

Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2007-15

Burlington Northern Santa Fe (BNSF) Olden, Missouri April 7, 2007

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF FEDERAL RAILR	OF TRA	ANSPORT DMINIST	ATIO RATIO	N ON	FRA FA	ACTUA	L RA	ILR	OAD A	CCIE	DENT R	EPORT		I	FRA Fil	le #]	HQ-200	7-15	
1.Name of Railroad Operating Train #1									1a. Alphabetic Code					1b. Railroad Accident/Incident No.					
BNSF Rwy Co. [BN 2 Name of Railroad O	NSF]	Train #2						2.2	Alphabetic	BNSF			SF0407103						
N/A								2a.	1 mphaoette	N/A			N/A N/A						
3.Name of Railroad C N/A	Operating	; Train #3						3a.	Alphabetic	c Code N/A			3b. F	b. Railroad Accident/Incident No.					
4.Name of Railroad R BNSE Rwy Co. [BN	4a.	Alphabetic	c Code BNSE			4b. F	b. Railroad Accident/Incident No.												
5. U.S. DOT_AAR G	rade Cro	ssing Ident	ificatio	n Nun	nber			6. E	Date of Acc	cident/I	ncident		7. T	Time of Accident/Incident					
								Mo	nth 04	Day	y 07 Ye	ar 2007		02:00	:00	\checkmark	AM	PM	
8. Type of Accident/In	ndicent	1. Derailr	nent		4. Side co	ollision		7.	7. Hwy-rail crossing 10. Explosion-detonation 13. Other 8. PP grade approximately 11. Einstein and the state state state of the state states								Code		
(single entry in cod	le box)	2. Head o	n collis	sion	5. Raking	g collisior	1	8.	RR grade	crossin	g 11.F	Fire/violent	rupti	ure	narrat	ibe in ive)	l	01	
9 Cars Carrying		3. Rear er	sion	6. Broker	n Train co	ollision	9. ·	Obstructio	on I	12.0	Other impa	cts		10 D			01		
HAZMAT		10. HAZM Damaged		11. HA2	Cars Rel ZMAT	easing	g		12. People Evacuated				13. Div	ISION					
0 0							enost		0					0			pringfiel	d	
14. Nearest City/Town	n	Olden				(to 1	nearest te 3	enth) 300.8	1) 8		5. State Abbr Code N/A MO		17. County			WEL	L		
18 Temperature (F)		19 Visih	ility	(sing	le entry)	Code	20 W	/eather (single)		entry)	entry) C-		-	21 Type of Track				Code	
(specify if minus)		1, I	Dawn	3.D	usk		1	. Clea	Clear 3. Rain		1 5.Sleet		1. M		Iain 3. Siding		g		
21	F	2. I	Day	4.D	Dark	4	2	. Clou	Cloudy 4. Fog		6.Snow 1		2. Ya		ard 4. Industry		try	1	
22. Track Name/Nur	mber					23. FRA	A Track	. (Code 24. Annual Tra		nual Track	Density		25. Tim	e Table	Direc	Direction Co		
			Single	Main		Clas	Class (1-9, X) 3				(gross tons in millions) 80.65				1. North 2 South	1 3. 1 4	East	2	
							OPER	ATI	NG TRA	IN #1	,				2. 5044	1 1.			
26 Tune of Fourieme	nt 1	Encicht tro		4 W.	ult tuoin 7	Vand/arri	UI LK		Smaa Mal	W East	n Codo	27 Was F	Janin	ment (lada	<u> 20 т</u>	unin Num	ah au/Crumah al	
20. Type of Equipme Consist (single en	in 1. (trv) 2	Passenger	train	4. wc 5 Sin	orkutani 7. Iole.car 8	Light loc	ro(s)	A.	spec. Mov	w Equi	p. Code	Attend	ded?	ment (lode	20. 1	rain Nui	iber/Symbol	
Consist (single en	3.	. Commuter	train	6. Cu	t of cars 9.	Maint./ir	ispect.ca	r			1	1. Y	es	S 2. No 1 CBKMMHS072					
29. Speed (recorded s	speed, if	available)	Code	31.	Method(s) of	of Operati	on (enter	code(s)	that ap	oply)		31a. Remotely Controlled Locomotive?						
R - Recorded a ATCS g. Autom									lock	m.Spec	cial instruc	tions		0 = Not a remotely controlled					
E - Estimated 39 MPH R b. Auto train control h. Curren								t of tr	raffic	n. Othe	er than mai	n track		1 = Remo	ote contr	rol po	rtable		
c. Auto train stop i. Time ta								able/tr	ain orders	o. Pos	itive train c	control		2 = Remo	ote contr	rol tov	wer		
excluding power units) d. Cab j.Track v								arrant traffic	t control	p. Oui	Code(s	y in narrati)	ve)	5 = Rem transmi	ote cont tter - mo	roi ore th:	an one		
e. Irainc K. Direct 17131 f Interlocking I Vard li									c control					remote o	control t	ransn	nitter		
22 Principal Car/Unit	$\begin{bmatrix} 1/151 \\ 0 \end{bmatrix}$																		
(1) First involved		a. muai a		moer	0. I Oshic			LUauc	(yes/no)	- 35. 11	enter the nu	mployee(s)	were	positive in	/alcono. n	i use,	Alcohol	Drugs	
(derailed, struck, etc) RWSZ6244								3	yes	1	the appropriate box.			F			0	0	
(2) Causing (if mec	hanical	l	0			0		N	I/A	34.	Was this c	onsist trans	sporti	ng passen	gers? (Y	//N)	0		
35 Locomotive Unit	s	a. Head		Mid T	rain	Re	ar End	36. Cars					Loaded Empty				y		
		End	b. Mar	nual	c. Remote	d. Manua	l c. Rer	mote	50. Cars	,		a. Fre	eight	b. Pass.	c. Frei	ght d	l. Pass.	e. Caboose	
(1) Total in Train	L	2	()	0	0	3		(1) Total	in Equi	ipment Cor	nsist 1	20	0	0		0	0	
(2) Total Derailed	d	0	()	0	0	0		(2) Total	Deraile	ed	3	85	0	0		0	0	
37. Equipment Dama	ge	2693684	3	8. Tra	ck, Signal, V	Vay,	22329	6	39. Prima	ary Cau	se			40. Cont	ributing	Caus	e		
This Consist		2075004		& S	Structure Da	mage	22527	0	Code			T299		Code			1	N/A	
	14 20	Number	of Cre	w Me	mbers	1.44 D.	-1					Lengt	h of]	n of Time on Duty					
41. Engineer/	42. Fire	emen	4	43. Co	nductors	44. Br	akemen		45. Engineer/Operator				46. Conductor			Mi 30			
operators 1 0 1						0		Hrs 7 Mi 30				Hrs			/	WI 30			
Casualties to:	47. Railr	oad Emplo	yees 48	8. Trai	in Passenger	s 49. 0	Other		50. EOT Device?				51. Was EOT Device Properly Armed?						
Fatal		0 0					0		1. Yes 2. No 1					1. Yes 2. No 1					
Nonfatal		0			0 0				52. Caboose Occupied by Crew? 1. Yes 2. No				No						
						0	PERAT	ΓING	G TRAIN	1#2								1	
53. Type of Equipment	nt 1.	Freight trai	in -	4. Wo	rk train 7.	Yard/swi	tching	А.	Spec. MoV	V Eaui	p. Code	54. Was E	quipr	nent C	ode	55. Tı	rain Nun	ber/Symbol	
Consist (single en	try) 2.	Passenger	train	5. Sin	gle car 8.	Light loc	o(s).			11		Attend	led?				-		
	3.	Commuter	train	6. Cut	of cars 9.	Maint./in	spect.car	r			N/A	1. Y	es 2	2. No 1	N/A		N/	A	
56. Speed (recorded s	speed, if	available)	Code	58.	Method(s)	of Operati	on (enter	code(s)	that ap	oply)		Ī	58a. Remotely Controlled Locomotive?					
R - Recorded	NI/A	MDU	N/A	a.	ATCS Auto train (g control 1	g. Autom	atic b	nock	m.Spec	cial instruct	tions n track		0 = Not a remotely controlled					
E - Estimated	1N/A	MPH	11/11	0.	· · · · · · · · · · · · · · · · · · ·	Junior I	Currell	u		n. Oui	or unan mal	11 UdUK		1 = Kein	on cont	ror bo	ntable		

DEPARTMENT FEDERAL RAILF	OF TRAI ROAD AI	NSPORT DMINIST	TATIO RATI	ON ON	FRA FA	CTUAL	RAILR	OAD AC	CIDENT REPO	ORT	F	RA File	# <u>HQ-200</u>	7-15		
57. Trailing Tons (gross tonnage, excluding power units)					c. Auto train stop i. Time table/tr d. Cab j.Track warran e. Traffic k. Direct traffi				ain orders o. Positive train control t control p. Other (Specify in narrative) c control Code(s)				2 = Remote control tower 3 = Remote control transmitter - more than one			
		N/A		f. 1	Interlocking	1.Y	ard limits		N/A N/A N/A 1	remote control transmitter			N/A			
59. Principal Car/Un	it	a. Initial	and N	umber	b. Positio	n in Train	c. Load	ed(yes/no)	60. If railroad emp	loyee(s) tes	ted for dru					
(1) First involved (derailed struck etc) N/A				N/2	A	N	J/A	the appropriate	e positive in Alcohol			Drugs				
(definited, surdex, etc) (2) Causing (if mechanical								61 Was this consi	ist transport	ting passengers? (Y/N)			N/A			
cause reported) N/A				N/2	4	1	N/A					N/A				
62. Locomotive Units a. Head End b. Ma			Mid T mual	rain c. Remote	Rear 1. Manual	r End c. Remote	63. Cars	Lo a. Freight	aded b. Pass.	c. Freig	Empty ht d. Pass.	e. Caboos				
(1) Total in Train		N/A	1	N/A	N/A	N/A	N/A	(1) Total in Equipment Consis		N/A	N/A	N/A	N/A	N/A		
(2) Total Deraile	(2) Total Derailed N/A N/		/A	N/A	N/A	N/A	(2) Total D	Derailed	N/A	N/A	N/A	N/A	N/A			
64. Equipment Dam	age	NI/A		65. Tra	ck, Signal, W	'ay,	NI/A	66. Primary Cause			67. Contributing Cause					
This Consist		Numbe	r of Cr	& S	Structure Dan	nage	IN/A	Code		N/A Length of	Time on D	N/A				
68. Engineer/	69. Fire	men		70. Co	nductors	71. Brak	emen	72. Engin	eer/Operator	Lengur or	73. Conductor					
Operators N/]	N/A			N/A	1	N/A	-	Hrs N/A M	i N/A	70 W	Hrs	S N/A	Mi _{N/A}		
Casualties to:	74. Railro	oad Emplo	byees 7	/5. Trai	n Passengers	76. Othe	er	77. EOT L 1. Y	Device? Yes 2. No 1	78. Was EOT			vice Properly 2. No	Armed?		
Fatal		N/A			N/A	1	N/A	79. Caboo	ose Occupied by Crev	v?		1.011				
Nonfatal	Nonfatal N/A				N/A	1	N/A		1. Yes	Yes 2. No						
						OI	PERATIN	G TRAIN	1 #3							
80. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s). 3. Commuter train 6. Crit of care 0. Maint forward care								Spec. MoW Equip. Code 81. Was Equipment Code 82. Train Number/Symbol Attended? 82. N/A N/A								
83. Speed (recorded	83. Speed (recorded speed, if available) Code 85. Method(s) of Operation (enter								nat apply)		85a. Remo	otely Con	ntrolled Loco	motive?		
R - Recorded a. ATCS g. Automatic b							olock n	n.Special instructions a. Other than main tra	ck	0 = Not a 1 = Remo	remotely	controlled				
E - Estimated	E - Estimated N/A MPH N/A b. Auto train control h. Current of the c. Auto train stop i. Time table/t							ain orders	o. Positive train contr	ol	2 = Remo	te contro	ol tower			
84. Trailing Tons (gross tonnage, excluding power units)							rack warran	t control I	b. Other (Specify in r	narrative)	3 = Remo	ote contro	ol ea than ana			
N/A					Interlocking	к. 1 1.Y	ard limits	c control	N/A N/A N/A I	N/A N/A	remote c	control tra	ansmitter	N/A		
86. Principal Car/Unit a. Initial and Nu					b. Positio	n in Train	c. Load	ed(ves/no)	87. If railroad emplo	ovee(s) test	ed for drug	v/alcohol	use			
(1) First involved				N	(A			enter the numb	er that were	e positive i	n	Alcohol	Drugs			
(derailed, struck, etc) N/A				11/	A	-	IN/A	the appropriate	box.		N/A					
(2) Causing (if me cause reported	chanical 1)		N/A		N/	A	1	N/A	88. Was this consi	ist transport	ing passen	gers? (Y	/N)	N/A		
89. Locomotive Uni	its	a. Head	h Ma	Mid T	rain	Rear	r End	90. Cars		Lo a Freight	aded b Pass	E Freig	Empty ht d Pass	e Caboose		
(1) Total in Train	n	N/A	N N	//A	N/A	N/A	N/A	(1) Total in	Equipment Consist	N/A	N/A	N/A	N/A	N/A		
(2) Total Deraile	ed	N/A	N	/A	N/A	N/A	N/A	(2) Total E	Derailed	N/A	N/A	N/A	N/A	N/A		
91. Equipment Dama	age	N/A	! 	92. Tra	ck, Signal, W	′ay,	N/A	93. Primar	y Cause Code		94. Contributing Cause					
		Numbe	r of Cr	ew Mei	mbers	nage	10/11	Length of Time on Duty						N/A		
95. Engineer/	96. Fire	men		97. C	onductors	98. Brak	emen	99. Engin	eer/Operator	0	100. Conductor					
Operators N/A	1	N/A			N/A	N	I∕A		Hrs N/A M	i N/A	Hrs N/A Mi					
Casualties to:	101. Rail	road Emp	loyees	102.	Frain	ner	104. EOT			105. Was EOT Device Properly						
Fatal		N/A			N/A	N	J/A	1. Yes 2. No N/A 106. Caboose Occupied by Crew?			1.	Yes	2. No	N/A		
Nonfatal N/A				1	N/A	1	N/A	1. Yes 2. No N/A								
		Highw	ay Use	er Invo	olved			Rail Equipment Involved								
107. C. Truck-7	Frailer. F	. Bus	Ţ	. Other	Motor Vehic	le	Code	111. Equip	oment 3.Train	(standing)	6.Light	Loco(s)	(moving)	Code		
A. Auto D. Pick-U B. Truck E. Van	p Truck C	3. School I I. Motorcy	Bus k vcle N	K. Pedes A. Othe	strian r (spec. in na	rrative)	N/A	1.Train(units pulling) 4.Car(s) (moving) 7.Light(s) (standing) 2.Train(units pushing) 5.Car(s) (standing) 8.Other (specify in narrative) N/A								
108. Vehicle Speed		N/A	109.	4.20	geographic	al)	Code N/A	112. Position of Car Unit in								
(est. MPH at impact) N/A 1.North 2.South 3.East 4.West N/A N/A										11/13						

DEPARTMENT OF TRANSPORTATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2007-15 FEDERAL RAILROAD ADMINISTRATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # HQ-2007-15														
110. Position							Code	113. Circu	mstance					Code
1.Stalled on Crossing 2.Stopped on Crossing 3.Moving Over Crossing N/A 1. Rail Equipment Struck Highway User 4. Trapped N/A 2. Rail Equipment Struck by Highway User												N/A		
114a. Was the	e highway user a	and/or ra	il equi	pment	involved		Code	114b. Wa	is there a haza	rdous mat	terials rele	ease		Code
in the impact transporting hazardous materials?											4. Neither	N/A		
1. Highway User 2. Kail Equipment 3. Both 4. Neither 1974 1. Highway Oser 2. Kail Equipment 5. Dour 4. Neither														
114c. State here the name and quantity of the hazardous materials released, if any. N/A														
115. Type	1.Gates	4.V	/ig Wa	igs	7.Cros	ssbucks 1	0.Flagged by	crew	116. Signaled	Crossing		Code	117. Whistle	Code
Crossing 2.Cantilever FLS 5.Hwy. traffic signals 8.Stop signs 11.Other (spec. in narr.) (See instructions for codes) 1. Yes														
3. Unknow										3. Unknown	N/A			
Code(s)	Code(s) N/A N/A N/A N/A N/A											10/1		
118. Location	of Warning			Code	119. Cros	ssing Warning h Highway Si	g onals	Code	120. Ci	ossing III obts or Si	luminated	by Street	Code	
2 Side of	vehicle Approa		, with	1. Yes	Bildis			1. Yes	peelai Eig	1105				
3 Opposite Side of Vehicle Approach							2. No N/A 2. No							
									10/1		3. Unkno	own		IN/A
121.	122. Driver's	Gender	Code	123.	Driver Drov	e Behind o	or in Front of	Code	Code 1 Drove around or thru the Gate 4 Storred on Crossing					
Age	1. Male				and Struck of	r was Struc	k by Second	Irain	2. Stop	bed and th	en Proce	eded	5 Other (specify in	
N/A	N/A 2. Female N/A 1. Yes 2. No 3. Unknown N/A 3. Did not Stop narrati									narrative)	N/A			
125. Driver Pa	ssed	Cod	e 12	6. Vie	w of Track O	bscured by	(primary ob	struction)						Code
Highway V	ehicle	1		1. P	ermanent Str	ucture	3. Passi	ng Train 5.	Vegetation	7. O	ther (s	pecify in 1	narrative)	1
1. Yes 2. No	3. Unknown	N/.	4	2. S	tanding Railr	oad Equipr	nent 4. Topo	graphy 6.	Highway Vehi	icle 8. N	lot obstru	icted		N/A
Casualties to: Killed Injured 127. Driver Code 128. Was Driver in the Vehic									ne Vehicle?	Code				
Casuantes to. Kineu Injuleu						1. Kille	d 2.Injured 3.	Uninjured	N/2	A	1. Yes 2. No			
129. Highway-Rail Crossing Users N/A N/A						130. Higl (est.	130. Highway Vehicle Property Damage (est. dollar damage) N/A 131. Total Number of Highway (include driver)					f Highway-Rail Crossin N/A	g Users	
132. Locomotive Auxiliary Lights? Code 133. Locomotive Auxiliary Lights Opera										Operatio	nal?		Code	
1. Y	es	2.	No				N/A 1. Yes 2. No					N/A		
134. Locomot	ive Headlight Il	luminate	ed?	_			Code	135. Locor	notive Audibl	e Warning	g Sounded	d?		Code
1. Y				N/A	1.	Yes	2	2. No			N/A			



136. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED.

137. SYNOPSIS OF THE ACCIDENT

Southbound BNSF Railway Company (BNSF) loaded coal Train Symbol C BKMMHS0 72A derailed 35 cars on April 7, 2007, at 2 a.m. CDT. This derailment occurred on the BNSF Springfield Division, Thayer North Subdivision, near Olden, Missouri, at milepost (MP) 300.8. There were no injuries and no hazardous materials released. At the time of the accident it was dark, the temperature was 21 degrees Fahrenhiet and the weather was clear. Equipment damages were \$2,693,684; track, signal, and structure damages were \$223,296.

Probable Cause:

The cause of the derailment was a broken rail located on the high side of a left-hand curve at MP 300.8.

138. NARRATIVE

Circumstances Prior to the Accident

The crew of BNSF Train Symbol C-BKMMHS0-72A consisted of a locomotive engineer and conductor. They first went on duty at their home terminal of Springfield, Missouri, at 6:30 p.m. CDT, April 6, 2007, after receiving more than the statutory off-duty period. The engineer had 34-hours 30-minutes off-duty time prior to reporting for duty and the conductor had 36 hours.

Train Symbol C-BKMMHS0-72A is a1,500-mile extended haul unit coal train and had received a Class I air brake test at Donkey Creek, Wyoming, at 4 p.m., April 2, 2007. After adding 2 additional DPU locomotives to the rear and accomplishing a set and release of the train air brakes, their assigned freight train consisted of 2 lead locomotives,120 loaded coal cars, and 3 rear DPU locomotives, having a length of 6,385 feet, and a weight of 17,131 tons. They departed the terminal, MP 201, at 7:20 p.m., scheduled to travel to Thayer, Missouri, and operated to the point of derailment without incident.

As the southbound train approached the accident area, the engineer was seated at the controls of leading locomotive, on the west side with the short hood forward, and the conductor was seated on the east side, in the front seat. The last signal observed prior to the derailment was located at MP 299.07 and was displaying a clear indication. A dragging equipment detector (DED) at MP 300.25 reported no exceptions as they passed. Maximum authorized speed at this location, as listed in BNSF Timetable No. 6, in effect January 17, 2007; is 40 mph. The grade is descending at 1 percent, and they were traversing a 4-degree 55-minute left-hand curve.

Nearing the accident site both timetable and geographic direction is south.

The Accident

The train was being operated at 39 mph rounding the curve at MP 300.8 as indicated by the event recorder of the controlling locomotive. The crew reports they encountered no rough track nor any other track condition that would have contributed to this accident. When they heard the train go into emergency, they braced themselves for slack action or run-in to occur. However, the train seemed to come to a normal stop. The conductor then dismounted and walked the train. He discovered that 35 cars of his train had derailed, lines 60 through 94. This count includes the two lead locomotives. He radioed the engineer, who immediately contacted the BNSF dispatcher and advised him of the derailment.

Analysis and Conclusions

Analysis

Train Symbol C-BKMMHS0-72A was being operated within the limits of the posted maximum authorized speed of 40 mph. It was traversing a 1-percent descending grade and had been for almost one mile. Therefore, the train would have already been bunched and the buff forces would have been adjusted and should not have been a factor in this derailment. The

download of the event recorder from lead Locomotive No. BNSF 5647 indicated they were traveling at 39 mph when an undesired emergency brake application occurred. There were no indications of train handling issues revealed by the download.

Inspection of the cars on the headend of the train revealed a mark on the tread portion of the wheels that indicated a blunt trauma had occurred. These trauma marks would be consistent with a wheel passing over a broken rail.

The last regular track inspection was conducted on April 6, 2007, with no defects noted. The last internal rail test was made March 26, 2007, and the geometry car last operated March 23, 2007. Neither test noted any discrepancies in this area. The track structure here and prior to reaching the derailment site appears to be very strong, and most of it is constructed of 136-lb continuous- welded rail (CWR) on concrete ties. A walking inspection was made starting at MP 299.8 and continued to the point of derailment at MP 300.8, with no defects cited.

Several pieces of 136-lb RE Premium Rail, manufactured in 1999 by Bethlehem Steel, were sent to the BNSF lab in Topeka, Kansas, for testing. The lab determined the rail examined was not the cause of the derailment.

The crew was transported to the Ozark Medical Center at West Plains, Missouri, where drug and alcohol testing was accomplished under FRA authority. The results of the tests were negative for both crew members. The crew was released from duty at 9:15 a.m., April 7, 2007, at Thayer, Missouri, their away-from-home terminal.

Conclusions

The event recorder download did not indicate any unusual train handling that would contribute to this derailment. There was no evidence discovered that there was any mechanical or equipment issues that would have contributed to this derailments. Marks on the wheels ahead of the first car derailed and the sudden catastrophic nature of this derailment indicate a rail broke under the train causing the derailment. Although the rail that caused this derailment was never found, all other causes were ruled out by extensive investigation and analysis of all evidence recovered at the site.

Probable Cause and Contributing Factors

There were no contributing factors identified.

The FRA determined that the probable cause of the accident was a broken rail, cause code T299 (other rail and joint bar defects).