

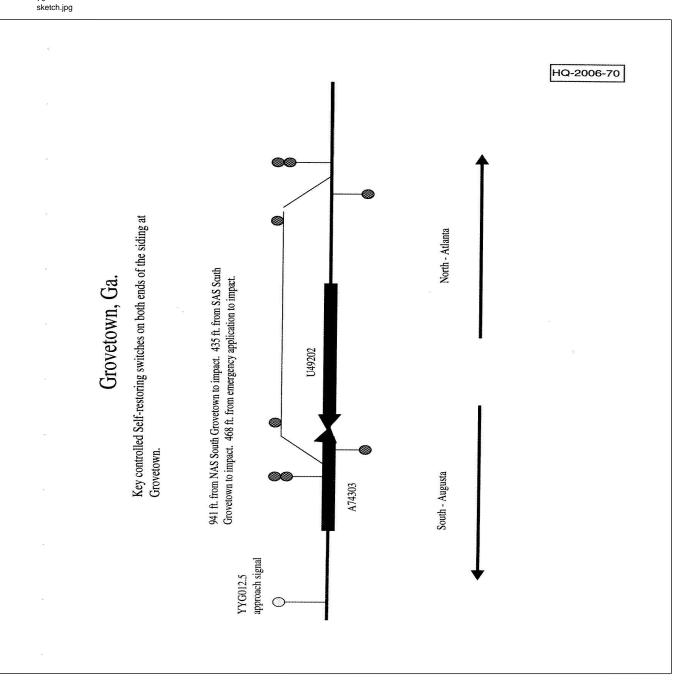
Federal Railroad Administration Office of Safety Headquarters Assigned Accident Investigation Report HQ-2006-70

> CSX Transportation Grovetown, GA August 4, 2006

Note that 49 U.S.C. §20903 provides that no part of an accident or incident report made by the Secretary of Transportation/Federal Railroad Administration under 49 U.S.C. §20902 may be used in a civil action for damages resulting from a matter mentioned in the report.

DEPARTMENT OF TRANSPORTATION FRA FACTUAL RAILROAD ACCIDENT REPORT FRA File # <u>HQ-2006-70</u>																				
FEDERAL RAILROAD ADMINISTRATION																				
1.Name of Railroad Operating Train #1									Tu. Tuphuotue coue					. Railroad Accident/Incident No.						
CSX Transportation [CSX]									CSX 2a. Alphabetic Code 2b. 1					R000024601						
2.Name of Railroad Operating Train #2 CSX Transportation [CSX]									Alphabeti				20. F	Railroad Accident/Incident R000024601						
3.Name of Railroad Responsible for Track Maintenance:									CSX 3a. Alphabetic Code 3b.						Roboo24001 Railroad Accident/Incident No.					
CSX Transportatio	CSX						R000024601													
4. U.S. DOT_AAR Grade Crossing Identification Number															Time of Accident/Incident					
		Month		Day 04	06·15· 🗸 AM 🗌 PM															
7. Type of Accident/I	ndicent		4. Side collision				08 Hwy rail	crossin	6 sion deton											
(single entry in coo		 Derail Head of 		lision										olent rupture (describe in						
		3. Rear e	nd col	llision	-				Obstructi	on	12	. Other	impacts		narrative)			0)2	
8. Cars Carrying	urs		10. Cars	Releasin							12. Division									
HAZMAT 0	ZMAT Demograd/Deroiled			led	d 0 HAZMAT				0 Evacuated					0 Atla			Atlanta			
13. Nearest City/Tow	'n				14. Milepost					15 St	5. State			. County						
15. Nearest City/10w	11	Grove	town		(to nearest te				YG15.2	15.50	Abbi N/A		ie A	. county	COLUMBIA					
17. Temperature (F)		18. Visit		(sin	gle entry)	Code	19. W			e entry)				20 Tun	20. Type of Track				Code	
(specify if minus))		Dawn		Jusk			. Clea	. 0		5.Sleet		ode	1. Main 3. S					Joue	
80	F	2.	Day	4.1	Dark	1	2	. Clo	udy 4. F	og	6.Snow		2	2. Yard 4. In					1	
21. Track Name/Num	ber					22. FRA			Code		3. Annual Track Densi				. Time Table Di			С	lode	
main					x	Clas	ss (1-9, X) 	4		gross ton: nillions)	s 1n	19.4				. North 3. East			
							OPER	ATI	ING TRA	AIN #1	[
25. Type of Equipme	ent 1	. Freight tra	ain	4. W	ork train 7.	. Yard/swi	itching	A.	. Spec. Mo	W Equ	ip. Code	e 26. V	Was Equip	oment C	Code	27. 1	Frain Nur	nber/S	Symbol	
Consist (single entry) 2. Passenger train 5. Single car 8. Light loco(s).														ended?						
3. Commuter train 6. Cut of cars 9. Maint./inspect.car 1 1. Yes 2. No 1 A743																				
28. Speed (recorded speed, if available) Code 30. Method(s) of Operation (enter code(s) that apply) 30a. Remotely Controlled L R - Recorded a. ATCS g. Automatic block m.Special instructions 0 = Not a2reSpontly doll/result													omotiv	e?						
R - Recorded a. ATCS g. Auto E - Estimated 4 MPH R b. Auto train control h. Curr										•	er than n		k	1 = Remote control portable						
c. Auto train stop i. Time									ble/train orders o. Positive train control varrant control p. Other (Specify in par					2 = Remote control tower						
29. Trailing Tons (gross tonnage, excluding power units) d. Cab e. Traffic									nt control p. Other (Specify in narrative ic control Code(s)					3 = Remote control transmitter - more than one						
	f. Interlocking l.Yard lim				its					remote control transmitter			0							
 Principal Car/Unit 		a. Initial	and N	Jumber	h Positio	on in Train		Load	ed(yes/no)	1		N/A N	-	ed for drug	/-11	1		0		
(1) First involved				unioer				Load	cu(yes/110)	32.1				positive in		i use,	, Alcohol	D	rugs	
(derailed, struck, e	etc)		N/A			6			no		the appro	opriate b	oox.				N/A	-	N/A	
(2) Causing (if med	chanica	1	N/A		N	I/A		Ν	N/A	33.	. Was this	s consis	t transport	ing passen	gers? (?	(/N)		1	N	
cause reported)				ar End				<u> </u>			ade	1	Empty			IN				
 Locomotive Units 		a. Head End	b. M	Mid ' anual	c. Remote			mote	35. Cai	rs			a. Freight	b. Pass.	c. Frei	-	d. Pass.	e. Ca	aboose	
(1) Total in Train	n	2		0	0	0	0		(1) Tota	l in Equ	ipment C	Consist	0	0	70)	0		0	
(2) Total Deraile	d	0		0	0	0	0		(2) Tota	1 Derail	ed		0	0	4		0		0	
	Equipment Damage				Track, Signal, Way,								0	39. Cont					0	
This Consist		Structure Da)	38. Primary Cause Code H605						ributing	; Cau	se	N/A							
Number of Crew Members														Time on D	Duty					
40. Engineer/				42. Conductors 43. Brakemen			akemen		44. Engineer/Operator					45. Conductor						
Operators N/A	0				1		1			Hrs	Hrs 10		15		Hrs 11 M			Mi	15	
Casualties to:	46. Rail	road Emplo	oyees	47. Tra	7. Train Passengers 48. Other				49. EOT Device?					50. Was EOT Device Properly Armed?						
Fatal		0			0		1. Yes 2. No 1						1. Yes 2. No 1							
					v		0		51. Cab	e Occupied by Crew?		?	I							
Nonfatal N/A				0		1. Yes 2. No										2				
OPERATING TRAIN #2																				
52. Type of Equipment 1. Freight train 4. Work train 7. Yard/switching A. Spec. MoW Equip. Code 53. Was Equipment Code 54. Train Number/Symbol																				
Consist (single entry) 2. Passenger train 5. Single car 8. Li							ight loco(s).				Attende			?				U49202		
55 Sport (Maint./in	•		a or 1 ()	th - 4	1		1. Yes	2.10		ort			202	
55. Speed (recorded speed, if available) Code 57. Method(s) of Operation R - Recorded a. ATCS g. Auto								`							57a. Remotely Controlled Locomotive? 0 = Not a remotely controlled					
E - Estimated		. ATCS 5. Auto train (1 = Remote control portable											
1		1														-				

DEPARTMENT FEDERAL RAILF				FRA F	ACTUA	AL RAILI	ROAD AC	CID	ENT I	REPO	ORT	F	RA File #	<u>HQ-200</u>	<u>6-70</u>		
56. Trailing Tons (gro excluding powe	30	c. Auto tra d. Cab e. Traffic f. Interlockin	train orders of nt control l ic control	control Code(s)					2 = Remote control tower 3 = Remote control transmitter - more than one remote control transmitter								
58. Principal Car/Unit a. Initial and Nu					ded(ves/no)												
(1) First involved CSXT4					1		no	1	enter the number that were positive in the appropriate box.								
(2) Causing (if mechanical cause reported) 0					N/A		N/A	60. Was this consist transporting passengers? (Y/N)							N/A		
61. Locomotive Units	omotive Units a. Head End b. Mai			Iid Train		ear End al c. Remote	62. Cars	62. Cars Loade Emp a. Freight b. Pass. c. Freight						e. Caboose			
(1) Total in Trai			0	0	0	0		n Equi	Equipment Consist 94			0	0	0	0		
(2) Total Deraile	(2) Total Derailed 0		0	0	0	0	(2) Total E	Deraile	d		0	0	0	0	0		
63. Equipment Dama This Consist	1400				, Way, Damage	0	65. Primar Code	11000				use	H104				
		Numbe	r of Crew	Members				Length of Time on Duty									
67. Engineer/ Operators 1				Conductors 1	70. B	rakemen 0		71. Engineer/Operator 72. Conductor Hrs 8 Mi 45						8	Mi 45		
Casualties to:	73. Railro	oad Emplo	oyees 74.	Train Passeng	ers 75. Ot	ther	76. EOT E				77. Was EOT Device						
Fatal		0		0		0	1. Y		2. No		1	1.	Yes	2. No	2		
Nonfatal		0		0		0	-	78. Caboose Occupied by Crew? 1. Yes 2. No									
		Highwa	ay User	Involved		Rail Equipment Involved											
79. Type C. Truck-7 A. Auto D. Pick-U	p Truck C	3. School l	Bus K. H			Code	83. Equipment 3.Train (standing) 6.Light Loco(s) (moving) 1.Train(units pulling) 4.Car(s) (moving) 7.Light(s) (standing)										
B. Truck E. Van H. Motorcycle M. Other (spec. in narrative) N/A 2.Train(units pushing) 5.Car(s) (standing) 8.Other (specify in narrative) 80. Vehicle Speed 81. Direction geographical) Code 84. Position of Car Unit in Train												narrative)	N/A				
(est. MPH at in						N/A											
82. Position 1.Stalled on Cros	ssing 2.St	opped on	Crossing	3.Moving Ov	er Crossing	Code		85. Circumstance 1. Rail Equipment Struck Highway User									
4. Trapped	-		N/A		2. Rail Equipment Struck by Highway User 86b. Was there a hazardous materials release by												
86a. Was the highw in the impact tr	-					Code											
1. Highway User 86c. State here the na					eleased if	N/A	I. High	way L	Jser 2.	Rail E	quipment	3. Both	4. Neithe	r	N/A		
obe. Blate here the ha	ine una qu	unity of t		ous materials	eleuseu, II	N/A											
***	signs 1	0.Flagged by 1.Other (spe 2.None			-		g Warning for codes)	Code	89. Whis 1. Ye 2. No	s	Code						
	3.Standard FLS 6.Audible N/A N/A N/A			N/A	N/A	N/A	N/A					N/A		known	N/A		
90. Location of Warn 1. Both Sides		0 0	g Warning Interconnected Code 92. Crossing Illuminated by Street Lighway Signals Lights or Special Lights							Code							
 Side of Vehicl Opposite Side 	1	1. Yes 2. No 3. Unknown		N/A				1. Yes 2. No 3. Unknown									
93. Driver's 94. I	ode 95	Driver Drove	rain Code	ain Code 96. Driver							N/A Code						
Age 1. Male and Struck or was N/A 2. Female N/A						k by Second 3. Unknow	n I	2. Stopped and then Proceeded 5. Other (specify in									
97. Driver Passed Standing Code 98. View of Track Obscured by (primary obstruction)												N/A Code					
Highway Vehicle 1. Permanent Structure 3. Passing Train 5. Vegetation 7. Other (specify in narrative) 1. Yes 2. No 3. Unknown N/A 2. Standing Railroad Equipment 4. Topography 6. Highway Vehicle 8. Not obstructed													N/A				
Crossing Users Killed Intured						er Was Code 100. Was Driver in the Vehicle? d 2.Injured 3. Uninjured N/A 1. Yes 2. No							Code N/A				
N/A				N/A	102. Higl	hway Vehicle	e Property Da	Property Damage 103. Total Number of Highway-Rail Cr									
104. Locomotive Aux	ciliary Lig	hts?			(est.	dollar dama Code	1	notive			nts Operatio			N/A	Code		
1. Yes		N/A	1. Yes 2. No								N/A						
106. Locomotive Hea		Code	107. Locomotive Audible Warning Sounded?							Code							
1. Yes		N/A	1.	1. Yes 2. No							N/A						



108. DRAW A SKETCH OF ACCIDENT AREA INCLUDING ALL TRACKS, SIGNALS, SWITCHES, STRUCTURES, OBJECTS, ETC., INVOLVED. HQ-2006-70 sketch.jpg

109. SYNOPSIS OF THE ACCIDENT

Synopsis

On August 4, 2006, at 6:15 a.m. Eastern Standard Time (EST), CSX Train A74303 operating on the Atlanta Division, Georgia Subdivision in a northward direction struck CSX Train U49202 at milepost (MP) YYG15.2. The accident occurred in Grovetown, Georgia (GA) on single main track that parallels the Grovetown siding. Four empty rock cars from Train A74303 were derailed during the collision and the locomotives from both trains were damaged.

The total damages to rail equipment was \$39,025. The total damages to track, signals, and structures was \$7,550.

Two reportable injuries resulted from the collision. The engineer and the conductor of Train A74303 were given prescription medication. No hazardous materials were involved and no evacuation ordered.

The weather at the time of the accident was clear and 85 °F.

The probable cause of the accident is failure of Train A74303 to comply with restricted speed in connection with a restrictive indication of a block signal.

110. NARRATIVE

The following information was obtained from an investigation that was conducted by the Federal Railroad Administration.

Circumstances Prior to the Accident Train A74303

The crew of CSX Train A74303 included a locomotive engineer, a conductor, and a conductor trainee. On August 3, 2006, at 5 p.m., the conductor trainee was called by crew management to deadhead to Camak, GA and serve as the conductor on CSX Train A74303. At 7 p.m. the conductor went on duty at Augusta, GA and deadheaded to Camak. The locomotive engineer and the conductor trainee went on duty at Camak at 8 p.m. All crew members met or exceeded the required prior time off duty period prior to going on duty.

The crew received permission to occupy the North Siding at Camak from the BE dispatcher. At 8:10 p.m. they spoke with the trainmaster for a job briefing. They were taking two cuts of cars in Martin Marietta (MMA) quarry, putting them together. The crew confirmed their instructions and departed by van to the MMA depot to board the locomotives. They put their train together and performed a class 1 brake test on 78 cars. At 9:57 p.m. the crew obtained the Warren DTC block and proceeded south. They released the Warren block at 11:38 p.m.

At 11:53 p.m. the crew received authority No. 55149 to occupy the Warren, Thomson, Dearing, Grovetown, and Harrisonville DTC blocks. Train A74303 proceeded south towards Augusta, GA with 78 loaded cars and two locomotives. They arrived in Augusta on August 4, 2006, at 2:40 a.m. At 2:42 a.m. they released the Warren, Thomson, Dearing, Grovetown, and Harrisonville DTC blocks and the North siding at Camak to the BE train dispatcher.

At 5:30 a.m. Train A74303 departed Augusta Yard with 70 empty cars and two locomotives bound for MMA at Camak. At 5:41 a.m. the crew received authority No. 55485 and proceeded north on the Harrisonville block. At 6:10 a.m. Train A74303 passed an approach signal at MP YYG12.5 at 38 miles per hour (mph). At 6:13 a.m. the train was still 5,240 feet from the point of impact and operating in No. 5 throttle position. The engineer was seated at the controls on the east side of the locomotive cab, the conductor was seated on the west side of the cab, and the conductor trainee was positioned in the middle seat.

The line segment both trains were operating is located on the Atlanta Division, Georgia Subdivision. The territory is governed by ABS-DTC authority. Approaching the accident site from the south the approach signal is located at MP YYG 12.5. The south end of Grovetown siding is located at milepost YYG15.1 and also serves as the dividing point between the Harrison DTC block and the Grovetown DTC block. There is a 0.7 per cent ascending grade in the northbound direction and the mainline track speed is 50 mph.

Train U49202

The crew of CSX Train U49202 included a locomotive engineer and a conductor. They went on duty at 9:30 p.m. on August 3, 2006, at Tilford Yard in Atlanta, GA. This is the away from home terminal for both crew members that are assigned to extra board in Augusta, GA. Train U49202 consisted of two locomotives, lead locomotive No. CSXT 448, and 94 loaded freight cars. They departed Tilford Yard at 10:59 p.m. and received their first signal at Howell at 11:36 p.m. The crew operated southbound toward Augusta under normal operating conditions obtaining and releasing the following DTC blocks: Stone Mountain, Redan, Lithonia, Conyers, Almon, Covington, Social Circle, Rutledge, Madison, Buckhead, Greensboro, Union Point, Crawfordville, Barnett, Camak, Warren, Thomson, and Dearing.

On August 4, 2006, at 4:22 a.m. the crew obtained the Grovetown DTC block from the BE train dispatcher and proceeded south. At 4:49 a.m. the train crew released the Thomas and Dearing DTC blocks and stopped on the main track at the south end of Grovetown. The engineer was seated at the controls on the west side of the locomotive cab and the conductor was seated on the east side of the cab.

FRA FACTUAL RAILROAD ACCIDENT REPORT

The direction of movement in this report is based on timetable direction of North and South.

The Accident

Train A74303 was operating at 38 mph as it passed the approach signal MP YYG12.5. The engineer acknowledged the signal and reduced locomotive throttle to No. 5 position. The train slowed to 27 mph as it approached the accident site. The conductor trainee noticed they were not slowing down to take the Grovetown siding as required. He started calling the engineer's name and shook him to get his attention. During this time the train passed the stop signal indication at 27 mph.

Train U49202 was stopped on the mainline at Grovetown waiting for Train A74303 to take the siding. Moments before impact the crew noticed the headlight of Train A74303 and determined it was on the mainline. The engineer grabbed the handset to call Train A74303, but realized it was too late and braced for the impact.

After the collision, the engineer of Train A74303 went out the locomotive cab door to the lead locomotive of Train U49202 and saw the crew members were not hurt. He then contracted the CSX dispatcher about the accident. Both the engineer and conductor of Train A74303 had reportable injuries.

CSX, Grovetown Police Department, Fire Department, and Rescue Department responded to the accident.

Analysis & Conclusion

The engineer and conductor of Train A74303, through their own admission, did not recall the elapsed time from approach signal MP YYG12.5 to when they observed Train U46202.

At 6:14 a.m. Train A74303 event recorder indicated they passed the stop signal and the siding switch with the throttle in the No. 5 position and the train operating at 27 mph. At 6:15 a.m. the locomotive throttle was in idle position, the train was placed in emergency, and the independent brake applied. The impact speed is four mph. It was also evident on the event recorder the horn blew once for five seconds and the bell was on for 19 seconds.

The crew of Train A74303 was tested under Federal Railroad Administration regulations and the results were negative.

The Fatigue Avoidance Scheduling Tool (FAST) is a software product which uses employee's sleep and work schedules to predict individual work performance, effectiveness, and alertness over a period of time. Effectiveness can be defined as a measure of speed of making correct and accurate decisions and is highly correlated with reaction time, cognitive output, and overall operator performance.

Based on information furnished by crew members and the hours of service documents completed by the train crew, the circadian rhythms software program calculated that the engineer, conductor, and conductor trainee of Train A74303 were each found to be at 68% effective at the time of the accident.

Train A74303 came to rest 941 feet from the south end of the Grovetown siding switch, derailing the four empty rock cars.

Probable Cause

The Federal Railroad Administration found the probable cause of the accident to be the failure of Train A74303 to comply with restricted speed in connection with a restrictive indication of a block signal.