CIRCUMSTANCES PRIOR TO THE ACCIDENT

The crew of NS Train 182A4-30 included a locomotive engineer and a conductor. They went on duty at 12:30 p.m. CST, September 30, 2008, at the NS train yard in Mobile, AL. This is the away terminal and both received the statutory off duty period prior to reporting for duty.

The NS assigned freight train consisted of three locomotives, 19 loaded and 34 empty rail cars of several varieties. It was 3,400 feet long and weighted 3,481 tons. The train was scheduled to travel to Birmingham, AL, with a crew change at Selma, AL. The train received a Class I train air brake test and departed Mobile, AL, at 1:14 p.m.

After the northbound train departed Mobile, the trip was uneventful. As the train approached the accident area, the locomotive engineer was seated at the operating control stand on the left (west) side of the leading locomotive and the conductor was seated on the right (east) side. In this area of the railroad, the track is tangent for a considerable distance from the south before entering into a 1 degree curve to the right for about 800 feet. It is followed by a tangent of 400 feet to the point of the accident and for some distance beyond. The grade is practically level throughout. There is a whistle board 1,285 feet before the crossing where the track enters into the 1 degree right hand curve. The train crew stated the horn was being sounded when the train neared the board and this was verified by witnesses.

The railroad timetable direction of the train is north and the geographic direction is the same. Timetable directions are used throughout this report.

THE ACCIDENT

NORTHWARD NS TRAIN # 182A4-30

The train was operated at 30 miles per hour (mph) approaching the accident area. The train crew's view of

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the crossing was unobstructed for 400 plus feet, with the conductor having the best view of the entire crossing. The locomotive engineer's view of the crossing was partially blocked by the control stand and hood of the locomotive. The conductor saw the motor vehicle approach and stop on the crossing just seconds prior to impact. He shouted to the locomotive engineer to "put it into emergency, there's a car on the crossing". The locomotive engineer initiated an emergency train air brake application at the same time the collision occurred. The train's speed at the time of collision was 30 mph as recorded by the event recorder on the leading locomotive. The maximum authorized speed for this train was 49 mph, as designated in the current Norfolk Southern Alabama Division Timetable 1, dated August 4, 2008.

HIGHWAY VEHICLE

The automobile, a Kia Sephia, was traveling east to west on Bates Lake Road. According to the conductor, the vehicle, a four door sedan, was driven onto the crossing and stopped with the front of the vehicle on the tracks. Then at the last moment, the driver attempted to pull across when the locomotive struck the left side about midpoint of the automobile. The vehicle was thrown to the west side of the tracks and rolled several times, landing 70 feet from the crossing. The train came to a stop 629 feet after the emergency application of the train air brakes occurred, as recorded on the lead locomotive's event recorder.

After the train stopped, the locomotive engineer stayed on the locomotive to establish radio communication with the train dispatcher. The conductor walked back to the automobile to await arrival of emergency response personnel. A 911 call was made by a witness at the scene to the Washington County 911 Center, which received the call at 3:37:15 p.m. The 911 Center advised the McIntosh Fire Department at 3:38:22 p.m., the Washington County Sheriff Department at 3:38:37 p.m., and the McIntosh Police Department at 3:44:25 p.m., the McIntosh Rescue team arrived at 3:45:32 p.m., and the Fairford Fire Department at 3:51:07 p.m.

A Washington County Deputy Sheriff interviewed the NS train crew and witnesses at the scene and ascertained that the driver of the automobile failed to stop short of the crossing and then stopped on the crossing when she became aware that the train was approaching. She then attempted to continue across, directly in the path of the oncoming NS train.

There were three persons in the automobile; the driver, a passenger in the front seat, and another passenger in the rear seat. The driver and passenger in the front seat were both ejected from the vehicle after the collision, the passenger in the rear seat remained inside. Apparently, the driver and passenger in the front seat were not wearing seat belts, but the passenger in the rear seat was buckled in. The driver and front passenger were pronounced dead at the scene and the rear passenger was transported by life-flight helicopter to a hospital in Mobile. AL. in serious condition.

ANALYSIS AND CONCLUSION

ANALYSIS-HIGHWAY/RAIL GRADE CROSSING

Highway-rail grade crossing DOT No. 727 760 C is equipped with railroad crossing signs (cross-bucks) and stop signs. There are no active warning devices or advance railroad crossing pavement markings. From the east, Bates Lake Road approaches the railroad right-of-way straight on, then makes a left 90 degree turn to the south paralleling the tracks for 120 feet, then makes a right 90 degree turn to the west over the crossing and straight on for approximately 1/4 mile to Highway 43. The cross-bucks and stop sign, on the east side of the crossing, are positioned with the cross-bucks parallel with the tracks and 25 feet from the center of the crossing. The stop sign faces northward towards the traffic flow coming from the east on Bates Lake Road and is positioned next to the cross-bucks.

Adjacent to the railroad right-of-way, on the east side, is the U.S. Post Office for Malcolm, AL. The building sits back approximately 100 feet (facing west) with its parking lot in front spanning 120 feet across and parallel with the tracks. When exiting the post office parking lot to the west to cross the tracks, the stop sign for the crossing is not facing directly at the vehicles, but faces northward as explained above. The crossbucks are facing the oncoming traffic straight on. The cross-bucks and stop sign, on the west side of the right of-way, do face the oncoming traffic.

The lead locomotives, NS 6557, EMD SD 60, were equipped with headlights, auxiliary lights, and audible

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warning devices required by Federal Regulations. These devices were tested at Birmingham, AL, on October 1, 2008, with no exceptions taken by railroad personnel, as verified by records inspection performed by a Federal Railroad Administration (FRA) Motive Power and Equipment (MP&E) inspector.

Lead locomotive NS 6557 is equipped with a bi-directional control stand which is situated on the left side of the locomotive cab. This configuration put the locomotive engineer on the left side of the locomotive instead of the conventional right side and the control stand and hood would partially block the view of the right side. Also, it is equipped with a speed indicator and an event recorder as required. The relevant event recorder data was downloaded by the trainmaster at the accident site and analyzed at the NS yard office at Mobile, AL. The data analysis disclosed the locomotive engineer was in compliance with all applicable railroad operating rules and train handling requirements. FRA reviewed the results of this analysis and concurred with the results.

ANALYSIS - TOCICOLOGICAL TESTS

No toxicological tests were performed on the train crew as the collision between the train and motor vehicle is not an event for which testing is required.

ANALYSIS- FATIGUE

FRA uses an overall effectiveness rate of 77.5 percent as the baseline for fatigue analysis, which is equivalent to blood alcohol content (BAC) of 0.05. Software sleep settings vary according to information obtained from each employee. If an employee does not provide sleep information, FRA uses the default software settings.

CONCLUSION

FRA obtained fatigue related information, including a 10-day work history, for the employees involved in this accident.

Conclusion

The railroad was in full compliance with their rules as well as all applicable Federal Standards. The train crew or witnesses had no information that could be used to determine why the automobile failed to stop at the crossing.

PROBABLE CAUSE

The accident occurred because the driver of the automobile failed to stop at the highway-rail grade crossing, as required by the Code of Alabama, Section 32-5A-150, which states in part, "(a) Whenever any person driving a vehicle approaches a railroad grade crossing ..., the driver of such vehicle shall stop within 50 feet but not less than 15 feet from the nearest rail of such railroad, and shall not proceed until safe to do so."

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